

**Dam Operational Improvement and Safety Project
Phase 2 (DOISP 2)**

LOAN NO. P 8711

**Procurement Plan
Version No.: 1
Date: July 16, 2018**

I. General Information

1. Project information:

- a. Country: Indonesia
- b. Recipient: Ministry of Finance (MOF)
- c. Project Name: **Dam Operational Improvement and Safety Project Phase 2**
- d. Project Implementing Agency (PIA):
 - (i) Directorate General of Water Resources – Ministry of Public Works and Housing

2. Bank's No Objection Date of the Procurement Plan:

- a. Version 1: June 5, 2017
- b. Version 2: November 10, 2017
- c. Version 3: July 5, 2018

3. Date of General Procurement Notice:

4. Period covered by this procurement plan: January 1, 2017 to December 31, 2018

II. Types of Contract, Bank's Review Requirements and Applied Methods

A. Works, Goods and Non-consulting Services

- 1. Prior Review Threshold:** Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement:

Ref. No.	Procurement Method	Procurement Method Threshold	Bank's Review Requirement
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1.	International Competitive Bidding (Goods/IT System)	≥ \$2,000,000	Each contract equal to or above \$1,500,000 equivalent shall be subject to Bank's prior review. All other contracts subject to post
2.	International Competitive Bidding (Non-consulting Services)	≥ \$10,000,000	Each contract equal to or above \$1,500,000 equivalent shall be subject to Bank's prior review. All other contracts subject to post
3.	National Competitive Bidding (Goods/IT System)	< \$2,000,000	Each contract equal to or above \$1,500,000 equivalent shall be subject to Bank's prior review. All other contracts subject to post
4.	National Competitive Bidding (Non-consulting Services)	< \$10,000,000	Each contract equal to or above \$1,500,000 equivalent shall be subject to Bank's prior review. All other contracts subject to post
5.	Shopping (Goods/IT System)	< \$ 100,000	No prior review (only post review).
6.	Shopping (Small Works and Non-consulting Services)	< \$ 200,000	No prior review (only post review).
7.	Direct Contracting (Small Works, Goods and Non-consulting Services)	No procurement method threshold. Subject to justification for Direct Contracting as per para. 3.6 of the Procurement Guidelines	Each contract equal to or above \$1,500,000 equivalent shall be subject to Bank's prior review. All other contracts subject to post review. Justification for proposed use of Direct Contracting method in any contract, regardless of value, shall be

1. Pre-qualification: Not applicable.

2. Proposed Procedures for CDD Components (as per paragraph 3.17 of the Guidelines): Not applicable.

3. **Reference to (if any) Project Operational/Procurement Manual:**
Not Applicable

4. **Any Other Special Procurement Arrangements:** (i) PIU may use its own forms of contract for goods/non-consulting services procured under shopping method, which have been reviewed and found acceptable by the Bank; (ii) The Bank may review technical aspects of procurement documents and other non-procurement matters (technical specifications, environmental documents, cost estimate, etc.) related to the procurement activity in questioned, if needed.

5. **Summary of the Procurement Packages planned after project effectiveness** (*including those that are subject to retroactive financing and advance procurement*)

1	2	3	4	5	6	7
Ref. No.	Description	Estimated Cost (US\$ million)	Packages	Domestic Preference (yes/no)	Review by Bank (Prior / Post)	Comments
1.	Summary of the ICB (Goods/IT Systems)	2,222,222	1	No	Prior	
2.	Summary of the NCB (Goods/IT Systems)	3,310,806	9	No	Post	
3.	Summary of the NCB (Non-consulting Services)	[to be updated, if any]	[to be updated, if any]	No	Post	
4.	Summary of the NCB (Works)	18,108,526	11	No	Post	
5.	Summary of the Shopping (Goods)	391,111	8	No	Post	
6.	Summary of the Shopping (Non-consulting Services)	[to be updated, if any]	[to be updated, if any]	No	Post	

1	2	3	4	5	6	7
Ref. No.	Description	Estimated Cost (US\$ million)	Packages	Domestic Preference (yes/no)	Review by Bank (Prior / Post)	Comments
7.	Summary of the Shopping (Small Works)	481,481	3	No	Post	
8.	Summary of the Direct Contracting (Goods/IT systems, Non-Consulting Services and Small Works)	[to be updated, if any]	[to be updated, if any]	No	Post	

B. Selection of Consultants

- 1. Prior Review Threshold:** Selection decisions subject to prior review by Bank as stated in Appendix 1 to the Guidelines for Selection and Employment of Consultants:

Ref. No.	Selection Method	Selection Method Threshold	Bank's Prior Review Requirement
1.	QCBS (Firms)	Applied to all estimated value. No threshold.	Each contract equal to or above \$500,000 equivalent shall be subject to Bank's prior review. All other contracts subject to post review. (Prior review from technical perspective of only the draft TOR and
2.	CQS (Firms)	Only for estimated contract value < \$300,000 as per paragraph 3.7 of the Consultant Guidelines	No prior review (only post review).

Ref. No.	Selection Method	Selection Method Threshold	Bank's Prior Review Requirement
3.	Single Source Selection of Firms	No selection method threshold. Subject to justification for Single Source Selection of firms as per para. 3.9 and 3.10 of the Consultant Guidelines.	Each contract equal to or above \$500,000 equivalent shall be subject to Bank's prior review. All other contracts subject to post review. (Prior review from technical perspective of only the draft TOR, Justification for use of Single Source Selection method and draft cost estimate, shall be sent for the Bank's prior
4.	Competitive Selection of Individual Consultants (comparison of at least 3 CVs)	No threshold.	No prior review (only post review), unless contract is equal to or above \$200,000. (Prior review from technical perspective of only the draft TOR and draft cost estimate)
5.	Single Source Selection of Individual Consultants	No Threshold. Subject to justification for single source of individuals as per para. 5.4 of the Consultant Guidelines	No prior review (only post review), unless contract is equal to or above \$200,000. (Prior review from technical perspective of only the draft TOR, Justification for use of Single Source Selection method together with CV of the recommended candidate and draft cost estimate, shall be sent for the Bank's prior

2. **Shortlist comprising entirely of national consultants:** Short list of consultants for services (firms), estimated to cost less than \$ 400,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.
3. **Any Other Special Selection Arrangements:** Consultants may be hired under Indefinite Delivery Contract (IDCs) or Price Agreement as per paragraph 4.5 of the Consultant Guidelines.
4. **Summary of Consultancy Assignments with Selection Methods and Time Schedule after project effectiveness** (*including those that are subject to retroactive financing and advanced procurement*)

1	2	3	4	5	6	7
Ref. No.	Description of Assignments	Type of Assignments	Estimated Cost (US\$ million)	Packages/Positions	Review by Bank (Prior / Post)	Comments
1.	Summary of number of contracts that will be let under QCBS	(Time-Based/Lumpsum)	18,335,777	18	Prior and Post	
2.	Summary of number of contracts that will be let under other methods (QBS, FBS, LCS and CQS)	(Time-Based /Lump-Sum)	5,813,778	43	Post	
3.	Summary of number of individual consultant contracts that will be let under competitive selection	(Time-Based /Lump-Sum)	67,407	6	Post	
4.	Summary of number of individual consultant contracts that will be let under single-source selection	(Time-Based /Lump-Sum)	[to be updated, if any]	[to be updated, if any]	Post	

PROCUREMENT PLAN Indonesia : Dam Operational Improvement and Safety

General Information

Country: Indonesia Bank's Approval Date of the Original Procurement Plan: 2019-02-09
 Project ID: P096532 Revised Plan Date(s): (comma delineated, leave blank if non 2021-01-28
 Project Name: Dam Operational Improvement and Safety GPN Date:
 Loan / Credit No: COFN / C1460
 Executing Agency(ies) Balai Wilayah Sungai Kalimantan III

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion			
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		Planned	Actual	
KLM-CW-1 / Major Rehabilitation of Merancang Dam, Berau Region	COFN / C1460	Dam Operational Improvement and Safety Works and Studies	Post	Request for Bids	Open - National	Single Stage - One Envelope				2,539,865.00	0.00	Pending Implementation						2017-10-17		2017-10-20					2017-11-10			2017-11-24		2017-12-28	2018-09-24	
KLM - CW - 2 / Reinforcement of Manggar Dam, Balikpapan City	COFN / C1460	Dam Operational Improvement and Safety Works and Studies	Post	Request for Bids	Open - National	Single Stage - One Envelope				518,519.00	0.00	Pending Implementation							2019-06-21		2019-06-26					2019-08-07			2019-09-06		2019-10-11	2020-04-08
KLM - CW - 3 / Dam Scurity of Binalatung Dam, Tarakan City	COFN / C1460	Dam Operational Improvement and Safety Works and Studies	Post	Request for Bids	Open - National	Single Stage - One Envelope				222,222.00	0.00	Pending Implementation							2019-06-21		2019-06-26					2019-08-07			2019-09-06		2019-10-11	2020-04-08
KLM - CW - 4 / Improvement of Kalimantan III UPB BWS Office, Samarinda City	COFN / C1460	Dam Safety Institutional Improvement	Post	Request for Bids	Open - National	Single Stage - One Envelope				518,519.00	0.00	Pending Implementation							2019-06-21		2019-06-26					2019-08-07			2019-09-06		2019-10-11	2020-04-08
KLM - CW - 5 / Beriwit Dam Rehabilitation	COFN / C1460	Dam Operational Improvement and Safety Works and Studies	Post	Request for Bids	Open - National	Single Stage - One Envelope				3,000,000.00	0.00	Pending Implementation							2019-06-26		2019-07-01					2019-08-12			2019-09-11		2019-10-16	2020-04-13
KLM - CW - 6 / Binalatung Dam Security Improvement, Tarakan City	COFN / C1460	Dam Operational Improvement and Safety Works and Studies	Post	Request for Bids	Open - National	Single Stage - One Envelope				1,666,667.00	0.00	Pending Implementation							2019-06-26		2019-07-01					2019-08-12			2019-09-11		2019-10-16	2020-04-13
KLM - CW - 7 / Lempake Dam Security Improvement, Samarinda City	COFN / C1460	Dam Operational Improvement and Safety Works and Studies	Post	Request for Bids	Open - National	Single Stage - One Envelope				466,667.00	0.00	Pending Implementation							2019-06-26		2019-07-01					2019-08-12			2019-09-11		2019-10-16	2020-04-13
KLM-CW-8 / Manggar Dam Security Improvement	COFN / C1460	Dam Operational Improvement and Safety Works and Studies	Post	Request for Bids	Open - National	Single Stage - One Envelope				233,333.33	0.00	Pending Implementation							2021-02-04		2021-02-09					2021-03-23			2021-04-22		2021-05-27	2021-11-23
KLM-CW-10 / Remedial of the Lempake Dam in Samarinda City	COFN / C1460	Reservoir Sedimentation Mitigation	Post	Request for Bids	Open - National	Single Stage - One Envelope				2,666,666.67	0.00	Pending Implementation							2021-02-04		2021-02-09					2021-03-23			2021-04-22		2021-05-27	2021-11-23
KLM-CW-9 / Rehabilitation of Dam Management Unit (DMU) in Kalimantan III (Stage II), Samarinda City	COFN / C1460	Dam Safety Institutional Improvement	Post	Request for Bids	Open - National	Single Stage - One Envelope				866,666.67	0.00	Pending Implementation							2021-02-04		2021-02-09					2021-03-23			2021-04-22		2021-05-27	2021-11-23

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion					
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual				
KLM-CD-1 / Provision and Installation of Surveillance and Hydroclimatological Instruments in 5 (five) dams located in East and North Kalimantan	COFN / C1460	Dam Operational Improvement and Safety Works and Studies	Post	Request for Bids	Open - National	Single Stage - One Envelope		723,333.00	0.00	Pending Implementation																						

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion					
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual				
KLM-CS-1 / Supervision of Major Rehabilitation Works of Merancang Dam, Berau Region	COFN / C1460	Dam Operational Improvement and Safety Works and Studies	Post	Consultant Qualification Selection	Open - National		105,185.00	0.00	Pending Implementation	2017-07-29	2017-08-19	2017-10-02																			
KLM-CS-2 / Special Study (SS) of Beribit Dam, Berau Region	COFN / C1460	Dam Operational Improvement and Safety Works and Studies	Post	Consultant Qualification Selection	Open - National		111,111.00	0.00	Pending Implementation	2017-07-29	2017-08-19	2017-10-02																			
KLM-CS-3 / Preparation of EAP of Binalatung Dam, Tarakan City	COFN / C1460	Dam Operational Improvement and Safety Works and Studies	Post	Consultant Qualification Selection	Open - National		111,111.00	0.00	Pending Implementation	2017-07-29	2017-08-19	2017-10-02																			
KLM-CS-4 / Design Preparation for DMU Office Building	COFN / C1460	Dam Operational Improvement and Safety Works and Studies	Post	Consultant Qualification Selection	Open - National		37,037.00	0.00	Pending Implementation	2017-07-29	2017-08-19	2017-10-02																			
KLM-CS-5 / Major Rehabilitation of Benanga Dam	COFN / C1460	Dam Operational Improvement and Safety Works and Studies	Post	Consultant Qualification Selection	Open - National		111,111.00	0.00	Pending Implementation	2017-07-29	2017-08-19	2017-10-02																			

KLM-CS-6 / Preparation and Legalization of Operation Permit of Manggar and Merancang Dams	COFN / C1460	Dam Operational Improvement and Safety Works and Studies	Post	Quality And Cost-Based Selection	Open - National		488,889.00	0.00	Pending Implementation	2018-07-23	2018-08-06	2018-09-03		2018-10-01	2018-10-31	2018-11-28	2018-12-19	2019-09-15
KLM-CS-7 / Determination of Regulatory Area of Lempake Reservoir	COFN / C1460	Dam Operational Improvement and Safety Works and Studies	Post	Consultant Qualification Selection	Open - National		129,630.00	0.00	Pending Implementation	2018-07-23	2018-08-13	2018-09-26				2018-10-26	2018-11-30	2019-05-29
KLM - CS - 8 / Supervision of Reinforcement of Manggar Dam, Balikpapan City	COFN / C1460	Dam Operational Improvement and Safety Works and Studies	Post	Quality And Cost-Based Selection	Open - National		14,815.00	0.00	Pending Implementation	2019-06-21	2019-07-12	2019-08-25		2019-09-22	2019-10-22	2019-11-26	2019-12-31	2020-12-30
KLM - CS - 9 / Supervision of Dam Security of Binalatung Dam, Tarakan City	COFN / C1460	Dam Operational Improvement and Safety Works and Studies	Post	Quality And Cost-Based Selection	Open - National		7,407.00	0.00	Pending Implementation	2019-06-21	2019-07-12	2019-08-25		2019-09-22	2019-10-22	2019-11-26	2019-12-31	2020-12-30
KLM - CS - 10 / Preparation of EAP of Lempake Dam, Samarinda City	COFN / C1460	Operation and Maintenance Improvement and Capacity Building	Post	Quality And Cost-Based Selection	Open - National		59,259.00	0.00	Pending Implementation	2019-06-18	2019-07-09	2019-08-22		2019-09-19	2019-10-19	2019-11-23	2019-12-28	2020-06-25
KLM - CS - 11 / Supervision of Improvement of Kalimantan III UPB BWS Office, Samarinda City	COFN / C1460	Dam Safety Institutional Improvement	Post	Quality And Cost-Based Selection	Open - National		74,074.00	0.00	Pending Implementation	2019-06-21	2019-07-12	2019-08-25		2019-09-22	2019-10-22	2019-11-26	2019-12-31	2020-12-30
KLM - CS - 12 / Berwit Dam Supervision	COFN / C1460	Dam Operational Improvement and Safety Works and Studies	Post	Quality And Cost-Based Selection	Open - National		150,000.00	0.00	Pending Implementation	2019-06-27	2019-07-18	2019-08-31		2019-09-28	2019-10-28	2019-12-02	2020-01-06	2021-01-05
KLM - CS - 13 / Supervision of Dam Security of Binalatung Dam, Tarakan City	COFN / C1460	Dam Operational Improvement and Safety Works and Studies	Post	Quality And Cost-Based Selection	Open - National		83,333.00	0.00	Pending Implementation	2019-06-25	2019-07-16	2019-08-29		2019-09-26	2019-10-26	2019-11-30	2020-01-04	2021-01-03
KLM - CS - 14 / Preparation and Legalization of Operation Permit of Berwit, Lempake and Binalatung Dam	COFN / C1460	Dam Operational Improvement and Safety Works and Studies	Post	Quality And Cost-Based Selection	Open - National		250,000.00	0.00	Pending Implementation	2019-06-27	2019-07-18	2019-08-31		2019-09-28	2019-10-28	2019-12-02	2020-01-06	2021-01-05
KLM - CS - 15 / Supervision of Lempake Dam Security Improvement, Samarinda City	COFN / C1460	Dam Operational Improvement and Safety Works and Studies	Post	Quality And Cost-Based Selection	Open - National		33,333.00	0.00	Pending Implementation	2019-06-27	2019-07-18	2019-08-31		2019-09-28	2019-10-28	2019-12-02	2020-01-06	2021-01-05
KLM - CS - 16 / Comprehensive Study and Detail Design of Lempake Dam Sediment	COFN / C1460	Reservoir Sedimentation Mitigation	Post	Quality And Cost-Based Selection	Open - National		200,000.00	0.00	Pending Implementation	2019-06-27	2019-07-18	2019-08-31		2019-09-28	2019-10-28	2019-12-02	2020-01-06	2021-01-05
KLM-CS-19 / Updating EAP Manggar Dam Balikpapan City	COFN / C1460	Dam Operational Improvement and Safety Works and Studies	Post	Quality And Cost-Based Selection	Open - National		73,333.33	0.00	Pending Implementation	2021-01-26	2021-02-09	2021-03-25		2021-04-22	2021-05-22	2021-06-26	2021-07-31	2022-07-31

INDIVIDUAL CONSULTANTS

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion		
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	