

PROCUREMENT PLAN OF Uttarakhand Workforce Development Project

I. General

1. Project information

Country : India

Borrower : Government of India

Project Name : **Uttarakhand Workforce Development Project**

Project I.D. for UkwDP : P-154525

Credit No. :

Project Implementing Agency (PIA): State Project Implementing Unit (SPIU), *Directorate of Training, Uttarkhand*

2. **Bank's approval Date of the procurement Plan:** May 09, 2018

3. **Date of General Procurement Notice:** ____ on July 12th 2018____

Period covered by this procurement plan: The procurement period of project covered from *Nov 2017 – Dec 2019*.

II. Prior review by the World Bank. The Bank will prior review the following contracts:

- (a) Goods, services (other than consultancies), and IT systems: All contracts more than US\$2.0 million equivalent
- (b) Works (including turnkey, supply & Installation and PPP): All contracts more than US\$10.0 million equivalent
- (c) Consultancy services: More than US\$2.0 million equivalent for firms and more than US\$300,000 equivalent for individuals

In addition, the justifications for all contracts to be issued on the basis of Limited International Bidding or Single-Source or Direct Contracting (except for contracts less than US\$100,000 in value) will be subject to the Bank's prior review.

III. Procurement Thresholds: All Goods, Works and Non-consulting services and consulting services will be procured using the procurement methods described in the procurement methods described in the table below:

Table: Procurement Methods

Category	Method of Procurement	Threshold (US\$ Equivalent)
Goods, works, and non-consultant services	Limited International Bidding	Wherever agreed by the Association
	National Competitive Bidding (NCB) Works	Up to 40,000,000 (with NCB conditions)
	National Competitive Bidding (NCB) Goods and NCS	Up to 3,000,000 (with NCB conditions)
	Shopping	Up to 100,000
	Direct Contracting	According to paragraph 3.7 of Procurement Guidelines
	Force Account	According to paragraph 3.9 of Procurement Guidelines
	Framework Agreement (FA)*	According to paragraph 3.6 of Procurement Guidelines
	Procurement from United Nations Agencies	According to paragraph 3.10 of Procurement Guidelines
Consultant s' Services	Single-Source Selection (SSS)	According to paragraphs 3.8–3.11 of Consultant Guidelines
	Individuals	According to Section V of Consultant Guidelines
	Particular Types of Consultants	According to paragraphs 3.15–3.21 of Consultant Guidelines
	Selection Based on Consultants' Qualifications (CQS)	Up to 300,000
	Quality- and Cost-Based Selection (QCBS)/Quality-Based Selection (QBS)/Selection under a Fixed Budget (FBS)/Least-Cost Selection (LCS)	For all other cases
	(a) International short list (b) Short list may comprise national consultants only	> 800,000 Up to 800,000

1) Use of GeM is allowed in lieu of shopping up to US\$ 30,000 in catalog mode.

2) Use of GeM is also allowed in lieu of shopping up to US\$ 100,000, provided there are at least 3 suppliers for the item on GeM and the Purchaser uses RFQ (mini competition or bidding among suppliers) feature on GeM to discover the final price.

In both the above cases the Purchasers will record their assessment on reasonableness of price. GeM is not to be used in lieu of NCB irrespective of value.

Uttarakhand Workforce Development Project: Procurement Plan (Nov 2017 – Dec 2019)

[All Procurement Plans, their updates, or modifications shall be sent through STEP (Systematic Tracking of Exchanges in Procurement) and will be require the World Bank’s prior review and ‘no objection’ before implementation.]

Ref. No.	Contract Description	Estimated Cost (INR)	Estimated Cost (USD)	Procurement Method	Prior Review	Bid Advert	Bid/ Proposal Opening Date	Contract Signing Date	Delivery/ Completion Date	Remarks
Project Component 1: Improving the Quality and Relevance of ITI Training										
A- GOODS										
GD - 1	Equipments etc. for 5 priority ITIs	50000000.00	757575.00	NCB	Yes (being 1 st contract)	June-2019	July-2019	August -2019	Upon requirement	After commencement, depending on the requirement procurement will be undertaken
GD-2	Equipments etc. for 5 priority ITIs	60000000.00	909090.00	NCB	Yes	July-2019	August -2019	Sept.-2019	-do-	-do-
GD-3	Equipments etc. for 5 priority ITIs	40000000.00	606060.00	NCB	No	August -2019	Sept.-2019	Oct.-2019	-do-	-do-
GD-4	Equipments etc. for 5 priority ITIs	40000000.00	606060.00	NCB	No	Sept.-2019	Oct.-2019	Nov.-2019	-do-	-do-
GD-5	Equipments etc. for 5 priority ITIs	50000000.00	757575.00	NCB	No	Oct.-2019	Nov.-2019	Dec.-2019	-do-	-do-
B-WORKS										
CW- 1	New Construction & Renovation of 5 Priority ITIs	100000000.00	1515152.00	NCB	Yes (being 1 st contract)	June-2019	July-2019	August -2019	9 to 15 Months	
CW- 2	New Construction & Renovation of 5 Priority ITIs	90000000.00	1363636.00	NCB	No	July-2019	August-2019	Sept.-2019	9 to 15 Months	
CW- 3	New Construction & Renovation of 5 Priority ITIs	110000000.00	1666667.00	NCB	No	August -2019	Sept.-2019	Oct.-2019	9 to 15 Months	

Ref. No.	Contract Description	Estimated Cost (INR)	Estimated Cost (USD)	Procurement Method	Prior Review	Bid Advert	Bid/ Proposal Opening Date	Contract Signing Date	Delivery/ Completion Date	Remarks
CW-4	New Construction & Renovation of 5 Priority ITIs	90000000.00	1363636.00	NCB	No	Sept.-2019	Oct.-2019	Nov.-2019	9 to 15 Months	
CW-5	New Construction & Renovation of 5 Priority ITIs	90000000.00	1363636.00	NCB	No	Oct.-2019	Nov.-2019	Dec.-2019	9 to 15 Months	
C-SERVICES										
NONE										
Project Component 2 : Increasing the Supply of Skilled Workers through Short-Term Training										
A-GOODS										
GD-1	Office Equipments for UKSDM	5000000.00	75757.00	Shopping	No	June-2018	July-2018	August-2018	Oct.-2018	-do-
B-WORKS										
NONE										
C-SERVICES										
NONE										
Project Component 3 : Technical Assistance and Project Management										
A-GOODS										
GD-1	Office Equipments for SPIU	6000000.00	90909.00	Shopping	No	June-2018	July-2018	August-2018	Oct.-2018	-do-
B-WORKS										
CW-1	Renovation of SPIU	5000000.00	75757.58	NS	Yes	July-2018	August-2018	Sept.-2018	Dec.-2018	
C-SERVICES										
NON-CONSULTING SERVICES										
NONE										
CONSULTANT SERVICES										

Ref. No.	Contract Description	Estimated Cost (INR)	Estimated Cost (USD)	Procurement Method	Prior Review	Bid Advert	Bid/ Proposal Opening Date	Contract Signing Date	Delivery/ Completion Date	Remarks
CS-1	Development of Master Plans	20000000.00	303030.00	QCBS	Yes (being 1 st contract)	Nov 2017	Feb.- 2018	May. 2018	10 Months from Signing of Contract	
CS-2	Teacher Training Consultancy	20000000.00	303030.00	QCBS	No	June-2018	August-2018	Sept.-2018	Project Period	
CS-3	Project Management Consultant	80000000.00	1212121.00	QCBS	No	July 2018	Sept. 2018	Dec. 2018	Project Period	
CS-4	Women Training demand Study	660000.00	10000.00	Individual Consultant	No	August - 2018	Sept. - 2018	Oct. - 2018	4 Months	
CS-5	Entrepreneurship and self-employment study	660000.00	10000.00	Individual Consultant	No	August - 2018	Sept. - 2018	Oct. - 2018	4 Months	
CS-6	Information & Education Campaign (IEC) firm	13000000.00	1969697	QCBS	No			Year 1	Year 5	
CS-7	Score-card-Performance rating of ITIs	6500000.00	98484	QCBS	No			Year 2	Year 5	
CS-8	Strengthening TCPC (Training Counseling and Placement Cell)- Career counseling support & improving job search skills, and job placement support	58500000.00	886363	QCBS	No			Year 2	Year 5	
CS-9	Skills Policy Development (Mapping of Skill Training provisions, Study/Survey/Consultation to Understand industry needs)	19500000.00	295454	QCBS	No			Year 2	Year 3	
CS-10	MIS (ERP-Enterprise Resource Planning)	1300000.00	19696	QCBS	No			Year 2	Year 5	Programmers to Support developing MIS

Ref. No.	Contract Description	Estimated Cost (INR)	Estimated Cost (USD)	Procurement Method	Prior Review	Bid Advert	Bid/ Proposal Opening Date	Contract Signing Date	Delivery/ Completion Date	Remarks
CS-11	Institutional Capacity Assessment of training system (DTE, Board, ITIs, SDM)	2500000.00	37878	QCBS	No			Year 2	Year 5	
CS-12	Third Party Monitoring	10400000.00	157575	QCBS	No			Year 2	Year 5	Monitoring of all works & goods
CS-13	Third Party Validation (DLIs)	32500000.00	492424	QCBS	No			Year 1	Year 5	Verification of all DLIs
CS-14	Impact assessment midterm and end of the project	3300000.00	50000	QCBS	No			Year 2	Year 3	
CS-15	Tracer Studies	13000000.00	196969	QCBS	No					Study in Year 1 and 4
CS-16	Impact Evaluation	13000000.00	196969	QCBS	No					Study in Year 2 and 5
	Total Estimated Costs:									

1 USD: 66 Rupees

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion			
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
GD - 1 / Office Equipments for SPU	IBRD / 88770	Component 3: Policy and Institutional Development and Project Management	Post	Request for Quotations	Open - National	Single Stage - One Envelope		90,999.00	17,572.37	Signed								2018-09-15								2018-11-10	2020-01-13	2019-02-08		
IN-SPUI-UKWFDP-156868- GO-RFQ / Procurement of Audio Visual systems for Conferencing facilities at PMU which includes 04 SMART TVs, 02 Display Panels, Projectors, Sound Systems, conferencing speakers/mics etc.	IBRD / 88770	Component 3: Policy and Institutional Development and Project Management	Post	Request for Quotations	Open - National	Single Stage - One Envelope		22,000.00	0.00	Under Implementation								2020-02-12									2020-03-09		2020-04-08	
IN-SPUI-UKWFDP-158176- GO-RFB / Procurement of Tools, Equip's Machinery for up-gradation of Priority Govt. ITI RAJPUR, K. DHUNGI, HALDWANI, K. PUR, N. TEHRI, DDN(W), V. NAGAR PKG-1 SEW. TECH, PKG-2 Cons. Elec. PKG-3 Basic Cosmetology, PKG-4 Electronics Mechanic, PKG-5 Plumber, PKG-6 DMC	IBRD / 88770	Component 1: Improving the Quality and Relevance of ITI Training	Post	Request for Bids	Open - National	Single Stage - Two Envelope		110,000.00	0.00	Pending Implementation				2020-02-10				2020-02-15				2020-03-16			2020-04-05		2020-04-20		2020-06-04	
IN-SPUI-UKWFDP-158179- GO-RFB / Procurement of Tool Kits for Different Trades having 19 Packages in 10 Priority Govt. ITIs.	IBRD / 88770	Component 1: Improving the Quality and Relevance of ITI Training	Post	Request for Bids	Open - National	Single Stage - Two Envelope		130,000.00	0.00	Pending Implementation				2020-02-11				2020-02-16				2020-03-17			2020-04-11		2020-05-01		2020-06-15	
IN-SPUI-UKWFDP-158182- GO-RFB / Procurement of Computers, IT Hardware equipment's and Software's which includes Desktop's, Laptop's, Routers, Servers, Multimedia Projectors, Printers, Copiers, UPS, Plotters and software's etc. in 06 Packages in 10 priority ITIs.	IBRD / 88770	Component 1: Improving the Quality and Relevance of ITI Training	Post	Request for Bids	Open - National	Single Stage - Two Envelope		505,800.00	0.00	Pending Implementation				2020-02-12				2020-02-17				2020-03-18			2020-04-12		2020-05-02		2020-06-16	
IN-SPUI-UKWFDP-154891- GO-RFB / Procurement of Tools, Equipment's Machinery for up-gradation of Priority ITIs, Govt. ITI SITARGANJ, RAJPUR, KALADHUNGI, NEW TEHRI, PITHORAGARH, VIKASNAGAR, NARSON. PKG-1 Fitter, PKG-2 Electrician, PKG-3 Welder, PKG-4 Turner, PKG-5 Machinist Equipments	IBRD / 88770	Component 1: Improving the Quality and Relevance of ITI Training	Post	Request for Bids	Open - National	Single Stage - Two Envelope		1,428,570.00	0.00	Pending Implementation				2020-03-06				2020-03-11				2020-04-22			2020-07-05		2020-08-09		2020-09-18	
IN-SPUI-UKWFDP-158191- GO-RFQ / Procurement of Office Equipments including Desktop Computer, Online UPS.	IBRD / 88770	Component 3: Policy and Institutional Development and Project Management	Post	Request for Quotations	Open - National	Single Stage - One Envelope		10,000.00	0.00	Pending Implementation								2020-02-12								2020-03-12		2020-04-11		
IN-SPUI-UKWFDP-158195- GO-RFQ / Procurement of Office Furniture for Project Management Unit (SPUI).	IBRD / 88770	Component 3: Policy and Institutional Development and Project Management	Post	Request for Quotations	Open - National	Single Stage - One Envelope		10,000.00	0.00	Pending Implementation								2020-02-25								2020-04-02		2020-05-02		

IN-SPUI-UKWFDP-105888- CS-QCBS / Hiring of Consulting Firm for Preparing MIS (ERP - Enterprise Resource Planning) Software.	IBRD / 88770	Component 3: Policy and Institutional Development and Project Management	Post	Quality And Cost- Based Selection	Open - National		71,500.00	0.00	Pending Implementation	2019-07-05		2019-07-15		2019-08-14			2019-09-13		2019-09-23		2019-10-19		2019-11-11		2020-01-15
IN-SPUI-UKWFDP-105875- CS-LCS / Hiring of Consulting firm for Conducting Tracer Studies of graduates (ITIs and short-term training)	IBRD / 88770	Component 3: Policy and Institutional Development and Project Management	Post	Quality And Cost- Based Selection	Open - National		100,000.00	0.00	Under Review	2019-04-22	2020-07-21	2019-04-27		2019-05-27			2019-06-26		2019-07-06		2019-07-18		2019-08-22		2020-02-18
IN-SPUI-UKWFDP-158188- CS-QCBS / Hiring of Consultancy firm for Independent Verification/Third Party validation (DLI-5)	IBRD / 88770	Component 2: Increasing the Supply of Skilled Workers through Short-Term Training	Post	Quality And Cost- Based Selection	Open - National		86,000.00	0.00	Under Implementation	2020-02-14	2020-06-10	2020-02-29	2020-07-28	2020-04-09			2020-05-09		2020-05-19		2020-06-03		2020-06-23		2020-12-20
UKWDP/CONS/IC&FR / Engagement of a consulting firm for institutional Capacity Assessment and Functional review of DSDE.	IBRD / 88770	Component 3: Policy and Institutional Development and Project Management	Post	Quality And Cost- Based Selection	Open - National		203,971.00	0.00	Pending Implementation	2020-10-05		2020-10-20		2020-11-29			2020-12-29		2021-01-08		2021-01-23		2021-02-12		2021-08-11
UKWDP/CONS/PA.G / Hiring of Job Placement agency/firm for placement support to pass-out trainees of Govt. ITIs located in Garhwal Region(Uttarakhand)	IBRD / 88770	Component 3: Policy and Institutional Development and Project Management	Post	Quality And Cost- Based Selection	Open - National		135,980.00	0.00	Pending Implementation	2020-10-05		2020-10-20		2020-11-29			2020-12-29		2021-01-08		2021-01-23		2021-02-12		2021-08-11
UKWDP/CONS/PA.K / Hiring of Job Placement agency/firm for placement support to pass-out trainees of Govt. ITIs located in Kumaon Region(Uttarakhand)	IBRD / 88770	Component 3: Policy and Institutional Development and Project Management	Post	Quality And Cost- Based Selection	Open - National		135,980.00	0.00	Pending Implementation	2020-10-05		2020-10-20		2020-11-29			2020-12-29		2021-01-08		2021-01-23		2021-02-12		2021-08-11

INDIVIDUAL CONSULTANTS

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion		
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	
IN-SPUI-UKWFDP-105862- CS-INDV / Hiring of Individual Consultant for Awareness Campaigns for Increasing ITI students, including disadvantaged population (awareness and behavior change communication consultant) (Information & Education Campaign (IEC))	IBRD / 88770	Component 3: Policy and Institutional Development and Project Management	Post	Individual Consultant Selection	Open		60,000.00	0.00	Pending Implementation	2019-03-25		2019-04-22		2019-05-02		2019-05-15		2019-06-31		
IN-SPUI-UKWFDP-105869- CS-INDV / Hiring of Individual Consultant for Conducting a needs assessment study of Women for Training and employment	IBRD / 88770	Component 3: Policy and Institutional Development and Project Management	Post	Individual Consultant Selection	Open		10,000.00	0.00	Under Implementation	2019-04-05	2020-06-10	2019-05-05	2020-07-23	2019-05-26		2019-06-17		2019-09-16		

IN-SPU-UKWFDP-105874- CS-INDV / Hiring of Individual Consultant for Self Employment/ Business Start-up consultancy. (Entrepreneurship and self- employment study)	IBRD / 88770	Component 3: Policy and Institutional Development and Project Management	Post	Individual Consultant Selection	Open		10,000.00	0.00	Pending Implementation	2019-04-15		2019-05-15		2019-05-27		2019-06-18		2019-09-17
IN-SPU-UKWFDP-105880- CS-INDV / Hiring of Individual Consultant/Second Officer for Civil works and Handover Coordinator.	IBRD / 88770	Component 3: Policy and Institutional Development and Project Management	Post	Individual Consultant Selection	Open		75,000.00	0.00	Pending Implementation	2019-06-10		2019-07-10		2019-07-22		2019-08-13		2023-06-30
IN-SPU-UKWFDP-105884- CS-INDV / Hiring of Individual Consultant for Study the progress of ITI score card activity supported by STRIVE and identify the consultancy needs (if any) for further work for Assessing Performance Rating of ITIs.	IBRD / 88770	Component 3: Policy and Institutional Development and Project Management	Post	Individual Consultant Selection	Open		71,500.00	0.00	Pending Implementation	2019-07-05		2019-08-04		2019-08-25		2019-09-20		2023-06-30
IN-SPU-UKWFDP-109911- CS-CDS / Hiring of UNDP to establish FMU	IBRD / 88770	Component 3: Policy and Institutional Development and Project Management	Post	Direct Selection	Direct		454,896.00	826,461.24	Signed	2019-04-22	2019-05-07	2019-05-02	2019-07-31			2019-06-01	2019-08-14	2023-06-04