

**THE NILE BASIN DISCOURSE
TEXTURAL PROCUREMENT PLAN**

Project information: P132448 NBD–CIWA/WB
“Engaging Civil Society for Social and Climate Resilience in the Nile Basin “

Project Implementation agency: The Nile Basin Discourse (NBD)

Date of the Procurement Plan: August 2020

Period covered by this Procurement Plan: 3 months

Preamble

In accordance with paragraph 5.9 of the “World Bank Procurement Regulations for IPF Recipients” (July 2016, revised August 2018) (“Procurement Regulations”) the Bank’s Systematic Tracking and Exchanges in Procurement (STEP) system will be used to prepare, clear and update Procurement Plans and conduct all procurement transactions for the Project.

This textual part along with the Procurement Plan tables in STEP, constitute the Procurement Plan for the Project. The following conditions apply to all procurement activities in the Procurement Plan. The other elements of the Procurement Plan as required under paragraph 4.4 of the Procurement Regulations are set forth in STEP.

The Bank’s Standard Procurement Documents

Shall be used for all contracts subject to international competitive procurement and those contracts as specified in the Procurement Plan tables in STEP

National Procurement Arrangements

Paragraph 5.3 of the Procurement Regulations will not apply. When approaching the national market, the Recipient’s own procurement procedures shall not be used as they don’t fulfill all the requirements of the National procurement procedures. The Regulations will apply.

However, the Recipient may use its own procurement arrangements for Request for Quotation as set forth in the **NBD Project Implementation Manual** and such arrangements shall be subject to paragraph 5.4 of the Procurement Regulations and the following conditions:

In accordance with paragraph 5.3 of the Procurement Regulations, the request for bids/request for proposals document shall require that Bidders/Proposers submitting Bids/Proposals present a signed acceptance at the time of bidding, to be incorporated in any resulting contracts, confirming application of, and compliance with, the Bank’s Anti-Corruption Guidelines, including without limitation the Bank’s right to sanction and the Bank’s inspection and audit rights. The form of the Letter of Acceptance is attached as **Appendix 1**

Leased Assets *as specified under paragraph 5.10* of the Procurement Regulations: Leasing may be used for those contracts identified in the Procurement Plan tables: **Not Applicable.**

Procurement of Second Hand Goods *as specified under paragraph 5.11* of the Procurement Regulations – is allowed for those contracts identified in the Procurement Plan tables: **Not Applicable.**

Domestic preference *as specified under paragraph 5.51* of the Procurement Regulations (Goods)

Goods: Applicable for those contracts identified in the Procurement Plan tables

Other Relevant Procurement Information:-

(a). Prior Procurement Arrangements:

The Procurement arrangements as indicated in the below table and within the thresholds indicated in the below tables will be used. The thresholds for the Bank’s prior review requirements are also provided in the table below. In addition, the table depicts the procurement methods to be used by the NBD.

Table: Thresholds*, Procurement Methods, and Prior Review

Category	Thresholds (USD)	Procurement Method	Market Approach
Goods and Non-Consulting Services	≤100,000	RFQ	Applicable for all Market options
	.100,000	RFP, RFB	National Market option
	All values	Direct Selection	No threshold; meet requirements of Bank’s Procurement Regulations and approved in the PP

Note: Other selection arrangements available in the Bank procurement regulations July 2016 and revised in August 01 018 may also be used as appropriate subject to Bank prior approval.

Table: Thresholds for the Selection Methods for Consulting Services

Thresholds (US\$ equivalent)	Market Approach
≤ 300,000	National
≥300,000	International
All values	Direct

1.5.3: Prior Review:

The details of the procurement review / oversight are defined in the Annex II of the regulations for Recipients. The following are the Prior review thresholds of the Bank regardless of the method of selection given the project risk rating of “High”.

Table: Risk Levels

Procurement Type	High Risk
Goods, It & Non-Consulting Services	1.5
Consultants (Firms)	0.5
Individual Consultants	0.2

Table: Prior review thresholds

Note: All Terms of References regardless of value are subject to IDA clearance

* All contracts not subject to prior review will be post-reviewed.

** Short lists of consultants for services estimated to cost less US\$300,000 equivalent per contract may be composed entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines. However, if foreign firms have expressed interest, they will not be excluded from consideration.

Prequalification:

Not expected for any works or goods packages in the procurement plan.

Proposed Procedures for CDD Components:

Applicable

Reference to (if any) Project Operational/Procurement Manual:

The NBD team have a draft Procurement Manual, which will also be part of the Project Implementation Manual.

Any Other Special Procurement Arrangements:

None

Appendix 1

Letter of Acceptance of the World Bank's Anti-Corruption Guidelines and Sanctions Framework

Date: _

Invitation of Bids/Proposals No. _____

To: _____

We, along with our sub-contractors, sub-consultants, service providers, suppliers, agents (whether declared or not) consultants and personnel, acknowledge and agree to abide by the World Bank's policy regarding Fraud and Corruption (corrupt, fraudulent, collusive, coercive, and obstructive practices), as set out and defined in the World Bank's Anti-Corruption Guidelines¹ in connection with the procurement and execution of the contract (in case of award), including any amendments thereto.

We declare and warrant that we, along our sub-contractors, sub-consultants, service providers, suppliers, agents (whether declared or not), consultants and personnel, are not subject to, and are not controlled by any entity or individual that is subject to, a temporary suspension, early temporary suspension, or debarment imposed by a member of the World Bank Group, including, inter alia, a cross-debarment imposed by the World Bank Group as agreed with other international financial institutions (including multilateral development banks), or through the application of a World Bank Group finding of non-responsibility on the basis of Fraud and Corruption in connection with World Bank Group corporate procurement. Further, we are not ineligible under the laws or official regulations of *[Insert name of Employer as per bidding document]* or pursuant to a decision of the United Nations Security Council.

We confirm our understanding of the consequences of not complying with the World Bank's Anti-Corruption Guidelines, which may include the following:

- a. rejection of our Proposal/Bid for award of contract;

¹*Guidelines on Preventing and Combating Fraud and Corruption in Projects Financed by International Bank for Reconstruction and Development Loans and the International Development Agency Credits and Grants*, dated October 15, 2006, and revised in January 2011 and July 2016, as they may be revised from time to time.

- b. in the case of award, termination of the contract, without prejudice to any other remedy for breach of contract; and
- c. sanctions, pursuant to the Bank's Anti-corruption Guidelines and in accordance with its prevailing sanctions policies and procedures as set forth in the Bank's Sanctions Framework. This may include a public declaration of ineligibility, either indefinitely or for a stated period of time, (i) to be awarded or otherwise benefit from a Bank-financed contract, financially or in any other manner;² (ii) to be a nominated³ sub-contractor, consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract; and (iii) to receive the proceeds of any loan made by the Bank or otherwise to participate further in the preparation or implementation of any Bank-financed project.

We understand that we may be declared ineligible as set out above upon:

- a. completion of World Bank Group sanctions proceedings according to its prevailing sanctions procedures;
- b. cross-debarment as agreed with other international financial institutions (including multilateral development banks);
- c. the application of a World Bank Group finding of non-responsibility on the basis of Fraud and Corruption in connection with World Bank Group corporate procurement; or
- d. temporary suspension or early temporary suspension in connection with an ongoing World Bank Group sanctions proceeding.

For avoidance of doubt, the foregoing effects of ineligibility do not extend to a sanctioned firm's or individual's execution of its ongoing Bank-financed contracts (or its ongoing sub-agreements under such contracts) that are not the subject of a material modification, as determined by the Bank.

We shall permit, and shall cause our sub-contractors, sub-consultants, agents (whether declared or not), personnel, consultants, service providers or suppliers, to permit the Bank to inspect⁴ all accounts, records, and other documents relating to the procurement

² For the avoidance of doubt, a sanctioned party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

³ A nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider (different names are used depending on the particular bidding document) is one which has been: (i) included by the bidder in its pre-qualification application or bid because it brings specific and critical experience and know-how that allow the bidder to meet the qualification requirements for the particular bid; or (ii) appointed by the Borrower.

⁴ Inspections in this context are usually investigative (i.e., forensic) in nature: they involve fact-finding activities undertaken by the Bank or persons appointed by the Bank to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data, and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third-party verification of information.

process and/or contract execution (in the case of award), and to have them audited by auditors appointed by the Bank.

We agree to preserve all accounts, records, and other documents (whether in hard copy or electronic format) related to the procurement and execution of the contract.

Name of the Bidder/Consultant: _____

Name of the person duly authorized to sign the Bid/Proposal on behalf of the Bidder/Consultant:

Title of the person signing the Letter: _____

PROCUREMENT PLAN Eastern Africa : Engaging Civil Society for Social and Climate Resilience in the Nile..

General Information
 Country: Eastern Africa Bank's Approval Date of the Original Procurement Plan: 2020-09-07
 Revised Plan Date(s): (comma delineated, leave blank if non 2020-12-22
 Project ID: P132448 GPN Date:
 Project Name: Engaging Civil Society for Social and Climate Resilience in the Nile..
 Loan / Credit No: TF / 15834
 Executing Agency(ies) THE NILE BASIN DISCOURSE

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	

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											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		Planned	Actual	
UG-NBD-191776-GO-RFQ / Procuring of 4 Laptops	TF / 15834	Strengthening the NBD Secretariat	Post	Request for Quotations	Open - National	Single Stage - One Envelope		4,000.00	0.00	Under Implementation								2020-09-16									2020-10-13		2020-10-27	

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											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		Planned	Actual	
UG-NBD-194687-NC-DIR / Non-Consultancy services for minor upgrading of the NBD Website	TF / 15834	Improved Communications and Outreach	Post	Direct Selection	Direct			2,500.00	0.00	Under Implementation						2020-09-21	2020-09-23	2020-09-26	2020-09-25								2020-10-04		2020-10-18	

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	
UG-NBD-191681-CS-INDV / Consultant to develop ToRs for expert to train National Discourse Forum (NDF) Coordinators from the 10 Nile Basin countries on citizen data	TF / 15834	Strengthening the NBD Secretariat	Post	Individual Consultant Selection	Open		5,000.00	0.00	Under Implementation	2020-09-06	2020-09-10	2020-10-05		2020-10-08		2020-10-12		2020-11-01
UG-NBD-191754-CS-CDS / Consultant to upgrade the NBD website	TF / 15834	Improved Communications and Outreach	Post	Direct Selection	Direct		2,500.00	0.00	Canceled	2020-09-07	2020-09-11	2020-09-17				2020-10-04		2020-10-14
UG-NBD-191761-CS-CDS / Consultant for Maintenance and functional update of the MGE system	TF / 15834	Strengthening the NBD Secretariat	Post	Direct Selection	Direct		3,500.00	0.00	Under Implementation	2020-09-06	2020-09-11	2020-09-16	2020-09-29			2020-09-22		2020-10-06
UG-NBD-191741-CS-CDS / Consultant to develop ToRs for the Experts in Resource Mobilization including identification of Donors and Multi-National Organizations for their Corporate Social Responsibility (CSR)	TF / 15834	Strengthening the NBD Secretariat	Post	Direct Selection	Direct		6,000.00	6,000.00	Signed	2020-09-06	2020-09-11	2020-09-16	2020-09-29			2020-10-02	2020-10-19	2020-10-22
UG-NBD-191611-CS-INDV / Consultant to develop ToRs for a Training Expert including the structures for the Training Manual	TF / 15834	Capacity Building for NBD Members	Prior	Individual Consultant Selection	Open		4,000.00	0.00	Canceled	2020-09-06	2020-09-21	2020-10-05		2020-10-10		2020-10-14		2020-11-03
UG-NBD-200684-CS-CDS / Uganda NDF National Technical Support Expert for country entry points, to facilitate communication flow and information sharing between NDF and NBD Secretariat	TF / 15834	Capacity Building for NBD Members	Post	Direct Selection	Direct		6,500.00	0.00	Pending Implementation	2020-12-17		2020-12-22				2020-12-31		2021-12-31
UG-NBD-209727-CS-CDS / Ethiopia NDF National Technical Support Expert (NTSE) for country entry points, to facilitate communication flow and information sharing between the NDF and NBD	TF / 15834	Capacity Building for NBD Members	Post	Direct Selection	Direct		6,500.00	0.00	Pending Implementation	2020-12-17		2020-12-22				2020-12-31		2021-12-31

UG-NBD-210015-CS-INDV / Burundi NDF National Technical Support Expert for country entry points, to facilitate communication flow and information sharing between the NDF and NBD Secretariat	TF / 15834	Capacity Building for NBD Members	Post	Individual Consultant Selection	Direct		6,500.00	0.00	Pending Implementation	2020-12-17		2020-12-22		2020-12-24		2020-12-31		2021-12-31
UG-NBD-210034-CS-INDV / South Sudan NDF National Technical Support Expert(NTES) for country entry points, to facilitate communication flow and information sharing between NDF and NBD Secretariat	TF / 15834	Capacity Building for NBD Members	Post	Individual Consultant Selection	Direct		6,500.00	0.00	Pending Implementation	2020-12-17		2020-12-22		2020-12-24		2020-12-31		2021-12-31
UG-NBD-210043-CS-INDV / Consultancy to develop TORs for National Trainers and develop a training manual on agreed modules	TF / 15834	Capacity Building for NBD Members	Post	Individual Consultant Selection	Open		7,500.00	0.00	Pending Implementation	2020-12-20		2021-01-15		2021-01-20		2021-01-30		2021-03-01