

Procurement Plan P111996 – Post-Restructuring

I. General

2. **Bank's approval Date of the procurement Plan:** [Original as referenced in Loan Agreement September 24, 2009]; Revised version November 2014.
3. **Date of General Procurement Notice:**
4. **Period covered by this procurement plan:** The procurement period of Project covered from year November 2014 to end of Project.

II. Goods and Works and non-consulting services.

1. **Prior Review Threshold:** Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement:

	Procurement Method	Prior Review Threshold - US\$
1.	ICB (Goods and Non-Consultant Services)	Above US\$ 4,000,000
2.	NCB (Goods and Non-Consultant Services)	
3.	Shopping (Goods)	All post review
4.	ICB (Works)	Above US\$ 15,000,000
5.	NCB (Works)	
6.	Shopping (Works)	All post review
7.	SQC (Consultant Services)	Above US\$ 2,000,000
8.	SBQC, SBQ, SOF and SMC (Consultant Services)	
9.	INDV (Consultant Services)	Above US\$ 400,000

2. **Prequalification Not applicable**
3. **Reference to (if any) Project Operational/Procurement Manual: Not applicable**
4. **Any Other Special Procurement Arrangements: *Not applicable***
5. **Summary of the Procurement Packages planned during the first 18 months after project effectiveness**

1	2	3	4	5	6	7
Ref. No.	Description	Estimated Cost US\$ million	Packages	Domestic Preference (yes/no)	Review by Bank (Prior / Post)	Comments
<i>Segurança viária passarelas</i>	Summary of the ICB (Works)	4.428	1	No	Post	
<i>SEGURANÇA VIÁRIA MUROS / Construção de 30 km de via de muros</i>	Summary of the ICB (Works)	5.030	1	No	Post	
<i>ICB - ADPET2-01A-14/CELIC; BR-CENTRAL -2541-GO-RFB; BR-CENTRAL -2546-GO-RFB;</i>	Summary of the ICB (Goods)	67.5	5	Yes/No (1 st and third contract)	2 post/3 Prior	First 3 contracts are prior.

SEGURANCA VIARIA CANCELAS; BICICLETAS INTEGRADORAS AQUISICAO						
004/ASJUR/16; AUDITORIA; PASSARELAS SONDAGEM; PASSARELAS TOPOGRAFIA	Summary of the ICB (Non- Consultant Services)	0.0579	4	Yes/No (1 st is only internatio nal)	3 post/1 prior	First contract is prior

III. Selection of Consultants

- 1. Prior Review Threshold:** Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

	Selection Method	Prior Review Threshold
1.	Competitive Methods (Firms)	Above US\$ 2,000,000
2.	Single Source (Firms)	As per prior review threshold of each method
3.	Individual	Above US\$ 400,000

- 2. Short list comprising entirely of national consultants:** Short list of consultants for services, estimated to cost less than \$ 1,500,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.
- 3. Consultancy Assignments with Selection Methods and Time Schedule**
Note: First 2 lines (in red) applies to pending; in black is cleared.

1	2	3	4	5	6
Ref. No.	Description of Assignment	Estimated Cost US\$ million	Packages	Review by Bank (Prior / Post)	Comments
BR-CENTRAL -4967-CS-QCBS	Summary of number of contracts that will be let under QCBS	0.500	1	Post	
CIMU PROJETO; ADAPTACAO AS MUDANCAS CLIMATICA	Summary of number of contracts that will be let under QCBS	3.408	2	1 Prior, 1 Post	First contract is prior
TRENS CONSULTORIA; 005/ASJUR/2016; BR-CENTRAL -4968-CS-INDV; BR-CENTRAL -4969-CS-INDV; CIMU CONSULTORIA INDIVIDUAL; PASSARELAS PROJETO BASICO AVANÇADO;	Summary of number of contracts that will be let under other methods	0.436	6	1 prior, 5 posts	Second contract is prior.

PROCUREMENT PLAN Brazil : Upgrading and Greening the Rio de Janeiro Urban Rail System

General Information
Country: Brazil **Bank's Approval Date of the Original Procurement Plan:** 2016-09-15
Revised Plan Date(s): (comma delineated, leave blank if none) 2020-10-28
Project ID: P111996 **GFN Date:**
Project Name: Upgrading and Greening the Rio de Janeiro Urban Rail System
Loan / Credit No: IBRD / 81170
Executing Agency(ies): Company of Transport Engineering and Logistics of the State of Rio de Janeiro

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion		
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		Planned	Actual
SEGURANCA VIARIA PASSARELAS / Construção de 4 passarelas	IBRD / 81170	AF. Institutional and Policy Development	Posterior	Solicitação de Ofertas	Open - National	Single Stage - One Envelope				4,428,059.24	0.00	Cancelado					2018-09-24		2018-09-29					2018-11-10		2018-12-10		2018-01-14		2019-07-13	
SEGURANCA VIARIA MURDS / Construção de 30 km de via de murros	IBRD / 81170	AF. Institutional and Policy Development	Posterior	Solicitação de Ofertas	Open - National	Single Stage - One Envelope				5,029,767.00	0.00	Cancelado					2018-10-01		2018-10-06					2018-11-17		2018-12-17		2019-01-21		2019-07-20	
MURDS E PASSARELAS FASE I / Implantação de 5 passarelas e 11 km de murros.	IBRD / 81170	AF. Institutional and Policy Development	Posterior	Solicitação de Ofertas	Open - National	Single Stage - One Envelope				5,369,176.00	0.00	Implementação Pendente					2020-06-02		2020-06-07					2020-07-19		2020-08-18		2020-09-22		2021-03-21	

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion			
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		
ICB - ADPET2-01A-14 / CELIC / SUPPLY OF 12 EMUS WITH 4 CARS EACH OR 6 EMUS WITH 8 CARS EACH, TOTALING 24 MOTOR CARS AND 24 TRAILER CARS, FOR STATE OF RIO DE JANEIRO GOVERNMENT - SECRETARIA DE ESTADO DE TRANSPORTES DO RIO DE JANEIRO - SETRANS	IBRD / 81170	Infrastructure and Equipment	Previa	Solicitação de Ofertas	Open - International	Single Stage - One Envelope		63,088,549.64	48,616,850.28	Ancorado															2015-10-02		2015-09-21			
BICICLETAS INTEGRADORAS AQUISICAO / Aquisição de 2100 bicicletas para o projeto de integração com o sistema ferroviário	IBRD / 81170	AF. Institutional and Policy Development	Posterior	Solicitação de Ofertas	Open - National	Single Stage - One Envelope		500,000.00	0.00	Cancelado					2019-09-01		2019-09-06							2019-10-15		2019-11-17		2019-12-22		2020-06-19
BR-CENTRAL 2541-GO-RFB / ADPET2-03 - AQUISIÇÃO DE BICICLETAS PARA UTILIZAÇÃO INTEGRADA AO SISTEMA FERROVIÁRIO URBANO DA REGIÃO METROPOLITANA DO RIO DE JANEIRO	IBRD / 81170	Infrastructure and Equipment	Previa	Solicitação de Ofertas	Open - National	Single Stage - One Envelope		500,000.00	0.00	Cancelado					2017-03-01		2017-03-06							2017-04-17		2017-05-17		2017-06-21		2017-12-18

BE-CENTRAL_2546-GO-RFB / ADPET2-18 - DESENVOLVIMENTO E IMPLANTÇÃO DE CENTRO INTEGRADO DE MOBILIDADE URBANA DO ESTADO DO RIO DE JANEIRO - CIMU	IBRD / 81170	Infrastructure and Equipment	Prévia	Solicitação de Ofertas	Open - International	Single Stage - One Envelope		3,000,000.00	0.00	Cancelado				2017-12-01	2017-12-06			2018-01-17	2018-02-16	2018-03-23	2018-09-19		
CIMU AQUISIÇÕES / Aquisição de equipamentos e licenças de softwares necessários para suportar o sistema do CIMU	IBRD / 81170	AF - Infrastructure and Equipment	Posterior	Solicitação de Ofertas	Open - National	Single Stage - One Envelope		965,170.00	0.00	Em fase de Implementaçã o				2019-07-30	2019-12-13	2019-08-04	2019-12-24	2019-09-15	2019-10-15	2019-11-19	2020-05-17		
SEGURANCA VIARIA CANCELAS / Aquisicao e implantação de 12 cancelas	IBRD / 81170	AF - Institutional and Policy Development	Posterior	Solicitação de Ofertas	Open - National	Single Stage - One Envelope		4,500,000.00	0.00	Implementaçã o Pendente				2020-06-19	2020-06-29			2020-07-29	2020-08-03	2020-09-08	2021-05-31		
LINHA DE BASE COMPUTADORES / Aquisição de equipamentos de informática para o laboratório de execução do projeto Linha de Base.	IBRD / 81170	AF - Infrastructure and Equipment	Posterior	Solicitação de Cotações	Open - National	Single Stage - One Envelope		15,000.00	0.00	Cancelado					2019-07-15					2019-09-09	2020-03-07		
LINHA DE BASE AQUISIÇÃO DIRETA / Aquisição de equipamentos de informática para o laboratório de execução do projeto Linha de Base.	IBRD / 81170	AF - Infrastructure and Equipment	Posterior	Aquisição Direta	Direct			15,000.00	0.00	Em fase de Implementaçã o				2020-05-20	2020-09-28	2020-05-25				2020-06-29	2020-12-26		

NON CONSULTING SERVICES

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion		
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	
004/ASUR/16 / Independent Audit Services of Loan 7719-BR and 8117-BR for 2015/2016/2017.	IBRD / 81170	Infrastructure and Equipment	Prévia	Solicitação de Cotações	Open - International			34,189.98	36,386.79	Assinado																		2016-07-05	
GERENCIAMENTO DE BICICLETARIOS / Gerenciamento de 2 bicicletarios para implantação do Projeto Piloto Bicicletas Integradoras	IBRD / 81170	AF - Institutional and Policy Development	Posterior	Solicitação de Ofertas	Open - National	Single Stage - One Envelope		200,000.00	0.00	Implementaçã o Pendente				2018-12-10		2018-12-15					2019-01-26		2019-02-25	2019-04-01		2019-09-28			
SERVICO DE TRADUCAO / Servico de traduco de documentos	IBRD / 81170	AF - Institutional and Policy Development	Posterior	Solicitação de Cotações	Open - National	Single Stage - One Envelope		25,000.00	0.00	Em fase de Implementaçã o						2018-12-05									2019-01-30		2019-07-29		
AUDITORIA ANO 2019 / Contratação de auditoria externa do PET II e Adi PET II referente ao ano 2019	IBRD / 81170	AF - Infrastructure and Equipment	Posterior	Solicitação de Cotações	Open - National	Single Stage - One Envelope		12,500.00	0.00	Implementaçã o Pendente						2019-08-20								2019-10-15		2020-04-12			
AUDITORIA / Contratacao de auditoria externa do PET II e Adi PET II para o periodo 2018 2019	IBRD / 81170	AF - Institutional and Policy Development	Posterior	Solicitação de Cotações	Open - National	Single Stage - One Envelope		12,318.31	0.00	Cancelado						2018-09-06								2018-11-01		2019-04-30			

AUDITORIA ANO 2018 / Realização de serviço de auditoria referente ao ano de 2018.	IBRD / 81170	AF. Institutional and Policy Development	Posterior	Aquisição Direta	Direct		12,500.00	0.00	Implementação e Pendente						2019-04-26		2019-04-26						2019-05-20		2019-09-17
AUDITORIA ANOS 2020 2021 2022 / Contratação de auditoria externa do PET II e Adi PET II para o período 2020 2021 2022.	IBRD / 81170	AF - Infrastructure and Equipment	Posterior	Solicitação de Cotações	Open - National	Single Stage - One Envelope	54,000.00	0.00	Cancelado								2020-07-21						2020-09-21		2023-07-17
PASSARELAS SONDADEM / Serviço de empresa para realizar a sondagem de solo nos locais onde serao construidas as passarelas	IBRD / 81170	AF. Institutional and Policy Development	Posterior	Solicitação de Cotações	Open - National	Single Stage - One Envelope	9,463.42	0.00	Cancelado								2018-08-29						2018-10-15		2019-04-13
PASSARELAS TOPOGRAFIA / Serviço de empresa contratada para realizar a topografia de solo nos locais onde serao construidas as passarelas	IBRD / 81170	AF. Institutional and Policy Development	Posterior	Solicitação de Cotações	Open - National	Single Stage - One Envelope	1,982.77	0.00	Cancelado								2018-08-29						2018-10-15		2019-04-13

CONSULTING FIRMS																											
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
LINHA DE BASE / Avaliacao do impacto dos projetos do escopo do PET II e Adi PET II a partir dos objetivos previstos nos acordos	IBRD / 81170	AF. Institutional and Policy Development	Posterior	Seleção Baseada nas Qualificações dos Consultores	Open - National		183,500.00	0.00	Em fase de Implementação	2018-08-10	2019-06-07	2018-08-31		2018-10-14									2018-11-13		2018-12-18		2019-06-16
ADAPTACAO AS MUDANCAS CLIMATICAS / Consultoria para o desenvolvimento de um projeto de mitigação dos efeitos das mudanças climáticas no sistema de transporte urbano	IBRD / 81170	AF. Institutional and Policy Development	Posterior	Seleção Baseada na Qualidade e Custo	Open - International		500,000.00	0.00	Em fase de Implementação	2018-10-04	2019-12-24	2018-10-04	2019-12-24	2018-11-17				2018-12-15		2019-01-14			2019-02-18		2019-03-25		2020-03-24
TRENS CONSULTORIA / Consultoria para realizar um estudo sobre o problema que persiste há 6 anos na sinalização dos trens chineses	IBRD / 81170	AF. Institutional and Policy Development	Posterior	Seleção Baseada nas Qualificações dos Consultores	Open - International		60,000.00	0.00	Cancelado	2018-08-01		2018-08-22		2018-10-05									2018-11-04		2018-12-09		2019-06-07
TOD QUEIMADOS / Projeto Básico para reestruturação urbana de áreas do entorno da estação de Queimados, com foco no Desenvolvimento Orientado ao Trânsito	IBRD / 81170	AF. Institutional and Policy Development	Posterior	Seleção Baseada na Qualidade e Custo	Open - International		545,775.00	0.00	Implementação Pendente	2015-05-19		2015-06-10		2015-11-16				2016-05-04		2016-06-12			2016-09-21		2016-12-27		2017-12-27
CIMU PROJETO / Desenvolvimento e implantação do sistema para o Centro Integrado de Mobilidade Urbana do ERJ CIMU	IBRD / 81170	AF. Institutional and Policy Development	Posterior	Seleção Baseada na Qualidade e Custo	Open - International		753,217.00	0.00	Em fase de Implementação	2019-07-30	2020-01-24	2019-08-20	2020-01-24	2019-10-03				2019-10-31		2019-11-30			2020-01-04		2020-02-08		2021-02-07

BILHETE UNICO CONSULTORIA / Consultoria para a realizacao de estudo visando a reavaliacao socioeconomica e financeira do uso, acesso e subsídios ao Programa Bilhete Unico Intermunicipal (HUI) do Governo do Estado do Rio de Janeiro.	IBRD / 81170	AF. Institutional and Policy Development	Posterior	Seleção Baseada na Qualidade e Custo	International		640.000.00	0.00	Implementação Pendente	2020-05-11	2020-06-26	2020-08-11	2020-09-22	2020-10-14	2020-11-13	2020-12-04	2021-06-02
ADAPTACAO MUDANCAS CLIMATICAS / Consultoria para o desenvolvimento de um projeto de mitigação dos efeitos das mudanças climáticas no sistema de transporte urbano	IBRD / 81170	AF. Institutional and Policy Development	Posterior	Seleção Baseada na Qualidade e Custo	Open - International		500.000.00	0.00	Implementação Pendente	2019-12-20	2020-01-10	2020-08-07	2020-09-04	2020-10-04	2020-11-08	2020-12-13	2021-12-13
SUPERVISAO DE OBRAS FASE I / Contratação de empresa para realizar supervisão, gerenciamento e fiscalização da execução das obras de civis necessárias à implantação de passarelas para travessia de pedestres, muros de fechamento e passagens de nível.	IBRD / 81170	AF. Institutional and Policy Development	Posterior	Seleção Baseada no Menor Custo	Open - National		934.417.29	0.00	Implementação Pendente	2020-10-30	2020-12-17	2021-02-20	2021-03-24	2021-04-16	2021-05-26	2021-06-18	2021-12-15
PASSARELAS CANCELAS REFORMA CONSULTORIA / Contratação de empresa para realizar diagnóstico, pesquisa social e anteprojeto técnicos e anteprojeto destinados à recuperação, reforma, reparos ou demolição de passarelas e passagens subterrâneas, não operacionais, em ramais da SUPERVIA.	IBRD / 81170	AF. Institutional and Policy Development	Posterior	Seleção Baseada no Menor Custo	Open - National		469.501.80	0.00	Implementação Pendente	2020-10-30	2020-12-18	2021-02-22	2021-03-29	2021-04-26	2021-05-31	2021-06-23	2021-12-20

INDIVIDUAL CONSULTANTS

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
005/ASUR/2016 / Professional Services for Project Evaluation and Supervision of the manufacturing of trains	IBRD / 81170	Infrastructure and Equipment	Previa	Individual	Open		110.056.14	119.974.17	Assinado					2016-07-13		2016-06-05			
LICITACAO CONSULTORIA INDIVIDUAL / Consultoria para dar suporte aos processos de licitacao	IBRD / 81170	AF. Institutional and Policy Development	Posterior	Seleção Direta de Consultores	Direct		39.111.00	0.00	Em fase de Implementação	2018-07-25	2018-10-19	2018-08-04				2018-10-08		2019-04-06	
BE-CENTRAL -4968-CS-INDV / ADPET-2-13 - ESTUDOS DE LINHA DE BASE	IBRD / 81170	Institutional and Policy Development	Posterior	Individual	Open		100.000.00	0.00	Cancelado	2017-01-02		2017-02-20		2017-03-13		2017-04-17		2017-10-14	

BR-CENTRAL -4989-CS-INDV / ADPTE2-10A - SERVIÇOS DE CONSULTORIA INDIVIDUAL DE APOIO TÉCNICO AO ADPTE2 - DESASTRES NATURAIS	IBRD / 81170	Institutional and Policy Development	Posterior	Individual	Open	50,000.00	0.00	Cancelado	2017-01-02	2017-02-20	2017-03-13	2017-04-17	2017-10-14		
CIMU CONSULTORIA INDIVIDUAL / Consultoria individual para elaboração do TR e Edital do projeto CIMU	IBRD / 81170	AF. Institutional and Policy Development	Posterior	Individual	Open	91,657.00	0.00	Implementação Pendente	2018-07-09	2018-08-27	2018-09-17	2018-10-01	2019-03-30		
PASSARELAS PROJETO BASICO AVANÇADO / Consultoria individual para a elaboração do projeto básico avançado das passarelas	IBRD / 81170	AF. Institutional and Policy Development	Posterior	Individual	Open	24,531.20	0.00	Cancelado	2018-08-15	2018-10-03	2018-10-24	2018-11-28	2019-05-27		
LICITAÇÃO ONIBUS INTERMUNICIPAL CONSULT / Contratação de um consultor especializado para apoiar na avaliação, revisão e complementação dos documentos necessários à Licitação do Sistema Intermunicipal de Transporte Coletivo de Passageiros por ônibus.	IBRD / 81170	AF. Institutional and Policy Development	Posterior	Individual	Open	80,000.00	0.00	Implementação Pendente	2020-04-29	2020-08-06	2020-08-19	2020-09-02	2021-01-21		