

# People's Republic of China

## Emerging Infectious Diseases Prevention, Preparedness and Response Project (P173746)

**Draft**

### **ENVIRONMENTAL and SOCIAL COMMITMENT PLAN (ESCP)**

**June 1, 2020**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The People's Republic of China (hereinafter the Borrower), Hainan Province and Jiangxi Province (hereinafter the Project Implementing Entities) will implement the Emerging Infectious Diseases Prevention, Preparedness and Response Project (the **Project**), with the involvement of the following Ministries/agencies/units at national and provincial level: National Health Commission (NHC), Ministry of Agriculture and Rural Affairs (MARA), and National Forestry and Grasslands Administration (NFGA), provincial health commissions, departments agriculture and rural affairs, departments of forestry, development and reform commissions, departments of finance and others provincial, municipal and county entities. The International Bank for Reconstruction and Development (hereinafter the Bank) has agreed to provide financing for the Project.
2. The Borrower and the Project Implementing Entities will implement material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards (**ESSs**). This Environmental and Social Commitment Plan (**ESCP**) sets out material measures and actions, any specific documents or plans, as well as the timing for each of these.
3. The Borrower and the Project Implementing Entities are responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministry, agency or unit referenced in paragraph 1. above.
4. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Bank by the Borrower and the Project Implementing Entities as required by the ESCP and the conditions of the Loan Agreement and the respective Project Agreement, and the Bank will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
5. As agreed by the Bank, the Borrower and the Project Implementing Entities, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the Borrower, through NHC, MARA and NGFA and the Project Implementing Entities, through the relevant Project management office, will agree to the changes with the Bank and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Bank and the Borrower, through NHC, MARA and NGFA and the Project Implementing Entities, through the relevant Project management office. The Borrower, through NHC, MARA and NGFA and the Project Implementing Entities, through the relevant Project management office, will promptly disclose the updated ESCP.
6. Where Project changes, unforeseen circumstances, including changes to existing legislative framework, or Project performance result in changes to the risks and impacts during Project implementation, the Borrower and the Project Implementing Entities shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts.

| MATERIAL MEASURES AND ACTIONS   |  | TIMEFRAME  | RESPONSIBLE ENTITY/AUTHORITY   |
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| <b>MONITORING AND REPORTING</b>   |  |  |  |
| A   | <b>REGULAR REPORTING:</b> Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to, stakeholder engagement activities and grievances log.   | <i>Six-monthly throughout Project implementation</i>   | <i>PMOs/PIUs under the national-level Implementation Agencies (IAs), and PMOs at provincial levels</i> |
| <b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b> |  |  |  |
| 1.1   | <p><b>ORGANIZATIONAL STRUCTURE:</b> Each of the three national-level Implementation Agencies (IAs, namely NHC, MARA and NFGA) shall maintain a Project Management Office (PMO) and a Project Implementation Unit (PIU) with qualified staff and resources to support management of ESHS risks and impacts of the Project including at least one dedicated environmental and social management staff for each PMO/PIU.</p> <p>The same requirements shall apply to the provincial-level PMO in Hainan and the three sectoral PMOs in Jiangxi (coordinated by the PMO under the provincial Health Commission).</p> | <p>Key staff to be appointed prior to commencement of Project activities and maintained throughout Project implementation at both national and provincial level</p> <p>1. Environmental and social management specialist</p> | <i>PMOs/PIUs under the national-level Implementation Agencies (IAs), and PMOs at provincial level</i>  |

| MATERIAL MEASURES AND ACTIONS  | TIMEFRAME  | RESPONSIBLE ENTITY/AUTHORITY  |
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| <p>1.2 <b>ENVIRONMENTAL AND SOCIAL ASSESSMENT/MANAGEMENT PLANS AND INSTRUMENTS/ CONTRACTORS</b></p> <p>a. Assess the environmental and social risks and impacts of proposed Project activities in accordance with ESS1 and the national and provincial Environmental and Social Management Frameworks (ESMFs) and a Health Impact Assessment as part of the ESMF to be prepared, consulted, disclosed and adopted for the Project, including to ensure that individuals or groups who, because of their particular circumstances, may be disadvantaged or vulnerable, have access to the development benefits resulting from the Project.</p> <p>b. Prepare, consult, disclose, adopt, and implement any Environmental and Social Management Plans (ESMPs) or other instruments required for the respective Project activities based on the assessment process, in accordance with the ESSs, the ESMFs, the EHSs, and other relevant Good International Industry Practice (GIIP) including the relevant WHO guidelines, in a manner acceptable to the Bank.</p> <p>c. Incorporate the relevant aspects of this ESCP, including, inter alia, any environmental and social management plans or other instruments, ESS2 requirements, and any other required ESHS measures, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply with the ESHS specifications of their respective contracts.</p> | <p>a. Three national and two provincial ESMFs which will include a Health Impact Assessment to be prepared, disclosed and adopted before any project disbursement under respective ministries and provinces, and thereafter implemented throughout the Project implementation period. Assessment to be conducted before the carrying out of the relevant Project activities.</p> <p>b. Before the carrying out of the relevant Project activities and upon the results of the assessment under action 1.2.a above, and thereafter throughout the carrying out of such activities.</p> <p>c. Before launching the procurement process for the relevant Project activities, and thereafter throughout the carrying out of such activities.</p> | <p>a. <i>Three national PIUs and two provincial PMOs under the provincial Health Commission (Jiangxi) and the Development and Reform Commission (Hainan).</i></p> <p>b. <i>PMOs/PIUs under the national level Implementation Agencies (IAs) and PMOs at the provincial level</i></p> <p>c. <i>PMOs/PIUs under the national level Implementation Agencies (IAs) and PMOs at the provincial level</i></p> |

| MATERIAL MEASURES AND ACTIONS              |  | TIMEFRAME  | RESPONSIBLE ENTITY/AUTHORITY   |
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| 1.3  | <p>EXCLUSIONS: The following types of activities shall not be eligible for financing under the Project:</p> <ul style="list-style-type: none"> <li>• Activities that may cause long term, permanent and/or irreversible adverse impacts (e.g. loss/conversion of critical natural habitat)</li> <li>• Activities that have high probability of causing serious adverse effects to human health and/or the environment</li> <li>• Activities that may have significant adverse social impacts and may give rise to significant social conflict</li> <li>• Activities that may affect lands or rights of indigenous people or other vulnerable minorities,</li> <li>• Activities that may involve any significant or large -scale resettlement or land acquisition/use restriction or adverse impacts on cultural heritage</li> <li>• All the other excluded activities set out in the ESMF of the Project.</li> </ul> | During the assessment process conducted under action 1.2.a. above.   | <i>PMOs/PIUs under the national level Implementation Agencies (IAs) and PMOs at the provincial level</i> |
| 1.4  | The Borrower and the Project Implementing Entities to ensure that the requirements of the ESSs will be applied to technical assistance activities as relevant and appropriate to the nature of the risks and impacts, and that the terms of reference, work plans or other documents defining the scope and outputs of technical assistance activities will be drafted so that the advice and other support provided is consistent with the ESSs.  |  | <i>PMOs/PIUs under the national level Implementation Agencies (IAs) and PMOs at the provincial level</i> |
| <b>ESS 2: LABOR AND WORKING CONDITIONS</b> |  |  |  |
| 2.1  | <p><b>LABOR MANAGEMENT:</b> The Project shall be carried out in accordance with the applicable requirements of ESS2, in a manner acceptable to the Bank, including through, inter alia, implementing adequate occupational health and safety measures (including emergency preparedness and response measures), setting out grievance arrangements for Project workers, and incorporating labor requirements into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms.</p>   | Throughout Project implementation.   | <i>PMOs/PIUs under the national level Implementation Agencies (IAs) and PMOs at the provincial level</i> |
| 2.2  | The Borrower and the Project Implementing Entities shall prepare, adopt and implement Labor Management Procedures (LMP) consistent with ESS2 and in form and substance acceptable to the Bank.   | LMP to be adopted before engaging project workers in Project activities and thereafter implemented throughout Project implementation | <i>PMOs/PIUs under the national level Implementation Agencies (IAs) and PMOs at the provincial level</i> |

| MATERIAL MEASURES AND ACTIONS   |  | TIMEFRAME                         | RESPONSIBLE ENTITY/AUTHORITY   |
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| 2.3   | The Borrower and the Project Implementing Entities shall implement adequate occupational health and safety measures (including emergency preparedness and response measures) in line with the ESMF, the applicable requirements of ESS2, the ESHGs and other relevant GIIP including the relevant WHO guidelines in all facilities, including infectious wards, fever clinics, laboratories, quarantine and isolation centers, and screening posts.  | Throughout Project implementation | <i>PMOs/PIUs under the national level<br/>Implementation Agencies (IAs) and PMOs at the provincial level</i> |
| 2.4   | The Borrower and the Project Implementing Entities shall ensure that all healthcare workers adhere to the WHO Code of Ethics and Professional Conduct.   | Throughout Project implementation | <i>PMOs/PIUs under the national level<br/>Implementation Agencies (IAs) and PMOs at the provincial level</i> |
| 2.5   | The Borrower and the Project Implementing Entities shall establish a grievance hotline for project workers and assignment of focal points to address these grievances within each implementing agency.   | Throughout Project implementation | <i>PMOs/PIUs under the national level<br/>Implementation Agencies (IAs) and PMOs at the provincial level</i> |
| 2.6   | The Borrower and the Project Implementing Entities shall prohibit child labor (any person under the age of 18) due to the hazardous work situation.  | Throughout Project implementation | <i>PMOs/PIUs under the national level<br/>Implementation Agencies (IAs) and PMOs at the provincial level</i> |
| <b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>                                   |  |                                   |  |
| 3.1   | Relevant aspects of this standard shall be considered, as needed, under action 1.2 above, including, inter alia, due diligence of waste management in relation to project activities and measures to manage biological waste, chemical waste and other types of hazardous and non-hazardous waste from project activities as needed.   |                                   |  |
| <b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>   |  |                                   |  |
| 4.1   | Relevant aspects of this standard shall be considered, as needed, under action 1.2 above including, inter alia, the preparation of a Health Impact Assessment and measures to: minimize the potential for community exposure to communicable diseases; ensure that individuals or groups who, because of their particular circumstances, may be disadvantaged or vulnerable, have access to the development benefits resulting from the Project; manage the risks of the use of security personnel; manage the risks of labor influx; and prevent and respond to sexual exploitation and abuse, and sexual harassment. |                                   |  |
| <b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b>                       |  |                                   |  |
| 5.1   | Activities requiring significant or large-scale resettlement or land acquisition/use restriction will be explicitly excluded from the Project.   | Throughout Project implementation | <i>PMOs/PIUs under the national level<br/>Implementation Agencies (IAs) and PMOs at the provincial level</i> |
| <b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>              |  |                                   |  |
|   | Relevant aspects of this standard shall be considered, as needed, under action 1.2 above.  |                                   |  |
| <b>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b> |  |                                   |  |
|   | Relevant aspects of this standard shall be considered, as needed, under action 1.2 above.  |                                   |  |
| <b>ESS 8: CULTURAL HERITAGE</b>   |  |                                   |  |

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| Relevant aspects of this standard shall be considered, as needed, under action 1.2 above. |  |  |
| <b>ESS 9: FINANCIAL INTERMEDIARIES</b>  |  |  |
| Not relevant  |  |  |
| <b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>                          |  |  |
| 10.1  | <b>STAKEHOLDER ENGAGEMENT PLAN:</b> Prepare, disclose, adopt, and implement a Stakeholder Engagement Plan (SEP) at national and provincial levels consistent with ESS10, in a manner acceptable to the Bank.   | A draft SEP was already prepared and disclosed and shall be updated before any project disbursement under respective ministries and provinces. The SEPs (one for each of the PMOs/PIUs under the national level Implementation Agencies (IAs) and PMOs at the provincial level) shall be implemented throughout Project implementation |
| 10.2  | <b>GRIEVANCE MECHANISM:</b> Accessible grievance arrangements shall be made publicly available to receive and facilitate resolution of concerns and grievances in relation to the Project, consistent with ESS10, in a manner acceptable to the Bank.  | Throughout Project implementation.   |
| <b>CAPACITY ASSESSMENT and SUPPORT</b>  |  |  |
|   | Capacity support activities will be implemented following the project-specific capacity development assessment and plan included in the ESMFs. The capacity development plan will include institutional strengthening measures as well as trainings for personnel involved in the implementation of Project on topics such as : <ul style="list-style-type: none"> <li>• Specimen collection and shipment</li> <li>• Risk communication and community engagement</li> <li>• Medical and hazardous waste management</li> <li>• Reporting on incidents of community exposure to communicable diseases</li> </ul> | Throughout Project implementation  |