

Procurement Plan

I. General

1. Project Information

Country : Kenya

Recipient : Republic of Kenya

Project Name : Development Response to Displacement Impacts Project Phase II

Loan Credit No. : IDA 60210

2. Bank's Approval Date of the Procurement Plan : May 24, 2017

3. Date of General Procurement Notice : May 24, 2017

4. Period Covered by this procurement plan : 18 months

II. Goods, Works, and Non-Consulting Services

1. Thresholds for the Method of Procurement:

Method of Procurement	Thresholds for Method (US\$ equivalent)
Open International (Goods)	Equal to or more than 3 million
Open National (Goods) - Open Tender as per PPDA 2015	< \$3 million and > 100,000
RFQ (Goods)	Less than 20,000 or as stipulated in Kenya Procurement Regulations 2017
Open International (Works)	Equal to or more than 15 million
Open National (Works) - Open Tender as per PPDA 2015	Less than 15 million
RFQ (works)	Less than 20,000 or as stipulated in Kenya Procurement Regulations 2017
Open International (Non-consulting Services)	Equal to or More than 3 million

Method of Procurement	Thresholds for Method (US\$ equivalent)
Open National (Non-consulting Services) - Open Tender as per PPDA 2015	Less than 3 million
Direct Contracting	No threshold; meet requirements of Bank's Procurement Regulations

Prior Review: The details of the Procurement review / oversight are defined in the Annex II of the Regulations for borrowers. The following would be subject to Prior review of the Bank regardless of the Method of selection (Project Procurement Risk Rating is "High"):

- (a) **Goods:** First procurement under the project irrespective of value and all procurements estimated to cost more than USD 1.5 million
- (b) **Consulting Services:** First procurement under the project irrespective of value and all procurements estimated to cost more than USD 0.5 million; and

The prior review thresholds will be reviewed during the implementation of the project and modified, based on the risk assessment.

2. For contracts subject to prior review, the Borrower shall seek the Bank's no objection before agreeing to:

- a. an extension of the stipulated time for execution of a contract that has an impact on the planned completion of the project;
- b. any material modification of the scope of the Works, Goods, Non-consulting services or Consulting Services, or other significant changes to the terms and conditions of the contract;
- c. any variation order or contract amendment (except in cases of extreme urgency), including due to extension of time, that singly or combined with all previous variation orders or amendments, increases the original contract amount by more than 15% (fifteen percent); or
- d. the proposed termination of the contract.

The Borrower / IA shall provide a copy of all amendments to the contract to the Bank for its records.

3. Prequalification

Not Applicable

Domestic Preference. The provision of domestic preference shall not be applied in the evaluation of bids in accordance with Annex VI of the Regulations.

4. Reference to Standard Procurement Documents

Bank's Standard RFB Goods and RFP Consulting Services dated July 2016 will be used as a base and incorporating suitable modifications and the same would be agreed with the Bank subject international competition.

5. Any Other Special Procurement Arrangements

A. Procurement approach under CDD Approach by Communities:

The project largely follows the CDD approach and procurement by the communities will follow Procurement Regulations paragraph 6.52 and Annex XII - Selection Methods paragraph 6.9 and 6.10. The procurement arrangement at community level and oversight mechanism such as citizen engagement and social audit and accountability will be detailed in the Project Operations Manual and in line with 'Guidance Note for Design and Management of Procurement Responsibilities in Community Driven Development Projects', dated March 15, 2012. The procurement arrangement shall be simple to enable the communities carry out their own procurement activities with little or no assistance from national/county/sub-county officials. The fiduciary assurance shall come from citizen engagement, disclosure at community level, social audit, and use of women-led procurement committees at community level.

B. When approaching the national market, as agreed in the Procurement Plan, the Open Tender procedure of Public Procurement and Asset Disposal Act 2015 and Regulations 2017 may be used. The specific Requirements for national open competitive procurement include the following:

- a. open advertising of the procurement opportunity at the national level;
- b. the procurement is open to eligible firms from any country;
- c. the request for bids/request for proposals document shall require that Bidders/Proposers submitting Bids/Proposals present a signed acceptance at the time of bidding, to be incorporated in any resulting contracts, confirming application of, and compliance with, the Bank's Anti-Corruption Guidelines, including without limitation the Bank's right to sanction and the Bank's inspection and audit rights;
- d. contracts with an appropriate allocation of responsibilities, risks, and liabilities;
- e. publication of contract award information;
- f. rights for the Bank to review procurement documentation and activities;
- g. an effective complaints mechanism; and
- h. maintenance of records of the Procurement Process.

Other national procurement arrangements (other than national open competitive procurement), that may be applied by the Borrower (such as limited/restricted competitive bidding, request for quotations/shopping,

direct contracting), shall be consistent with the Bank’s Core Procurement Principles and ensure that the Bank’s Anti-Corruption Guidelines and Sanctions Framework and contractual remedies set out in its Legal Agreement apply.

In all cases, the national procurement procedures to be used shall give due attention to quality aspects.

6. The bid evaluation will be carried out according to the agreed timeline in the Procurement Activity Schedule.

III. Selection of Consultants

1. Methods and Prior Review Threshold

Selection methods of procurement of Consultants' Services (Firms):

The Approved Selection Methods as reflected in the Section VII of the procurement regulations

Method of Procurement	Thresholds for Methods
Quality and Cost Based Selection (QCBS)	As per requirements of para 7.3 of the Regulations
Quality Based Selection (QBS)	As per requirements of para 7.8 to 7.10 of the Regulations
Selection based on a Fixed Budget (FBS)	As per requirements of para 7.4 and 7.5 of the Regulations
Selection Based on Least Cost Basis (LCS)	As per requirements of para 7.6 and 7.7 of the Regulations
Selection based on Consultant’s Qualification (CQ)	As per requirements of para 7.11 and 7.12 of the Regulations
Direct	Must meet the requirements of Para 7.13 to 7.15 of the Regulations, and with prior agreement in PP with the Bank.

The short list may consist of all National consultants in case the estimated cost is less than USD 300,000

Procurement methods for Selection of Individual Consultants (IC):

The Approved Selection Methods as reflected in the Section VII of the procurement regulations

Method of Procurement	Thresholds for Methods
Open Competitive Selection	As per requirements of para 7.36 and 7.37 of the Regulations
Limited Competitive Selection	As per requirements of para 7.38 of the Regulations

Direct	Must meet the requirements of Para 7.39 of the Regulations, and with prior agreement in PP with the Bank
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***Shall meet the requirements as stated in Regulations**

Prior Review Thresholds: The details of the Procurement review / oversight are defined in the Annex II of the Regulations for borrowers. The following would be subject to Prior review of the Bank regardless of the Method of selection:

- (a). **Consulting Firm:** First procurement under the project irrespective of value and all procurements estimated to cost more than USD 0.5 million.
- (b). **Individual Consultant:** all procurements estimated to cost more than USD 0.2 million

Terms of Reference (ToR) for all consultant contracts shall be furnished to the Bank for its prior review and No Objection.

PROCUREMENT PLAN Eastern Africa : Development Response to Displacement Impacts Project (DRDIP) in the Horn of Africa

General Information
 Country: Eastern Africa Bank's Approval Date of the Original Procurement Plan: 2017-05-25
 Project ID: P161067 Revised Plan Date(s): (comma delineated, leave blank if non 2021-09-23)
 Project Name: Development Response to Displacement Impacts Project (DRDIP) in the Horn of Africa GPN Date:
 Loan / Credit No: IDA / 60210, IDA / D1840, TF / A7762
 Executing Agency(ies) Executive Office of the President, Department for Development of Arid and Semi-Arid Regions

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion		
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		Planned	Actual

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											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		Planned	Actual
KE-DASAR-14285-GO-RFB / SUPPLY OF VIDEO CONFERRING EQUIPMENT	IDA / 60210	Component 4 - Project Management, Monitoring and Evaluation, and Knowledge Sharing	Post	Request for Bids	Open - National	Single Stage - One Envelope		160,000.00	56,684.85	Signed					2017-05-16	2018-02-13	2017-05-21					2017-07-02	2018-03-22	2017-08-01	2018-05-04	2017-09-05	2018-06-29	2018-03-04	
KE-DASAR-14280-GO-RFB / SUPPLY OF ICT EQUIPMENT (COMPUTERS, LAPTOPS, IPADS, PRINTERS, CAMERAS AND PROJECTORS)	IDA / 60210	Component 4 - Project Management, Monitoring and Evaluation, and Knowledge Sharing	Post	Request for Bids	Open - National	Single Stage - One Envelope		100,000.00	462,294.77	Signed					2017-05-16	2018-04-09	2017-05-21					2017-07-02	2018-03-22	2017-08-01	2018-04-07	2017-09-05	2018-05-31	2018-03-04	
KE-DASAR-14275-GO-RFB / SUPPLY OF 4X4 VEHICLES	IDA / 60210	Component 4 - Project Management, Monitoring and Evaluation, and Knowledge Sharing	Post	Request for Bids	Open - National	Single Stage - One Envelope		1,000,000.00	875,389.27	Completed					2017-05-16	2018-03-14	2017-05-21	2018-04-27					2017-07-02	2018-03-22	2017-08-01	2018-04-06	2017-09-05	2018-05-31	2018-03-04
KE-DASAR-14293-GO-RFQ / Procurement of 30 GmPRS Smart Phones for project staff.	IDA / 60210	Component 1 - Social and Economic Infrastructure and Services	Post	Request for Quotations	Open - National	Single Stage - One Envelope		30,000.00	25,165.57	Signed							2017-10-21										2017-12-16	2018-05-31	2018-06-14
KE-DASAR-14283-GO-RFB / SUPPLY OF FIRE PROOF FILING CABINETS	IDA / 60210	Component 4 - Project Management, Monitoring and Evaluation, and Knowledge Sharing	Post	Request for Bids	Open - National	Single Stage - One Envelope		150,000.00	0.00	Canceled					2017-05-16	2017-10-13	2017-05-21					2017-07-02			2017-08-01		2017-09-05		2018-03-04
KE-DASAR-14278-GO-RFB / SUPPLY OF MOTORCYCLES	IDA / 60210	Component 4 - Project Management, Monitoring and Evaluation, and Knowledge Sharing	Post	Request for Quotations	Limited	Single Stage - One Envelope		20,000.00	0.00	Canceled							2017-07-12									2017-09-06		2018-03-05	
KE-DASAR-16838-GO-APA / 11 OFFICE DESKS WITH DRAWERS 21 OFFICE CHAIRS 31 COMPUTER TABLES/DESKS	IDA / 60210	Component 4 - Project Management, Monitoring and Evaluation, and Knowledge Sharing	Post	Request for Quotations	Limited	Single Stage - One Envelope		50,000.00	0.00	Canceled					2017-06-22		2017-06-27									2017-08-26		2017-09-16	2018-03-15
KE-DASAR-14303-GO-RFB / Purchase of 6 fireproof filing cabinets for project.	IDA / 60210	Component 1 - Social and Economic Infrastructure and Services	Post	Request for Quotations	Limited	Single Stage - One Envelope		30,000.00	11,331.72	Signed							2017-11-28	2018-05-25								2018-01-23	2018-06-26	2018-07-22	
KE-DASAR-14304-GO-RFB / Purchase of office furniture (steel filing cabinets, executive office chairs, executive office desk with drawers, etc) for project offices nationally and counties.	IDA / 60210	Component 1 - Social and Economic Infrastructure and Services	Post	Request for Quotations	Limited	Single Stage - One Envelope		70,000.00	16,397.61	Signed							2017-11-28	2018-03-29								2018-01-23	2018-05-31	2018-07-22	
KE-DASAR-14305-GO-RFB / Purchase of 10 motorcycles for county services.	IDA / 60210	Component 1 - Social and Economic Infrastructure and Services	Post	Request for Quotations	Limited	Single Stage - One Envelope		60,000.00	35,974.80	Signed							2017-11-28	2018-02-15								2018-01-23	2018-05-31	2018-07-22	
KE-DASAR-14306-GO-RFB / Purchase of 33 seater minibus (1) and a saloon car five (5) seater (1) for project.	IDA / 60210	Component 1 - Social and Economic Infrastructure and Services	Post	Request for Quotations	Limited	Single Stage - One Envelope		80,000.00	106,440.68	Signed							2017-12-17	2019-03-01								2018-02-11	2019-05-15	2018-08-10	2019-07-09
KE-DASAR-14310-GO-RFB / Purchase of 2 office photocopiers for NPU headquarters.	IDA / 60210	Component 1 - Social and Economic Infrastructure and Services	Post	Request for Quotations	Limited	Single Stage - One Envelope		30,000.00	27,450.89	Signed							2017-12-23	2018-03-29								2018-02-17	2018-06-07	2018-08-16	
KE-DASAR-14311-NCB-RFB / Procurement and installation of 1 server at NPU offices Nairobi.	IDA / 60210	Component 1 - Social and Economic Infrastructure and Services	Post	Request for Bids	Open - National	Single Stage - One Envelope		250,000.00	0.00	Canceled					2017-12-18		2017-12-23					2018-02-05			2018-03-05		2018-04-09	2018-10-06	
KE-DASAR-113729-GO-RFB / Purchase and install server in Harambee House at NPU	IDA / 60210	Component 1 - Social and Economic Infrastructure and Services	Post	Request for Bids	Open - National	Single Stage - One Envelope		30,000.00	0.00	Canceled					2019-05-07		2019-05-12					2019-06-23			2019-07-23		2019-08-27	2020-02-23	
KE-DASAR-114026-GO-RFQ / 10 SUPPLY AND INSTALL SERVER AT NPU OFFICES AT HARAMBEE HOUSE NAIROBI	IDA / 60210	Component 1 - Social and Economic Infrastructure and Services	Post	Request for Quotations	Limited	Single Stage - One Envelope		30,000.00	25,368.71	Signed							2019-05-13	2020-03-16								2019-07-08	2020-04-22	2020-01-04	
KE-DASAR-165781-GO-RFQ / HARD TOP UTILITY PASSENGER VEHICLE FOR ROUGH TERRAINS	TF / A7762	Component 4 - Project Management, Monitoring and Evaluation, and Knowledge Sharing	Post	Request for Quotations	Limited	Single Stage - One Envelope		100,000.00	0.00	Canceled							2020-03-29									2020-05-24		2020-06-21	

KE-DASAR-14292-ICS-RFQ / Hire an individual consultant to work as Turkana County Project Coordinator for 5 years renewable after every two years starting November 2017.	IDA / 60210	Component 1 - Social and Economic Infrastructure and Services	Prior	Individual Consultant Selection	Limited	120,000.00	58,961.45	Under Review	2017-10-13	2018-10-22	2017-10-18	2017-08-03	2017-11-08	2019-06-11	2017-12-13	2018-09-01	2018-06-11
KE-DASAR-14298-CS-ICS / Hire Social Safeguard Specialist for Turkana County CIPU for five (5) years to undertake consultancy services for initial period of two (2) years renewal.	IDA / 60210	Component 1 - Social and Economic Infrastructure and Services	Prior	Individual Consultant Selection	Limited	30,000.00	66,843.31	Signed	2017-11-13	2018-07-30	2017-11-18	2018-08-03	2017-12-09	2019-06-11	2018-01-13	2018-11-15	2018-07-12
KE-DASAR-14306-CS-ICS / Hire Environmental safeguard specialist for Garissa County CIPU for five (5) years to provide consultancy services for initial period of two (2) years renewal.	IDA / 60210	Component 1 - Social and Economic Infrastructure and Services	Prior	Individual Consultant Selection	Limited	30,000.00	57,430.38	Signed	2017-11-13	2018-08-01	2017-11-18	2018-07-26	2017-12-09	2019-05-31	2018-01-13	2019-06-10	2018-07-12
KE-DASAR-14308-NCS-DS / Hire Environmental safeguard specialist for Turkana County CIPU for five (5) years to provide consultancy services for initial period of two (2) years renewal.	IDA / 60210	Component 1 - Social and Economic Infrastructure and Services	Prior	Individual Consultant Selection	Limited	30,000.00	38,956.52	Signed	2017-11-13	2018-08-03	2017-11-18	2018-08-03	2017-12-09	2019-05-31	2018-01-13	2018-11-15	2018-07-12
KE-DASAR-14307-NCS-DS / Hire Environmental safeguard specialist for Waji County CIPU for five (5) years to provide consultancy services for initial period of two (2) years renewal.	IDA / 60210	Component 1 - Social and Economic Infrastructure and Services	Prior	Individual Consultant Selection	Limited	30,000.00	65,209.20	Signed	2017-11-13	2018-07-30	2017-11-18	2018-08-03	2017-12-09	2019-05-31	2018-01-13	2018-11-15	2018-07-12
KE-DASAR-14300-CS-ICS / Hire Social safeguards specialist for Garissa County CIPU for five (5) years to provide consultancy services for initial period of two (2) years renewal.	IDA / 60210	Component 1 - Social and Economic Infrastructure and Services	Prior	Individual Consultant Selection	Limited	30,000.00	65,334.32	Signed	2017-11-13	2018-08-01	2017-11-18	2018-08-03	2017-12-09	2019-05-31	2018-01-13	2018-12-15	2018-07-12
KE-DASAR-14299-CS-ICS / Hire Social safeguards specialist for Waji County CIPU for five (5) years to provide consultancy services for initial period of two (2) years renewal.	IDA / 60210	Component 1 - Social and Economic Infrastructure and Services	Prior	Individual Consultant Selection	Limited	30,000.00	57,682.68	Signed	2017-11-13	2018-07-30	2017-11-18	2018-08-03	2017-12-09	2019-06-11	2018-01-13	2018-10-31	2018-07-12
KE-DASAR-14294-ICS-RFP / Hire of Consultancy services to develop M&E Manual.	IDA / 60210	Component 4 - Project Management, Monitoring and Evaluation, and Knowledge Sharing	Post	Individual Consultant Selection	Open	50,000.00	0.00	Canceled	2017-10-19		2017-12-07		2017-12-28		2018-02-01		2018-07-31
KE-DASAR-14290-ICS-RFQ / Hire an individual consultant to work as Waji County Project Coordinator for 5 years renewable after every two years starting December 2017.	IDA / 60210	Component 1 - Social and Economic Infrastructure and Services	Post	Individual Consultant Selection	Limited	120,000.00	0.00	Canceled	2017-10-13	2017-12-07	2017-10-18		2017-11-08		2017-12-13		2018-06-11
KE-DASAR-14291-ICS-RFQ / Hire an individual consultant to work as Garissa County Project Coordinator for 5 years renewable after every two years starting December 2017.	IDA / 60210	Component 1 - Social and Economic Infrastructure and Services	Post	Individual Consultant Selection	Limited	120,000.00	0.00	Canceled	2017-10-13	2017-12-07	2017-10-18		2017-11-08		2017-12-13		2018-06-11
KE-DASAR-14320-ICS-RFQ / Hire an individual consultant to work as Garissa County Project Coordinator for 5 years renewable after every two years starting December 2017.	IDA / 60210	Component 1 - Social and Economic Infrastructure and Services	Prior	Individual Consultant Selection	Limited	120,000.00	0.00	Canceled	2018-07-31		2018-08-05		2018-08-26		2018-09-30		2019-03-29
KE-DASAR-14330-ICS-RFQ / Hire an individual consultant to work as Garissa County Project Coordinator for 5 years renewable after every two years starting December 2017.	IDA / 60210	Component 1 - Social and Economic Infrastructure and Services	Prior	Individual Consultant Selection	Limited	120,000.00	105,751.30	Signed	2018-07-31		2018-08-05	2018-08-03	2018-08-26	2019-06-11	2018-09-30	2018-09-01	2019-03-29
KE-DASAR-14331-ICS-RFQ / Hire of an individual consultant as Waji County Project Coordinator for five years contract renewable after every two years.	IDA / 60210	Component 1 - Social and Economic Infrastructure and Services	Prior	Individual Consultant Selection	Limited	120,000.00	103,046.32	Signed	2018-07-31		2018-08-05	2018-08-10	2018-08-26	2019-06-11	2018-09-30	2018-09-01	2019-03-29
KE-DASAR-117483-CS-CDS / Hire of Individual Consultant to provide technical support in STEP clean-up and capacity build NPLU Procurement Specialist on need basis for a number of days spread through the project period.	IDA / 60210	Component 4 - Project Management, Monitoring and Evaluation, and Knowledge Sharing	Post	Direct Selection	Direct	10,000.00	23,633.69	Signed	2019-07-15	2019-10-16	2019-07-25	2019-10-16			2019-09-28	2019-11-25	2020-03-26
KE-DASAR-254315-CS-CDS / Procurement of consultancy for provision of community facilitation services for Turkana West	IDA / 60210	Component 1 - Social and Economic Infrastructure and Services	Prior	Direct Selection	Direct	1,000,000.00	0.00	Pending Implementation	2021-09-24		2021-10-04				2021-12-08		2022-06-06