

# General Procurement Plan

## I. General

### 1. Project Information

Project Name: Public Finance Management Project  
 Country: Iraq  
 Project ID: P110862  
 Loan/Credit Numbers:

2. **Bank's approval date of Procurement:** Original: May 26, 2009  
 Revision 1:  
 Add new revisions

### 3. Date of General Procurement Notice

## II. Goods, Work and Non-Consulting Services Thresholds

1. **Prior Review Threshold.** Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement: [Thresholds for applicable procurement methods (not limited to the list below) will be determined by the Procurement Specialist /Procurement Accredited Staff based on the assessment of the implementing agency's capacity.]

### 1a. Procurement Category Prior Review Threshold (USD) Comments

Procurement Category	Prior Review Threshold (USD)	Comments
Goods	0.5 million	
Works	NA	
Non-Consultant Services	NA	

### 1b. Procurement Method Procurement Method Threshold (USD) Comments

Procurement Method	Procurement Method Threshold (USD)	Comments
ICB and LIB (Goods)	First 2 ICB / all ICB $\geq$ 0.5 million	
NCB (Goods)	First 2 NCB / all NCB $\geq$ 0.2 million	
Shopping (Goods)	First 2 SH / all SH $\geq$ 0.1 million	
ICB (Works)	NA	
NCB (Works)	NA	
ICB (Non-Consultant Services)	NA	

2. **Reference to (if any) Project Operational/Procurement Manual:** Master Implementation Manual to be used for national bidding documents

3. **Procurement Packages with Methods and Time Schedule:** See attached "Goods and Works" sheet

## III. Selection of Consultants

1. **Prior Review Threshold:** Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

1a. **Procurement Category**                      **Prior Review Threshold (USD)**    **Comments**

Consulting Firms (Competitive)	0.2 million	
Consulting Firms (Sole Source)	All	
Individual Consultants (Competitive)	0.1 million	
Individual Consultants (Sole Source)	All	

1b. **Procurement Method**                      **Procurement Method  
Threshold (USD)**                      **Comments**

QCBS	First 2 QCBS/ all QCBS >= 0.2 million	
QCB	First 2 QCB/ all QCB >= 0.2 million	
FCS	First 2 FCS/ all FCS >= 0.2 million	
LCS	First 2 LCS/ all LCS >= 0.2 million	
CQS	First 2 CQS/ all CQS >= 0.2 million	
Consulting Firms (Competitive)	First 2 contracts / >= 0.2 million	
Consulting Firms (Sole Source)	All	
Individual Consultants (Competitive)	First 2 contracts / >= 0.1 million	
Individual Consultants (Sole Source)	All	
ICB (Non-Consultant Services)	NA	
<i>Include all methods authorized by the loan agreement</i>		

2. **Short list comprising entirely of national consultants:** Short list of consultants for services, estimated to cost less than **\$ 200,000** equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.

Note: OPCPR list of ceilings can <http://go.worldbank.org/MKXO98RY40>

3. **Consultancy Assignments with Selection Methods and Time Schedule:** See attached "Consulting Services" sheet

**IV. Implementing Agency Capacity Building Activities with Time Schedule:** See attached "Capacity Building" sheet

# Goods

Sl. #	Plan vs. Actual	Procurement System Ref. #	Location/Description	Estimated No. of Packages	Procurement Method	Review By Bank (PRIOR / Post)	Submission/Readiness Date	WB No Objection Date	Invitation Date	Expected Bid-Opening Date	Evaluation & Recomm.	WB No Objection Date	Contract Award Date	Start Date	Execution /b	Completion Date	Comments
A	B	C	D	G	I	J	K	M	O	Q	S	U	W	Y	Z	AA	AB
1	P	1. Goods															
2	P	PFM.C-II.NCB.01	Establish Central Archive for RA (PCs+ scanners	1	NCB	PRIOR	1-Oct-09	15-Oct-09	22-Oct-09	19-Nov-09	17-Dec-09	31-Dec-09	3-Jan-10	17-Jan-10	240	14-Sep-10	Postponed
3	R/A																
4	P	PFM.C-II.NCB.02	IT Equipment of Procurement Units in Several	1	NCB	PRIOR	1-Oct-09	15-Oct-09	22-Oct-09	19-Nov-09	17-Dec-09	31-Dec-09	3-Jan-10	17-Jan-10	184	20-Jul-10	Postponed
5	R/A																
6	P	PFM.C-II.SH.01-05	IT and Office Equipment for PMT	TBD	SH	Prior	1-Oct-09	15-Oct-09	18-Oct-09	30-Oct-09	15-Nov-09	30-Nov-09	2-Dec-09	3-Dec-09	29	1-Jan-10	Completed
7	A			1	SH	Prior	7-Jan-10	24-Jan-10	27-Jan-10	18-Feb-10	2-Mar-10	13-Mar-10	18-Mar-10	30-Mar-10		30-May-10	
8	P	PFM.C-V.SH.02	PMT-Office Furniture Desks & Chairs	1	SH	Prior	10-Mar-10	24-Mar-10	29-Mar-10	12-Apr-10	19-Apr-10	3-May-10	6-May-10	9-May-10	7	16-May-10	Completed
9	A			1	SH	Prior	10-Mar-10	11-Apr-10	25-Apr-10	N/A	28-Apr-10	2-May-10	3-May-10	6-Jun-10		7-Jun-10	
10	P	PFM.C-V.SH.03	PMT-Office Furniture A/C units	1	SH	Prior	10-Mar-10	24-Mar-10	29-Mar-10	12-Apr-10	19-Apr-10	3-May-10	6-May-10	9-May-10	7	16-May-10	Completed
11	A			1	SH	Prior	10-Mar-10	11-Apr-10	21-Apr-10	N/A	28-Apr-10	2-May-10	3-May-10	2-Jun-10		9-Jun-10	
12	P	PFM.C-V.SH.04	PMT-Office Furniture and General office Maintanance	1	SH	Prior	10-Mar-10	24-Mar-10	29-Mar-10	12-Apr-10	19-Apr-10	3-May-10	6-May-10	9-May-10	7	16-May-10	Completed
13	A			1	SH	Prior	10-Mar-10	11-Apr-10	19-Apr-10	N/A	28-Apr-10	2-May-10	3-May-10	4-May-10		17-May-10	
14																	
15	P	<b>Total</b>															
16	R/A																

**Legend:**

ICB = International Competitive Bidding (in accordance with section 3.3 of the Guidelines)  
 NCB = National Competitive Bidding (in accordance with section 3.3 of the Guidelines)  
 TBD = To Be Determined  
 SH = Shopping (in accordance with section 3.3 of the Guidelines)  
 NA = Not applicable

**Notes:**

All cost estimates include contingencies  
**Color Key:**  
 P = Initial "Plan" input  
 R/A = An Actual input (a past date)  
 R/A = A Revised input (a future date)

# Consultants' Services

Sl. #	Plan vs. Actual	Procurement System Ref. #	Location/ Description of Assignment	Selection Method	Review by Bank Prior / Post	Short Listing	No Objection Date	Invitation for RFPs Date	Expected Proposal Submission Date	Technical Evaluation Date	No Objection Date	Final Evaluation Date	No Objection Date	Contract Award Date	Start Date	Completion Date
A	B	C	D	F	G	J	L	N	P	R	T	V	X	Z	AB	AD
1	P	<b>2. CONSULTANTS' SERVICES</b>														
2	P	<b>2.1 Component 1. Strengthen budgeting formulation and implementation</b>														
3	P	PFM.C-I.QCBS.01	Improve budget strategy, Improve content of and adherence to Budget Call Circular (BCC), Introduce budget ceilings	QCBS	Prior	10-May-10	24-May-10	31-May-10	5-Jul-10	26-Jul-10	9-Aug-10	23-Aug-10	6-Sep-10	13-Sep-10	4-Oct-10	2-May-11
4	A					11-Aug-10	8-Sep-10	15-Sep-10	31-Oct-10	8-Feb-11	28-Feb-11	22-Jun-11	28-Jun-11	11-Jul-11	15-Sep-11	15-Sep-12
5	P	PFM.C-I.QCBS.02	Develop detailed sector strategies and improve methodology of investment project preparation and evaluation	QCBS	Prior	10-May-10	24-May-10	31-May-10	5-Jul-10	26-Jul-10	9-Aug-10	23-Aug-10	6-Sep-10	13-Sep-10	4-Oct-10	2-May-11
6	A					11-Aug-10	28-Aug-10	31-Aug-10	31-Oct-10	8-Feb-11	28-Feb-11	22-Jun-11	28-Jun-11	11-Jul-11	15-Sep-11	15-Sep-12
7	P	<b>Subtotal for 2.1</b>														
8	A															
9	P	<b>2.2 Component 2. Strengthen public sector procurement</b>														
10	P	PFM.C-II.QCBS.01	Developing of SBDs, NIM, and Training Curriculum	QCBS	Prior	31-Oct-09	15-Nov-09	15-Mar-10	8-Apr-10	5-May-10	19-May-10	2-Jun-10	16-Jun-10	17-Jun-10	15-Jul-10	10-Feb-11
11	R/A					18-Mar-10	6-Apr-10	18-Apr-10	14-Jun-10	5-Jul-10	15-Jul-10	15-Dec-10				
12	A			SSS	Prior	N/A	23-Mar-11	N/A	N/A	N/A	N/A	29-Jun-11	5-Jul-11	5-Jul-11	27-Oct-11	27-Oct-12
13	P	PFM.C-II.CQS.01	Develop Procurement Bulletin Board (international and local consultants) (Website)	CQS	Prior	5-Jul-10	19-Jul-10	26-Jul-10	30-Aug-10	N/A	N/A	27-Sep-10	11-Oct-10	15-Jul-10	12-Aug-10	15-Oct-10
14	R/A	PFM.C-II.QCBS.03	Design and Create website for Public Procurement Directorate	QCBS	Prior	18-Dec-11	8-Jan-12	15-Jan-12	26-Feb-12	25-Mar-12	8-Apr-12	6-May-12	20-May-12	3-Jun-12	17-Jun-12	22-Sep-13
15	R/A															
16	P	PFM.C-II.IC.01	TA for RA for complaints and black-listing	IC	Prior	30-Aug-10	13-Sep-10	N/A	N/A	N/A	N/A	N/A	N/A	20-Sep-10	4-Oct-10	4-Oct-11
17	P/R															
18	P	PFM.C-II.IC.02-10	Recruitment of Trainers of the National Training Center to carry out training programs	IC	Prior	30-Aug-10	13-Sep-10	N/A	N/A	N/A	N/A	N/A	N/A	20-Sep-10	4-Oct-10	4-Oct-11
19	P/R	PFM.C-II.QCBS.02	Training Needs Assessment and Local Public Procurement Trainers Program and Training of Trainers	QCBS	Prior	4-Jul-11	18-Jul-11	25-Jul-11	29-Aug-11	3-Oct-11	17-Oct-11	21-Nov-11	5-Dec-11	12-Dec-11	9-Jan-12	7-Jul-12
20	R/A					14-Dec-11	15-Jan-12	17-Jan-12	15-Feb-12	13-Jul-12	4-Sep-12	23-Oct-12	25-Oct-12	25-Oct-12	19-Dec-12	26-Aug-13
21	P	<b>Subtotal for 2.2</b>														

# Consultants' Services

Sl. #	Plan vs. Actual	Procurement System Ref. #	Location/ Description of Assignment	Selection Method	Review by Bank Prior / Post	Short Listing	No Objection Date	Invitation for RFPs Date	Expected Proposal Submission Date	Technical Evaluation Date	No Objection Date	Final Evaluation Date	No Objection Date	Contract Award Date	Start Date	Completion Date
A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q
A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q
22	R															
23	A															
24	R/A	<b>2.3 Component 3. Strengthen treasury operation, financial control, accounting and reporting.</b>														
25	P	PFM.C-III.QCBS.01	Introduce Effective Cash Release System; Introduce Commitment Control System; Improve Financial Reporting (international and local consultants)	QCBS	Prior	10-May-10	24-May-10	31-May-10	5-Jul-10	26-Jul-10	9-Aug-10	23-Aug-10	6-Sep-10	13-Sep-10	4-Oct-10	20-Feb-12
26	R/A					5-Aug-10	8-Sep-10	15-Sep-10	31-Oct-10	8-Feb-11	1-Mar-11	27-Apr-11	24-May-11	25-May-11	27-Jul-11	27-Jul-12
27	P	PFM.C-III.IND.01	Create Internal MoF Network	IC	Prior	10-May-10	24-May-10	N/A	N/A	N/A	N/A	N/A	N/A	31-May-10	1-Jun-10	30-Nov-10
28	R/A															
29	P	PFM.C-III.QCBS.02	Assess Internal Financial Controls including Internal Auditors and Inspectors General	QCBS	Prior	18-Jul-11	1-Aug-11	8-Aug-11	12-Sep-11	3-Oct-11	17-Oct-11	31-Oct-11	14-Nov-11	21-Nov-11	12-Dec-11	9-Jun-12
30	R/A					10-Nov-12	11-Nov-12	18-Nov-12	24-Dec-12	22-Jan-13						
31	P	PFM.C-III.CQS.01	Create and Maintain MoF Website	CQS	Prior	5-Jul-10	19-Jul-10	26-Jul-10	30-Aug-10	N/A	N/A	27-Sep-10	11-Oct-10	15-Jul-10	12-Aug-10	15-Oct-10
32	R	<b>PFM.C-III.QCBS.04</b>	Create and Maintain MoF Website	QCBS	Prior	28-Mar-11	11-Apr-11	18-Apr-11	23-May-11	27-Jun-11	11-Jul-11	15-Aug-11	29-Aug-11	5-Sep-11	3-Oct-11	7-Jan-13
33	R/A					23-Mar-11	24-Mar-11	30-Mar-11	18-May-11	30-Jun-11	1-Jul-11	24-Oct-12	14-Nov-12	14-Nov-12	9-Dec-12	12-Jun-13
34	P	PFM.C-III.QCBS.03	Enhance Treasury Functions in the Provinces	QCBS	Prior	18-Jul-11	1-Aug-11	8-Aug-11	12-Sep-11	3-Oct-11	17-Oct-11	31-Oct-11	14-Nov-11	21-Nov-11	12-Dec-11	9-Jun-12
35	R/A															
36	P	<b>Subtotal for 2.3</b>														
37	R	<b>Subtotal for 2.3</b>														
38	A															
39		<b>2.4 Capacity Building</b>														
40	P	PFM.C-IV.QCBS.01	Capacity Development for MoF Training Institute, including Needs Assessment, Twinning Arrangement, new curriculum, training events and study tours, etc.	QCBS	Prior	7-Jun-10	21-Jun-10	28-Jun-10	26-Jul-10	16-Aug-10	30-Aug-10	13-Sep-10	27-Sep-10	4-Oct-10	25-Oct-10	25-Oct-11
41	R/A					5-Aug-10	16-Sep-10	18-Sep-10	31-Oct-10	8-Feb-11	28-Feb-11	27-Apr-11	3-May-11	11-May-11	14-Jun-11	14-Jun-12
42	P	<b>Subtotal for 2.4</b>														
43	R/A															
44	P	<b>2.5 Consultants for Project Management (PMT Team) &amp; Auditing</b>														

# Consultants' Services

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A	B	C	D	F	G	J	L	N	P	R	T	V	X	Z	AB	AD
45	P	PFM.C-V.IND.01	Technical and Procurement Support	IC	Prior	10-May-10	24-May-10	N/A	N/A	N/A	N/A	N/A	N/A	31-May-10	1-Jun-10	1-Jun-11
46	R/A					N/A	N/A	N/A	N/A	N/A	N/A	5-Jul-10	7-Jul-10	1-Aug-10	2-Aug-10	31-Jul-11
47	P	PFM.C-V.IND.02	Recruitment of Financial Officer	IC	Prior	10-May-10	24-May-10	N/A	N/A	N/A	N/A	N/A	N/A	31-May-10	1-Jun-10	1-Jun-11
48	R/A					N/A	N/A	N/A	N/A	N/A	N/A	22-Apr-10	10-May-10	10-May-10	1-May-10	30-Apr-12
49	P	PFM.C-V.LCS.AUD	Auditing Firm	LCS	Prior	5-Jul-10	19-Jul-10	26-Jul-10	23-Aug-10	13-Sep-10	27-Sep-10	11-Oct-10	25-Oct-10	1-Nov-10	15-Nov-10	30-Oct-13
50	R/A					24-Nov-10	27-Jan-11	2-Feb-11	16-Mar-11	23-Mar-11	24-Mar-11	6-Jun-11	8-Jun-11	22-Jun-11	1-Jul-11	30-Jun-13
51	P	PFM.C-V.IND.03	Administration Support Officer	IC	Prior	N/A	N/A	N/A	N/A	N/A	N/A	17-Aug-10	20-Aug-10	23-Aug-10	23-Aug-10	22-Aug-11
52	R/A					N/A	N/A	N/A	N/A	N/A	N/A	17-Aug-10	20-Aug-10	23-Aug-10	23-Aug-10	28-Feb-13
53	P	PFM.C-V.IND.04	Logistic Support Officer	IC	Prior	N/A	N/A	N/A	N/A	N/A	N/A	17-Aug-10	20-Aug-10	23-Aug-10	23-Aug-10	22-Aug-11
54	R/A					N/A	N/A	N/A	N/A	N/A	N/A	17-Aug-10	20-Aug-10	23-Aug-10	23-Aug-10	28-Feb-13
55	P	PFM.C-V.IND.05	Budget Peparation Coordinator	IC	Prior	N/A	N/A	N/A	N/A	N/A	N/A	31-Oct-11	14-Nov-11	28-Nov-11	1-Dec-11	31-May-12
56	R/A					N/A	N/A	N/A	N/A	N/A	N/A	13-Feb-12	13-Mar-12	1-Mar-12	15-Mar-12	15-Mar-13
57	P	PFM.C-V.IND.06	Public Procurement Coordinator	IC	Prior	N/A	N/A	N/A	N/A	N/A	N/A	31-Oct-11	14-Nov-11	28-Nov-11	1-Dec-11	31-May-12
58	R/A					N/A	N/A	N/A	N/A	N/A	N/A	13-Feb-12				
59	P	PFM.C-V.IND.07	Trasury Operation Coordinator	IC	Prior	N/A	N/A	N/A	N/A	N/A	N/A	31-Oct-11	14-Nov-11	28-Nov-11	1-Dec-11	31-May-12
60	R/A					N/A	N/A	N/A	N/A	N/A	N/A	13-Feb-12	13-Mar-12	1-Mar-12	15-Mar-12	15-Mar-13
61	P	PFM.C-V.IND.08	IFMIS Coordinator	IC	Prior	N/A	N/A	N/A	N/A	N/A	N/A	31-Oct-11	14-Nov-11	28-Nov-11	1-Dec-11	30-Nov-12
62	R/A					N/A	N/A	N/A	N/A	N/A	N/A					
55	P	<b>Subtotal for 2.5</b>														
56	R/A															
57	P	<b>GRAND TOTAL</b>														
58	R	<b>GRAND TOTAL</b>														
59	R/A															

**Legend:**

- QCBS = Quality and Cost-based Selection (in accordance with sections 2.1 - 2.28 of the Guidelines)
- LCS = Least-Cost Selection (in accordance with section 3.6 of the Guidelines)
- CQS = Selection Based on consultants' Qualifications (in accordance with section 3.7 of the Guidelines)
- IC = Selection of Individual Consultants (in accordance with sections 5.1 - 5.4 of the Guidelines)
- SSS = Single Source Selection in accordance with sections 3.09 - 3.13 of the Guidelines
- NA = Not Applicable

# Capacity Building

## Capacity Building Activities

SL No.	Expected Outcome/ Activity Description	Estimated Duration	Start Date	Completion Date	Comments
1-	Study tour for 15 staff of RA + PCD+ SBD + KRG	10 Days	25-Apr-10	9-May-10	15 Officials visit Poland and Turkey