



LA-Scaling-Up Participatory Sustainable Forest Management (P130222)

EAST ASIA AND PACIFIC | Lao People's Democratic Republic | Environment, Natural Resources & the Blue Economy Global Practice | IBRD/IDA | Investment Project Financing | FY 2013 | Seq No: 15 | ARCHIVED on 22-Dec-2020 | ISR44768 |

Implementing Agencies: Ministry of Finance, Ministry of Agriculture and Forestry

Key Dates

Key Project Dates

Bank Approval Date: 31-May-2013

Effectiveness Date: 08-Aug-2013

Planned Mid Term Review Date: 23-Nov-2015

Actual Mid-Term Review Date: 09-Nov-2015

Original Closing Date: 31-Aug-2018

Revised Closing Date: 31-Aug-2021

Project Development Objectives

Project Development Objective (from Project Appraisal Document)

To execute REDD+ activities through participatory sustainable forest management in priority areas and to pilot forest landscape management in four provinces

Has the Project Development Objective been changed since Board Approval of the Project Objective?

Yes

Board Approved Revised Project Development Objective (If project is formally restructured)

To strengthen participatory sustainable forest management in targeted production forest areas, and forest landscape management in targeted provinces

Components Table

Name

- 1: Strengthening and Expanding PSFM in PFAs:(Cost \$23.03 M)
- 2: Forest Landscape Management:(Cost \$2.99 M)
- 3: Enabling Legal and Regulatory Environment:(Cost \$4.81 M)
- 4: Project Management, Learning, and Investment Development:(Cost \$5.99 M)

Overall Ratings

Name	Previous Rating	Current Rating
Progress towards achievement of PDO	<input type="checkbox"/> Satisfactory	<input type="checkbox"/> Satisfactory
Overall Implementation Progress (IP)	<input type="checkbox"/> Satisfactory	<input type="checkbox"/> Satisfactory
Overall Risk Rating	<input type="checkbox"/> Substantial	<input type="checkbox"/> Moderate

Implementation Status and Key Decisions

The project has already achieved most of its key outcome targets and in doing so has strengthened participatory sustainable forest management and contributed to reduction of carbon emissions from deforestation and forest degradation, as well as diverse livelihoods benefits. Continuing activities, enabled by US\$ 5million in additional financing approved in early 2020, are mainly aimed at further enhancing and strengthening the outcomes already achieved, particularly in relation to village livelihood activities, forest governance, international forest management certification,



and development of investment plans to support forest landscape management. So far, more than 976,000 hectares of forests have been brought under sustainable community-based management plans and over 117,000 people in forests areas have seen increased monetary and non-monetary benefits from both forest-related and non-forest activities. More than two-thirds of these beneficiaries are ethnic minorities. The project's sustainable forest management interventions and project-supported regulatory measures are estimated to have reduced emissions from deforestation and forest degradation (REDD+) by about 1.8 million tons CO2 equivalent (CO2e) and reduced the annual rate of forest cover loss by about one-third. To date, the Forest Stewardship Council (FSC) has certified 108,408 hectares as meeting either its forest management or controlled wood standard (roughly half under each standard) and efforts are ongoing toward achievement of the project's target of 223,000 hectares under certification. Livelihood development grants have been provided to 666 villages in 41 Production Forest Areas to support sustainable forest and non-forest economic activities. A total of 20,600 individual Village Livelihoods Development Grants were distributed to households.

Risks

Systematic Operations Risk-rating Tool

Risk Category	Rating at Approval	Previous Rating	Current Rating
Political and Governance	--	<input type="checkbox"/> High	<input type="checkbox"/> High
Macroeconomic	--	<input type="checkbox"/> Substantial	<input type="checkbox"/> Substantial
Sector Strategies and Policies	--	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate
Technical Design of Project or Program	--	<input type="checkbox"/> Low	<input type="checkbox"/> Low
Institutional Capacity for Implementation and Sustainability	--	<input type="checkbox"/> Substantial	<input type="checkbox"/> Substantial
Fiduciary	--	<input type="checkbox"/> Substantial	<input type="checkbox"/> Substantial
Environment and Social	--	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate
Stakeholders	--	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate
Other	--	<input type="checkbox"/> Substantial	<input type="checkbox"/> Substantial
Overall	--	<input type="checkbox"/> Substantial	<input type="checkbox"/> Moderate

Results

PDO Indicators by Objectives / Outcomes

To strengthen participatory sustainable forest management in targeted production forest areas				
► Forest area brought under management plans (Hectare(Ha), Corporate)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	976,211.00	976,211.00	976,211.00
Date	01-Jul-2013	09-Apr-2020	30-Nov-2020	31-Aug-2021
Comments:	Target achieved. Unchanged since last ISR. This indicator measures the extent of Production Forest Areas where government-approved PSFM plans prepared with support from SUFORD-SU are under			



implementation. The total area under PSFM plans has been determined by summing up the areas provided in the 40 individual PSFM plans prepared with support from SUFORD-SU. Note that one of the original 41 PFAs planned to receive project support is inaccessible due to security reasons.

► People in forest and adjacent community with monetary/ non-monetary benefit from interventions (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	117,400.00	117,400.00	117,400.00
Date	01-Jul-2013	09-Apr-2020	30-Nov-2020	31-Aug-2021

Comments: This is the sum of the number of people in households implementing village sub-projects with funds available from Village Livelihood Development Grants (VLDGs) provided by SUFORD-SU in 666 villages (direct beneficiaries of VLD Grants: 21,344 recipient households x avg of 5.5 people in rural households, according to 2015 census). The VLDGs are fully disbursed and implementation underway with monitoring and extension support from DOF, PAFOs and DAFOs. In addition, separate impact assessments and other research shows significant welfare improvement effects. The latest is the FIP poverty study independently carried out by the Bank's poverty GP. Prior to that the client prepared similar studies. Also released in 2019 is a FIP report on SUFORD-SU.

□ People in targeted forest and adjacent community with increased benefits from interventions-female (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	58,700.00	58,700.00	58,700.00
Date	01-Jul-2013	09-Apr-2020	30-Nov-2020	31-Aug-2021

□ People in targeted forest & adjacent community with increased benefit from interventions -Ethnic minority/ indigenous (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	90,500.00	90,500.00	90,500.00
Date	01-Jul-2013	09-Apr-2020	30-Nov-2020	31-Aug-2021

► Enhanced carbon storage from improved forest protection and restoration (tCO2e) (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	33,500.00	33,500.00	35,000.00
Date	01-Jul-2013	09-Apr-2020	30-Nov-2020	31-Aug-2021

Comments: The original target of 15,000 tCO2 was achieved and surpassed. Under AF the target was raised to 35,000. The substantial increase is due to a change in calculation methodology occurred during 2018 Restructuring, as well as the increased contribution to national level emission reduction through more efficient law enforcement.

► Reduced emissions from deforestation and forest degradation (tCO2e) (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
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Value	0.00	1,800,000.00	1,800,000.00	2,000,000.00
Date	01-Jul-2013	09-Apr-2020	30-Nov-2020	31-Aug-2021
Comments:	The original target of 121,000 tCO2 was achieved and surpassed. Under AF, the end target was increased to the current 2,000,000 tCO2 by the end of the project. The substantial increase is due to a change in calculation methodology occurred during the 2018 Restructuring, as well as the increased contribution to national level emission reduction through more efficient law enforcement.			
► Rate of annual forest cover loss in targeted Production Forest Areas (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.27	0.18	0.18	0.18
Date	01-Jul-2013	09-Apr-2020	30-Nov-2020	31-Aug-2021
Comments:	Based on the Forest Cover Change Assessment carried out using RapidEye (2015) and Sentinel 2 images (2017-2018) to assess forest cover loss observed during 2000-2005, 2005-10, 2010-15, and 2015-17 intervals.			

and (to strengthen) forest landscape management in targeted provinces

► Forest area brought under forest landscape management plans (Hectare(Ha), Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	3,380,170.00	3,380,170.00	3,380,170.00
Date	01-Jul-2013	09-Apr-2020	30-Nov-2020	31-Aug-2021
Comments:	Unchanged. This indicator measures the area under plans for Forest Landscape Management (FLM) in Bokeo, Luang Namtha, Oudomxay and Xayaboury provinces. The FLM area has been defined through remote sensing, field checks and consultations with stakeholders.			

Intermediate Results Indicators by Components

Strengthening and Expanding PSFM in Production Forest Areas				
► Beneficiaries who receive technical services of adequate quality (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	83.00	76.00	83.00
Date	01-Jul-2013	09-Apr-2020	30-Nov-2020	31-Aug-2021
Comments:	This indicator measures the percentage of the direct beneficiaries among the village population who perceive that they receive technical services of adequate quality. Direct beneficiaries include members of the Village Forest and Livelihood Committee, and those who receive VLD Grants. The perception-based survey will be conducted again before project closure.			



<input type="checkbox"/> VFLC who receive services of adequate quality in Forest Management and Village Livelihood Development (Percentage, Custom Breakdown)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	71.00	71.00	80.00
Date	01-Jul-2013	09-Apr-2020	30-Nov-2020	30-Aug-2021
<input type="checkbox"/> Production groups who receive services of adequate quality in Livelihood Support (Percentage, Custom Breakdown)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	95.00	95.00	95.00
Date	01-Jul-2013	09-Apr-2020	30-Nov-2020	31-Aug-2021
► Participants who perceive the CEF process is adequate (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	97.00	97.00	97.00
Date	01-Jul-2013	09-Apr-2020	30-Nov-2020	31-Aug-2021
Comments:	Assessed in January 2019.			
► Forest area under MoU-PSFM (Hectare(Ha), Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	976,211.00	976,211.00	976,211.00
Date	01-Jul-2013	09-Apr-2020	30-Nov-2020	31-Aug-2021
Comments:	Original target of 975,000 was achieved and surpassed. Under AF the end target was raised to the current value, to be maintained by the project completion.			
► Forest area under MoU-VFMA (Hectare(Ha), Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	10,750.00	10,750.00	10,750.00
Date	12-Aug-2013	09-Apr-2020	30-Nov-2020	31-Aug-2021
Comments:	Original target of 10,000 ha was achieved and surpassed. Under AF the end target was raised to the current value, to be maintained by the project completion.			
► VLD Grants disbursed to villages and under implementation (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target



Value	0.00	100.00	100.00	100.00
Date	01-Jul-2013	09-Apr-2020	30-Nov-2020	30-Aug-2021
Comments:	Village Livelihood Development Grants (VLDGs) have been fully distributed to all 666 targeted villages (approximately 21,344 recipient households and 117,400 beneficiaries), and a wide range of livelihood activities are well implemented, monitored and supported with extension services, resulting in improved livelihoods from alternative income activities (agriculture, agroforestry, and small animal husbandry, etc.) and reduced dependence on forest exploitation.			
► Aggregate forest area under certification as a result of the project (Hectare(Ha), Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	108,068.00	108,068.00	230,000.00
Date	01-Jul-2013	09-Apr-2020	30-Nov-2020	31-Aug-2021
Comments:	End target was increased from the original target of 175,000ha to match the renewed national target set by the Government at 230,000 ha by 2022. Covid-19 travel restriction have delayed the 2020 certification mission to Laos of the auditor. The client is in contact with FSC to try to expedite the 2021 mission, for it to happen before project closure.			
<input type="checkbox"/> Forest area under certification (FSC Forest Management Standard) as a result of the project (Hectare(Ha), Custom Breakdown)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	50,534.00	50,534.00	112,000.00
Date	01-Jul-2013	09-Apr-2020	30-Nov-2020	31-Aug-2021
<input type="checkbox"/> Forest area under certification (FSC Controlled Wood Standard) as a result of the project (Hectare(Ha), Custom Breakdown)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	57,534.00	57,534.00	118,000.00
Date	01-Jul-2013	09-Apr-2020	30-Nov-2020	31-Aug-2021

Forest Landscape Management				
► Number of FLM Frameworks developed (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	4.00	4.00	4.00
Date	01-Jul-2013	09-Apr-2020	30-Nov-2020	31-Aug-2021
Comments:	The exercise was successfully completed in the 4 target provinces. AF will build on these frameworks to produce Forest Landscape Investment Plans.			
► Areas with Forest Landscape Management implementation plans (Hectare(Ha), Custom)				



	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	1,110,000.00	1,110,000.00	1,080,000.00
Date	01-Jul-2013	09-Apr-2020	30-Nov-2020	30-Aug-2021
Comments:	The original target of 1,080 ha was achieved and surpassed. The current increase matches the actual value from May 2019 ISR. The AF aims to maintain this achievement by project completion.			
► Forest Landscape Investment Plans approved (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	4.00
Date	17-Dec-2019	09-Apr-2020	30-Nov-2020	31-Aug-2021
Comments:	This is a new indicator approved in the 2020 AF to address the absence of provincial investment plans to sequence and locate public and private projects within a landscape of different forest and land uses. The investment plans, currently under development but delayed by restrictions related to Covid-19, will highlight tradeoffs among land uses within the landscape, to help reduce risks to investment.			

Enabling Legal and Regulatory Environment				
► Reforms in forest policy, legislation or other regulations supported (Yes/No, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	No	Yes	Yes	Yes
Date	01-Jul-2013	09-Apr-2020	30-Nov-2020	31-Aug-2021
Comments:	Support has been provided to completed or on-going policy or legal work on village forestry, salvage logging, preparation of the new Forest Law enacted in July 2019 (including forestry legal compendium, wildlife and aquatic legal compendium, penal code, TLAS, Forest Certification Systems), implementation of PMO-15 (enacted in 2016), National REDD+ Strategy (undergoing final revision), approval of PMO5 (wildlife law enforcement), and PMO9 allowing forest plantation in PFAs.			
► Investigations of breaches of forestry law and wildlife and aquatic law referred to criminal courts (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	6.00	6.00	10.00
Date	31-Jul-2013	09-Apr-2020	30-Nov-2020	31-Aug-2021
Comments:	The original target of 5 was achieved and surpassed. Based on the highly satisfactory performance of this activity, the target was doubled for AF, also considering the increased inter-agency coordination envisaged under AF that will likely increase the number of successful investigations.			
<input type="checkbox"/> Investigation of breaches of forestry law and wildlife and aquatic law referred to criminal courts, proportion of which are joint inter-agency investigations (Percentage, Custom Breakdown)				
	Baseline	Actual (Previous)	Actual (Current)	End Target



Value	0.00	0.00	0.00	20.00
Date	17-Dec-2019	09-Apr-2020	30-Nov-2020	31-Aug-2021
► STEPP field activities resulting in enforcement action (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	85.00	85.00	80.00
Date	31-Jul-2013	09-Apr-2020	30-Nov-2020	30-Mar-2020
► POFIs in compliance with DOFI best management practice operating procedures (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	16.00	16.00	18.00
Date	31-Jul-2013	09-Apr-2020	30-Nov-2020	30-Mar-2020
Comments:	Consists of assessments on 8 areas of work including: 1) Patrol Activity, 2) Timber Seizures, 3) Wildlife Seizures, 4) NTFP, 5) Land illegally cleared, 6) Equipment, 7) Investigations, and 8) Community Education Activity); figure based on DOFI internal evaluation report finalized in April 2019.			

Performance-Based Conditions

Data on Financial Performance

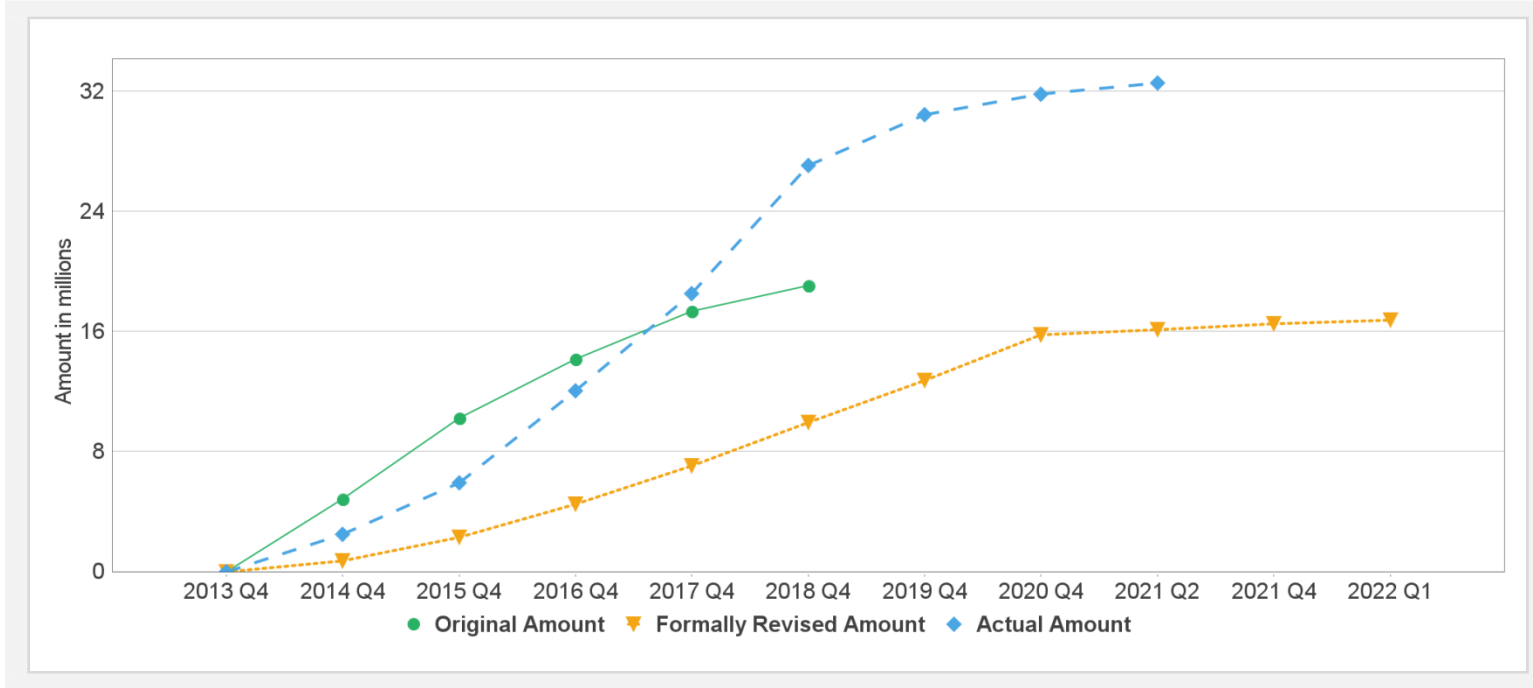
Disbursements (by loan)

Project	Loan/Credit/TF	Status	Currency	Original	Revised	Cancelled	Disbursed	Undisbursed	% Disbursed
P130222	IDA-65350	Effective	USD	5.00	5.00	0.00	1.77	3.44	34%
P130222	IDA-H8520	Closed	USD	19.00	19.00	0.00	17.96	0.00	100%
P130222	TF-15286	Closed	USD	12.83	12.83	0.00	12.83	0.00	100%

Key Dates (by loan)

Project	Loan/Credit/TF	Status	Approval Date	Signing Date	Effectiveness Date	Orig. Closing Date	Rev. Closing Date
P130222	IDA-65350	Effective	11-Feb-2020	19-Feb-2020	19-Mar-2020	31-Aug-2021	31-Aug-2021
P130222	IDA-H8520	Closed	31-May-2013	08-Aug-2013	30-Aug-2013	31-Aug-2018	30-Mar-2020
P130222	TF-15286	Closed	08-Aug-2013	08-Aug-2013	08-Aug-2013	31-Aug-2018	30-Mar-2020

Cumulative Disbursements



PBC Disbursement

PBC ID	PBC Type	Description	Coc	PBC Amount	Achievement Status	Disbursed amount in Coc	Disbursement % for PBC
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Restructuring History

Level 2 Approved on 25-May-2018 ,Level 2 Approved on 21-May-2019

Related Project(s)

P170810-Additional Financing for Scaling Up Participatory Sustainable Forest Management Project