

## PROCUREMENT PLAN

**Project information: Zambia:** Lusaka Sanitation Program (P149091)

**Project Implementation agency:** Lusaka Water and Sewerage Company Limited

**Date of the Procurement Plan:** November 3<sup>rd</sup>, 2017

**Period covered by this Procurement Plan:** The procurement period of project covered from year Jan 2015 to December 2017

### Preamble

In accordance with paragraph 5.9 of the “World Bank Procurement Regulations for IPF Borrowers” (July 2016) (“Procurement Regulations”) the Bank’s Systematic Tracking and Exchanges in Procurement (STEP) system will be used to prepare, clear and update Procurement Plans and conduct all procurement transactions for the Project.

This textual part along with the Procurement Plan tables in STEP constitute the Procurement Plan for the Project. The following conditions apply to all procurement activities in the Procurement Plan. The other elements of the Procurement Plan as required under paragraph 4.4 of the Procurement Regulations are set forth in STEP.

***The Bank’s Standard Procurement Documents:*** shall be used for all contracts subject to international competitive procurement and those contracts as specified in the Procurement Plan tables in STEP.

**National Procurement Arrangements:** In accordance with paragraph 5.3 of the Procurement Regulations, when approaching the national market (as specified in the Procurement Plan tables in STEP), the country’s own procurement procedures may be used.

When the Borrower uses its own national open competitive procurement arrangements as set forth in the Public Procurement Act, 2008, Act. No.12 of 2008, as amended by the Public Procurement (Amendment) Act, 2011, Act No. 15 of 2011 (the “PPA”), and the Public Procurement Regulations, 2011, Statutory Instrument No. 63 of 2011 (the “Regulations”); provided, such arrangements shall be subject to paragraph 5.4 of the Procurement Regulations and the following conditions.

**Eligibility:** Eligibility to participate in a procurement process and to be awarded an IDA-financed contract shall be as defined under Section I of the Procurement Guidelines; accordingly, no bidder or potential bidder shall be declared ineligible for contracts financed by IDA for reasons other than those provided in Section I of the Procurement Guidelines. No restriction based on nationality of bidders and/or origin of goods shall apply, and foreign bidders shall be allowed to participate in NCB without application of restrictive conditions, such as, but not limited to, mandatory partnering or subcontracting with national entities.

**Domestic Preference:** No margins of preference of any sort shall be applied in the bid evaluation.

**Bidding Documents:** Procuring entities shall use bidding documents acceptable to IDA.

**Bid validity:** An extension of bid validity, if justified by exceptional circumstances, may be requested in accordance with Appendix 1 of the Procurement Guidelines. A corresponding extension of any bid guarantee shall be required in all cases of extension of bid validity. A bidder may refuse a request for extension of bid validity without forfeiting its bid guarantee.

**Qualification:** Qualification criteria shall be clearly specified in the bidding documents. All criteria so specified, and only such specified criteria, shall be used to determine whether a bidder is qualified. Qualification shall be assessed on a “pass or fail” basis, and merit points shall not be used. Such assessment shall be based entirely upon the bidder’s or prospective bidder’s capability and resources to effectively perform the contract, taking into account objective and measurable factors, including: (i) relevant general and specific experience, and satisfactory past performance and successful completion of similar contracts over a given period; (ii) financial position; and where relevant (ii) capability of construction and/or manufacturing facilities.

Prequalification procedures and documents acceptable to IDA shall be used for large, complex and/or specialized works. Verification of the information upon which a bidder was prequalified, including current commitments, shall be carried out at the time of contract award, along with the bidder’s capability with respect to personnel and equipment. Where pre-qualification is not used, the qualification of the bidder who is recommended for award of contract shall be assessed by post-qualification, applying the qualification criteria stated in the bidding documents.

**Bid Evaluation:** All bid evaluation criteria other than price shall be quantifiable in monetary terms. Merit points shall not be used, and no minimum point or percentage value shall be assigned to the evaluation criteria or significance of price in bid evaluation. No negotiations shall be permitted.

**Guarantees:** Guarantees shall be in the format, shall have the period of validity and shall be submitted when and as specified in the bidding documents.

**Cost Estimates:** Detailed cost estimates shall be confidential and shall not be disclosed to prospective bidders. No bids shall be rejected on the basis of comparison with the cost estimates without IDA’s prior written concurrence.

**Rejection of bids and re-bidding:** No bid shall be rejected solely because it falls outside of a predetermined price range or exceeds the estimated cost. All bids (or the sole bid if only one bid is received) shall not be rejected, the procurement process shall not be cancelled, and new bids shall not be solicited without IDA's prior written concurrence.

**Fraud and corruption:** In accordance with the Procurement Guidelines, each bidding document and contract shall include provisions stating IDA's policy to sanction firms or individuals found to have engaged in fraud and corruption as set forth in the Procurement Guidelines.

**Inspection and audit rights:** In accordance with the Procurement Guidelines, each bidding document and contract shall include provisions stating IDA's policy with respect to inspection and audit of accounts, records and other documents relating to the submission of bids and contract performance.

When other national procurement arrangements other than national open competitive procurement arrangements are applied by the Borrower, such arrangements shall be subject to paragraph 5.5 of the Procurement Regulations.

***Leased Assets as specified under paragraph 5.10 of the Procurement Regulations:*** Leasing may be used for those contracts identified in the Procurement Plan tables. **N/A**

***Procurement of Second Hand Goods as specified under paragraph 5.11 of the Procurement Regulations –*** is allowed for those contracts identified in the Procurement Plan tables **N/A**

***Domestic preference as specified under paragraph 5.51 of the Procurement Regulations (Goods and Works).***

Goods: is not applicable for those contracts identified in the Procurement Plan tables;

Works: is not applicable for those contracts identified in the Procurement Plan tables

**PROCUREMENT PLAN Zambia : Lusaka Sanitation Project**

**General Information**

**Country:** Zambia **Banks:** Approval Date of the Original Procurement Plan: 2016-11-23  
**Project ID:** P149091 **Revised Plan Date(s):** (comma delimited, leave blank if none) 2018-06-30  
**Project Name:** Lusaka Sanitation Project **GPN Date:** 2016-11-24  
**Loan / Credit No:** IDA / Q9520, IDA / 56460  
**Executing Agency(ies):** Lusaka Water and Sewerage Company

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
LWSC/SPW-001/2015 / Sewer network expansion Kafue Road/Emmastele/Chasas(CSE-23, CSE-09) & Upgrade of Ngerere Western Interceptor (CSU-05)	IDA / Q9520	Sewerage Improvements	Prior	Request for Bids	Open - International	Single Stage - One Envelope		7,448,571.51	Signed					2016-06-10		2016-06-15					2016-10-21	2017-02-12	2017-03-17	2017-03-19	2017-01-31	2017-09-15	
LWSC/SPW-003/2015 / Upgrade of Ngerere Distribution Collector (CSU-07) Upgrade of Ngerere Sewage Ponds (TU-04); Extension of Ngerere Sewage Ponds (TE-02)	IDA / Q9520	Sewerage Improvements	Prior	Request for Bids	Open - International	Single Stage - One Envelope		0.00	Cancelled					2017-02-01		2017-02-06					2017-03-20	2017-04-19		2017-05-24		2017-11-20	
LWSC/SPW-004/2015 / Construction of On-site Fecal Sludge Management Facilities	IDA / Q9520	On-site Sanitation	Post	Request for Bids	Open - National	Single Stage - One Envelope		0.00	Pending Implementation					2018-08-01		2018-08-06					2018-09-17	2018-10-17		2018-11-21		2019-05-20	
LWSC/SPW-005/2015 / Construction of Decentralised Wastewater Systems	IDA / Q9520	Sewerage Improvements	Post	Request for Bids	Open - National	Single Stage - One Envelope		0.00	Pending Implementation					2018-09-05		2018-09-10					2018-10-22	2018-11-21		2018-12-26		2019-06-24	
LWSC/SPW-007/2015 / Rehabilitation of 7th Constituency Level Environmental Health Offices	IDA / Q9520	On-site Sanitation	Post	Request for Quotations	Limited	Single Stage - One Envelope		0.00	Pending Implementation							2018-04-28							2018-06-23		2018-12-20		
LWSC/SPW-006/2015 / on-site sanitation construction programme (including construction support funds/body fund for 10,000units)	IDA / Q9520	On-site Sanitation	Post	Request for Bids	Open - National	Single Stage - One Envelope		0.00	Under Implementation					2018-05-01	2018-06-27	2018-05-06					2018-06-17	2018-07-17		2018-08-21		2019-02-17	
LWSC/SPW-008/2015 - 1 / Construction of Sewer systems in Ndake/Voma Valley and Kasimwa	IDA / Q9520	Sewerage Improvements	Prior	Request for Bids	Open - International	Single Stage - One Envelope	Y	0.00	Under Review	2018-07-02		2018-09-17		2018-09-17		2018-07-07					2018-11-26	2018-12-26		2019-01-30		2020-07-23	
LWSC/SPW-008/2015 - 2 / Construction of Sewer systems in Chidale Area	IDA / Q9520	Sewerage Improvements	Prior	Request for Bids	Open - International	Single Stage - One Envelope	Y	0.00	Under Review	2018-07-02		2018-09-17		2018-09-17		2018-07-07					2018-11-26	2018-12-26		2019-01-30		2020-07-23	
LWSC/SPW-008/2015-3 / Construction of Sewer systems in Lusaka industrial Area 2 and Karyama	IDA / Q9520	Sewerage Improvements	Prior	Request for Bids	Open - International	Single Stage - One Envelope	Y	0.00	Under Review	2018-07-02		2018-09-17		2018-09-17		2018-07-07					2018-11-26	2018-12-26		2019-01-30		2020-07-23	
LWSC/SPW-008/2015 - 4 / Construction of Sewer systems in Roma/Musali and Mass media/Kalungalinga	IDA / Q9520	Sewerage Improvements	Prior	Request for Bids	Open - International	Single Stage - One Envelope	Y	0.00	Under Review	2018-07-02		2018-09-17		2018-09-17		2018-07-07					2018-11-26	2018-12-26		2019-01-30		2020-07-23	
LWSC/SPW-008/2015 / Network expansion in Chidale, voma valley, ndeke, Mass media, kasimwa(NEW)	IDA / Q9520	Sewerage Improvements	Prior	Request for Bids	Open - International	Single Stage - One Envelope	Y	0.00	Cancelled	2018-01-30	2018-04-11	2018-04-17		2018-04-17		2018-02-04	2018-04-12				2018-06-26	2018-06-30		2018-08-30		2020-02-21	
LWSC/SPW-008-5 / Construction of sewer systems in chidale and Garden	IDA / Q9520	Sewerage Improvements	Prior	Request for Bids	Open - International	Single Stage - One Envelope	Y	0.00	Pending Implementation	2018-07-02		2018-09-17		2018-09-17		2018-07-07					2018-11-26	2018-12-26		2019-01-30		2020-07-23	
LWSC/SPW-008 - 6 / Construction of sewer systems in Chawama and Isumbwa	IDA / Q9520	Sewerage Improvements	Prior	Request for Bids	Open - International	Single Stage - One Envelope	Y	0.00	Pending Implementation	2018-07-02		2018-09-17		2018-09-17		2018-07-07					2018-11-26	2018-12-26		2019-01-30		2020-07-23	

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion		
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned
LWSC/SPW-009/2015 / Supply and delivery of Equipment for sewer maintenance (MC)	IDA / Q9520	Sewerage Improvements	Post	Request for Bids	Open - National	Single Stage - One Envelope		239,371.87	Completed					2017-01-15	2017-01-06	2017-01-20	2017-01-12				2017-03-03	2017-02-10	2017-04-02	2017-03-01	2017-05-07	2017-06-19	2017-11-03	2018-02-28
LWSC/SPW-010/2015 / Supply and delivery of Laboratory Equipment for LWSC and Program Monitoring, and reports (MC)	IDA / 56460 IDA / Q9520	Institutional Strengthening	Post	Request for Bids	Open - National	Single Stage - One Envelope		433,533.46	Signed					2017-01-15	2017-01-06	2017-01-20	2017-01-12				2017-03-03	2017-02-10	2017-04-02	2017-03-01	2017-05-07	2017-06-20	2017-11-03	2017-12-27
LWSC/SPW-017/2015 / IT domain server for Lusaka Sanitation Program	IDA / 56460	Institutional Strengthening	Post	Request for Quotations	Limited	Single Stage - One Envelope		0.00	Pending Implementation							2017-01-25							2017-03-22		2017-09-18			

LWSC LSPG-09/2015A / Supply and Delivery of Pumps and demolition Equipment	IDA / Q9520	Sewerage Improvements	Post	Request for Quotations	Limited	Single Stage - One Envelope		0.00	Under Implementation				2017-11-15	2017-11-17						2018-01-10	2018-07-09		
LWSC LSPG-015/2015 / Sanitation and hygiene promoter program (MC)	IDA / Q9520	On-site Sanitation	Post	Request for Bids	Open - National	Single Stage - One Envelope		0.00	Pending Implementation				2018-05-03	2018-05-08			2018-06-19		2018-07-19	2018-08-23	2019-02-19		
LWSC LSPG-011/2015 / Tender for supply and delivery of equipment for 7No. Latrine emptying teams (MC)	IDA / Q9520	On-site Sanitation	Post	Request for Bids	Open - National	Single Stage - One Envelope		0.00	Pending Implementation				2018-11-02	2018-11-07			2018-12-19		2019-01-18	2019-02-22	2019-08-21		
LWSC LSPG-014/2015 / Supply and delivery of GPS devices for LWSC officers and GPS devices/tablets for EHT (MC)	IDA / Q9520	Institutional Strengthening	Post	Request for Bids	Limited	Single Stage - One Envelope		0.00	Pending Implementation				2018-03-30	2018-04-04			2018-05-16		2018-06-15	2018-07-20	2019-01-16		
LWSC LSPG-012/2015 / Supply and delivery of Motor Vehicles (4no) for LCC and MCDMCH and Motor Bikes (37no) for MCDMCH (MC)	IDA / Q9520	Institutional Strengthening	Post	UN Agencies (Direct)	Direct			93,428.35	Completed				2018-01-15	2017-06-30	2018-01-20	2017-05-17				2018-03-24	2017-07-03	2018-09-20	2017-10-16
LWSC LSPG-013/2015 / Supply and delivery of Office Furniture for seven constituency level environmental health offices (MC)	IDA / 56460 IDA / Q9520	Institutional Strengthening	Post	Request for Quotations	Limited	Single Stage - One Envelope		0.00	Pending Implementation					2018-01-20						2018-03-17		2018-09-13	
ZM-LWSC-40550-GO-RFD / Supply and Delivery of Laboratory Equipment and reagents for Ministry of Health	IDA / Q9520	Institutional Strengthening	Post	Request for Quotations	Limited	Single Stage - One Envelope		0.00	Pending Implementation					2018-01-23						2018-03-20		2018-09-16	
LWSC LSPG-12/2015B / Supply and Delivery of 5 No. Motor Vehicles for LCC, LWSC, and MOH	IDA / 56460	Institutional Strengthening	Post	Request for Quotations	Limited	Single Stage - One Envelope		0.00	Pending Implementation					2018-06-09						2018-08-04		2019-01-31	

**NON CONSULTING SERVICES**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion					
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual

**CONSULTING FIRMS**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion														
									Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual									
LWSC LSPICS-009/2015 / Consultancy for supervision of year 1 works (CSE23+CSE08+CSU 05)	IDA / Q9520	Sewerage Improvements	Prior	Quality And Cost-Based Selection	Open - International		2,825,721.91	Signed	2016-02-15		2016-06-21		2016-08-24			2016-10-12		2016-11-21		2017-05-20		2016-12-26		2017-05-22		2017-01-30		2017-02-22		2018-01-30									
LWSC LSPICS-010/2015 / Consultancy for the Detailed Design and supervision of year 2 & 3 sub-projects (Packages 1, 2 and 3)	IDA / Q9520	Sewerage Improvements	Prior	Quality And Cost-Based Selection	Open - International		6,453,036.02	Signed	2017-01-11		2016-12-23		2017-02-01		2016-12-27		2017-03-17		2017-11-15				2017-04-14		2017-03-27		2017-05-14		2017-04-21		2017-06-18		2017-06-29		2017-07-23		2017-08-01		2018-07-23
LWSC LSPICS-017/2015 / Consultancy for performance contracts audit	IDA / Q9520	Institutional Strengthening	Prior	Quality And Cost-Based Selection	Open - International		0.00	Under Implementation	2017-03-07		2017-03-28		2017-05-11			2017-06-08		2017-07-08		2017-08-12				2017-09-16						2018-09-16									
LWSC LSPICS-015/2015 / Technical Assistance to set up Monitoring Information Systems for LWSC, LCC, MOH, MCDMCH)	IDA / 56460 IDA / Q9520	Institutional Strengthening	Prior	Quality And Cost-Based Selection	Open - International		735,719.00	Terminated	2016-05-24		2016-06-14		2016-11-14		2017-03-17			2016-12-27		2017-01-25		2017-01-26		2017-04-05		2017-03-02		2017-06-02		2017-04-06		2017-06-13		2018-04-06					
LWSC LSPICS-002/2015 / Consultancy for the provision of Sanitation Marketing Services	IDA / 56460 IDA / Q9520	On-site Sanitation	Prior	Quality Based Selection	Open - International		1,587,441.00	Signed	2016-11-24		2016-11-30		2016-12-15		2016-11-30		2017-01-28		2017-04-04				2017-02-25		2017-03-29		2017-03-27		2017-04-25		2017-04-26		2018-05-05		2017-05-31		2017-08-29		2018-05-31
LWSC LSPICS-013/2015 / Design and Supervision of the Construction program for on-site facilities	IDA / Q9520	On-site Sanitation	Prior	Quality And Cost-Based Selection	Open - International		0.00	Cancelled	2017-03-21		2017-04-11		2017-05-25				2017-06-22		2017-07-22		2017-08-26				2017-09-30						2018-09-30								
LWSC LSPICS-018/2015 / FSM Business Development Consultancy	IDA / Q9520	On-site Sanitation	Prior	Quality Based Selection	Open - International		2,509,350.00	Signed	2017-02-17		2017-03-01		2017-03-10		2017-03-02		2017-04-23						2017-05-21		2017-08-16		2017-06-20		2017-08-17		2017-07-20		2017-11-15		2017-08-24		2017-11-30		2018-08-24
LWSC LSPICS-020/2015 / Design and Construction Supervision for on-site sanitation facilities	IDA / Q9520	On-site Sanitation	Prior	Quality And Cost-Based Selection	Open - International		922,081.30	Signed	2017-02-20		2017-02-24		2017-03-13		2017-02-28		2017-04-26						2017-05-24		2017-08-16		2017-06-20		2017-06-23		2017-07-28		2017-09-15		2017-09-01		2017-09-25		2018-09-01
LWSC LSPICS-016/2015 / Consultancy for feasibility studies for sanitation investments in Chitaurag, Chongwe and Kafue, and update of the Sanitation Master Plan	IDA / Q9520	On-site Sanitation	Prior	Quality And Cost-Based Selection	Open - International		0.00	Cancelled	2017-01-09		2017-01-30		2017-03-15				2017-04-12		2017-05-12		2017-06-16				2017-07-21						2018-07-21								

LWSCSPICS-011/2015 / Consultancy for development and procurement of equipment for PH Latrine Emptying and development of procedures for 7 latrine emptying teams	IDA / Q9520	On-site Sanitation	Prior	Quality And Cost-Based Selection	Open - International	0.00	Canceled	2017-01-18		2017-02-08		2017-03-24				2017-04-21		2017-05-21		2017-06-25		2017-07-30		2018-07-30
LWSCSPICS-21/2015 / Consultancy services for Year 2-5 ESIA	IDA / Q9520	Sewerage Improvements	Prior	Quality And Cost-Based Selection	Open - International	1,809,920.00	Signed	2017-02-17	2017-03-24	2017-03-10	2017-03-29	2017-04-23	2017-06-29			2017-05-21	2017-08-18	2017-06-20	2017-08-26	2017-07-25	2017-10-02	2017-08-29	2017-11-17	2018-08-29
LWSCSPICS-001/2015 / Consultancy services to conduct feasibility studies and prepare preliminary designs for component 1(a) Sewer and 1(b) Onsite	IDA / 56460	On-site Sanitation Sewerage Improvements	Prior	Quality And Cost-Based Selection	Open - International	1,348,537.00	Signed	2017-03-10		2017-03-31		2017-05-14				2017-06-11	2015-02-13	2017-07-11		2017-08-15	2017-04-07	2017-09-19	2015-07-24	2018-09-19
LWSCSPICS-019/2016 / Design of call centre solution	IDA / Q9520	On-site Sanitation	Prior	Quality Based Selection	Open - International	0.00	Pending Implementation	2018-03-30		2018-04-20		2018-06-03				2018-07-01		2018-07-31		2018-08-30		2018-10-04		2019-10-04
LWSCSPICS-012/2015 / Consultancy for carrying out periodic verification and approving reimbursement claims by LWSC	IDA / 56460 IDA / Q9520	Institutional Strengthening	Post	Consultant Qualification Selection	Open - National	0.00	Canceled	2017-03-21		2017-04-11		2017-05-25								2017-06-24		2017-07-29		2018-01-25
LWSCSPICS-015/15 / Consulting services to provide technical assistance to set up a monitoring information system for LWSC, MOH, and LCC	IDA / 56460 IDA / Q9520	Institutional Strengthening	Prior	Quality And Cost-Based Selection	Open - International	0.00	Pending Implementation	2018-04-20		2018-05-11		2018-06-24				2018-07-22		2018-08-21		2018-09-25		2018-10-30		2019-10-30
ZM/LWSC-64679-CS-LCS / Consultancy services for valuation of assets for Lusaka water and sewerage company Limited	IDA / Q9520	Institutional Strengthening	Post	Least Cost Selection	Open - National	0.00	Under Implementation	2018-06-08	2018-05-31	2018-06-29		2018-08-12				2018-09-09		2018-10-09		2018-11-13		2018-12-18		2019-06-16

**INDIVIDUAL CONSULTANTS**