

Public Disclosure Authorized

Republic of Peru  
**National Institute of Health**  
**Peru: Strengthening of the Public Health  
Emergency Preparedness and Response  
(P174177)**

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**Negotiated Version**  
**ENVIRONMENTAL AND SOCIAL COMMITMENT  
PLAN (ESCP)**

**05/19/2021**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of Peru (Borrower) has decided to support the financing of the Peru: Strengthening of the Public Health Emergency Preparedness and Response - hereinafter referred to as “the Project” - with the participation of the National Institute of Health (INS). The International Bank for Reconstruction and Development—hereinafter “the Bank”—has agreed to provide financing for the Project.
2. The Borrower, through the INS, shall implement material measures and actions to ensure that the Project is implemented in accordance with the World Bank’s Environmental and Social Standards (ESSs). This Environmental and Social Commitment Plan (ESCP) sets out the material measures and actions, any specific documents or plans, as well as the timing for implementing each of these.
3. The Borrower shall be responsible for compliance with all the commitments set forth in the ESCP, in a manner acceptable to the Bank, even when implementation of specific measures and actions is conducted by the INS or any agencies or municipalities involved in the Project that may not be explicitly mentioned in paragraph 1 above.
4. The Borrower, through the INS, shall monitor and report to the Bank on the implementation of the material measures and actions set out in this ESCP, as required by the ESCP and the conditions of the Loan Agreement.
5. As agreed by the Bank and the Borrower, this ESCP may be revised from time to time during Project implementation to reflect adaptive management of Project changes and unforeseen circumstances or in response to the assessment of Project performance conducted under the ESCP itself. In such circumstances, the Borrower, through the INS, represented by its Project Management Team Coordinator (PMT) or the Chief of the INS, shall agree to the changes with the Bank and will update the ESCP to reflect such changes. Agreements on changes to the ESCP shall be documented through the exchange of letters signed between the Bank and the INS -PMT Coordinator, or by the Chief of the INS. The INS shall promptly disclose the updated ESCP.
6. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Borrower shall provide additional funds, if needed, to implement measures to address such risks and impacts.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/ AUTHORITY
<b>MONITORING AND REPORTING</b>			
A	<p><b>REGULAR REPORTING:</b></p> <p>The PMT shall prepare and submit semi-annual monitoring reports on the performance of the Project in environmental, social, health, and safety (ESHS) aspects, in form and substance acceptable to the Bank. Reports shall include, inter alia:</p> <ul style="list-style-type: none"> <li>• Progress in the implementation of the ESCP.</li> <li>• Progress in the preparation and implementation of all documents referred to in the ESCP.</li> <li>• Summary of activities to be carried out during the next period.</li> <li>• Summary of training and capacity-building activities during the period.</li> <li>• Summary of dissemination, participation, and consultation activities carried out during the period.</li> <li>• Record of complaints and grievances received and addressed during the period through the Grievance Redress Mechanism (GRM) for workers and the community.</li> </ul> <p>The Project Operations Manual (POM) shall contain an annex of the minimum content and format of the reports, also indicating frequency and procedures.</p>	<p>Reports to be provided to the Bank each semester during Project implementation within 45 days following the end of the reporting semester.</p>	<p>INS - Project Management Team (PMT)</p>
B	<p><b>INCIDENTS AND ACCIDENTS:</b></p> <ol style="list-style-type: none"> <li>1. <b>Flash Reports:</b> The PMT shall promptly notify the Bank of any incident or accident related to the Project, which has, or is likely to have a significant adverse effect on the environment, the affected communities, the public, or the workers. This immediate notification must contain basic information about the accident, the seriousness of the accident (indicating explicitly if it is a fatality), and the circumstances under which it happened, in addition to the immediate steps taken to address it.</li> <li>2. <b>Detailed Report:</b> the PMT shall prepare and send to the Bank a report detailing the incident or accident and propose any measures to prevent its recurrence.</li> </ol> <p>The POM shall contain an annex of the minimum content and format of the corresponding detailed reports, including details of the process whereby such reports are submitted to the Bank.</p>	<ol style="list-style-type: none"> <li>1. Notify the Bank, through a Flash Report, within 24 hours of learning of the incident/accident.</li> <li>2. Send the detailed report of the incident/accident to the Bank within the timeframe established by the Bank after receiving the Flash Report referred to in 1 above.</li> </ol>	<p>INS-PMT</p>

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/ AUTHORITY
<p>C <b>CONTRACTOR AND SUPERVISOR REPORTS:</b></p> <p>PMT shall Indicate in the bidding documents of civil works and supervision consulting to be financed under Part 2 of the Project, the requirement to provide monthly progress and ESHS compliance reports to the PMT, which in turn, shall forward the reports to the Bank as part of the semi-annual monitoring reports (see Action A).</p> <p>The POM shall contain an annex of the minimum content and format of the respective reports of the Contractor and the Supervising Firms.</p>	<p>PMT to ensure that the Contractor and the Supervising Firms develop and submit to the PMT monthly progress reports throughout implementation of works under Part 2 of the Project. The PMT shall provide these reports to the Bank on a biannual basis through the semi-annual reports referred to in Action A.</p>	<p>INS-PMT</p>
<p><b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b></p>		
<p>1.1 <b>ORGANIZATIONAL STRUCTURE OF THE PMT:</b></p> <p>The INS shall establish an organizational structure in the PMT at the central level, with qualified staff to support the management of ESHS risks of the Project. This includes, at a minimum (to be assessed and adjusted during Project implementation, if necessary): one (01) full-time environmental specialist, and one (01) part-time social specialist, both with skills and experience acceptable to the Bank.</p> <p>The POM shall contain an annex detailing the requirements for both specialists, as well as their hiring process.</p> <p>Any commitment related to environmental and/or social matters prior to hiring the mentioned specialists (see actions 1.2.1, 2.1 and 10.2) must be carried out by the INS team involved in the preparation of the operation.</p>	<p>The hiring or appointment of the qualified staff indicated (one environmental specialist and one social specialist) within the PMT must be carried out no later than 30 days after the Project Effective Date, and be maintained throughout Project implementation.</p>	<p>INS-PMT</p>

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/ AUTHORITY
<p>1.2 <b>ENVIRONMENTAL AND SOCIAL ASSESSMENT AND MANAGEMENT TOOLS AND INSTRUMENTS:</b></p> <ol style="list-style-type: none"> <li>1. Update, disclose, consult, adopt, and implement the final Environmental and Social Management Framework (ESMF), in a manner and with content acceptable to the Bank. The ESMF must be developed in line with the ESSs requirements, (including ESS 7 in relation to the activities under Part 1 of the Project, after a more detailed evaluation), the World Bank Group Environmental, Health and Safety Guidelines (EHS Guidelines), and other relevant Good International Industry Practice (GIIP). The activities listed in the exclusion list of the ESMF shall not be supported by the Project.</li> <li>2. Develop, disclose, consult, adopt, and implement the Environmental and Social Management Plans (ESMPs) specific to the activities under Part 2 of the Project, in accordance with the Project ESMF, ESSs, EHS Guidelines and other GIIPs as appropriate, in a manner acceptable to the Bank.</li> <li>3. Incorporate in the procurement documents and contracts with contractors, subcontractors, and supervising firms the relevant aspects of this ESCP, including, inter alia, the ESMF, the ESMPs or other instruments, the Labor Management Procedures (LMPs), ESSs requirements, and any other required ESHS measures. Thereafter, ensure that contractors and supervising firms comply with the ESHS specifications of their respective contracts.</li> </ol>	<ol style="list-style-type: none"> <li>1. The update and disclosure of the ESMF is an Additional Condition of Effectiveness, as stipulated in the Loan Agreement. Thereafter, it must be implemented during the execution of the Project.</li> <li>2. Specific Environmental and Social Management Plans (ESMPs) shall be prepared, finalized, and approved by the Bank before launching of bidding process for the construction works related to activities under Part 2 of the Project. Once approved, the ESMPs shall be implemented throughout Project Execution.</li> <li>3. Before launching the procurement processes for relevant Project activities, and throughout Project Execution.</li> </ol>	<p>INS-PMT</p>

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<p>1.3 <b>CONTINGENCY EMERGENCY RESPONSE COMPONENT (CERC):</b></p> <ol style="list-style-type: none"> <li>1. Develop, maintain and adopt the CERC's ESMF (ESMF-CERC) in a manner acceptable to the Bank, consistent with ESS requirements. The ESMF-CERC corresponds to an independent document that must include an exclusion list of activities that shall not be supported by Part 5 of the Project, procedures for the preparation of any environmental and/or social instruments that may be required, among others.</li> <li>2. Prepare, adopt and disclose any environmental and/or social instruments that may be required for activities under Part 5 of the Project, in line with the ESMF-CERC and ESS requirements, and implement the management measures required under such instruments, within the specified timeframes, all in a manner acceptable to the Bank.</li> </ol>	<ol style="list-style-type: none"> <li>1. The development and adoption of the ESMF-CERC in a manner acceptable to the Bank is a condition for disbursement, as stipulated in the Loan Agreement.</li> <li>2. Environmental and/or social instruments will be submitted to the Bank for review and approval, and thereafter adopted before carrying out any activity under Part 5 that requires such instruments. Once adopted, the instruments will be implemented in accordance with their terms, in a manner acceptable to the Bank.</li> </ol>	<p>The Borrower and the CERC Coordinating Authority to be appointed in accordance with the Loan Agreement</p>
<p>1.4 <b>PERMITS, LICENSES, AND AUTHORIZATIONS:</b></p> <p>Obtain, or help obtain, EHS permits, licenses, and authorizations from relevant authorities for the Project, that are applicable to implement activities under Parts 2, and/or 5 of the Project, as needed.</p> <p>Enforce the conditions set forth in these permits, licenses, and authorizations throughout Project implementation.</p>	<p>Throughout Project implementation, as needed.</p> <p>Permits, licenses, and authorizations should be obtained in strict compliance with relevant national regulations, in terms of timeframe, form, and substance</p>	<p>INS-PMT</p>
<p>1.5 <b>MANAGEMENT OF CONSULTANCIES RELATED TO INFRASTRUCTURE FINAL DESIGNS</b></p> <p>Ensure that the bidding documents of consulting services for developing the final designs of infrastructure works financed under Part 2 of the Project include the necessary EHS requirements, in accordance with international biosafety standards (in the case of laboratories), solid and liquid waste management standards, among others, as specified in the Project ESMF, ESS, EHS Guidelines, and other relevant Good International Industry Practice (GIIP) as appropriate.</p>	<p>Before launching of each bidding process for the development of the final designs for works financed under Part 2 of the Project.</p>	<p>INS-PMT</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.6	<p><b>ADVISORY COMMITTEE:</b></p> <p>Ensure that the Advisory Committee includes among its members a representative of the Ministry of Health with the capacity and expertise to provide technical advice on EHS matters, in line with the Project's ESMF. The process for establishing the committee and the detail of its roles will be detailed in the POM.</p>	The Advisory Committee shall be established no later than 30 days after the Project Effective Date, and must be maintained throughout its implementation.	INS-PMT
1.7	<p><b>INTER-INSTITUTIONAL AGREEMENTS:</b></p> <p>Ensure to update the Inter-institutional Agreements between the INS and the Regional Governments of the regions covered under Part 2 of the Project, to incorporate references to Project implementation, and include the appropriate wording that allows the PMT to manage adequately the ESHS aspects during the relevant activities, as detailed in the Project ESMF and POM.</p>	Before carrying out activities under Part 2 of the Project	INS-PMT
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			
2.1	<p><b>LABOR MANAGEMENT PROCEDURES (LMP):</b></p> <p>The Project shall be carried out in accordance with the Labor Management Procedures (LMP) and applicable requirements of ESS2, in a manner acceptable to the Bank, including through, inter alia, implementing adequate occupational health and safety measures (including emergency preparedness and response measures); implementing grievance arrangements for Project workers; incorporating a Code of Conduct for contractors; incorporating labor requirements into the environmental, social, health and safety (ESHS) specifications of the procurement documents and contracts signed with the contractor and the supervising firms; and ensuring that the contractors and subcontractors responsible for the works have in place the personnel, capabilities, and budget required during implementation of works to satisfactorily comply with the LMP provisions and that they can meet the applicable LMP requirements in their contracts with their primary suppliers.</p>	The update and disclosure of the LMP shall be an Additional Condition of Effectiveness, as stipulated in the Project Loan Agreement. Thereafter, implemented throughout Project implementation.	INS-PMT

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2.2	<p><b>GRIEVANCE MECHANISM FOR PROJECT WORKERS:</b></p> <ol style="list-style-type: none"> <li>1. Develop, maintain, and implement a grievance redress mechanism for Project workers in conformance with LMP, in line with ESS2.</li> <li>2. Ensure that the contractor sets up and maintains a complaint and claims mechanism for its workers and that the bidding documents of the contractor include the requirement to ensure that similar provisions are included in its agreements with subcontractors.</li> <li>3. Collect information from both grievance redress mechanisms for workers and include it in the semi-annual reports mentioned in action C.</li> </ol>	<ol style="list-style-type: none"> <li>1. The mechanism shall be part of LMP, and thus, in operation prior to the Effective Date.</li> <li>2. The mechanism shall be a requirement to be included in the bidding documents for the supervising firm and the contractor, with stipulations that they include similar provisions in agreements with their subcontractors, before the start of Project works.</li> <li>3. The mechanism is to be maintained throughout Project implementation</li> </ol>	INS-PMT
2.3	<p><b>TRAINING OF PROJECT WORKERS:</b></p> <p>Implement capacity-building activities for Project workers, as set out in the LMP to heighten awareness of possible occupational health and safety (OHS) risks and to mitigate corresponding impacts on local communities:</p> <ol style="list-style-type: none"> <li>1. Occupational health and safety risks.</li> <li>2. Sexual harassment policies and protocols in the workplace, and.</li> <li>3. Labor procedures, based on the provisions of the LMP.</li> </ol>	Training should be conducted at the beginning of each contract with regular refresher training from time to time.	INS-PMT
2.4	<p><b>CODE OF CONDUCT:</b></p> <p>Establish provisions in the bidding documents for the contractor and the supervisor to apply or cause to apply a code of conduct for their workers and subcontractors.</p> <p>The code of conduct must be based on the guidelines contained in the LMP and should address the risks of Sexual Exploitation and Abuse (SEA) and Sexual Harassment (SH) in the workplace.</p>	Before launching of each bidding process for works to be financed under Part 2 of the Project.	INS-PMT
<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>			
3.1	Relevant aspects of this standard shall be considered, as needed, under action 1.2 above. This should include, inter alia, measures that shall be implemented to manage biohazard wastes, and other types of hazardous and non-hazardous wastes under Part 2 of the Project.		
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>			



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MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
4.1	<p><b>COMMUNITY HEALTH AND SAFETY:</b></p> <p>Relevant aspects of this standard shall be considered, as needed, under action 1.2 above, including, inter alia, measures to: minimize the potential for community exposure to infectious microorganisms resulting from the development of epidemiological research in the laboratories to be built under Part 2 of the Project, and prevent and respond to SEA, and SHA that may arise during the Project implementation.</p>	Same timeframe as indicated under action 1.2	INS-PMT
4.2	<p><b>RISK HAZARD ASSESSMENT (RHA) AND EMERGENCY RESPONSE PLAN (ERP):</b></p> <p>Ensure that ESMPs for the laboratories to be financed under Part 2 of the Project include, inter alia: (i) the development of a Risk or Hazard Assessment and (ii) as needed, the development of an Emergency Response Plan, in accordance with the ESMF, and in a manner acceptable to the Bank, in line with action 1.2.2.</p>	The specific ESMP for the laboratories, including the Risk Hazard Assessments, and Emergency Response Plans, where appropriate, must be prepared, finalized, and approved by the Bank and the PMT before launching of the bidding process for the construction of the relevant works.	INS-PMT
4.3	<p><b>SECURITY PERSONNEL:</b></p> <p>Develop and implement measures to assess and manage security risks posed by these security arrangements to the population within the Project site and to Project workers, including the following:</p> <ul style="list-style-type: none"> <li>○ INS shall ensure that bidding documents for hiring and supervising security personnel by the contractor include the necessary provisions so that their actions are consistent with ESS 4 requirements and consider the Bank's guidelines.</li> <li>○ The contractor and the supervisor shall appoint a person in charge of verifying that the actions of the security personnel are consistent with ESS 4.</li> </ul>	Before launching of each bidding process for works under Part 2 of the Project.	INS-PMT
<b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b>			
5.1	Agreement to transfer parcels of land to INS to build Macro Regional Centers in Loreto, Arequipa, and Lambayeque.	Agreements signed before commencement of works under Part 2 of the Project.	INS-PMT
<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			

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6.1	Relevant aspects of this standard shall be considered, as needed, under action 1.2 above. This should include, inter alia, the development of a “Deforestation Management Plan” as part of the ESMP of the Iquitos Macro-Regional Center under Part 2 of the Project, in compliance with both ESS6 requirements and national regulations, mainly the Forestry and Wildlife Law (No. 29763)		
<b>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b>			
7.1	Relevant aspects of this standard shall be considered, as needed, under action 1.2 above.	Same timeframe as indicated for under action 1.2	INS-PMT
<b>ESS 8: CULTURAL HERITAGE</b>			
8.1	Relevant aspects of this standard, including chance finds procedures shall be considered, as needed, under action 1.2 above.		
<b>ESS 9: FINANCIAL INTERMEDIARIES</b>			
	Currently not relevant.		
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			
10.1	<b>PLAN STAKEHOLDER ENGAGEMENT PLAN (SEP):</b>  Prepare, disclose, consult, adopt and implement Stakeholder Engagement Plan (SEP), consistent with ESS10, in a manner acceptable to the Bank	1. The update and disclosure of the SEP shall be an Additional Condition of Effectiveness, as stipulated in the Project Loan Agreement. Thereafter, implemented throughout Project implementation.	INS-PMT
10.2	<b>PROJECT GRIEVANCE MECHANISM:</b>  Accessible grievance arrangements shall be made publicly available to receive and facilitate the resolution of concerns and grievances in relation to the Project, as described in the SEP, consistent with ESS10, in a manner acceptable to the Bank.	The mechanism already in place at INS shall address any complaints and grievances throughout the Project's lifetime. A Project-specific GRM should be established prior to the Effective Date and be in place throughout Project implementation.	INS-PMT
<b>CAPACITY SUPPORT (TRAINING)</b>			
FC1	<b>TRAINING PMT SPECIALISTS:</b>  Training to all PMT specialists on the Bank's ESSs and Project instruments. As part of this training, include the Code of Conduct for contractors mentioned in action 2.1 and 2.4 and the various grievance redress mechanisms required for the Project.	Ongoing training during the first year of the execution of the Project, as needed.	INS-PMT, supported by WB

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
FC2	<p><b>LABORATORY WORKERS TRAINING PROGRAM:</b></p> <ol style="list-style-type: none"> <li>1. Design a Laboratory Workers Training Program (for existing laboratories to be equipped and new laboratories to be built by the Project), focused on implementing all necessary EHS considerations during routine laboratory procedures, in line with ESMF requirements.</li> <li>2. Implement the training program throughout the 21 regional public health laboratories to be equipped, and the laboratories to be built and equipped in the 03 macro-regional centers and the National Health Complex.</li> </ol>	<ol style="list-style-type: none"> <li>1.a. Design in regional public health laboratories: before the start of activities for laboratory equipping.</li> <li>1.b. The training program should be further enhanced, before the start of laboratory equipping activities in the three regional centers and the National Health Complex, to incorporate specialized modules on research and surveillance activities that will be developed in such centers.</li> <li>2.a. Implementation in the 21 regional public health laboratories: within the first month of equipping said laboratories.</li> <li>2.b. Implementation in laboratories within the macro-regional centers and the National Health Complex: within the first month of hiring each specialist who will develop research and surveillance activities in said laboratories.</li> </ol>	INS-PMT