

**INDEPENDENT STATE OF PAPUA NEW GUINEA  
Papua New Guinea COVID-19 Emergency  
Response Project (P173834) and Additional  
Financing (P174717)**

**Version For Negotiations  
[DRAFT November 11, 2020]**

**ENVIRONMENTAL and SOCIAL  
COMMITMENT PLAN (ESCP)**

**Dated April 9, 2020  
as amended on [*to insert negotiations date for  
the AF*]**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Independent State of Papua New Guinea (PNG or the Recipient) will implement the Papua New Guinea COVID-19 Emergency Response Project (the **Project**), with the involvement of the National Department of Health (NDOH). The NDOH will lead and collaborate with other relevant governmental agencies/departments/units at the national, provincial and local levels on Project implementation as may be necessary. The International Development Association (the Association) has agreed to provide financing (IDA Credit Number 6614-PG) (the Original Financing or OF) for the Project. Subsequently, (i) the Association and (ii) the International Bank for Reconstruction and Development and the Association (collectively, the Bank), acting as a responding agency for the Pandemic Emergency Financing Facility, have agreed to provide additional financing (IDA Credit Number [XXX] and PEF Grant Number [XXX])(the **Additional Financing or AF**) to assist in financing certain scaled-up and new activities under the Project. This ESCP amends and replaces the ESCP dated April 9, 2020 in its entirety.
2. The Recipient will implement material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards (**ESSs**). This Environmental and Social Commitment Plan (**ESCP**) sets out material measures and actions, any specific documents or plans, as well as the timing for each of these.
3. The Recipient is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministry, department, agency or unit referenced in 1. above.
4. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Bank by the Recipient as required by the ESCP and the conditions of the legal agreements, and the Bank will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
5. As agreed by the Bank and the Recipient, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the Recipient, through NDOH, will agree to the changes with the Bank and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Bank and NDOH. NDOH will promptly disclose the updated ESCP.
6. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Recipient shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<b>MONITORING AND REPORTING</b>			
A	<p><b>REGULAR REPORTING:</b> Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to, the implementation of the ESCP, status of preparation and implementation of E&amp;S documents required under the ESCP, stakeholder engagement activities and grievances log, Labor Management Procedures, contractor’s ESHS implementation (when required for the improvement of physical facilities/equipping the existing facilities), ESHS incidents, functioning of the grievance mechanism(s).</p>	Six-monthly throughout Project implementation.	National Department of Health
B	<p><b>INCIDENTS AND ACCIDENTS</b> Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers. Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the Bank’s request, prepare a report on the incident or accident and propose any measures to prevent its recurrence. These activities are outlined in the Project’s Grievance Redress Mechanism.</p>	<p>Minor incidents will be reflected in the six-monthly reports to the Bank, major issues will be flagged to the Bank immediately.</p> <p>A report would be provided to the Bank, as requested.</p>	National Department of Health
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			
1.1	<p><b>ORGANIZATIONAL STRUCTURE:</b> The National Department of Health shall maintain a Project Coordination Unit (PCU) with qualified staff and resources to support management of ESHS risks and impacts of the Project including a full-time, local Environmental, Social and Health and Safety and Community Engagement Specialist.</p> <p>An international part-time Environmental, Social and Health and Safety Specialist shall be retained on an as-required basis.</p> <p>The PCU will also contract United Nations Operation for Project Services (UNOPS), UNICEF and WHO to support Project activities. The PCU shall ensure that these organizations follow the Project’s ESMF and comply to all relevant ESSs.</p>	<p>A local Environmental, Social and Health and Safety and Community Engagement Specialist (full-time) shall be maintained throughout Project implementation.</p> <p>Part time international Environmental, Social and Health and Safety Specialist shall be retained on an as-required basis, including undertaking an expanded role to support the scaled up and new Project activities.</p> <p>PCU shall be maintained throughout Project implementation.</p>	National Department of Health

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<p>1.2 <b>ENVIRONMENTAL AND SOCIAL ASSESSMENT/MANAGEMENT PLANS AND INSTRUMENTS/ CONTRACTORS</b></p> <p>a. Assess the environmental and social risks and impacts of proposed Project activities, in accordance with the Environmental and Social Management Framework (ESMF) prepared, disclosed and adopted for the Project. This ESMF includes measures to ensure that individuals or groups who, because of their particular circumstances, may be disadvantaged or vulnerable, have access to the development benefits resulting from the Project.</p> <p>b. Prepare, disclose, adopt, and implement any environmental and social management plans or other instruments required for the respective Project activities based on the assessment process, in accordance with the ESSs, the ESMF, the ESHGs, and other relevant Good International Industry Practice (GIIP) including the WHO guidelines on Risk Communication and Community Engagement, Migrant camps and camp-like settings; Guidance for schools, workplaces &amp; institutions; Reduction of transmission from animals to humans; Infection protection and control/WASH; and National Laboratories, all in a manner acceptable to the Bank.</p> <p>c. Submit the Project Operations Manual, key COVID-19 vaccine analytical/design/implementation documents and updated project's environmental and social plans and instruments (if necessary) for World Bank prior review and clearance.</p> <p>d. Incorporate the relevant aspects of this ESCP, including, inter alia, any environmental and social management plans or other instruments, ESS2 requirements, and any other required ESHS measures, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply with the ESHS specifications of their respective contracts.</p> <p>e. Include in the ESMF provisions for environmental and social risk management for the Contingent Emergency Response Component (CERC) should it be activated during project implementation. If the ESMF measures of the Project do not fit the type of activities and their environmental and social risks of the CERC, an Addendum to the ESMF would be prepared to address CERC-activities risks.</p>	<p>a. The ESMF dated 14<sup>th</sup> May 2020 shall be updated to address risks of new AF activities, disclosed and adopted no later than 30 days after the effective date of the legal agreements for the AF (AF Effective Date) and prior to commencement of the relevant Project activities. Assessments to be conducted prior to the carrying out of the relevant Project activities.</p> <p>b. Before the carrying out of the relevant Project activities, and thereafter throughout the carrying out of such activities.</p> <p>c. Before COVID-19 vaccine rollout commences.</p> <p>d. Before launching the procurement process for the relevant Project activities, and thereafter throughout the carrying out of such activities.</p> <p>e. CERC Addendum, if needed, shall be prepared within 30 days of CERC activation.</p>	<p>National Department of Health</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.3	<p><b>EXCLUSIONS:</b></p> <p>The following type of activities shall not be eligible for financing under the Project:</p> <ul style="list-style-type: none"> <li>• Activities that may cause long term, permanent and/or irreversible (e.g. loss of major natural habitat) adverse impacts;</li> <li>• Activities that have high probability of causing serious adverse effects to human health and/or the environment not related treatment of COVID-19 cases;</li> <li>• Activities that may have significant adverse social impacts and may give rise to significant social conflict;</li> <li>• Activities that may affect lands or rights of indigenous people or other vulnerable minorities;</li> <li>• Activities that may involve permanent resettlement or land acquisition or adverse impacts on cultural heritage; and</li> <li>• Other excluded activities set out in the ESMF of the Project.</li> </ul>	<p>These exclusions shall be applied as part of the screening process conducted under action 1.2.a. above</p>	<p>National Department of Health</p>
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			
2.1	<p><b>LABOR MANAGEMENT:</b></p> <p>The Project shall be carried out in accordance with the applicable requirements of ESS2, in a manner acceptable to the Bank, including through, inter alia, implementing adequate occupational health and safety measures (including emergency preparedness and response measures), setting out grievance arrangements for Project workers, and incorporating labor requirements into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. These requirements are outlined in the ESMF and Labor Management Procedures (LMP).</p>	<p>The LMP dated 14<sup>th</sup> May 2020 shall be updated, disclosed and adopted as part of the ESMF, no later than 30 days after the AF Effective Date and prior to commencement of the relevant Project activities.</p> <p>All measures specified in this action 2.1 shall be implemented throughout the Project implementation period.</p>	<p>National Department of Health</p>
2.2	<p><b>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES</b></p> <p>Adopt, implement and update the Occupational Health and Safety (OHS) measures in line with the ESMF, LMP, Infection Prevention &amp; Waste Management Plan (IPC&amp;WMP) and WHO guidelines on COVID-19 in a manner acceptable to the Bank.</p>	<p>All measures specified in this action 2.2 shall be implemented throughout the Project implementation period.</p>	<p>National Department of Health</p>
2.3	<p><b>WORKER CODE OF CONDUCT</b></p> <p>Ensure that all Project workers adhere to a Code of Conduct which meets the requirements outlined in the LMP.</p> <p>Provisions to prevent Sexual Exploitation and Abuse/Sexual Harassment (SEA/SH) shall be included in the Code of Conduct (CoC) for PCU's staff and for contracted workers in line with relevant national laws and legislation and adopted and applied under the Project.</p>	<p>Throughout Project implementation.</p>	<p>National Department of Health</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
2.4	<b>GRIEVANCE MECHANISM FOR PROJECT WORKERS</b> Establish, maintain, and operate a grievance redress mechanism for Project workers, as described in the Labor Management Procedures and consistent with ESS2, with focal points to address these grievances established within NDOH.	Implement and update the Grievance Redress Mechanism throughout Project Implementation.	National Department of Health
2.5	<b>CHILD LABOR AND MINIMUM AGE</b> Prohibit child labor (any person under the age of 18) due to the hazardous work situation.	Throughout Project implementation.	National Department of Health
<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>			
3.1	Relevant aspects of this standard shall be considered, as needed, under action 1.2 above, including, inter alia, measures to manage health care wastes and other types of hazardous and non-hazardous wastes.		
3.2	The IPC&WMP will be reviewed and updated including gap analysis against NDOH policies and procedures and waste disposal requirements relating specifically to vaccine deployment (such as sharps and expired vaccines) in line with WHO advice and other GIIP.	The IPC&WMP dated 14 <sup>th</sup> May 2020, shall be reviewed, updated, disclosed and adopted, as part of the ESMF no later than 30 days after the AF Effective Date and before the carrying out of the relevant Project activities.	National Department of Health
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>			
4.1	Relevant aspects of this standard shall be considered, as needed, under action 1.2 above including, inter alia, measures to: minimize the potential for community exposure to communicable diseases; ensure that individuals or groups who, because of their particular circumstances, may be disadvantaged or vulnerable, have access to the development benefits resulting from the Project in line with the latest data related to the prevalence of the cases and risk to COVID-19 transmission and severe health impacts; manage the risks of the use of security personnel; manage the risks of labor influx; and prevent and respond to sexual exploitation and abuse, and sexual harassment.		
4.2	Sufficient evidence of vaccine safety and efficacy will be provided before vaccine deployment. The Bank will accept as the threshold either (i) approval by 3 Stringent Regulatory Authorities (SRAs) in three regions or (ii) WHO prequalification and approval by 1 SRA	Before vaccine deployment.	National Department of Health
<b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b>			
5.1	Relevant aspects of this standard shall be considered, as needed, under action 1.2 above, including screening checklists and due diligence to confirm site land and asset ownership/access arrangements.		
<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			
	Not relevant		
<b>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b>			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
7.1	<p><b>INDIGENOUS PEOPLES:</b>                      Relevant aspects of this standard shall be considered, as needed, under action 1.2 above. Without limitation to the foregoing, the Recipient shall update, disclose, adopt and implement the Stakeholder Engagement Plan (SEP) to promote the inclusion of Indigenous Peoples (IPs) in a manner consistent with ESS7 and acceptable to the Bank to make sure that IPs are fully consulted in a culturally-appropriated manner about and have opportunities to benefit from the Project activities in a manner acceptable to the Bank.</p>	<p>Implement and update the SEP to ensure IPs are fully consulted in a culturally appropriate manner throughout the Project implementation period.</p>	<p>National Department of Health</p>
<b>ESS 8: CULTURAL HERITAGE</b>			
<p>Relevant aspects of this standard shall be considered, as needed, under action 1.2 above.</p>			
<b>ESS 9: FINANCIAL INTERMEDIARIES</b>			
<p>Not relevant</p>			
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			
10.1	<p><b>STAKEHOLDER ENGAGEMENT PLAN:</b>                      Update, disclose, adopt and implement the Stakeholder Engagement Plan (SEP) consistent with ESS10, in a manner acceptable to the Bank.</p>	<p>Implement and update the SEP throughout the Project implementation period.</p> <p>Conduct consultations with national and provincial stakeholders and target IP communities on the updated SEP as part of the ESMF update process, no later than 30 days after the AF Effective Date.</p>	<p>National Department of Health</p>
10.2	<p><b>GRIEVANCE MECHANISM:</b>                      Accessible grievance arrangements shall be made publicly available to receive and facilitate resolution of concerns and grievances in relation to the Project, consistent with ESS10, in a manner acceptable to the Bank. Without limitation to the foregoing, the Recipient shall adopt, implement and update the Grievance Redress Mechanism (GRM) included in the Project's Stakeholder Engagement Plan throughout Project implementation.</p>	<p>Implement and update the GRM and all requirements under this action 10.2 throughout the Project implementation period.</p>	<p>National Department of Health</p>
<b>CAPACITY SUPPORT (TRAINING)</b>			
CS1	<p>National Department of Health and other relevant implementing support staff responsible for the Project to receive training on the Project's ESF instruments, CoC and provisions to prevent SEA/SH, the relevant requirements of the ESMF, LMP, SEP, IPC&amp;WMP and WHO guidelines on COVID19, and the roles and responsibilities of different key agencies in the ESF implementation.</p>	<p>Within 60 days of the AF Effective Date and as new Project team members join the Project throughout implementation.</p>	<p>National Department of Health</p>
CS2	<p>Contractors to receive training on the relevant aspects of the Project ESF instruments and relevant ESHS requirements.</p>	<p>Prior to work commencing, throughout Project implementation.</p>	<p>National Department of Health</p>

