

PROCUREMENT PLAN

Project information:	South Asia, Bangladesh, Nepal, Pakistan; Climate Adaptation and Resilience for South Asia (CARE) P171054
Project Implementation agency:	Regional Integrated Multi-Hazard Early Warning System (RIMES)
Date of the Procurement Plan:	30 March 2020
Period covered by this Procurement Plan:	Jul 2020 – Dec 2021

Preamble

In accordance with paragraph 5.9 of the “World Bank Procurement Regulations for IPF Borrowers” (July 2016) (“Procurement Regulations”) the Bank’s Systematic Tracking and Exchanges in Procurement (STEP) system will be used to prepare, clear and update Procurement Plans and conduct all procurement transactions for the project.

This textual part, along with the Procurement Plan tables in STEP, constitute the Procurement Plan for the project. The following conditions apply to all procurement activities in the Procurement Plan. The other elements of the Procurement Plan as required under paragraph 4.4 of the Procurement Regulations are set forth in STEP.

The Bank’s Standard Procurement Documents: shall be used for all contracts subject to international competitive procurement and those contracts as specified in the Procurement Plan tables in STEP.

Agency’s Procurement Arrangements: In accordance with paragraph 5.3 of the Procurement Regulations, when approaching the national market, the country’s own procurement procedures may be used. Under this project, in place of a country’s procurement procedures, RIMES’ open tender procedure as set forth in the RIMES’ Procurement Manual may be used when the local market is approached, subject to paragraph 5.4 of the Procurement Regulations and the following conditions:

- 1) Only the model procurement documents for Open Tender Procedure agreed with the World Bank shall be used for bidding.
- 2) Procurement will be open to eligible firms from any country. This eligibility shall be as defined under Section III of the Procurement Regulations. Accordingly, no bidder or potential bidder shall be declared ineligible for contracts financed by the Bank for reasons other than those provided in Section III of the Procurement Regulations.
- 3) The request for bids/request for proposals document shall require that Bidders/Proposers submitting Bids/Proposals present a signed acceptance (in the form attached) at the time of bidding, to be incorporated in any resulting contracts, confirming application of, and compliance with, the Bank’s Anti-Corruption Guidelines, including without limitation the Bank’s right to sanction and the Bank’s inspection and audit rights.
- 4) Procurement Documents include provisions, as agreed with the Bank, intended to adequately mitigate against environmental, social (including sexual exploitation and abuse and gender-based violence), health and safety (“ESHS”) risks and impacts.

When RIMES’ other procurement arrangements other than open competitive procurement arrangements are applied, such arrangements shall be subject to paragraph 5.5 of the Procurement Regulations.

Leased Assets as specified under paragraph 5.10 of the Procurement Regulations: - Not Applicable

Procurement of Second-Hand Goods as specified under paragraph 5.11 of the Procurement Regulations – Not Applicable

Domestic preference as specified under paragraph 5.51 of the Procurement Regulations - Not Applicable

Selection Methods and Prior Review Thresholds

RIMES will be responsible for all procurements under this procurement plan. Selection methods indicated in Table 1 will be used for procurement under the project. Appropriate thresholds applicable to the project with ‘substantial risk’ rating have been provided.

Table 1 Selection Methods to Be Used in the Project

Expenditure Category	Contract Value (threshold)	Selection Method (thresholds as guidance)	Contracts Subject to Prior Review (thresholds as mandatory)
Works including turnkey, supply and installation of plant and equipment and PPP	≥US\$5,000,000	Request for Bids - International	All contracts above US\$ 10,000,000
	≥US\$200,000, <US\$5,000,000	Request for Bids -Local	
	< US\$200,000	Request for Quotations	
	Per the requirements of Procurement Regulations 6.8 to 6.10	Direct Selection (as agreed in Procurement Plan)	
Goods and non-Consulting Services	≥US\$500, 000	Request for Bids- International	All contracts above US\$ 2,000,000
	≥US\$150,000, <US\$500,000	Request for Bids-Local	
	< US\$150,000	Request for Quotations	
	Per the requirements of Procurement Regulations 6.8 to 6.10	Direct Selection (as agreed in Procurement plan)	
Consultant Services (firms)	≥US\$300,000	All competitive methods; advertise internationally	All contracts over US\$ 1,000,000
	< US\$300,000	All competitive methods; advertise locally	
		Consultant’s Qualification-Based Selection (CQS)	
Per the requirements of Procurement Regulations 7.13 to 7.15	Direct Selection (as agreed in Procurement Plan)		
Individual Consultants	Per the requirements of Procurement Regulations 7.34 to 7.38	Individual Consultant Selection (INDV)	All contracts over US\$300,000 equivalent
	Per the requirements of Procurement Regulations 7. 39	Direct Selection (as agreed in Procurement Plan)	

Note:

- (1) CQS = Selection Based on Consultants’ Qualification; FBS = Selection under a Fixed Budget; INDV = Individual Consultant Selection; LCS = Least-Cost Selection; QBS = Quality-Based Selection; QCBS = Quality- and Cost-Based Selection; RFB = Request for Bids; RFQ = Request for Quotations; SPD = Standard Procurement Document.

- (2) The determination of whether a contract meets the procurement prior review threshold is based on the estimated value of the contract, including all taxes and duties payable under the contract.

Letter of Acceptance of the World Bank's Anticorruption Guidelines and Sanctions Framework¹

Date: _____

Invitation of Bids/Proposals No. _____

To: _____

We, along with our sub-contractors, sub-consultants, service providers, suppliers, agents (whether declared or not) consultants and personnel, acknowledge and agree to abide by the World Bank's policy regarding Fraud and Corruption (corrupt, fraudulent, collusive, coercive, and obstructive practices), as set out and defined in the World Bank's Anti-Corruption Guidelines² in connection with the procurement and execution of the contract (in case of award), including any amendments thereto.

We declare and warrant that we, along our sub-contractors, sub-consultants, service providers, suppliers, agents (whether declared or not), consultants and personnel, are not subject to, and are not controlled by any entity or individual that is subject to, a temporary suspension, early temporary suspension, or debarment imposed by a member of the World Bank Group, including, inter alia, a cross-debarment imposed by the World Bank Group as agreed with other international financial institutions (including multilateral development banks), or through the application of a World Bank Group finding of non-responsibility on the basis of Fraud and Corruption in connection with World Bank Group corporate procurement. Further, we are not ineligible under the laws or official regulations of [*Insert name of Employer as per bidding document*] or pursuant to a decision of the United Nations Security Council.

We confirm our understanding of the consequences of not complying with the World Bank's Anti-Corruption Guidelines, which may include the following:

- a. rejection of our Proposal/Bid for award of contract;
- b. in the case of award, termination of the contract, without prejudice to any other remedy for breach of contract; and
- c. sanctions, pursuant to the Bank's Anti-Corruption Guidelines and in accordance with its prevailing sanctions policies and procedures as set forth in the Bank's Sanctions Framework. This may include a public declaration of ineligibility, either indefinitely or for a stated period of time, (i) to be awarded or otherwise benefit from a Bank-financed contract, financially or in any other manner;³ (ii) to be a nominated⁴ sub-contractor, sub-consultant, consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract; and (iii) to receive the proceeds of any loan made by the Bank or otherwise to participate further in the preparation or implementation of any Bank-financed project.

¹[Drafting note: This document shall be signed by bidders/proposers/consultants and submitted as part of their bids/proposals. In addition, this document shall be signed by the winning bidder/consultant and incorporated as part of the contract.]

²*Guidelines on Preventing and Combating Fraud and Corruption in Projects Financed by International Bank for Reconstruction and Development Loans and the International Development Agency Credits and Grants*, dated October 15, 2006, and revised in January 2011 and July 2016, as they may be revised from time to time.

³ For the avoidance of doubt, a sanctioned party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification or initial selection), expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

⁴A nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider (different names are used depending on the bidding document) is one which has been: (i) included by the bidder in its pre-qualification or initial selection application or bid because it brings specific and critical experience and know-how that allow the bidder to meet the qualification requirements for the particular bid; or (ii) appointed by the Borrower.

We understand that we may be declared ineligible as set out above upon:

- a. completion of World Bank Group sanctions proceedings according to its prevailing sanctions procedures;
- b. cross-debarment as agreed with other international financial institutions (including multilateral development banks);
- c. the application of a World Bank Group finding of non-responsibility on the basis of Fraud and Corruption in connection with World Bank Group corporate procurement; or
- d. temporary suspension or early temporary suspension in connection with an ongoing World Bank Group sanctions proceeding.

For avoidance of doubt, the foregoing effects of ineligibility do not extend to a sanctioned firm's or individual's execution of its ongoing Bank-financed contracts (or its ongoing sub-agreements under such contracts) that are not the subject of a material modification, as determined by the Bank.

We shall permit, and shall cause our sub-contractors, sub-consultants, agents (whether declared or not), personnel, consultants, service providers or suppliers, to permit the Bank to inspect⁵ all accounts, records, and other documents relating to the procurement process and/or contract execution (in the case of award), and to have them audited by auditors appointed by the Bank.

We agree to preserve all accounts, records, and other documents (whether in hard copy or electronic format) related to the procurement and execution of the contract.

Name of the Bidder/Proposer/Consultant: _____

Name of the person duly authorized to sign the Bid/Proposal on behalf of the Bidder/Proposer/Consultant:

Title of the person signing the Letter: _____

⁵Inspections in this context are usually investigative (i.e., forensic) in nature: they involve fact-finding activities undertaken by the Bank or persons appointed by the Bank to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data, and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third-party verification of information.

PROCUREMENT PLAN (Textual Part)

Project information: South Asia, Bangladesh, Nepal, Pakistan] [Climate Adaptation and Resilience for South Asia] [P171054]

Project Implementation agency: Asian Disaster Preparedness Center

Date of the Procurement Plan: 29 March 2020

Period covered by this Procurement Plan: July 2020 - June 2023

Preamble

In accordance with paragraph 5.9 of the “World Bank Procurement Regulations for IPF Borrowers” (July 2016) (“Procurement Regulations”) the Bank’s Systematic Tracking and Exchanges in Procurement (STEP) system will be used to prepare, clear and update Procurement Plans and conduct all procurement transactions for the Project.

This textual part along with the Procurement Plan tables in STEP constitute the Procurement Plan for the Project. The following conditions apply to all procurement activities in the Procurement Plan. The other elements of the Procurement Plan as required under paragraph 4.4 of the Procurement Regulations are set forth in STEP.

The Bank’s Standard Procurement Documents shall be used for all contracts subject to international competitive procurement and those contracts as specified in the Procurement Plan tables in STEP.

Agency’s Procurement Arrangements: In accordance with paragraph 5.3 of the Procurement Regulations, when approaching the national market, the country’s own procurement procedures may be used. Under this project, in place of a country’s procurement procedures, ADPC has drafted a Procurement Manual but not adopted pending approval by its Board. Once the Manual is adopted, the open competitive procurement arrangement as set forth in the ADPC’s Procurement Manual may be used when the local market is approached, subject to paragraph 5.4 of the Procurement Regulations and further conditions/clarifications that will be agreed between ADPC and the Bank.

Paragraph 5.5 of the Procurement Regulations will not be applied before ADPC’s Procurement Manual is adopted as there are no procurement arrangements defined in ADPC’s existing procedures.

Leased Assets as specified under paragraph 5.10 of the Procurement Regulations: ***Not Applicable***

Procurement of Second-Hand Goods as specified under paragraph 5.11 of the Procurement Regulations – ***Not Applicable***

Domestic preference as specified under paragraph 5.51 of the Procurement Regulations (***Goods and Works***).

Goods: Not Applicable

Works: Not Applicable

Selection Methods and Prior Review Thresholds

ADPC will be responsible for all procurements under this procurement plan. The selection methods indicated in Table 1 will be used for procurement under the project. Appropriate thresholds applicable to project with a 'Substantial risk' rating have been provided.

Table 1 Selection Methods to Be Used in the Project

Expenditure Category	Contract Value (Threshold)	Selection Method (thresholds as guidance)	Contracts Subject to Prior Review (thresholds as mandatory)
Works including turnkey, supply and installation of plant and equipment and PPP	≥US\$200,000	Request for Bids	All contracts above US\$ 10,000,000
	< US\$200,000	Request for Quotations	
	Per the requirements of Procurement Regulations 6.8 to 6.10	Direct Selection (as agreed in Procurement Plan)	
Goods and non-Consulting Services	≥US\$150, 000	Request for Bids	All contracts above US\$ 2,000,000
	< US\$150,000	Request for Quotations	
	Per the requirements of Procurement Regulations 6.8 to 6.10	Direct Selection (as agreed in Procurement Plan)	

Consultant Services (firms)	≥US\$300,000	All competitive methods; advertise internationally	All contracts over US\$ 1,000,000 equivalent
	< US\$300,000	All competitive methods; advertise locally	
		Consultant's Qualification-Based Selection (CQS)	
	-----	Selection of Particular Types of Consultants - UN Agencies (as agreed in Procurement Plan)	
	Per the requirements of Procurement Regulations 7.13 to 7.15	Direct Selection (as agreed in Procurement Plan)	
Individual Consultants	Per the requirements of Procurement Regulations 7.34 to 7.38	Individual Consultant Selection	All contracts over US\$300,000 equivalent
	Per the requirements of Procurement Regulations 7. 39	Direct Selection (as agreed in Procurement Plan)	

Note:

(1) CQS = Consultant's Qualification-Based Selection; FBS = Selection under a Fixed Budget; INDV = Individual Consultant Selection; LCS = Least-Cost Selection; QBS = Quality-Based Selection; QCBS = Quality- and Cost-Based Selection; RFB = Request for Bids; RFQ = Request for Quotations; SPD = Standard Procurement Document.

- (2) The determination of whether a contract meets the procurement prior review threshold is based on the estimated value of the contract, including all taxes and duties payable under the contract.

PROCUREMENT PLAN South Asia : Climate Adaptation and Resilience for South Asia

General Information			
Country:	South Asia	Bank's Approval Date of the Original Procurement Plan:	2020-06-03
		Revised Plan Date(s): (comma delimited, leave blank if not)	2020-07-13
Project ID:	P171054	FPN Date:	2020-04-20
Project Name:	Climate Adaptation and Resilience for South Asia		
Loan / Credit No:	IDA / D6220		
Executing Agency(ies)	Regional Integrated Multi-Hazard Early Warning System for Africa and Asia		

WORKS																																
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Notice / Invitation		Specific Procurement as Issued		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion				
												Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual			

GOODS																														
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Notice / Invitation		Specific Procurement		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion				
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual			
TH-RIMES-175993-GO-RFQ / Computing and office equipment for DSS activities (regional)	IDA / D6220	Promoting Evidence-based Climate Smart Decision Making	Post	Request for Quotations	Open - National	Single Stage - One Envelope		0.00	Pending Implementation							2020-07-11								2020-09-05				2020-11-04		
TH-RIMES-175989-GO-RFQ / Computing and office equipment for PIU activities	IDA / D6220	Project Management and Specialized Support	Post	Request for Quotations	Open - National	Single Stage - One Envelope		0.00	Pending Implementation							2020-07-11									2020-09-05			2020-11-04		
TH-RIMES-176019-GO-RFQ / Computing equipment for RDAS activities	IDA / D6220	Promoting Evidence-based Climate Smart Decision Making	Post	Request for Quotations	Open - National	Single Stage - One Envelope		0.00	Pending Implementation							2021-03-20									2021-05-15			2021-07-14		
TH-RIMES-176032-GO-RFQ / Computing equipment for DHM Nepal activities	IDA / D6220	Promoting Evidence-based Climate Smart Decision Making	Post	Request for Quotations	Open - National	Single Stage - One Envelope		0.00	Pending Implementation							2021-05-14									2021-07-09			2021-10-07		
TH-RIMES-176002-GO-RFQ / Office furniture for Program Management team	IDA / D6220	Project Management and Specialized Support	Post	Request for Quotations	Open - National	Single Stage - One Envelope		0.00	Canceled							2020-07-11									2020-09-05			2020-11-04		

NON CONSULTING SERVICES																																
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Notice / Invitation		Specific Procurement		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion						
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual					

CONSULTING FIRMS																																
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract	Signed Contract		Contract Completion								
									Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		Planned	Actual	Planned	Actual							

TH-RIMES-176021-CS-CQS / Consultant for strengthening RIMES procurement and finance systems	IDA / D6220	Project Management and Specialized Support	Prior	Consultant Qualification Selection	Open - International		0.00	Under Implementation	2020-07-06	2020-07-27	2020-09-09								2020-10-09	2020-11-13	2021-11-13
TH-RIMES-176006-CS-QCBS / Consulting firm-DSS development in Nepal	IDA / D6220	Promoting Evidence-based Climate Smart Decision Making	Prior	Quality And Cost-Based Selection	Open - International		0.00	Pending Implementation	2020-07-20	2020-08-31	2020-10-14			2020-12-02	2021-01-03	2021-02-25	2021-04-01				2023-09-30
TH-RIMES-176016-CS-QCBS / Consulting firm-DSS development in Bangladesh	IDA / D6220	Promoting Evidence-based Climate Smart Decision Making	Prior	Quality And Cost-Based Selection	Open - International		0.00	Pending Implementation	2020-07-13	2020-08-24	2020-10-07			2020-11-25	2021-01-03	2021-02-25	2021-04-01				2023-12-31
TH-RIMES-176010-CS-QCBS / Consulting firm-DSS development in Pakistan	IDA / D6220	Promoting Evidence-based Climate Smart Decision Making	Prior	Quality And Cost-Based Selection	Open - International		0.00	Pending Implementation	2020-07-27	2020-09-07	2020-10-21			2020-12-09	2021-01-10	2021-02-25	2021-04-01				2023-12-31
TH-RIMES-176012-CS-QCBS / Consulting firm in support of RDAS development	IDA / D6220	Promoting Evidence-based Climate Smart Decision Making	Prior	Quality And Cost-Based Selection	Open - International		0.00	Pending Implementation	2020-09-02	2020-10-14	2020-11-27			2021-01-10	2021-02-09	2021-03-29	2021-05-03				2024-07-31

INDIVIDUAL CONSULTANTS

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Actual Amount (US\$)	Process Status	Teams of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion		
									Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	
TH-RIMES-176036-CS-CQS / Financial Management Specialist	IDA / D6220	Project Management and Specialized Support	Post	Individual Consultant Selection	Open		0.00	Under Review	2020-06-04	2020-06-04	2020-07-09		2020-07-16		2020-07-30			2025-06-30	
TH-RIMES-176037-CS-CQS / Environment and Social Safeguards Specialist	IDA / D6220	Project Management and Specialized Support	Post	Individual Consultant Selection	Open		0.00	Under Implementation	2020-06-04	2020-06-04	2020-07-09		2020-07-16		2020-07-30			2025-06-30	
TH-RIMES-175765-CS-CQS / Procurement Specialist	IDA / D6220	Project Management and Specialized Support	Prior	Individual Consultant Selection	Open		0.00	Under Review	2020-06-04	2020-06-24	2020-07-09		2020-07-16		2020-07-30			2024-12-31	
TH-RIMES-181883-CS-INDV / Country Lead (Technical) - Nepal	IDA / D6220	Promoting Evidence-based Climate Smart Decision Making	Post	Individual Consultant Selection	Open		0.00	Pending Implementation	2020-07-01		2020-08-19		2020-09-09		2020-10-14			2021-08-10	
TH-RIMES-181886-CS-INDV / Planning and Finance Expert - Regional	IDA / D6220	Promoting Evidence-based Climate Smart Decision Making	Post	Individual Consultant Selection	Open		0.00	Pending Implementation	2020-07-01		2020-08-19		2020-09-09		2020-10-14			2021-10-14	
TH-RIMES-181888-CS-INDV / Transport Expert - Regional	IDA / D6220	Promoting Evidence-based Climate Smart Decision Making	Post	Individual Consultant Selection	Open		0.00	Pending Implementation	2020-07-01		2020-08-19		2020-09-09		2020-10-14			2021-10-14	
TH-RIMES-181895-CS-INDV / IT Expert - Bangladesh	IDA / D6220	Promoting Evidence-based Climate Smart Decision Making	Post	Individual Consultant Selection	Open		0.00	Pending Implementation	2020-07-01		2020-08-19		2020-09-09		2020-10-14			2025-06-30	
TH-RIMES-181898-CS-INDV / IT Expert - Nepal	IDA / D6220	Promoting Evidence-based Climate Smart Decision Making	Post	Individual Consultant Selection	Open		0.00	Pending Implementation	2020-07-01		2020-08-19		2020-09-09		2020-10-14			2025-06-30	
TH-RIMES-181903-CS-INDV / IT Expert - Pakistan	IDA / D6220	Promoting Evidence-based Climate Smart Decision Making	Post	Individual Consultant Selection	Open		0.00	Pending Implementation	2020-07-01		2020-08-19		2020-09-09		2020-10-14			2025-06-30	