

PROCUREMENT PLAN

Version 1.5- Date: November 12, 2020

INSTITUTIONAL STRENGTHENING FOR IMPROVED VILLAGE SERVICE DELIVERY PROJECT

A. Project information:

Country:	Indonesia	
Borrower:	Republic of Indonesia	
Project ID:	P165543	
Financing Agreement Number:	IBRD Loan 8941-ID	
Project Implementation Agency(ies):		
CPMU:	Ministry of Home Affairs (MOHA), Directorate General of Village Government Affairs	
CPIU:	Ministry of Home Affairs (MOHA), Directorate General of Village Government Affairs	
	Ministry of Villages, Directorate General of Village Development and Community Empowerment (PPMD)	
	Ministry of National Development Planning/BAPPENAS, Deputy for Regional Development Directorate for the Development of Disadvantaged Regions, Transmigration and Rural Areas	

B. Key Dates:

1. Procurement Plan:	
a. Version 1.0 (for negotiation)	Feb 28, 2019
Bank's Approval to this version:	Mar 12, 2019
Period covered by this version:	Apr 1, 2019 - December 31, 2020
b. Version 1.1	May 4, 2020
Bank's Approval to this version:	May 7, 2020
Period covered by this version:	Apr 1, 2019 - December 31, 2020
c. Version 1.2	August 18, 2020
Bank's Approval to this version:	August 20, 2020
Period covered by this version:	Apr 1, 2019 - December 31, 2023

d. Version 1.3	August 28, 2020
Bank's Approval to this version:	August 31, 2020
Period covered by this version:	Apr 1, 2019 - December 31, 2023
e. Version 1.4	November 3, 2020
Bank's Approval to this version:	November 6, 2020
Period covered by this version:	Apr 1, 2019 - December 31, 2023
f. Version 1.5	November 12, 2020
Bank's Approval to this version:	November 18, 2020
Period covered by this version:	Apr 1, 2019 - December 31, 2023
g. [next version, if any]	[to be updated]
2. General Procurement Notice:	Mar 26, 2020

C. Preamble

All Procurement under the Project shall be carried out in accordance with the World Bank's Procurement Regulations for IPF Borrowers of July 2016, revised November 2017 and August 2018, and the provisions of the Procurement Plan and Project Operations Manual and shall take precedence over any national procurement regulations. This also applies to procurement of goods and non-consultant services through the Request for Bids method using the national market approach, which shall also be governed by the Bank's Procurement Regulations. Only in the case of National Open Competitive procurement packages, the Gol's procurement regulation (*Perpres 16/2018*) may be used to the extent that it does not conflict with the Bank's Procurement Regulations and subject to the required improvements listed below which are incorporated in the harmonized model bidding documents agreed between the Bank and LKPP for use in national open competitive procurement under Bank financed projects. In case a conflict or difference in opinion arises during the procurement process, the Bank will provide clarification in writing for the correct application of the Bank's Procurement Regulations.

In accordance with paragraph 5.9 of the World Bank Procurement Regulations for IPF Borrowers, July 2016, revised November 2017 and August 2018 ("Procurement Regulations"), the Bank's Systematic Tracking and Exchanges in Procurement (STEP) system will be used to prepare, clear and update Procurement Plans and conduct all procurement transactions for the Project.

This textual part along with the Procurement Plan tables in STEP constitute the Procurement Plan for the Project. The following conditions apply to all

procurement activities in the Procurement Plan. The other elements of the Procurement Plan as required under paragraph 4.4 of the Procurement Regulations are set forth in STEP.

The Bank’s Procurement prior review thresholds and selection method threshold: The identified procurement risk under this Project is ‘Substantial’. Accordingly, all contracts listed in the Procurement Plan that are at or above the thresholds set out below are subject to the Bank’s prior review. All other contracts are subject to the Bank’s ex-post review.

Applied Prior Review Threshold

Type of procurement	Procurement Prior Review Thresholds (US\$ millions)
Works (if any)	10
Goods, information technology and non-consulting services	2
Consultants: firms	1
Consultants: individuals	0.3

The following procurement method thresholds shall apply and the specific procurement method for each procurement package for Works, Goods & Information Technology, Non-consulting services and Consulting services shall be specified in the Procurement Plan:

Applied Approved Selection Method Threshold for International and National Market

Type of procurement and Approved Selection Method	Approved Selection Method Thresholds (US\$ millions)	
	National	International
A. Works (if any)		
<i>(i) Request for Request for Bids (RFB)</i>	at or above \$0.2 and less than \$25	at or above \$25
<i>(ii) Request for Quotations (RFQ)</i>	less than \$0.2	N/A
<i>(iii) Direct Selection</i>	On exceptional basis if justified as per paragraph 6.8, 6.9 and 6.10 of the	On exceptional basis if justified as per paragraph 6.8, 6.9 and 6.10 of the

Type of procurement and Approved Selection Method	Approved Selection Method Thresholds (US\$ millions)	
	National	International
	Procurement Regulations	Procurement Regulations
B. Goods, Information Technology and Non-consulting services		
For Goods and Information Technology:		
<i>(i) Request for Request for Bids (RFB)</i>	at or above \$0.1 and less than \$2	at or above \$2
<i>(ii) Request for Quotations (RFQ)</i>	less than \$0.1	N/A
<i>(iii) Direct Selection</i>	On exceptional basis if justified as per paragraph 6.8, 6.9 and 6.10 of the Procurement Regulations	On exceptional basis if justified as per paragraph 6.8, 6.9 and 6.10 of the Procurement Regulations
For Non-consulting Services:		
<i>(i) Request for Request for Bids (RFB)</i>	at or above \$0.1 and less than \$10	at or above \$10
<i>(ii) Request for Quotations (RFQ)</i>	less than \$0.1	N/A
<i>(iii) Direct Selection</i>	On exceptional basis if justified as per paragraph 6.8, 6.9 and 6.10 of the Procurement Regulations	On exceptional basis if justified as per paragraph 6.8, 6.9 and 6.10 of the Procurement Regulations
C. Consultants: firms		
For Selection of Consulting Firms		
<i>(i) Quality Cost Based Selection (QCBS), Fixed Budget Based Selection (FBS), Least Cost Based</i>	At or above \$0.3. Shortlist of firms for contracts below \$0.4	at or above \$0.4

Type of procurement and Approved Selection Method	Approved Selection Method Thresholds (US\$ millions)	
	National	International
<i>Selection (LCS) and Quality Based Selection (QBS)</i>	may comprise entirely national firms	
<i>(ii) Consultant's Qualifications Based Selection (CQS)</i>	less than \$0.3	N/A
<i>(iii) Direct Selection</i>	On exceptional basis if justified as per paragraph 7.13, 7.14 and 7.15 of the Procurement Regulations	On exceptional basis if justified as per paragraph 7.13, 7.14 and 7.15 of the Procurement Regulations
For Selection of Individual Consultants		
<i>(i) Open Competitive Selection of Individual Consultants and Limited Competitive Selection of Individual Consultants</i>	All, no monetary threshold	All, no monetary threshold
<i>(ii) Direct Selection of Individual Consultants</i>	On exceptional basis if justified as per paragraph 7.39 of the Procurement Regulations	On exceptional basis if justified as per paragraph 7.39 of the Procurement Regulations

The Bank's Standard Procurement Documents: shall be used for all contracts subject to international competitive procurement and those contracts as specified in the Procurement Plan tables in STEP.

National Open Competitive Procurement Arrangements: In accordance with paragraph 5.3 of the Procurement Regulations, the procedures applicable to the procurement of goods, works, non-consulting services and consulting services under contracts awarded on the basis of National Open Competitive Procurement shall be those of the *Tender* (Tender) method as set forth in the Borrower's Presidential Regulation No. 16/2018, subject to paragraph 5.4 of the "Procurement Regulations" and meeting the following conditions, which shall govern in the event of a conflict with the Borrower's procedures:

1. The procurement is open to eligible firms from any country;

2. The request for bids/request for proposals document shall require that Bidders/Proposers submitting Bids/Proposals present a signed acceptance at the time of bidding, to be incorporated in any resulting contracts, confirming application of, and compliance with, the Bank's Anti-Corruption Guidelines, including without limitation the Bank's right to sanction and the Bank's inspection and audit rights;
3. Procurement Documents include provisions, as agreed with the Bank, intended to adequately mitigate against environmental, social (including sexual exploitation and abuse and gender-based violence), health and safety ("ESHS") risks and impacts;
4. Rights for the Bank to review procurement documentation and activities;
5. Maintenance of records of the Procurement Process;
6. Procurement Documents acceptable to the Bank shall be used;
7. Bids shall be evaluated using only post-qualification for procurement of goods, works and non-consulting services.

Use of National e-Procurement System (SPSE): Unless informed otherwise by the Bank in writing, SPSE e-procurement system may be used only for procurement of goods, works and non-consultant services under Request for Bids through National Open Competitive method, applying the harmonized model bidding documents for National Open Competitive bidding agreed by the Bank. For selection of consultant services through QCBS method, only the modified SPSE (termed by LKPP as SPSE-"ICB") may be used applying the Bank's standard Request for Proposal document. Since at the present time LKPP has not yet developed the modified SPSE for procurement of goods, works and non-consultant services under International Open Competitive method, nor for selection of consultants under QBS, CQS, LCS, FBS and individual consultant selection methods, therefore procurement of all contract packages under these methods shall be carried out through non-electronic process with manual issuance of invitation for bids and receipt of bids, until such time that the modified SPSE has been completed by LKPP and assessed by the Bank to be acceptable. If the Bank determines the modified SPSE to be acceptable for use under the above-mentioned remaining procurement methods, the Bank will confirm through the Bank's written no objection to LKPP and will also notify in writing to project implementing agencies.

D. Other Relevant Procurement Information

- Project Operations Manual (POM) shall set out the operating procedures for procurement and contract implementation matters under the Project written in Bahasa, which shall be consistent with the procedures and rules specified under the Bank's Procurement Regulations. In case of any difference of

interpretation between the POM and the Bank's Procurement Regulations, the later shall prevail;

- CPMU and CPIUs may use its own forms of contract for goods/non-consulting services procured under Request for Quotations (RFQ) method when approaching to national market, acceptable to the Bank;
- Advance procurement may be applied under the project;
- Regardless of the Bank's review requirement (prior or post), the Bank may review technical aspects of procurement documents and other non-procurement matters (terms of reference, statement of works, technical specifications, environmental documents, cost estimate, etc.) related to the procurement activity in question, if needed;
- The Bank will continue to provide guidance and support to the CPMUs, and CPIUs for procurement processing through the STEP.

The Procurement Plan table below are prepared for the entire Project implementation. The Procurement Plan is a living document and can be revised if there are any changes during the implementation of the project

PROCUREMENT PLAN Indonesia : Institutional Strengthening for Improved Village Service Delivery

General Information
 Country: Indonesia Bank's Approval Date of the Original Procurement Plan: 2020-05-17
 Revised Plan Date(s): (comma delineated, leave blank if non 2021-03-29
 Project ID: P165543 GPN Date: 2020-03-26
 Project Name: Institutional Strengthening for Improved Village Service Delivery
 Loan / Credit No: IBRD / 89410
 Executing Agency(ies) DG Bina Pemdes, Ministry of Home Affairs

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	

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											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	
ID-BINA PEMDES-210159-NC-REB / Administration Service Firm (ASF) for Managing Contract of Technical Assistance Supports (Region 1 - 7)	IBRD / 89410	Strengthening Village Government Institutions	Prior	Request for Bids	Open - National	Single Stage - One Envelope		36,000,000.00	0.00	Pending implementation					2021-01-11	2021-01-16					2021-02-06		2021-03-08		2021-03-12		2024-12-11

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
ID-BINA PEMDES-170080-CS-QCBS / National Management Consultant and Advisory Services for P3FD	IBRD / 89410	Strengthening Village Government Institutions	Prior	Quality And Cost-Based Selection	Open - International		6,000,000.00	0.00	Under Implementation	2020-05-15	2021-01-11	2020-05-29		2020-07-15			2020-08-12		2020-09-11		2020-10-16		2020-11-20		2023-11-20		
ID-BINA PEMDES-173460-CS-QCBS / Digital system for Learning Management - System Development for Village Apparatus CB	IBRD / 89410	Strengthening Village Government Institutions	Prior	Quality And Cost-Based Selection	Open - International		5,000,000.00	0.00	Pending Implementation	2020-08-02		2020-08-23		2020-10-06			2020-11-03		2020-12-03		2021-01-07		2021-02-11		2022-02-11		

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
ID-BINA PEMDES-202830-CS-INDV / Individual Consultants for Secretariat - Planning and Finance Division, 2020-2024	IBRD / 89410	Strengthening Village Government Institutions	Post	Individual Consultant Selection	Open		190,000.00	0.00	Under Implementation	2020-11-09	2020-11-09	2020-11-15		2020-11-16		2020-11-18		2021-12-31	
ID-BINA PEMDES-202882-CS-INDV / Individual Consultants for Secretariat - Program Division	IBRD / 89410	Strengthening Village Government Institutions	Post	Individual Consultant Selection	Open		70,000.00	0.00	Canceled	2020-11-09		2020-11-15		2020-11-16		2020-11-18		2022-01-03	
ID-BINA PEMDES-224429-CS-INDV / Individual Consultant for Secretariat P3FD-Program Division	IBRD / 89410	Strengthening Village Government Institutions	Prior	Individual Consultant Selection	Open		120,000.00	0.00	Pending Implementation	2021-03-15		2021-03-21		2021-03-22		2021-03-24		2022-05-09	