

INTEGRATED SAFEGUARDS DATASHEET

Date prepared/updated: **May 28, 2014**

I. Basic Information

1. Basic Project Data

Country: GHANA		Project ID: P150856	
		Additional Project ID (if any): n/a	
Project Name: Making the Budget Work for Ghana Project			
Task Team Leader: Carolina Vaira			
Estimated Appraisal Date: May 12, 2014		Estimated Board Date: n/a	
Managing Unit: WBIGA		Lending Instrument: Small RETF	
Sector: Health and Education			
Theme:			
IBRD Amount (US\$m.):			
IDA Amount (US\$m.):			
GEF Amount (US\$m.):			
PCF Amount (US\$m.):			
Other financing amounts by source: GPSA Grant US\$850,000			
Environmental Category: C			
Is this a transferred project		Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
Simplified Processing		Simple <input type="checkbox"/>	Repeater <input type="checkbox"/>
Is this project processed under OP 8.00 (Rapid Response to Crises and Emergencies)		Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

2. Project Objectives:

The overall development objective of this proposal is to improve access and quality of services in priority programs in the health and education sectors in targeted districts in Ghana by strengthening accountability and transparency in the budget process by 2018.

3. Project Description:

Component 1: Creating an enabling environment for CSOs/ Government constructive engagement for SA in Ghana.

Activities included in this component are geared towards creating the enabling environment for project implementation towards achieving the proposed PDO, including *inter-alia*:

- Strengthen SEND's coordination and institutional capacity (National and Regional PME Networking Centers) for project launching and implementation (establish project

- management team, conduct formative studies/assessments, hold multi-stakeholder project inception meetings, coordinate and supervise field work, procure project inputs).
- Renew/sign "Partnership Arrangements and/Memorandum of Understanding (MOU)" with relevant government agencies including the Ministries of Finance, Health and Education, District Assemblies, Parliamentary sub-committees and coordinating councils to lay out the expected collaboration at all levels to support the project implementation. Similar agreements will be procured with media agencies and community radios for data dissemination and citizen feedback.
 - Strengthen the network management and institutional capacity of PME network members (50 focal NGOs) to lead and implement 1) independent budget analysis using secondary data (data already available on various govt. sites; 2) the field work (designing and administering surveys to monitor the status of budget implementation and its impact on service delivery outcomes in liaison with Government officials) 3) Linking analysis to advocacy by developing an advocacy strategy to disseminate the findings.
 - Carry out capacity building activities on budget analysis, monitoring and advocacy, as well as on other relevant social accountability tools. This includes the training of trainers (ToT)/ program staff on linking budget analysis with budget monitoring. It also includes the training of representatives (100) of PME network members on simple to use tools for data collection/budget monitoring and performance monitoring tools for measuring beneficiaries' satisfaction on services delivery.

Component 2: Where does the money go? - Integrating budget monitoring with service delivery outcomes.

This component aims at generating information (both through secondary data analysis and primary data collection) for relevant Government agencies on budgetary allocations and expenditures for specific education and health programs vis a vis services delivery outcomes. Citizen feedback will be used to complement data collected on service delivery outcomes (through surveys administered on direct beneficiaries). The proposed initiatives will support the implementation of SEND's PME action-oriented framework and its four inter-linked stages. It will include, inter alia:

Policy Literacy activities for building citizen awareness on the national budget, the laws and implementing guidelines with respect to the delivery of services in the education and health sector. It will include:

- Organize community level National budget literacy campaigns and sessions using community radios/media focusing on health and education sectors.
- Conduct budget analysis and publish policy briefs focusing on how budgetary allocations help to improve development outcomes for the health and education sector.

Participatory Monitoring of budget allocations and services outcomes. These activities are at the core of the project implementation as it will allow collecting information and providing independent feedback on sector budgets and the respective outcomes in the delivery of health and education services. It will include *inter alia*:

- Conduct participatory budget monitoring exercises in liaison with Government officials(e.g accessing government documents and records on the status of budget implementation that are

not digitized and/or otherwise not accessible to public) to assess level of compliance with financial management laws and regulations in the health and education sectors in 50 districts

- Deploy social audits mechanisms/ citizen and community scored cards to assess and evaluate access and quality of health and education services and whether they meet citizens needs or not
- Design and implement a communication strategy to disseminate the findings to exact government action to correct gaps and challenges identified (publish reports/create a SA platform/newsletters).

Policy advocacy to influence the budget planning processes in the education and health sectors at the district and national levels. Activities including in this stage are:

- Facilitate the development of "citizen budgets" in targeted districts and to consolidate them into national citizen budgets on education and health and share them with relevant actors (ministries, parliamentary sub-committees and media).
- Facilitate the participation of the PME network at the district and national level, so that network members can use citizen budget (at the district level) and the consolidated version at the national level to influence budgetary allocations
- Use/share citizen monitoring findings (see activities above) to hold multi-stakeholder policy dialogues (involving representatives from various line ministries and local Government officials, PME network members, parliamentarians etc.) sessions at different levels including the participation of targeted ministries representatives as well as Parliamentary sub-committees to exact commitments from their part to act on the findings. This consultations will happen in intervals (i) mid-term review to discuss progress with the stakeholders (ii) sharing of completion report at the end of the project.

Strengthening the existing Budget Monitoring System in Ghana. Activities included in this stage are aimed to support concrete actions from Government actors and parliamentary sub-committees to strengthen the existing budget M&E system and compliance with budget laws and guidelines towards improving the access and quality of education and health services, including:

- Hold multi-stakeholder dialogue with the ministries of Finance and Planning, other levels of Government. and Parliamentary committees to share evidence based recommendation on how to strengthen the existing M&E system
- Asses and monitor changes made by target ministries in their M&E systems based on evidence-based mechanisms implemented in the project and/ the use of monitoring information/data by Parliament in budget hearing sessions to request compliance with budget laws and guidance.

It is intended that implementation of evidence -based social accountability mechanisms and tools will boost government actions to improve current outcomes in the delivery of education and health services while providing insights into relevant budgetary macro context to seek compliance and to apply corrective measure in subsequent budget cycles in order to better address the needs of the poor.

Component 3: Knowledge & Learning and Project Management.

Developing mechanisms to capture knowledge and learning, and putting in place systems to facilitate knowledge exchange and learning uptake across CSOs, PME Network, Government institutions, and other stakeholders by:

- Designing indicators to capture evidence-based knowledge and lessons learned throughout the implementation process
- Develop mechanisms for learning and sharing for social accountability practitioners
- Periodically share Citizens Watch newsletter, monitoring reports, facts sheets, citizens budget and policy briefs on the GPSA online platform
- Implement staff peer learning exchanges between SEND's project team and the social accountability units of the 3 identified ministries and also with staff of the participating District Assemblies
- Network with other GPSA grantees
- Project management, monitoring and evaluation of project activities including audits

The purpose of these activities is to ensure that mechanisms for learning and sharing are developed in order to support social accountability practitioners in Ghana and around the world.

5. Environmental and Social Safeguards Specialists on the Team:

n/a

6. Safeguard Policies Triggered (please explain why)	Yes	No
Environmental Assessment (OP/BP 4.01)		X
Natural Habitats (OP/BP 4.04)		X
Forests (OP/BP 4.36)		X
Pest Management (OP 4.09)		X
Physical Cultural Resources (OP/BP 4.11)		X
Indigenous Peoples (OP/BP 4.10)		X
Involuntary Resettlement (OP/BP 4.12)		X
Safety of Dams (OP/BP 4.37)		X
Projects on International Waterways (OP/BP 7.50)		X
Projects in Disputed Areas (OP/BP 7.60)		X

II. Key Safeguard Policy Issues and Their Management

A. Summary of Key Safeguard Issues

1. Describe any safeguard issues and impacts associated with the proposed project. Identify and describe any potential large scale, significant and/or irreversible impacts:

The project will not finance any physical activities, no risks related to environmental or social safeguards policies are anticipated during implementation of project activities.

2. Describe any potential indirect and/or long term impacts due to anticipated future activities in the project area:

N/A

3. Describe any project alternatives (if relevant) considered to help avoid or minimize adverse impacts:

N/A

4. Describe measures taken by the borrower to address safeguard policy issues. Provide an assessment of borrower capacity to plan and implement the measures described:

This project is not expected to trigger any safeguards policies as the project screening identified minimal environmental or social risks related to project activities. The project does not anticipate any civil works to be financed under this Grant. The project is assigned the Environmental Category C, requiring no further environmental and social assessment.

5. Identify the key stakeholders and describe the mechanisms for consultation and disclosure on safeguard policies, with an emphasis on potentially affected people:

The Project's direct beneficiaries include: all children of school going age, women and children under-five, and persons with disability in 50 districts in the Northern, Upper East, Upper West and Greater Accra regions, who have not benefitted from improved living conditions and poverty reduction as other parts of the country have in recent years.

The Project's indirect beneficiaries include: (1) Municipal and Ministry Assembly (MMDAs) by increasing their capacity to comply with the fiscal decentralization requirements, through increased engagement with civil society actors as stated in the Decentralization Action Plan. Regional Coordinating Councils (RCCs) which will receive feedback about the budget from CSOs and DAs. (2) The Ministry of Finance and relevant line Ministries (Health and Education) which will have additional information on how effectively public funds allocated in the national budget are spent at the local level. Furthermore, the Project will develop an on-line messaging platform to collect citizens' feedback and provide information to target ministries so that they can respond to specific citizens' budgetary concerns; (3) Parliamentary Committees will have direct access to citizen information about budget allocations and expenditures which will empower their oversight capacity in holding the Executive accountable; (4) International donor programs supporting the governance process and specific sectors in Ghana; and (5) all Ghanaian citizens and civil society organizations that may benefit from the information and knowledge generated by the Project, within and outside the targeted regions. The Project expects to design and implement a communications strategy (engage journalists and community radio stations) to disseminate relevant information and thus reach out to Ghanaian citizens and other critical groups to enhance citizens' "political awareness."

<i>B. Disclosure Requirements Date</i>	
Environmental Assessment/Audit/Management Plan/Other:	
Was the document disclosed <i>prior to appraisal</i> ?	N/A
Date of receipt by the Bank	N/A
Date of "in-country" disclosure	N/A
Date of submission to InfoShop	N/A
For category A projects, date of distributing the Executive Summary of the EA to the Executive Directors	
Resettlement Action Plan/Framework/Policy Process:	

Was the document disclosed <i>prior to appraisal</i> ?	N/A
Date of receipt by the Bank	N/A
Date of "in-country" disclosure	N/A
Date of submission to InfoShop	N/A
Indigenous Peoples Plan/Planning Framework:	
Was the document disclosed <i>prior to appraisal</i> ?	N/A
Date of receipt by the Bank	N/A
Date of "in-country" disclosure	N/A
Date of submission to InfoShop	N/A
Pest Management Plan:	
Was the document disclosed <i>prior to appraisal</i> ?	
Date of receipt by the Bank	N/A
Date of "in-country" disclosure	N/A
Date of submission to InfoShop	N/A
* If the project triggers the Pest Management and/or Physical Cultural Resources policies, the respective issues are to be addressed and disclosed as part of the Environmental Assessment/Audit/or EMP.	
If in-country disclosure of any of the above documents is not expected, please explain why:	

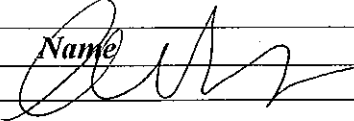
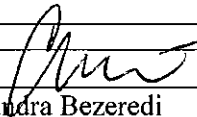
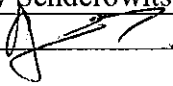
C. Compliance Monitoring Indicators at the Corporate Level (to be filled in when the ISDS is finalized by the project decision meeting)

OP/BP 4.01 - Environment Assessment			
Does the project require a stand-alone EA (including EMP) report?	Yes []	No []	N/A [X]
If yes, then did the Regional Environment Unit or Sector Manager (SM) review and approve the EA report?			
Are the cost and the accountabilities for the EMP incorporated in the credit/loan?			
OP/BP 4.04 - Natural Habitats			
Would the project result in any significant conversion or degradation of critical natural habitats?	Yes []	No []	N/A [X]
If the project would result in significant conversion or degradation of other (non-critical) natural habitats, does the project include mitigation measures acceptable to the Bank?			
OP 4.09 - Pest Management			
Does the EA adequately address the pest management issues?	Yes []	No []	N/A [X]
Is a separate PMP required?	Yes []	No []	N/A [X]

If yes, has the PMP been reviewed and approved by a safeguards specialist or Sector Manager? Are PMP requirements included in project design? If yes, does the project team include a Pest Management Specialist?			
OP/BP 4.11 – Physical Cultural Resources			
Does the EA include adequate measures related to cultural property?	Yes []	No []	N/A [X]
Does the credit/loan incorporate mechanisms to mitigate the potential adverse impacts on physical cultural resources?			
OP/BP 4.10 - Indigenous Peoples			
Has a separate Indigenous Peoples Plan/Planning Framework (as appropriate) been prepared in consultation with affected Indigenous Peoples?	Yes []	No []	N/A [X]
If yes, then did the Regional unit responsible for safeguards or Sector Manager review the plan?			
If the whole project is designed to benefit IP, has the design been reviewed and approved by the Regional Social Development Unit?			
OP/BP 4.12 - Involuntary Resettlement			
Has a resettlement plan/abbreviated plan/policy framework/process framework (as appropriate) been prepared?	Yes []	No []	N/A [X]
If yes, then did the Regional unit responsible for safeguards or Sector Manager review and approve the plan/policy framework/process framework?			
OP/BP 4.36 – Forests			
Has the sector-wide analysis of policy and institutional issues and constraints been carried out?	Yes []	No []	N/A [X]
Does the project design include satisfactory measures to overcome these constraints?			
Does the project finance commercial harvesting, and if so, does it include provisions for certification system?			
OP/BP 4.37 - Safety of Dams			
Have dam safety plans been prepared?	Yes []	No []	N/A [X]
Have the TORs as well as composition for the independent Panel of Experts (POE) been reviewed and approved by the Bank?			
Has an Emergency Preparedness Plan (EPP) been prepared and arrangements been made for public awareness and training?			
OP/BP 7.50 - Projects on International Waterways			
Have the other riparians been notified of the project?	Yes []	No []	N/A [X]

If the project falls under one of the exceptions to the notification requirement, has this been cleared with the Legal Department, and the memo to the RVP prepared and sent?	
What are the reasons for the exception? Please explain:	
Has the RVP approved such an exception?	
OP/BP 7.60 - Projects in Disputed Areas	
Has the memo conveying all pertinent information on the international aspects of the project, including the procedures to be followed, and the recommendations for dealing with the issue, been prepared	Yes [] No [] N/A [X]
Does the PAD/MOP include the standard disclaimer referred to in the OP?	
The World Bank Policy on Disclosure of Information	
Have relevant safeguard policies documents been sent to the World Bank's Infoshop?	Yes [] No [] N/A [X]
Have relevant documents been disclosed in-country in a public place in a form and language that are understandable and accessible to project-affected groups and local NGOs?	
All Safeguard Policies	
Have satisfactory calendar, budget and clear institutional responsibilities been prepared for the implementation of measures related to safeguard policies?	Yes [] No [] N/A [X]
Have costs related to safeguard policy measures been included in the project cost?	
Does the Monitoring and Evaluation system of the project include the monitoring of safeguard impacts and measures related to safeguard policies?	
Have satisfactory implementation arrangements been agreed with the borrower and the same been adequately reflected in the project legal documents?	

D. Approvals

Signed and submitted by:	Name	Date
Task Team Leader:	Carolina Vaira 	5/28/2014
Environmental Specialist:		
Social Development Specialist		
Additional Environmental and/or Social Development Specialist(s):		
Approved by:	 for	
Regional Safeguards Coordinator:	Alexandra Bezeredi	5/28/2014
Comments:		
Sector Manager:	Roby Senderowitsch 	5/28/2014
Comments:		

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