

**INTEGRATED SAFEGUARDS DATA SHEET  
ADDITIONAL FINANCING**

**Report No.: ISDSA14673**

**Date ISDS Prepared/Updated:** 15-Dec-2015

**Date ISDS Approved/Disclosed:** 11-Jan-2016

**I. BASIC INFORMATION**

**1. Basic Project Data**

|  |  |                              |                              |
|--|--|------------------------------|------------------------------|
| <b>Country:</b>  | Congo, Democratic Republic of  | <b>Project ID:</b>           | P155266                      |
|  |  | <b>Parent Project ID:</b>    | P091092                      |
| <b>Project Name:</b>   | DRC Urban Water Supply Project Additional Financing and Restructuring (P155266)        |                              |                              |
| <b>Parent Project Name:</b>  | DRC Urban Water Supply Project (P091092)   |                              |                              |
| <b>Task Team Leader(s):</b>  | Madio Fall   |                              |                              |
| <b>Estimated Appraisal Date:</b>   | 10-Dec-2015  | <b>Estimated Board Date:</b> | 25-Feb-2016                  |
| <b>Managing Unit:</b>  | GWA07  | <b>Lending Instrument:</b>   | Investment Project Financing |
| <b>Sector(s):</b>  | Water supply (95%), Public administration- Water, sanitation and flood protection (5%) |                              |                              |
| <b>Theme(s):</b>   | Urban services and housing for the poor (90%), Other public sector governance (10%)    |                              |                              |
| <b>Is this project processed under OP 8.50 (Emergency Recovery) or OP 8.00 (Rapid Response to Crises and Emergencies)?</b> |  |                              | No                           |
| <b>Financing (In USD Million)</b>  |  |                              |                              |
| Total Project Cost:  | 150.00   | Total Bank Financing:        | 150.00                       |
| Financing Gap:   | 0.00   |                              |                              |
| <b>Financing Source</b>  |  |                              | <b>Amount</b>                |
| BORROWER/RECIPIENT   |  |                              | 0.00                         |
| IDA Grant  |  |                              | 150.00                       |
| Total  |  |                              | 150.00                       |
| <b>Environmental Category:</b>   | B - Partial Assessment   |                              |                              |

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| <b>Is this a Repeater project?</b> | No |
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## 2. Project Development Objective(s)

### A. Original Project Development Objectives – Parent

The project objective is to increase, on a sustainable basis, access to drinking water in certain urban centers of DRC.

### B. Proposed Project Development Objectives – Additional Financing (AF)

The revised project objective is to increase access to sustainable water services in selected urban areas, and to improve the operational performances and viability of the State Water Utility.

## 3. Project Description

Under Component A (indicative amount: US\$115.1 million): construction of water production, transmission and storage facilities and provision and installation of distribution networks, social household connections and public standpipes, including the provision of water meters in: Kinshasa, Lubumbashi, Matadi and Kindu. Detailed design studies are available for a substantial portion of these works, while the remainder would be completed through amendments of the consultant contracts carried out for the Water Supply Master Plans of the targeted cities.

Under Component B (indicative amount: US\$34.9 million): (i) support to the Recipient in deepening the sector reform, including institutional studies and design of the next PSP transactions, financing of two additional years of the services contract and financing of rehabilitation; and (ii) support to the Recipient in the areas of Project coordination, supervision, financial management, communication and outreach, procurement, monitoring and evaluation, supervision of implementation of the Safeguards Instruments.

## 4. Project location and salient physical characteristics relevant to the safeguard analysis (if known)

The project will finance investment and rehabilitation programs specific to each city targeted by the project (Kinshasa, Kindu, Lubumbashi and Matadi).

## 5. Environmental and Social Safeguards Specialists

Abdoulaye Gadiere (GEN07)

Lucienne M. M'Baipor (GSU01)

| 6. Safeguard Policies               | Triggered? | Explanation (Optional)  |
|-------------------------------------|------------|---|
| Environmental Assessment OP/BP 4.01 | Yes        | The expected investments within this Additional Financing are constituted by construction of water production, transmission and storage facilities, installation of distribution networks and public standpipes. These investments are likely to have moderate adverse impacts on environment and will be site-specific as activities realized under the parent project and for which the project was rated EA category B. If cities to host investments are known this is not the case for activities exact location. In |

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|  |     | such a case the appropriate safeguard instrument is an Environmental and Social Management Framework (ESMF). The existing ESMF has been updated, reviewed, consulted upon and disclosed both in DRC and at the Infoshop on December 9, 2015.  |
| Natural Habitats OP/BP 4.04                    | No  | None of the activities to be financed by the AF will be implemented in natural habitats or induce the use of resources collected from natural habitats which could result in their degradation.   |
| Forests OP/BP 4.36                             | No  | Activities under the AF will totally take place in urban areas. That means no forested area is expected to be impacted.   |
| Pest Management OP 4.09                        | No  | The project does not have any relation with pest management   |
| Physical Cultural Resources OP/BP 4.11         | Yes | As most of activities to be undertaken will involve excavations with possible discoveries of physical cultural resources, this policy is triggered in order to anticipate and to make sure that all the precautions have been taken to protect and safeguard physical cultural resources. However, there is no need to prepare specific instrument on physical cultural resources. A chapter on how to handle chance finds or cultural assets within the project area has been developed and included in the ESMF.  |
| Indigenous Peoples OP/ BP 4.10                 | No  | There are no Indigenous People in the project areas.  |
| Involuntary Resettlement OP/BP 4.12            | Yes | The project is an infrastructure project. That means constructions and rehabilitation of such infrastructure ranging from water production, transmission and storage facilities, installation of distribution networks and public standpipes, may have impact on people and lead to land acquisition or economic resettlement of population. To anticipate potential social adverse impacts associated to investments due to the fact that exact sites are not yet known, the existing Resettlement Policy Framework (RPF) has been updated by the client, reviewed, consulted upon and disclosed publicly within DRC on December 8, 2015, and disclosed at the Infoshop on December 8, 2015. |
| Safety of Dams OP/BP 4.37                      | No  | The project will not finance dam or dam-related investments, nor rely on dams.  |
| Projects on International Waterways OP/BP 7.50 | Yes | Among investments to be realized under this Additional Financing, there is the construction of water production facilities that will use water of Congo river which is an international water way. The necessary volume to take per day is 330000 m3. To be in compliance with this policy, a notification referred CAB.MIN-ENRH/NYB 930/MA/15  |

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|                                       |    | dated July 7, 2015, from the Government of DRC was sent to riparian countries through the CICOS (Commission Internationale du bassin Congo-Oubangui-Sangah). |
| Projects in Disputed Areas OP/BP 7.60 | No | This project is not being implemented in any disputed areas.   |

## II. Key Safeguard Policy Issues and Their Management

### A. Summary of Key Safeguard Issues

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|---|
| <p><b>1. Describe any safeguard issues and impacts associated with the proposed project. Identify and describe any potential large scale, significant and/or irreversible impacts:</b></p> <p>There are no significant or irreversible adverse impacts that are expected from the implementation of activities that will be financed under this AF. Most of the adverse environmental and social impacts associated with these investments will be small-scale and site-specific. Hence, they will be manageable at an acceptable level.</p> <p>The project remains EA category B due to the fact that components and activities are nearly the same as in the parent project. It will trigger, however, a new safeguard policy (OP/BP 7.50), in respect of which the Government has taken appropriate action. The policies that were previously triggered are OP/PB 4.01 and OP/PB 4.12. Safeguard instruments (ESMF and RPF) have all been updated and will be implemented as guidance/guidelines for the activities during the Additional Financing phase.</p>   |
| <p><b>2. Describe any potential indirect and/or long term impacts due to anticipated future activities in the project area:</b></p> <p>The potential adverse impacts associated with projected activities are anticipated to be minor, site specific and limited to an acceptable level. The social safeguards impacts identified, are not expected to have long term or cumulative effects.</p>  |
| <p><b>3. Describe any project alternatives (if relevant) considered to help avoid or minimize adverse impacts.</b></p> <p>As indicated above, the potential adverse impacts are minimal.</p>  |
| <p><b>4. Describe measures taken by the borrower to address safeguard policy issues. Provide an assessment of borrower capacity to plan and implement the measures described.</b></p> <p>During the parent project's implementation, screening of sub-projects has taken place to ensure proper identification and mitigation of any adverse impacts. In addition, limited Environmental and Social Impact Assessment (ESIAs), Resettlement Action Plans (RAP) have been regularly developed before the commencement of works on the ground. During works' phase, a monthly environmental and social report was regularly produced by the PIU which hosts two senior Environmental specialists. Moreover, World Bank's safeguard specialists were part of supervision fields' missions to make sure that the project was implemented in full compliance with safeguard triggered policies (OP/PB4.01 and OP/PB4.12). These practices will continue during the AF.</p> <p>On procedural level, as the exact location of future investments are not yet known and activities are diversified, the borrower has updated the existing Environmental and Social Management Framework (ESMF). That safeguard instrument contains standards, methods, and procedures specifying how future activities whose location, number, and scale are unknown will systematically address environmental and social issues. It includes: (i) a systematic environmental</p> |

and social impact assessment for all activities before selection and implementation; (ii) procedures for conducting activity-specific ESIA's, Limited Environmental Impact Assessment (LEIA) or Environmental and Social Management Plan (ESMP); (iii) capacity strengthening and awareness-raising campaigns targeted at relevant stakeholder groups for better implementation and monitoring of project safeguard measures; and (iv) establishment and implementation of a consultation framework for the environmental control and monitoring.

To manage land acquisition, involuntary resettlement or loss of livelihoods induced by the implementation of activities, the client has updated the existing Resettlement Policy Framework (RPF). That safeguard instrument clarifies the principles, organizational arrangements, and design criteria to be applied to investments to be prepared during AF implementation. Investment-specific Resettlement Action Plans (RAP) will be prepared, as necessary, in line with the RPF and submitted to the Bank for approval.

All the above safeguard documents provide detailed mitigation measures to ensure sustainability and compliance with DRC's regulations and legislations, as well as with the World Bank environmental and social policies.

Activities financed under the project will be screened using a standardized approach based on the tools developed under the original project. ESMPs will be prepared as needed for the small civil works expected to be undertaken under this AF. For works with negligible impacts environmental measures based on national laws and regulations will apply.

As indicated previously, the PIU currently has two environmental specialists who have been benefitting from safeguards training during Bank supervision missions or specific trainings on safeguard policies and procedures. Both will continue being responsible for safeguards implementation within this AF.

**5. Identify the key stakeholders and describe the mechanisms for consultation and disclosure on safeguard policies, with an emphasis on potentially affected people.**

The key stakeholders are communities living in the project areas, particularly poor households, pupils and teachers in schools which will benefit from water supply, but also the industries that will be connected to water networks. During the preparation of the safeguard instruments all these stakeholders were consulted and their comments and suggestions taken into consideration in the finalization of the documents. These series of consultations have been organized through workshops and communication campaigns. The frameworks have been disclosed in-country and at the Infoshop.

***B. Disclosure Requirements***

| <b>Environmental Assessment/Audit/Management Plan/Other</b>  |             |
|--|-------------|
| Date of receipt by the Bank  | 08-Dec-2015 |
| Date of submission to InfoShop   | 09-Dec-2015 |
| For category A projects, date of distributing the Executive Summary of the EA to the Executive Directors | ////        |
| "In country" Disclosure  |             |
|  |             |
| <i>Comments:</i>   |             |
| <b>Resettlement Action Plan/Framework/Policy Process</b>   |             |

|   |             |
|---|-------------|
| Date of receipt by the Bank   | 08-Dec-2015 |
| Date of submission to InfoShop  | 08-Dec-2015 |
| "In country" Disclosure   |             |
| <i>Comments:</i>  |             |
| <b>If the project triggers the Pest Management and/or Physical Cultural Resources policies, the respective issues are to be addressed and disclosed as part of the Environmental Assessment/Audit/or EMP.</b> |             |
| <b>If in-country disclosure of any of the above documents is not expected, please explain why:</b>  |             |
|   |             |

### ***C. Compliance Monitoring Indicators at the Corporate Level***

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|---|--|
| <b>OP/BP/GP 4.01 - Environment Assessment</b>   |  |
| Does the project require a stand-alone EA (including EMP) report?   | Yes [ ] No [ <input checked="" type="checkbox"/> ] NA [ ]  |
| <b>OP/BP 4.11 - Physical Cultural Resources</b>   |  |
| Does the EA include adequate measures related to cultural property?   | Yes [ <input checked="" type="checkbox"/> ] No [ ] NA [ ]  |
| Does the credit/loan incorporate mechanisms to mitigate the potential adverse impacts on cultural property?   | Yes [ <input checked="" type="checkbox"/> ] No [ ] NA [ ]  |
| <b>OP/BP 4.12 - Involuntary Resettlement</b>  |  |
| Has a resettlement plan/abbreviated plan/policy framework/process framework (as appropriate) been prepared?   | Yes [ <input checked="" type="checkbox"/> ] No [ ] NA [ ]  |
| If yes, then did the Regional unit responsible for safeguards or Practice Manager review the plan?  | Yes [ <input checked="" type="checkbox"/> ] No [ ] NA [ ]  |
| Is physical displacement/relocation expected?   | Yes [ ] No [ ] TBD [ <input checked="" type="checkbox"/> ] |
| Provided estimated number of people to be affected  |  |
| Is economic displacement expected? (loss of assets or access to assets that leads to loss of income sources or other means of livelihoods)                                    | Yes [ ] No [ ] TBD [ <input checked="" type="checkbox"/> ] |
| Provided estimated number of people to be affected  |  |
| <b>OP 7.50 - Projects on International Waterways</b>  |  |
| Have the other riparians been notified of the project?  | Yes [ <input checked="" type="checkbox"/> ] No [ ] NA [ ]  |
| If the project falls under one of the exceptions to the notification requirement, has this been cleared with the Legal Department, and the memo to the RVP prepared and sent? | Yes [ ] No [ ] NA [ <input checked="" type="checkbox"/> ]  |
| Has the RVP approved such an exception?   | Yes [ ] No [ ] NA [ <input checked="" type="checkbox"/> ]  |
| <b>The World Bank Policy on Disclosure of Information</b>   |  |
| Have relevant safeguard policies documents been sent to the World Bank's InfoShop?  | Yes [ <input checked="" type="checkbox"/> ] No [ ] NA [ ]  |

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|--|---|
| Have relevant documents been disclosed in-country in a public place in a form and language that are understandable and accessible to project-affected groups and local NGOs? | Yes [ <input checked="" type="checkbox"/> ] No [ <input type="checkbox"/> ] NA [ <input type="checkbox"/> ] |
| <b>All Safeguard Policies</b>  |   |
| Have satisfactory calendar, budget and clear institutional responsibilities been prepared for the implementation of measures related to safeguard policies?                  | Yes [ <input checked="" type="checkbox"/> ] No [ <input type="checkbox"/> ] NA [ <input type="checkbox"/> ] |
| Have costs related to safeguard policy measures been included in the project cost?   | Yes [ <input checked="" type="checkbox"/> ] No [ <input type="checkbox"/> ] NA [ <input type="checkbox"/> ] |
| Does the Monitoring and Evaluation system of the project include the monitoring of safeguard impacts and measures related to safeguard policies?                             | Yes [ <input checked="" type="checkbox"/> ] No [ <input type="checkbox"/> ] NA [ <input type="checkbox"/> ] |
| Have satisfactory implementation arrangements been agreed with the borrower and the same been adequately reflected in the project legal documents?                           | Yes [ <input checked="" type="checkbox"/> ] No [ <input type="checkbox"/> ] NA [ <input type="checkbox"/> ] |

### III. APPROVALS

|                               |                                    |                   |
|-------------------------------|------------------------------------|-------------------|
| Task Team Leader(s):          | Name: Madio Fall                   |                   |
| <b>Approved By</b>            |                                    |                   |
| Practice Manager/<br>Manager: | Name: Alexander E. Bakalian (PMGR) | Date: 11-Jan-2016 |