

REPUBLIC OF COTE D'IVOIRE



MINISTRY OF ENVIRONMENT AND SUSTAINABLE DEVELOPMENT
REDD+ Permanent Executive Secretariat (SEP-REDD+)



EMISSIONS REDUCTION PROGRAM FOR THE TAÏ NATIONAL PARK - (P170309)

Draft

ENVIRONMENTAL and SOCIAL
COMMITMENT PLAN (ESCP)

September 2, 2020

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Government of Côte d'Ivoire (hereinafter the Recipient) will implement the Emissions Reduction Program for the Taï National Park - (P170309) (the Program), with the involvement of the Ministry of Economy and Finance (MEF), the Ministry of Planning and Development, the Ministry of Water and Forests (MINEF), the Ministry of Agriculture and Rural Development (MINADER), the Ministry of Commerce and Industry, the Ministry of Mining and Geology, the Ministry of Petroleum, Energy, and Renewable Energies, the and Ministry for Women, the Family, and Children. The plan will also be implemented in collaboration with the following public agencies: the Ivorian Office of Parks and Reserves (OIPR), the Forestry Development Agency (SODEFOR), the Rural Land Agency (AFOR), the Côte d'Ivoire Foundation of Parks and Reserves, the National Agricultural Research Center (CNRA), the International Agroforest Research Center (ICRAF), the National Rural Development Agency (ANADER), the Rice Industry Development Agency (ADERIZ), the National Environment Agency (ANDE); as well as with the private sector and nongovernmental organizations. The International Development Association (hereinafter the Association) has agreed to provide financing for the Program.
2. The Recipient will implement material measures and actions so that the Program is implemented in accordance with the Environmental and Social Standards (ESSs). This Environmental and Social Commitment Plan (ESCP) sets out material measures and actions, any specific documents or plans, as well as the timing for each of these.
3. The Recipient will also comply with the provisions of any other E&S documents required under the ESF and referred to in this ESCP, such as Environmental and Social Management Framework (ESMF), the Resettlement Policy Framework (RPF), Process Framework (PF), Physical Cultural Resources Management Framework (PCRMF), Pest Management Plan (PMP), Stakeholder Engagement Plan (SEP), and Labor Management Procedures (LMP) and the timelines specified in those E&S documents.
4. The Recipient is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministry, agency or unit referenced in 1. above.
5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Association by The Recipient as required by the ESCP and the conditions of the legal agreement, and the [Bank/Association] will monitor and assess progress and completion of the material measures and actions throughout implementation of the Program.
6. As agreed by the Association and the Recipient, this ESCP may be revised from time to time during Program implementation, to reflect adaptive management of Program changes and unforeseen circumstances or in response to assessment of Program performance conducted under the ESCP itself. In such circumstances, the Recipient will agree to the changes with the Association and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Association and the Recipient. The Recipient will promptly disclose the updated ESCP.
7. Where Program changes, unforeseen circumstances, or Program performance result in changes to the risks and impacts during Program implementation, the Recipient shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts, which may include community grievances or complaints from Program implementation actors, risks associated with pollution of water resources or soil contamination, health risks related to pesticides, and gender-based violence.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>The recipient shall prepare and submit regular monitoring reports on ESCP implementation to the Association.</p> <p>These reports will be informed by the Implementation Status and Results Reports of the Program and the data from the REDD+CI Safeguards Information System (SIS) platform and will be validated by the National REDD+ Committee before being transmitted to Association</p>	Semiannual reports throughout Program implementation.	REDD+ Permanent Executive Secretariat (SEP-REDD+)
B	<p>INCIDENTS AND ACCIDENTS</p> <p>Special attention will be paid and systematic measures will be applied to incidents and accidents associated with or affecting the Program and which have or are likely to have a significant negative impact on the environment (pollution by pesticides and chemical fertilizers), Program staff or agents (work accidents, disagreements with the public), local communities or the public in general (exposure to health risks from environmental pollution, road accidents involving vehicles used under the Program). Accordingly, once an incident or accident occurs, the following measures will be taken: (i) A report of the incident/accident will be drawn up; (ii) The scene of the incident will be secured and care will be provided for any victims or people at risk; (iii) Immediate suspension or termination of the activity or deeds that appear, a priori, to have caused the incident or accident; (iv) The Bank will be notified of the incident or accident through a preliminary report (detailed report); (v) A detailed analysis of the incident/accident will be conducted; (vi) Measures to remedy and mitigate the impacts and to prevent the recurrence of similar incidents in the future will be identified; (vii) Specific measures will be implemented; and (viii) A detailed report will be prepared and transmitted to the Bank (2nd notification).</p> <p>A standard incident/accident report form will be transmitted to all actors involved in the implementation of the Program.</p>	<p>The 1st notification for each incident and/or accident will be reported to the TTL immediately after it is discovered. The notification period shall not exceed 48 hours.</p> <p>The 2nd notification will be provided to the Bank no later than eight days after the incident and/or accident is discovered.</p>	REDD+ Permanent Executive Secretariat (SEP-REDD+)

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS		
<p>1.1 ORGANIZATIONAL STRUCTURE</p> <p>The SEP-REDD+ includes an Environmental and Social Safeguards Unit whose main function is to ensure that the environmental and social aspects and risks of the Program are taken into account and managed. This unit is currently staffed by an Environmental Safeguards Specialist (with responsibility for Social Safeguards) and will be further strengthened through the appointment of a Social Development Specialist during the first quarter of 2020. This unit works in close collaboration with ANDE, which is the national entity responsible for evaluating and monitoring the environmental and social regulations governing the development programs and Program.</p> <p>In the execution of its mission, the Safeguards Unit will be supported by:</p> <ul style="list-style-type: none"> - The Program implementing entities, through training and supervision of “Safeguards Focal Points” placed within these bodies to ensure close supervision of the environmental and social aspects and risks of the specific activities and sub-projects that they carry out under the Program; - Consulting firms approved by ANDE for the preparation of the specific safeguards instruments associated with the sub-projects; - Oversight offices to ensure, under the supervision of the Safeguards Unit, supervision and internal environmental monitoring of the works being carried out under the Program’s subprojects. <p>The staff complement of the unit will be strengthened, as needed, and will receive ongoing training in environmental management tools.</p>	<p>The recipient shall no later than three months after effectiveness for the recruitment of the Social Development Specialist, whose remit will include social risk management.</p> <p>Three months after the start of the Program for the training of the “Safeguards Focal Points” appointed in the Program implementation bodies.</p> <p>At least one continuous semiannual staff training program after the Program comes into effect.</p>	<p>REDD+ Permanent Executive Secretariat (SEP-REDD+); the Specialists of the SEP-REDD+ Environmental and Social Safeguards Unit are responsible for carrying out these activities</p>

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<p>1.2 ENVIRONMENTAL AND SOCIAL ASSESSMENT As part of the environmental and social assessment of the program, the Recipient has prepared an Environmental and Social Management Framework (ESMF), a Resettlement Policy Framework (RPF), Process Framework (PF), a Physical Cultural Resources Management Framework (PCRMF), a Pest Management Plan (PMP), a Stakeholder Engagement Plan (SEP), and a Labor Management Procedures (LMP)</p> <p>The Recipient will implement all these documents in a manner acceptable to the Association.</p>	<ul style="list-style-type: none"> - Environmental and Social Management Framework (ESMF) adopted and will be disclosed prior to appraisal - Resettlement Policy Framework (RPF) adopted and will be disclosed prior to appraisal - Process Framework (PF) adopted and be disclosed prior to appraisal - Draft Stakeholder Engagement Plan (SEP) will be disclosed prior to appraisal - Physical Cultural Resources Management Framework (PCRMF) adopted and will be disclosed prior to appraisal - Pest Management Plan (PMP) adopted and will be disclosed Prior to appraisal - Draft Labor Management Procedures (LMP) Prior to appraisal 	<p>REDD+ Permanent Executive Secretariat (SEP-REDD+): the Specialists of the SEP-REDD+ Environmental and Social Safeguards Unit, in collaboration with ANDE, which will be responsible for the technical analysis and validation of the assessment reports.</p>

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<p>1.3 MANAGEMENT TOOLS AND INSTRUMENTS</p> <p>The Recipient shall screen any proposed subproject in accordance with the ESMF and RPF, and, thereafter, draft, consult upon, adopt, and implement the subproject ESIA/ESMP and RAP, as required, in a manner acceptable to the Association.</p> <p>The arrangements and measures provided for in these instruments will be complied with when implementing the related subprojects.</p> <p>The Government will also prepare a Project Implementation Manual (or procedures manual) with a section on “Environmental and Social Safeguards Measures,” with detailed information on the following:</p> <ul style="list-style-type: none"> - The role of the procurement specialist in the preparation of the TOR, Bidding Documents, and contracts; - The role of the Environmental Safeguards Experts and the Social Safeguards Expert in the preparation of the sections on environmental and social safeguards measures to be included in the Terms of Reference, Bidding Documents, and Work Contracts; - The minimum environmental and social clauses to be included in the Bidding Documents and contracts of the service providers and suppliers (in relation to the prevention, mitigation, compensation and management of environmental, socioeconomic, and security risks and impacts); - The environmental and social indicators to be included in the program monitoring system. <p>In addition, an audit of the implementation of the ESMF of the Program will be carried at mid-term and at the end of the Program, as specified in the ESMF.</p>	<p>Site-specific ESIA/ESMP, and RAP (if required): before the implementation of each related subproject (of the Program)</p> <p>The implementation of the provisions and measures will be based on and integrated into the implementation schedule for each related subproject</p> <p>Project Implementation Manual: before the start of the Program</p> <p>The audit will be carried out at mid-term and at the end of program</p>	<p>REDD+ Permanent Executive Secretariat (SEP-REDD+): the Specialists in the SEP-REDD+ Environmental and Social Safeguards Unit, in collaboration with ANDE, which will be responsible for the environmental and social monitoring required under the regulations</p>

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<p>1.4 MANAGEMENT OF SUPPLIERS AND SERVICE PROVIDERS</p> <p>Procedures for the management of contractors and subcontractors will be established and included in contracts to ensure that Environmental and Social (E&S) issues are managed in accordance with national legislation and World Bank E&S standards.</p> <p>These procedures will be based on the provisions and measures contained in the ESMF, RPF, OF, PCRMF, PMP, LMP, and the SMP of the Program, as well as on the ESIA reports (and, where applicable, the Resettlement Action Plans) of the subprojects.</p> <p>The SEP-REDD+ Safeguards Unit will work in collaboration with the Safeguards Focal Points in the Program implementing entities and oversight offices to track and monitor compliance by the suppliers and service providers with the procedures in place.</p>	<p>Inclusion of environmental and social management procedures in contracts before signing each contract with suppliers and service providers.</p> <p>Monitoring and follow-up of the proper application of procedures in the execution of the contracts related to the subprojects and activities.</p>	<p>REDD+ Permanent Executive Secretariat (SEP-REDD+): the Specialists in the SEP-REDD+ Environmental and Social Safeguards Unit will be responsible for implementing the activities</p>
<p>1.5 PERMITS, APPROVALS AND AUTHORIZATIONS</p> <p>All necessary steps will be taken to ensure that the impact assessment reports relating to subprojects for which ESIA studies (and, where applicable, RAPs) are required will be of a sufficiently high standard to ensure their approval.</p> <p>With this in view, (i) the terms of reference for the studies will be developed in close collaboration with ANDE and the World Bank's Safeguards Experts; (ii) high-level consultants approved by ANDE will be recruited to carry out the studies; (iii) the SEP-REDD+ Safeguards Unit will monitor the preparation of the studies at regular intervals; (iv) in-depth reviews of the reports will be conducted with the support of the World Bank's Safeguards Experts.</p> <p>The SEP-REDD+ Safeguards Unit operating within ANDE will ensure that the process for the award of "ministry certificates of approval of the ESIA/RAP reports on the subprojects" is monitored on a regular basis.</p> <p>Once the "ministry certificates of approval of the ESIA/RAP reports on the subprojects" are obtained, SEP-REDD+ will make contact with the Ivorian Antipollution Center (CIAPOL) to obtain the "Receipt of Declaration" issued by the Ministry of Environment and Sustainable Development.</p>	<p>Process to begin no later than five months before the start of any subproject that requires a "certificate of approval of the ESIA/RAP report from the Ministry of the Environment and Sustainable Development."</p>	<p>REDD+ Permanent Executive Secretariat (SEP-REDD+): the Environmental and Social Safeguards Unit, in collaboration with the Safeguards Focal Points in the Program implementing entities</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<p>1.6</p>	<p>MONITORING/SURVEILLANCE BY THIRD PARTIES</p> <p>The oversight offices will be required to provide support for the internal environmental and social monitoring of the implementation of subprojects.</p> <p>Under the regulatory framework, ANDE will be responsible for environmental monitoring and internal environmental and social surveillance. Where necessary, ANDE will draw on the support of consultants approved by the Ministry of Environment and Sustainable Development. In addition, CIAPOL will carry out inspections of the subprojects that were covered by the “Declaration.”</p>	<p>Monitoring/supervision by third parties will be conducted in accordance with the implementation schedule for each sub-project.</p>	<p>ANDE, CIAPOL, and the oversight offices, in collaboration with the REDD+ Permanent Executive Secretariat (SEP-REDD+)</p>
<p>ESS 2: LABOR AND WORKING CONDITIONS</p>			

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<p>2.1 LABOR MANAGEMENT PROCEDURES</p> <p>The document “Labor Management Plan” was drafted during the preparatory stage of the Program and focuses on:</p> <ul style="list-style-type: none"> - The categories and characteristics of workers to be engaged in the Program: officials from public entities and national and international nongovernmental organizations, private sector representatives, and community workers; - The legal provisions and requirements applicable to the Program by law as well as those relating to occupational health and safety: Law 2016-886 of 8 November 2016 establishing the Constitution of the Republic of Côte d'Ivoire; Law 2015-532 of 20 July 2015 establishing the Labor Code in Côte d'Ivoire; Law No. 99-477 of 2 August 1999 establishing the Social Security Code, amended by Ordinance No. 2012-03 of 11 January 2012; Decree No. 98-38 of 28 January 1998 on general hygiene measures at the workplace, etc.; - Employment and work-related risks: discrimination in the recruitment process, denial of the right of association, risk of gender-based violence, recurring complaints of workers; - Policies and procedures pertaining to work-related accidents and occupational health (support to staff); - Provisions for dispute settlement among actors (assigning priority to the principle of amicable settlement in the grievance redress mechanism and the committees set up under REDD+, as well as in the specific committees established to address dispute settlement issues related to labor management; or, where applicable, use of the administrative bodies of the Ministry for Employment and Social Affairs and, subsequently, to legal bodies, as a last resort). <p>This document may have to be reviewed and updated during Program implementation to ensure that it meets the challenges, needs, and expectations associated with the Program. A review and update may also be necessary if certain articles of the current laws applicable to the Program are amended or if new laws on employment and conditions of work are adopted during the Program implementation period.</p> <p>The procedures outlined in this document will apply to all actors involved in the Program and will be monitored by the SEP-REDD+ Safeguards Unit.</p>	<p>Implementation of the provisions of the plan:</p> <p>Once the Program comes into effect and throughout the implementation period.</p> <p>Review (where applicable) of the document on “Labor Management procedures”: once any of the articles of the current laws applicable to the Program is amended or once new laws are adopted on employment and conditions of work during the Program implementation period.</p>	<p>REDD+ Permanent Executive Secretariat (SEP-REDD+): the Environmental and Social Safeguards Unit, in collaboration with the Safeguards Focal Points in the Program implementing entities</p>

<p>2.2</p>	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS</p> <p>The Government will assign priority to the principle of amicable settlement when managing grievances, using (i) the grievance redress mechanism set up under REDD+ for the settlement of complaints involving the communities and Program implementing entities/service providers (See Section 5.4 for more details on this mechanism); and (ii) specific committees to be established for the direct settlement of grievances between employers and employees.</p> <p>The SEP-REDD+ will, in collaboration with the Program implementing entities, establish specific grievance management committees to address complaints that may arise in the employer-employee relationship. These committees will be staffed exclusively by SEP-REDD+ officials, representatives from the implementing entity for issues within its purview, and resource persons at the local level (Prefects, mayors, NGOs, etc.).</p> <p>The issues may be placed before the committees through SEP-REDD+, whereupon the procedures outlined below will be triggered (key phases):</p> <ul style="list-style-type: none"> – Phase 1: The committee receives the complaints through a complaints box, by telephone or letters, or from a person of trust, etc.; – Phase 2: The complaints are addressed. This will vary depending on the “type” of complaint (sensitive or less sensitive, serious or minor); – Phase 3: The complaints are examined, and the investigations are conducted (to determine the validity of the complaints, establish clearly which commitment or promise has been breached, and outline the follow-up measures to be taken); – Phase 4: Responses are provided, and measures are taken (to correct, modify or change, to improve the situation and resolve the problem. In this regard, it is essential to provide clear feedback to the complainant on the findings resulting from the examination and investigation and to keep the complainant duly apprised of the measures to be taken pursuant to whatever decisions were adopted). 	<p>Operational Mechanism: One month after the Program comes into effect.</p> <p>Setting up of specific committees (employer/labor grievance redress mechanism): One month after the Program’s effectiveness.</p> <p>Activation of the grievance redress process: once complaints are received.</p> <p>.</p>	<p>REDD+ Permanent Executive Secretariat (SEP-REDD+)</p>
------------	---	---	--

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<ul style="list-style-type: none"> – Phase 5: The appeals procedure. If the response is not accepted and the interested parties are unable to come to an agreement, the complainant may decide to appeal the response to the committee. The appeals procedure provides for the investigation in Phase 3 to be reviewed and for a determination to be made on whether to uphold the first decision or to take a new one based on the findings that emerge from the review process. The complainant may, depending on the nature of the complaint, choose to take up the matter with administrative or judicial bodies. – Phase 6: The complaint is resolved (this occurs when all parties with an interest in the complaint come to an agreement, and, even more importantly, when the complainant is satisfied that the complaint was fairly and appropriately addressed and that the measures taken provide a consensual solution); – Phase 7: The complaints are monitored (to ensure that the approved corrective measures are tracked and monitored for implementation and to introduce any adjustments that might be required. <p>The timeframe for the processing of complaints will vary depending on the nature of the grievance and the complexity of the investigation. For complaints that are not very sensitive or relatively minor (verbal abuse, insults, discrimination, etc.) and that need not be investigated or require only a summary investigation, a response could be provided in less than or about one week. For complaints of a more sensitive nature, the timeframe for the provision of a response is one (1) month.</p> <p>If the committee fails to provide a response, the complainant or the interested parties may use administrative bodies (Ministry of Employment and Social Affairs, Ministry of Public Service, etc.) or judicial channels.</p> <p>As with all other procedures outlined in the document, the provisions applicable to grievance redress will be complied with and monitored.</p>		

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<p>2.3 OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES</p> <p>The provisions and measures in the document “Labor Management Procedures” will be strictly applied and monitored.</p> <p>In addition, occupational health and safety issues will be dealt with in the ESIA reports and will be taken into account for the purposes of environmental and social surveillance and monitoring of subprojects.</p> <p>The Program will need to include measures for COVID 19 to ensure that workers adhere to the COVID 19 action plan so that the Program does not become a source of propagation.</p>	<p>Provisions and measures in the document “Labor Management Procedures”: before the Program implementing entities and service providers start their work activities.</p> <p>Provisions and measures in ESIA reports: during the implementation of the related subprojects.</p> <p>COVID 19 action plan prior to Program implementation.</p>	<p>REDD+ Permanent Executive Secretariat (SEP-REDD+): Environmental and Social Safeguards Unit, in collaboration with the Safeguards Focal Points in the Program implementing entities</p>
<p>2.4 EMERGENCY PREPAREDNESS AND RESPONSE</p> <p>The ESIA reports will identify the situations of emergency liable to occur at each subproject and will outline measures to prevent and manage such emergencies. The measures to prevent and manage emergencies (including response capacity: extinguishers, first-aid kits for attending to injuries) will be applied to all subprojects of the Program.</p> <p>As a general rule, the following measures will be taken in the event of an emergency: (i) isolate victims from the hazard area, administer first aid and/or evacuate victims using an appropriate medical service; (ii) use of response equipment and materials by staff trained to handle such situations; (iii) systematic feedback to the managers of the Program implementing entities, oversight offices, and SEP-REDD+ (specifically the Safeguards Unit Specialists); (iv) Prepare a report; and (v) Apply the recommendations of the report for the prevention and management of any future emergencies.</p>	<p>Data on emergency situations that apply specifically to each subproject and the provisions and measures to prevent and manage them: before implementation of the subprojects</p> <p>Implementation of provisions and measures to address emergency situations: once an emergency occurs.</p>	<p>REDD+ Permanent Executive Secretariat (SEP-REDD+): Environmental and Social Safeguards Unit, in collaboration with the Safeguards Focal Points in the Program implementing entities</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
2.5	<p>PROGRAM WORKER TRAINING</p> <p>The Safeguards Focal Points of the Program implementing entities will receive training on the management of health and safety risks at work.</p> <p>The service providers, as required, will have at least one specialist in Occupational Health and Safety who will need additional training on the specific risks related to the subprojects in which they are involved. All their staff will be made aware of the risks related to the specific subprojects.</p> <p>As part of this training and awareness raising, attention will be paid to the following themes: gender-based violence, child labor, grievance redress mechanism, occupational health and safety, and community safety.</p>	<p>Before the start and during the activities of the Program implementation entities.</p> <p>Before the start and during the execution of subproject activities.</p>	<p>REDD+ Permanent Executive Secretariat (SEP-REDD+): Environmental and Social Safeguards Unit of the SEP-REDD+ in collaboration with the Safeguards Focal Points of the Program implementation entities</p>
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	<p>WASTE AND HAZARDOUS MATERIALS MANAGEMENT</p> <p>Procedures relating to the management of waste and hazardous materials and pollution and nuisances are included in the ESMF and, in particular, in the PMP for pollution and health risks related to synthetic chemical pesticides and their associated wastes.</p> <p>In addition to the procedures of the PMP, specific measures will be indicated in the ESIA reports of the subprojects and the Specific Waste Disposal and Management Plans (WDMP) that will be prepared before the execution of subprojects. All the procedures and measures of these instruments (PMP, ESIA, and WDMP) will be monitored and followed up during the execution of the Program.</p> <p>For possible cases of pollution caused by hazardous materials, the following general provisions will be adopted: (i) delineation, marking, and signage of the polluted site or perimeter; (ii) prohibition of access to the site by third parties; (iii) information from SEP-REDD+ (specifically the Safeguards Unit), then CIAPOL, ANDE, and the prefectural authorities of the locality concerned; (iv) assessment of the pollution and application of appropriate treatment measures (use of specialized entities for in-situ treatment or removal/excavation of polluted elements and appropriate on-site transport for treatment), and (v) monitoring of the pollution level of the site over a given period.</p>	<p>Before signing contracts: Preventive and management procedures and measures are systematically included in the procurements/contracts of the Program and will be applied during the implementation of activities.</p> <p>Preparation of the WDMP: before the actual start of the related subprojects.</p>	<p>REDD+ Permanent Executive Secretariat (SEP-REDD+), in collaboration with CIAPOL and ANDE</p>

ESS 4: COMMUNITY HEALTH AND SAFETY .		
<p>4.1</p> <p>TRAFFIC AND ROAD SAFETY:</p> <p>The general provisions and measures relating to traffic and road safety are defined in the ESMF report. The specific risks in this area in relation to each subproject will be analyzed in the ESIA reports, and the specific measures for their prevention and management will be defined and applied during the implementation of these subprojects.</p> <p>In general, the following steps will be taken under the Program: (i) identify and evaluate the traffic flows of the populations in the restricted areas of implementation of subprojects and activities; (ii) inform the communities exposed to risks during the implementation of the activities and subprojects (restricted sites of the activities and subprojects, specifications of the Program vehicles, vehicle traffic flows and frequencies, duration of the activities, risks linked to activities and steps taken and anticipated); (iii) raise awareness among the drivers of the Program's vehicles of the risks and measures taken and planned; (iv) monitor the implementation of the abovementioned steps; (v) the Program's providers take out "all-risk insurance;" and (vi) provide medical care for accident victims.</p>	<p>Before and during the implementation of activities and subprojects presenting road traffic risks.</p>	<p>Specialists from the SEP-REDD+ Environmental and Social Safeguards Unit in collaboration with the Safeguards Focal Points of the Program implementing entities and providers</p>
<p>4.2</p> <p>COMMUNITY HEALTH AND SAFETY:</p> <p>The general provisions and measures relating to public health and safety are defined in the reports for the ESMF and the PMP. The specific risks in this area in relation to each activity and subproject will be analyzed in the ESIA reports, and the specific measures for their prevention and management will be defined and applied during the implementation of these subprojects.</p> <p>Within the framework of these measures, careful consideration will be given to the causes, factors and sources of risks, the reduction of the significance of risks and isolation, information and awareness-raising for those at risk.</p> <p>The Program will need to develop a COVID 19 action plan, including health and safety measures for the Program not to become a source of propagation for the virus.</p>	<p>Before and during the implementation of activities and subprojects</p> <p>COVID 19 action plan prior to project implementation.</p>	<p>Specialists from the SEP-REDD+ Environmental and Social Safeguards Unit in collaboration with the Safeguards Focal Points of the Program implementation entities and providers</p>

<p>4.3</p>	<p>RISK OF GENDER-BASED VIOLENCE, SEXUAL EXPLOITATION AND HARASSMENT</p> <p>Gender-based violence (GBV) aspects will be covered by the Program.</p> <p>Experience shows that the influx of workers in a Program area can have negative social repercussions, such as GBV, on local communities in some intervention areas. Special attention will be given to the preparation and implementation of an action plan on GBV. Specific provisions and measures will be put in place in close collaboration with the Program implementation entities and Program providers in order to assess and ensure that the risks of GBV and sexual exploitation and abuse (SEA) will be well managed. If necessary, an action plan on gender-based violence will be developed and implemented.</p> <p>Formal commitments will be made by service providers (in contractual clauses) to prevent and manage risks and cases of GBV related to their activities.</p> <p>GBV issues will generally be addressed during the Program's activities (workshops, training, and communication activities).</p>	<p>During the preparation of ESIA reports and during the implementation of sub-project activities. Where necessary, an Action Plan on Gender-Based Violence, will be drafted and implemented before the start of the subproject.</p> <p>Commitments: before the signing of the contracts of each service provider and during the execution of the activities by the said service providers.</p> <p>Communication on GBV: during the Program's activities.</p>	<p>Specialists from the Environmental and Social Safeguards Unit and the Communication Unit of SEP-REDD+ in collaboration with the Safeguards Focal Points of the Program implementation entities and providers</p>
<p>4.4</p>	<p>RISK OF GENDER-BASED VIOLENCE, SEXUAL EXPLOITATION AND ABUSE DURING PROGRAM IMPLEMENTATION</p> <p>An additional fund will be mobilized by the Government to address the effects resulting from the lack of control of GBV (medical care and psychological support for victims).</p>	<p>The fund will be made available annually from Counterpart funding for the duration of the Program.</p>	<p>Permanent Executive Secretariat (PES) of REDD+.</p>

<p>4.5</p>	<p>EMERGENCY RESPONSE MEASURES</p> <p>Emergency situations (potentially cases of accidental pollution of soil and water by chemical products such as pesticides, fires caused by activities, accidents with physical injuries) are considered to be of two types: (i) emergencies confined to the spatial boundaries of the sites of activities and subprojects and (ii) emergencies exceeding the spatial boundaries of the sites of the Program's activities and subprojects.</p> <p>Emergency situations of all types will be dealt with in collaboration with the prefectural authorities of the localities where they occur.</p> <p>As part of this collaboration, the prefectural authorities of the localities will be informed of the activities of the Program in their respective localities (before and during the activities), with emphasis on potential emergency situations and the planned prevention and management arrangements.</p> <p>For the type contained and controlled within the spatial limits of the activities and subprojects, the prefectural authorities will be kept informed by various means (telephone, e-mails, emergency management report and/or meetings).</p> <p>For the type beyond the limits of activities and subprojects, the following provisions will be made: (i) taking emergency response measures to reduce the scale of the situation (action on the sources/factors involved, temporary containment of the outbreak, isolation of exposed populations); (ii) informing the prefectural authorities, authorized public entities (CIAPOL, ANDE, ONPC, GSPM); (iii) holding of crisis meetings with the prefectural authorities and authorized entities, and decision making; (iv) mobilization of resources and implementation of the decisions of the crisis meetings; and (v) monitoring of the implementation of decisions and preparation of an emergency management report. The operational phase for this type of situation will be placed under the coordination of prefectural authorities with direct assistance and support from SEP-REDD+ and authorized public entities.</p>	<p>Informing the prefectural authorities: before and during activities in the localities.</p> <p>Implementing Emergency Response: as soon as an emergency arises in the course of the Program's activities.</p>	<p>Permanent Executive Secretariat of REDD+.</p>
------------	---	---	--

<p>4.6</p>	<p>SECURITY PERSONNEL</p> <p>The specialists of the SEP-REDD+ Safeguards Unit have initial skills in Personal Health and Safety and will receive additional training in this area as needed.</p> <p>The Safeguards Focal Points of the program implementation entities will be trained on the management of Public Health and Safety.</p> <p>Program service providers will rely on staff with expertise in public health and safety. They will ensure the implementation of the LMP, the recommendations of ESIA and the Specific Safety and Health Protection Plans (SHPP) that will be developed within the framework of the subprojects.</p> <p>Close collaboration will be established between these resource persons for the management of the security aspects of the Program.</p> <p>The Government will ensure, where necessary, that companies needing to use security services for their personnel and property will be able to:</p> <ul style="list-style-type: none"> - Give preference to the use of existing security services in the Program area; - If it is necessary to use the public security services (gendarmes, national police), ensure that a formal agreement is signed, including clauses on compliance with the provisions of the Program's safeguard instruments and tools; - Train security personnel on human rights and GBV issues. <p>Before calling in law enforcement or security officers to protect workers and program assets, the Government will ensure that (i) their backgrounds have been duly checked to ensure that such personnel have not engaged in illegal or threatening behavior through gender-based violence or excessive use of force; and (ii) such personnel have received appropriate instruction and training, including in the use of force and appropriate conduct/behavior, in a manner acceptable to the Bank and further described in the Program Implementation Manual.</p>	<p>Training of Focal Points: after the start of the Program.</p> <p>Use of security personnel by service providers/contractors: before implementation of activities.</p> <p>Collaboration between stakeholders: before and during the implementation of activities.</p>	<p>Specialists from the Environmental and Social Safeguards Unit of the SEP-REDD+.</p>
------------	--	---	--

4.7	<p>COMMUNITY TRAINING Training sessions will be organized for local communities on the Program's risks and the prevention, mitigation, and management measures planned.</p>	<p>Before the start and during (on an ad hoc basis) the activities in the localities concerned.</p>	<p>Specialists from the Environmental and Social Safeguards Unit of SEP-REDD+ in collaboration with the Safeguards Focal Points of the Program's implementation entities and providers</p>
-----	---	---	--

ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT]

5.1	<p>LAND ACQUISITION AND RESETTLEMENT A priori, cases of involuntary resettlement are not provided for under the Program. However, as a measure to prevent and manage potential cases of involuntary resettlement, a Resettlement Policy Framework (RPF) was developed during the preparation phase of the Program. This RPF generally emphasizes:</p> <ul style="list-style-type: none"> - Measures to prevent and minimize involuntary resettlement (technical adjustments to subprojects); - Measures to manage cases of involuntary resettlement: development and implementation of Resettlement Action Plans (RAPs) with inventory of affected persons and property, socioeconomic survey of affected persons, estimation and compensation of affected property based on appropriate scales and in consultation with affected persons, measures to redress grievances related to the process of development and implementation of RAPs; - Monitoring and evaluation of the prevention and management of involuntary resettlement. 	<p>Initiation of the RAP development process: following the results of environmental and social screening at the subproject sites concluding the implementation of RAPs.</p>	<p>Specialists from the SEP-REDD+ Environmental and Social Safeguards Unit in collaboration with the Safeguards Focal Points in the Program implementing entities</p>
-----	---	--	---

<p>5.2</p>	<p>RESETTLEMENT PLAN</p> <p>Based on the results of the environmental and social screening missions to the subproject sites (potentially including agricultural assets, buildings, or any other socioeconomic or community interest assets) and the guidelines and provisions of ESS 5, possible RAPs will be developed and implemented in a participatory and inclusive manner with the communities (mainly those affected).</p> <p>These RAPs will be approved by the Bank, disseminated at the national level and on the Bank's website, and then implemented prior to the commencement of activities of the relevant sub-projects.</p>	<p>Specific RAPs will be developed according to the related subprojects (RAPs implemented before the actual start of the relevant sub-projects on their site).</p>	<p>Specialists from the SEP-REDD+ Environmental and Social Safeguards Unit in collaboration with the Safeguards Focal Points in the Program implementation entities.</p>
<p>5.3</p>	<p>MONITORING AND REPORTING</p> <p>Each involuntary resettlement process will be subject to progress reporting and monitoring and evaluation. These follow-ups will be the subject of a "Periodic Status Report on the Program's Land Acquisition and Involuntary Resettlement Processes."</p> <p>These reports will make recommendations for improvements to be made.</p>	<p>Status report: by quarter.</p>	<p>Specialists from the SEP-REDD+ Environmental and Social Safeguards Unit in collaboration with the Safeguards Focal Points in the Program implementation entities.</p>

<p>5.4</p>	<p>GRIEVANCE REDRESS MECHANISM</p> <p>A grievance redress mechanism has been developed as part of the REDD+ mechanism to handle grievances related to the implementation of REDD+ programs and projects (e.g., the Emissions Reduction Program). This mechanism relies on village committees, cantonal committees, subprefectural committees, departmental committees, and regional committees to redress grievances (these committees have hierarchical and functional territorial links between them).</p> <p>Some of these committees have been formally installed in the NAWA region (9 committees including training of their members), one of the 5 regions where the Program is being implemented.</p> <p>This mechanism will also deal with grievances related to the resettlement process (whose system will be described in the RAPs) and will be deployed in the 4 other program regions.</p> <p>The Government will ensure the following minimum conditions for the operationalization of the mechanism:</p> <ul style="list-style-type: none"> - Information and awareness raising for communities on the existence and functioning of the mechanism; - Registration of all grievances related to the Program; - Archiving of all documentation relating to the registration and processing of grievances; - Transparent, non-discriminatory and timely implementation of the grievance review and resolution process; - Monitoring-evaluation of the operationalization of the mechanism to ensure the continuous improvement of practices; <p>Possibility to make use of the national judicial system for dissatisfied complainants.</p>	<p>Deployment of the mechanism in the 5 Program regions: at the start of the Program.</p>	<p>Permanent Executive Secretariat of REDD+</p>
<p>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</p>			
<p>6.1</p>	<p>BIODIVERSITY RISKS AND IMPACTS</p> <p>The objectives and design of the Program's activities have been defined in such a way as to fully meet the expectations of biodiversity conservation and sustainable natural resource management. Therefore, within the framework of the subprojects, it is planned, as appropriate, to carry out ESIA's that will take into account these ecological aspects. The provisions and measures advocated by the ESIA's will be implemented and monitored.</p>	<p>ESIA of the subprojects: validated at least 1 month before the execution of the relevant subprojects and implementation of the provisions/measures during the execution of the subprojects.</p>	<p>Specialists from the SEP-REDD+ Environmental and Social Safeguards Unit in collaboration with the Safeguards Focal Points of the Program implementation entities</p>

ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES :			
Not relevant.			
ESS 8: CULTURAL HERITAGE			
8.1	<p>CHANCE FINDS</p> <p>The Physical Cultural Resources Management Framework (PCRMF) developed under the Program defines the arrangements and measures for the management of incidental discoveries with an emphasis on (i) securing them on-site in the event of a discovery, (ii) informing the competent authorities, and (iii) their protection/conservation (on or off-site) and measures to adjust Program activities.</p> <p>The guidelines, provisions, and measures of the PCRMF will be applied. Better still, the approach to be followed in the event of an accidental discovery will be reflected in the ESIA that will be prepared as part of the implementation of the subprojects to ensure their effective application in the field.</p>	<p>Application of the guidelines, provisions and measures of the PCRMF: during the implementation of Program activities.</p>	<p>Specialists from the SEP-REDD+ Environmental and Social Safeguards Unit in collaboration with the Safeguards Focal Points in the Program implementation entities.</p>
8.2	<p>CULTURAL HERITAGE</p> <p>The PCRMF, developed in the context of the Program, defines the arrangements and measures for the protection of cultural heritage in the areas where the Program is carried out, with emphasis on (i) securing such heritage on site in the event of discovery, (ii) informing the competent authorities, and (iii) protection/conservation (on or off site) and measures to adjust Program activities.</p> <p>The guidelines, provisions and measures of the PCRMF will be applied. Better still, the approach to be followed in the event of an accidental discovery will be reflected in the ESIA that will be prepared as part of the implementation of the subprojects to ensure their effective application in the field.</p>	<p>Application of the guidelines, provisions and measures of the PCRMF: during the implementation of Program activities.</p>	<p>Specialists from the SEP-REDD+ Environmental and Social Safeguards Unit in collaboration with the Safeguards Focal Points of the Program implementation entities.</p>
ESS 9: FINANCIAL INTERMEDIARIES [
Not relevant			
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			

10.1	<p>PREPARATION OF THE SEP:</p> <p>A Stakeholder Engagement Plan (SEP) has been prepared as part of the Program and will be circulated prior to the evaluation of the Program. A follow-up will be carried out to ensure that extensive consultations have been carried out with all relevant stakeholders.</p>	<p>SEP release: February 2020</p>	<p>Permanent Executive Secretariat of REDD+</p>
10.2	<p>IMPLEMENTATION OF THE SEP:</p> <p>The SEP includes a schedule for the implementation of the Program.</p> <p>The SEP may be modified and updated (and reissued) as required during the Program.</p> <p>The Government will rely on NGOs or specialized offices at the local level to implement and monitor the plan.</p> <p>SEP-REDD+ will regularly transmit to the Bank a progress report on the implementation and monitoring of the SEP.</p>	<p>At start-up and during Program implementation.</p> <p>Quarterly transmission of the SEP progress report to the Bank.</p>	<p>Specialists of the SEP-REDD+ Environmental and Social Safeguards Unit in collaboration with the Specialists of the SEP-REDD+ Communication Unit.</p>
10.3	<p>GRIEVANCE REDRESS MECHANISM AT THE PROGRAM LEVEL:</p> <p>The system described in section 5.4 will be the recourse mechanism.</p>	<p>Deployment of the mechanism in the 5 regions of the Program: at the start of the Program.</p>	<p>Permanent Executive Secretariat of REDD+</p>
CAPACITY SUPPORT (TRAINING)			
CS1	Type (module) of training to be offered	Target groups and schedule of training sessions	

<p>CS2</p>	<ol style="list-style-type: none"> 1. Detailed training on the ESS of the World Bank's Environmental and Social Framework. 2. General information on the environmental and social guidelines and safeguards applicable to the Program's activities. 3. Preparation and implementation of safeguard tools for the prevention and management of the Program's environmental and social risks and impacts. 4. Environmental and social monitoring and surveillance of development programs. 5. Management of relations with Program providers (prevention and management of environmental and socioeconomic risks impacts) and Program partners (public entities, grievance redress mechanism bodies). 6. Prevention and management of health and safety risks to individuals (Program workers and neighboring communities). 7. Prevention and management of emergency situations applicable to the Program. 8. Program Management Mechanism and alternative grievance/conflict management tools. 9. Emissions Reduction Program (ERP) and its environmental and social safeguards tools. 	<ol style="list-style-type: none"> 1. Specialists from the Environmental and Social Safeguards Unit of SEP-REDD+. 2. Safeguards Focal Points and responsible entities in Program implementation entities / After Program start-up. 3. Safeguards Focal Points of the program implementation entities/ After Program start-up and refresher training 2 years later. 4. Specialists from the SEP-REDD+ Environmental and Social Safeguards Unit. 5. Safeguards Focal Points of the Program implementation entities / After program start-up and refresher training 2 years later. 6. Safeguards Focal Points of the Program implementation entities / After Program start-up and refresher training 2 years later. 7. Safeguards Focal Points of the Program implementation entities / After Program start-up and refresher training 2 years later. 8. Members of the grievance redress mechanism bodies / After establishment of GRM bodies for the remaining 5 regions and 2 years later. 9. Public and private partners in the implementation of the Program. 	<p>Throughout Program implementation</p>
------------	---	---	--