

Procurement Plan

I. General

1. Project Information

Country : Kenya

Recipient : Republic of Kenya

Project Name : Development Response to Displacement Impacts Project Phase II

Loan Credit No. : IDA 60210

2. **Bank's Approval Date of the Procurement Plan** : May 24, 2017

3. **Date of General Procurement Notice** : May 24, 2017

4. **Period Covered by this procurement plan** : 18 months

II. Goods, Works, and Non-Consulting Services

1. Thresholds for the Method of Procurement:

Method of Procurement	Thresholds for Method (US\$ equivalent)
Open International (Goods)	Equal to or more than 3 million
Open National (Goods) – Open Tender as per PPDA 2015	< \$3 million and > 100,000
RFQ (Goods)	Less than 20,000 or as stipulated in Kenya Procurement Regulations 2017
Open International (Works)	Equal to or more than 15 million
Open National (Works) - Open Tender as per PPDA 2015	Less than 15 million
RFQ (works)	Less than 20,000 or as stipulated in Kenya Procurement Regulations 2017
Open International (Non-consulting Services)	Equal to or More than 3 million
Open National (Non-consulting Services) - Open Tender as per PPDA 2015	Less than 3 million

Method of Procurement	Thresholds for Method (US\$ equivalent)
Direct Contracting	No threshold; meet requirements of Bank's Procurement Regulations

Prior Review: The details of the Procurement review / oversight are defined in the Annex II of the Regulations for borrowers. The following would be subject to Prior review of the Bank regardless of the Method of selection (Project Procurement Risk Rating is “High”):

- (a) **Goods:** First procurement under the project irrespective of value and all procurements estimated to cost more than USD 1.5 million
- (b) **Consulting Services:** First procurement under the project irrespective of value and all procurements estimated to cost more than USD 0.5 million; and

The prior review thresholds will be reviewed during the implementation of the project and modified, based on the risk assessment.

2. For contracts subject to prior review, the Borrower shall seek the Bank’s no objection before agreeing to:

- a. an extension of the stipulated time for execution of a contract that has an impact on the planned completion of the project;
- b. any material modification of the scope of the Works, Goods, Non-consulting services or Consulting Services, or other significant changes to the terms and conditions of the contract;
- c. any variation order or contract amendment (except in cases of extreme urgency), including due to extension of time, that singly or combined with all previous variation orders or amendments, increases the original contract amount by more than 15% (fifteen percent); or
- d. the proposed termination of the contract.

The Borrower / IA shall provide a copy of all amendments to the contract to the Bank for its records.

3. Prequalification

Not Applicable

Domestic Preference. The provision of domestic preference shall not be applied in the evaluation of bids in accordance with Annex VI of the Regulations.

4. Reference to Standard Procurement Documents

Bank’s Standard RFB Goods and RFP Consulting Services dated July 2016 will be used as a base and incorporating suitable modifications and the same would be agreed with the Bank subject international competition.

5. Any Other Special Procurement Arrangements

A. Procurement approach under CDD Approach by Communities:

The project largely follows the CDD approach and procurement by the communities will follow Procurement Regulations paragraph 6.52 and Annex XII - Selection Methods paragraph 6.9 and 6.10. The procurement arrangement at community level and oversight mechanism such as citizen engagement and social audit and accountability will be detailed in the Project Operations Manual and in line with 'Guidance Note for Design and Management of Procurement Responsibilities in Community Driven Development Projects', dated March 15, 2012. The procurement arrangement shall be simple to enable the communities carry out their own procurement activities with little or no assistance from national/county/sub-county officials. The fiduciary assurance shall come from citizen engagement, disclosure at community level, social audit, and use of women-led procurement committees at community level.

B. When approaching the national market, as agreed in the Procurement Plan, the Open Tender procedure of Public Procurement and Asset Disposal Act 2015 and Regulations 2017 may be used. The specific Requirements for national open competitive procurement include the following:

- a. open advertising of the procurement opportunity at the national level;
- b. the procurement is open to eligible firms from any country;
- c. the request for bids/request for proposals document shall require that Bidders/Proposers submitting Bids/Proposals present a signed acceptance at the time of bidding, to be incorporated in any resulting contracts, confirming application of, and compliance with, the Bank's Anti-Corruption Guidelines, including without limitation the Bank's right to sanction and the Bank's inspection and audit rights;
- d. contracts with an appropriate allocation of responsibilities, risks, and liabilities;
- e. publication of contract award information;
- f. rights for the Bank to review procurement documentation and activities;
- g. an effective complaints mechanism; and
- h. maintenance of records of the Procurement Process.

Other national procurement arrangements (other than national open competitive procurement), that may be applied by the Borrower (such as limited/restricted competitive bidding, request for quotations/shopping, direct contracting), shall be consistent with the Bank's Core Procurement Principles and ensure that the Bank's Anti-Corruption Guidelines and Sanctions Framework and contractual remedies set out in its Legal Agreement apply.

In all cases, the national procurement procedures to be used shall give due attention to quality aspects.

6. The bid evaluation will be carried out according to the agreed timeline in the Procurement Activity Schedule.

III. Selection of Consultants

1. Methods and Prior Review Threshold

Selection methods of procurement of Consultants' Services (Firms): The Approved Selection Methods as reflected in the Section VII of the procurement regulations

Method of Procurement	Thresholds for Methods
Quality and Cost Based Selection (QCBS)	As per requirements of para 7.3 of the Regulations
Quality Based Selection (QBS)	As per requirements of para 7.8 to 7.10 of the Regulations
Selection based on a Fixed Budget (FBS)	As per requirements of para 7.4 and 7.5 of the Regulations
Selection Based on Least Cost Basis (LCS)	As per requirements of para 7.6 and 7.7 of the Regulations
Selection based on Consultant's Qualification (CQ)	As per requirements of para 7.11 and 7.12 of the Regulations
Direct	Must meet the requirements of Para 7.13 to 7.15 of the Regulations, and with prior agreement in PP with the Bank.

The short list may consist of all National consultants in case the estimated cost is less than USD 300,000

Procurement methods for Selection of Individual Consultants (IC): The Approved Selection Methods as reflected in the Section VII of the procurement regulations

Method of Procurement	Thresholds for Methods
Open Competitive Selection	As per requirements of para 7.36 and 7.37 of the Regulations
Limited Competitive Selection	As per requirements of para 7.38 of the Regulations
Direct	Must meet the requirements of Para 7.39 of the Regulations, and with prior agreement in PP with the Bank

***Shall meet the requirements as stated in Regulations**

Prior Review Thresholds: The details of the Procurement review / oversight are defined in the Annex II of the Regulations for borrowers. The following would be subject to Prior review of the Bank regardless of the Method of selection:

- (a). **Consulting Firm:** First procurement under the project irrespective of value and all procurements estimated to cost more than USD 0.5 million.
- (b). **Individual Consultant:** all procurements estimated to cost more than USD 0.2 million

Terms of Reference (ToR) for all consultant contracts shall be furnished to the Bank for its prior review and No Objection.

DJ-IGAD-170891-CS-CQS / Consultancy to Strengthen the Communications, Publications and Multi - Media Production for the Durable Solutions efforts on Forced Displacement Challenges	IDA / D1840	Component 5 - : Support to IGAD for Expansion of the Regional Secretariat on Forced Displacement and Mixed Migration	Post	Consultant Qualification Selection	Open - International		40,000.00	0.00	Pending Implementation	2020-08-10		2020-08-31			2020-10-14					2020-11-13		2020-12-18		2021-06-16
DJ-IGAD-170688-CS-CQS / Consultancy for the Development of a Durable Solutions Database (digital platform/dashboard for information management) including data on IDPs	IDA / D1840	Component 5 - : Support to IGAD for Expansion of the Regional Secretariat on Forced Displacement and Mixed Migration	Post	Consultant Qualification Selection	Open - International		179,160.00	0.00	Pending Implementation	2020-08-05		2020-08-26			2020-09-30					2020-10-30		2020-12-04		2021-06-02

INDIVIDUAL CONSULTANTS

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
DJ-IGAD-32388-CS-INDV / Consultancy for Capacity Assessment	IDA / D1840	Component 5 - : Support to IGAD for Expansion of the Regional Secretariat on Forced Displacement and Mixed Migration	Post	Individual Consultant Selection	Open		30,000.00	0.00	Canceled	2017-12-01		2018-01-19		2018-02-09		2018-03-16		2018-09-12	
DJ-IGAD-32394-CS-INDV / Recruitment of Project Officer	IDA / D1840	Component 5 - : Support to IGAD for Expansion of the Regional Secretariat on Forced Displacement and Mixed Migration	Prior	Individual Consultant Selection	Open		84,000.00	0.00	Canceled	2017-10-24		2017-12-12		2018-01-02		2018-02-06		2018-06-05	
DJ-IGAD-32397-CS-INDV / Recruitment of GIS Officer	IDA / D1840	Component 5 - : Support to IGAD for Expansion of the Regional Secretariat on Forced Displacement and Mixed Migration	Prior	Individual Consultant Selection	Open		48,000.00	0.00	Canceled	2017-10-24		2017-12-12		2018-01-02		2018-02-06		2018-06-05	
DJ-IGAD-37173-CS-INDV / Renewal of Contract for The Senior Programme Coordinator - IGAD /DRDP	IDA / D1840	Component 5 - : Support to IGAD for Expansion of the Regional Secretariat on Forced Displacement and Mixed Migration	Prior	Individual Consultant Selection	Direct		74,576.00	0.00	Canceled	2017-11-17	2017-11-22	2017-11-27	2017-12-05	2017-12-18		2018-01-22		2018-07-21	
DJ-IGAD-37177-CS-INDV / Renewal of contract for the Project Accountant - IGAD/ DRDP	IDA / D1840	Component 5 - : Support to IGAD for Expansion of the Regional Secretariat on Forced Displacement and Mixed Migration	Prior	Individual Consultant Selection	Direct		34,748.00	0.00	Canceled	2017-11-17		2017-11-27		2017-12-18		2018-01-22		2018-07-21	
DJ-IGAD-84682-CS-INDV / Recruitment of Durable Solutions Expert	IDA / D1840	Component 5 - : Support to IGAD for Expansion of the Regional Secretariat on Forced Displacement and Mixed Migration	Post	Individual Consultant Selection	Open		84,000.00	84,000.00	Completed	2018-10-31	2018-10-31	2018-11-26	2019-03-08	2018-11-30	2019-03-08	2019-01-04	2019-03-13	2020-01-03	2020-03-24
DJ-IGAD-84674-CS-INDV / Recruitment of Knowledge Management/ M&E Officer	IDA / D1840	Component 5 - : Support to IGAD for Expansion of the Regional Secretariat on Forced Displacement and Mixed Migration	Post	Individual Consultant Selection	Open		12,000.00	84,000.00	Terminated	2018-10-31	2018-11-07	2018-11-26	2019-03-01	2018-11-30	2019-03-06	2019-01-04	2019-03-07	2020-01-03	
DJ-IGAD-101974-CS-CDS / Re-engaging /Recruitment of GIS Officer	IDA / D1840	Component 5 - : Support to IGAD for Expansion of the Regional Secretariat on Forced Displacement and Mixed Migration	Prior	Direct Selection	Direct		72,000.00	72,000.00	Signed	2019-02-25	2019-02-27	2019-03-07	2019-03-04			2019-03-18	2019-04-01	2020-03-17	

DJ-GAD-163883-CS-INDV / Recruitment of Knowledge Management Expert	IDA / D1840	Component 5 - : Support to IGAD for Expansion of the Regional Secretariat on Forced Displacement and Mixed Migration	Post	Individual Consultant Selection	Open		12,000.00	0.00	Under Review	2020-03-25	2020-04-01	2020-05-06	2020-05-20	2020-06-05	2021-06-04
DJ-GAD-170687-CS-INDV / Consultancy to Strengthen existing Monitoring, Evaluation and Learning systems that includes monitoring, data collection, data analyses and documentation of reports.	IDA / D1840	Component 5 - : Support to IGAD for Expansion of the Regional Secretariat on Forced Displacement and Mixed Migration	Post	Individual Consultant Selection	Open		20,000.00	0.00	Pending Implementation	2020-07-01		2020-08-19	2020-09-09	2020-10-14	2021-01-14
DJ-GAD-170688-CS-INDV / Consultancy to provide Technical assistance to Support Resilience and Durable Solutions Directorate	IDA / D1840	Component 5 - : Support to IGAD for Expansion of the Regional Secretariat on Forced Displacement and Mixed Migration	Post	Individual Consultant Selection	Open		70,000.00	0.00	Pending Implementation	2020-07-01		2020-08-19	2020-09-09	2020-10-14	2021-04-12
DJ-GAD-170689-CS-INDV / Technical assistance to support the Durable Solutions Unit (DSU) - MoPIED through a consultancy for Program Management	IDA / D1840	Component 5 - : Support to IGAD for Expansion of the Regional Secretariat on Forced Displacement and Mixed Migration	Post	Individual Consultant Selection	Open		60,000.00	0.00	Under Review	2020-06-01	2020-06-22	2020-07-20	2020-08-03	2020-09-01	2021-02-28
DJ-GAD-170690-CS-INDV / Technical assistance to support the Durable Solutions Unit (DSU) - MoPIED through recruitment of M&E Coordinator	IDA / D1840	Component 5 - : Support to IGAD for Expansion of the Regional Secretariat on Forced Displacement and Mixed Migration	Post	Individual Consultant Selection	Open		60,000.00	0.00	Pending Implementation	2020-06-01		2020-07-20	2020-08-03	2020-09-07	2021-03-06
DJ-GAD-170701-CS-INDV / Consultancy to Develop National Commission for Refugees and IDPs (NCRRI) Strategic Plan (2020-2023).	IDA / D1840	Component 5 - : Support to IGAD for Expansion of the Regional Secretariat on Forced Displacement and Mixed Migration	Post	Individual Consultant Selection	Open		45,000.00	0.00	Pending Implementation	2020-08-03		2020-09-12	2020-10-03	2020-11-07	2021-04-06
DJ-GAD-170702-CS-INDV / Consultancy to Develop a Social Cohesion Policy	IDA / D1840	Component 5 - : Support to IGAD for Expansion of the Regional Secretariat on Forced Displacement and Mixed Migration	Post	Individual Consultant Selection	Open		45,000.00	0.00	Pending Implementation	2020-06-25		2020-08-04	2020-08-18	2020-09-22	2021-01-20
DJ-GAD-169283-CS-CDS / Recruitment of Consultant for Project Midterm review	IDA / D1840	Component 5 - : Support to IGAD for Expansion of the Regional Secretariat on Forced Displacement and Mixed Migration	Post	Individual Consultant Selection	Open		20,000.00	0.00	Pending Implementation	2020-09-15		2020-10-20	2020-11-01	2020-11-25	2021-01-24