



Strengthening Universal Health Insurance in Costa Rica (P148435)

LATIN AMERICA AND CARIBBEAN | Costa Rica | Health, Nutrition & Population Global Practice | Requesting Unit: LCC2C | Responsible Unit: HLCHN
IBRD/IDA | Program-for-Results Financing | FY 2016 | Team Leader(s): Eleonora Del Valle Cavagnero

Seq No: 11 | ARCHIVED on 29-Jul-2021 | ISR47629 | Created by: Vanessa Marin Arbelaez on 08-Jul-2021 | Modified by: Vanessa Marin Arbelaez on 28-Jul-2021

Program Development Objectives

Program Development Objective (from Program Appraisal Document)

The objectives of the Program are to contribute to: (i) improving the timeliness and quality of health services; and (ii) enhancing the institutional efficiency of the CCSS.

Overall Ratings

Name	Previous Rating	Current Rating
Progress towards achievement of PDO	□ Satisfactory	□ Satisfactory
Overall Implementation Progress (IP)	□ Satisfactory	□ Moderately Satisfactory

Implementation Status and Key Decisions

The Strengthening Universal Health Insurance in Costa Rica Program-for-Results was approved by the Board of Directors on March 15, 2016. A loan in the amount of US\$420 million was signed by the Government of Costa Rica and World Bank on April 21, 2016 and the loan was declared effective on November 17, 2016. Project implementation is underway. As of July 2021, the loan has disbursed \$390 million (93%), from which \$324.3 million (77.2%) correspond to confirmed results. The program has a remainder of \$95.7 million of results to be achieved.

Data on Financial Performance

Disbursements (by loan)

Project	Loan/Credit/TF	Status	Currency	Original	Revised	Cancelled	Disbursed	Undisbursed	% Disbursed
P148435	IBRD-85930	Effective	USD	420.00	420.00	0.00	390.00	30.00	93%

Key Dates (by loan)



Project	Loan/Credit/TF	Status	Approval Date	Signing Date	Effectiveness Date	Orig. Closing Date	Rev. Closing Date
P148435	IBRD-85930	Effective	15-Mar-2016	21-Apr-2016	17-Nov-2016	30-Apr-2022	30-Apr-2022

DLI Disbursement

DLI ID	DLI Type	Description	Coc	DLI Amount	Achievement Status	Disbursed amount in Coc	Disbursement % for DLI
Loan: IBRD85930-001							
1.1	Regular	43% major surgeries from Priority List	USD	30,000,000.00	Fully Achieved	30,000,000.00	100 %
1.2	Regular	46% of major surgeries from priorities I	USD	30,000,000.00	Fully Achieved	30,000,000.00	100 %
2.1	Regular	Cumulative of 10% of Target Population p	USD	30,000,000.00	Fully Achieved	30,000,000.00	100 %
2.2	Regular	Cumulative of 40% of Target Population p	USD	30,000,000.00	Partially Achieved	14,300,000.00	48 %
3.1	Regular	41% of individuals diagnosed with Diabet	USD	30,000,000.00	Fully Achieved	30,000,000.00	100 %
3.2	Regular	43% of individuals diagnosed with Diabet	USD	30,000,000.00	Partially Achieved	22,500,000.00	75 %
4.1	Regular	Pilot project approved	USD	30,000,000.00	Fully Achieved	30,000,000.00	100 %
4.2	Regular	Evaluation of pilot publicly disseminate	USD	30,000,000.00	Not Achieved	0.00	
5.1	Regular	60% of total number of primary health ca	USD	30,000,000.00	Fully Achieved	30,000,000.00	100 %
5.2	Regular	80% of total number of primary health ca	USD	30,000,000.00	Fully Achieved	30,000,000.00	100 %
6.1	Regular	CCSS Board approval of redesign of patie	USD	30,000,000.00	Fully Achieved	30,000,000.00	100 %
6.2	Regular	Report on impact of interventions to imp	USD	30,000,000.00	Not Achieved	0.00	
7.1	Regular	Ten-year investment plan approved by CCS	USD	20,000,000.00	Fully Achieved	20,000,000.00	100 %
7.2	Regular	Actuarial valuation of health insurance	USD	20,000,000.00	Fully Achieved	20,000,000.00	100 %
7.3	Regular	Annual budget of CCSS approved by CCSS B	USD	20,000,000.00	Not Achieved	0.00	

Program Action Plan

Action Description	Contracting an Independent Verification Entity (IVE).				
Source	DLI#	Responsibility	Timing	Timing Value	Status
Technical		CCSS	Due Date	29-Jun-2018	Completed



Completion Measurement	Contract signed
Comments	

Risks

Systematic Operations Risk-rating Tool

Risk Category	Rating at Approval	Previous Rating	Current Rating
Political and Governance	<input type="checkbox"/> Substantial	<input type="checkbox"/> Substantial	<input type="checkbox"/> Substantial
Macroeconomic	<input type="checkbox"/> Substantial	<input type="checkbox"/> Substantial	<input type="checkbox"/> Substantial
Sector Strategies and Policies	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate
Technical Design of Project or Program	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate
Institutional Capacity for Implementation and Sustainability	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate
Fiduciary	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate
Environment and Social	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate
Stakeholders	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate
Other	--	--	<input type="checkbox"/> Moderate
Overall	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate

Comments

Other risks include the uncertain evolution of COVID-19 pandemic and its impact on the Program and achievement of Program indicators.

Results

PDO Indicators by Objectives / Outcomes

Improving Timeliness and Quality of Health				
▶ Percentage of major surgeries from priority list conducted in outpatient settings according to CCSS institutional guidelines (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	18.00	49.80	49.80	46.00
Date	29-Feb-2016	31-Dec-2020	31-Dec-2020	29-Apr-2022
Comments	Indicator achieved its end of Project target.			
▶ Cumulative percentage of target population personally invited to undergo colon cancer screening in five priority counties. (Percentage, Custom)				



	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	24.30	29.90	40.00
Date	29-Feb-2016	31-Dec-2020	30-Jun-2021	29-Apr-2022
Comments				
Improving the institutional efficiency of CCSS				
▶ Percentage of total number of primary health care units (Areas de Salud) with the Unique Digital Health Record e-health package (EDUS) (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	50.00	90.10	90.10	80.00
Date	29-Feb-2016	31-Dec-2020	31-Dec-2020	29-Apr-2022
Comments: Indicator achieved its end of Project target.				
▶ Development and execution of a comprehensive medium-and long-term plan to ensure the financial sustainability of the CCSS (Text, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	Budget does not use prospective tools.	Annual target expected to be achieved by end of 2021.	Annual target expected to be achieved by end of 2021.	Annual budget execution using prospective tools.
Date	29-Feb-2016	31-Dec-2020	31-Dec-2020	29-Apr-2022
Comments				

Intermediate Results Indicators by Results Areas

Health Care Model				
▶ Cumulative percentage reduction in average waiting days for selected procedures (tracers): hip and knee replacement. (Text, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	1032 days	573 days	573 days	35% lower
Date	29-Feb-2016	15-Jun-2020	15-Jun-2020	29-Apr-2022
Comments				
▶ Percentage of individuals diagnosed with hypertension that are under optimal clinical control. (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	62.00	65.70	65.70	64.00
Date	31-Dec-2013	15-Jun-2020	15-Jun-2020	29-Apr-2022
Comments: Indicator achieved its end of Project target.				



--

Institutional Management				
▶ Percentage of households covered by the Family Socio-Economic & Health Risks Information File. (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	70.00	100.00	100.00	100.00
Date	29-Feb-2016	31-Dec-2020	31-Dec-2020	29-Apr-2022
Comments	Indicator achieved its end of Project target.			
▶ Percentage of households with Family Socio-Economic & Health Risks Information File digital geo-referencing data available online. (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	15.70	15.70	16.00
Date	29-Feb-2016	15-Jun-2020	15-Jun-2020	29-Apr-2022
Comments				
▶ Use of institutional strategic intelligence system data to publish and disseminate two annual reports measuring progress of SASHI (Text, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	-	System designed, approved by Board of Directors	System designed, approved by Board of Directors	System designed, approved and launched Two annual reports published and disseminated
Date	29-Feb-2016	31-Dec-2020	31-Dec-2020	28-Apr-2022
Comments				
▶ Institutional Review of core institutional management functions, and operational plan for progressive implementation of streamlining central level management. (Text, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	-	Approved by the Board of Directors	Approved by the Board of Directors	Approved by Board of Directors
Date	29-Feb-2016	31-Dec-2020	31-Dec-2020	29-Apr-2022
Comments				

Financial Management				
▶ Financial statements produced using new international public accounting standards, streamlined and digital automated processes. (Text, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target



Value	-	In progress	In progress	Approved Evaluation report of first two years Annual Financial Statement using outputs from new automated streamlined system
Date	29-Feb-2016	31-Dec-2020	31-Dec-2020	29-Apr-2022
Comments				
▶ Shadow budget constructed based on gender and age risk adjusted capitations. (Text, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	-	In progress	In progress	Completed
Date	29-Feb-2016	31-Dec-2020	31-Dec-2020	29-Apr-2022
Comments				

Disbursement Linked Indicators

▶ DLI 1 Percentage of major surgeries from priority list conducted in outpatient settings according to CCSS institutional guidelines. (Outcome, 60,000,000.00, 0%)				
	Baseline	Actual (Previous)	Actual (Current)	Year 2
Value	41.60	49.80	49.80	46.00
Date	29-Feb-2016	31-Dec-2020	31-Dec-2020	--
Comments	Verified. Indicator achieved its end of Project target.			

▶ DLI 2 Cumulative percentage of target population personally invited to undergo colon cancer screening in five priority counties. (Outcome, 60,000,000.00, 0%)				
	Baseline	Actual (Previous)	Actual (Current)	Year 2
Value	0.00	24.30	24.30	40.00
Date	29-Feb-2016	31-Dec-2020	31-Dec-2020	--
Comments	Verified (only first milestone).			

▶ DLI 3 Percentage of individuals diagnosed with Diabetes Type II that are under optimal clinical control. (Output, 60,000,000.00, 0%)				
	Baseline	Actual (Previous)	Actual (Current)	Year 2
Value	39.00	42.50	42.50	41.00



Date	31-Dec-2014	31-Dec-2020	31-Dec-2020	--
Comments	Verified. Indicator achieved its end of Project target.			

► DLI 4 Pilot project on integrated health networks approved by the CCSS Board and implemented for a selected population and territory and evaluated with the results publicly disseminated. (Output, 60,000,000.00, 0%)

	Baseline	Actual (Previous)	Actual (Current)	Year 2
Value	-	Pilot Project on integrated health networks approved by the CCSS Board of Directors.	Pilot Project on integrated health networks approved by the CCSS Board of Directors.	--
Date	29-Feb-2016	31-Dec-2020	31-Dec-2020	--
Comments	Verified (only first milestone).			

► DLI 5 Percentage of total number of primary health care units (Areas de Salud) with the Unique Digital Health Record e-health package (EDUS). (Outcome, 60,000,000.00, 0%)

	Baseline	Actual (Previous)	Actual (Current)	Year 2
Value	50.00	90.10	90.10	80.00
Date	29-Feb-2016	31-Dec-2020	31-Dec-2020	--
Comments	Verified. Indicator achieved its end of Project target.			

► DLI 6 Redesign, implementation and use of data collected by the new annual survey to measure impact of new interventions to improve patient satisfaction. (Output, 60,000,000.00, 0%)

	Baseline	Actual (Previous)	Actual (Current)	Year 2
Value	-	Redesign survey was approved by the Board of Directors	Redesign survey was approved by the Board of Directors	--
Date	29-Feb-2016	31-Dec-2020	31-Dec-2020	--
Comments	Verified (only first milestone).			

► DLI 7 Development and execution of a comprehensive medium- and long-term plan to ensure the financial sustainability of the CCSS. (Outcome, 60,000,000.00, 0%)

	Baseline	Actual (Previous)	Actual (Current)	Year 2
Value	-	Milestone 7.1 being verified	Milestone 7.1 and 7.2 being verified	--
Date	29-Feb-2016	31-Dec-2020	31-Dec-2020	--
Comments	Verified (two of three milestones).			