

PROCUREMENT PLAN (Textual Part)

Project information: General Education Modernization Project-P163714

Project Implementation agency: Ministry of Education

Date of the Procurement Plan: September 18, 2019

Period covered by this Procurement Plan: September 18, 2019 – March 18, 2020

Preamble

In accordance with paragraph 5.9 of the “World Bank Procurement Regulations for IPF Borrowers” (July 2016) (“Procurement Regulations”) the Bank’s Systematic Tracking and Exchanges in Procurement (STEP) system will be used to prepare, clear and update Procurement Plans and conduct all procurement transactions for the Project.

This textual part along with the Procurement Plan tables in STEP constitute the Procurement Plan for the Project. The following conditions apply to all procurement activities in the Procurement Plan. The other elements of the Procurement Plan as required under paragraph 4.4 of the Procurement Regulations are set forth in STEP.

The Bank’s Standard Procurement Documents: shall be used for all contracts subject to international competitive procurement and those contracts as specified in the Procurement Plan tables in STEP.

National Procurement Arrangements: In accordance with paragraph 5.3 of the Procurement Regulations, when approaching the national market (as specified in the Procurement Plan tables in STEP), the country’s own procurement procedures may be used. When the Borrower uses its own national open competitive procurement arrangements as set forth in Sri Lanka’s Procurement Guidelines 2006, such arrangements shall be subject to paragraph 5.4 of the Procurement Regulations and the following conditions: Only bidding documents acceptable to the Bank shall be used for all national open competitive procurement.

- 1) The eligibility of bidders shall be as defined under Section III of the Procurement Regulations. Accordingly, no bidder or potential bidder shall be declared ineligible for contracts financed by the Bank for reasons other than those provided in Section III of the Procurement Regulations.
- 2) The request for bids/request for proposals document shall require that Bidders/Proposers submitting Bids/Proposals present a signed acceptance (in the form attached) at the time of bidding, to be incorporated in any resulting contracts, confirming application of, and compliance with, the Bank’s Anti-Corruption Guidelines, including without limitation the Bank’s right to sanction and the Bank’s inspection and audit rights.

When other national procurement arrangements other than national open competitive procurement arrangements are applied by the Borrower, such arrangements shall be subject to paragraph 5.5 of the Procurement Regulations.

Leased Assets as specified under paragraph 5.10 of the Procurement Regulations: Leasing may be used for those contracts identified in the Procurement Plan tables. **Not Applicable**

Procurement of Second-Hand Goods as specified under paragraph 5.11 of the Procurement Regulations - is allowed for those contracts identified in the Procurement Plan tables **Not Applicable**

Domestic preference as specified under paragraph 5.51 of the Procurement Regulations (**Goods and Works**). Specify for each

Goods: [is not applicable/is applicable for those contracts identified in the Procurement Plan tables]; **Not Applicable**

Works: [is not applicable/is applicable for those contracts identified in the Procurement Plan tables] **Not Applicable**

Table 5.1. Procurement methods and prior review thresholds applicable for the Project

Applicable risk: **Moderate**

Expenditure Category	Contract Value (Threshold)	Procurement Method	Contracts/Processes Subject to Prior Review
Works	\geq US\$10,000,000	Open- Competitive- International	All contracts above US\$ 15 million
	$<$ US\$10,000,000	Open-Competitive- National	
	\leq US\$50,000	Request for Quotations	
	-----	Direct Selection	
Goods and non-consulting services	\geq US\$1,000,000	Open- Competitive- International	All contracts over US\$4 million equivalent
	$<$ US\$1,000,000	Open-Competitive- National	
	\leq US\$50,000	Request for Quotations	
	-----	Direct Selection	

Expenditure Category	Contract Value (Threshold)	Procurement Method	Contracts/Processes Subject to Prior Review
Consultant services (firms)	> US\$500,000	All competitive methods; advertise internationally	All contracts over US\$2 million equivalent
	≤ US\$500,000	All competitive methods; advertise locally	
	----- -	Selection of Types of Consultants - UN Agencies	
Individual consultants	----- -	Approved Selection Methods and Arrangements of Individual Consultant per the applicable 'Borrower Regulations'	All contracts over US\$0.4 million equivalent

Letter of Acceptance of the World Bank's Anticorruption Guidelines and Sanctions Framework¹

Date: _____

Invitation of Bids/Proposals
No. _____

To: _____

We, along with our sub-contractors, sub-consultants, service providers, suppliers, agents (whether declared or not) consultants and personnel, acknowledge and agree to abide by the World Bank's policy regarding Fraud and Corruption (corrupt, fraudulent, collusive, coercive, and obstructive practices), as set out and defined in the World Bank's Anti-Corruption Guidelines² in connection with the procurement and execution of the contract (in case of award), including any amendments thereto.

We declare and warrant that we, along our sub-contractors, sub-consultants, service providers, suppliers, agents (whether declared or not), consultants and personnel, are not subject to, and are not controlled by any entity or individual that is subject to, a temporary suspension, early temporary suspension, or debarment imposed by a member of the World Bank Group, including, inter alia, a cross-debarment imposed by the World Bank Group as agreed with other international financial institutions (including multilateral development banks), or through the application of a World Bank Group finding of non-responsibility on the basis of Fraud and Corruption in connection with World Bank Group corporate procurement. Further, we are not ineligible under the laws or official regulations of *[Insert name of Employer as per bidding document]* or pursuant to a decision of the United Nations Security Council.

We confirm our understanding of the consequences of not complying with the World Bank's Anti-Corruption Guidelines, which may include the following:

- a. rejection of our Proposal/Bid for award of contract;

¹[Drafting note: This document shall be signed by bidders/proposers/consultants and submitted as part of their bids/proposals. In addition, this document shall be signed by the winning bidder/consultant and incorporated as part of the contract.]

²*Guidelines on Preventing and Combating Fraud and Corruption in Projects Financed by International Bank for Reconstruction and Development Loans and the International Development Agency Credits and Grants*, dated October 15, 2006, and revised in January 2011 and July 2016, as they may be revised from time to time.

- b. in the case of award, termination of the contract, without prejudice to any other remedy for breach of contract; and
- c. sanctions, pursuant to the Bank's Anti-Corruption Guidelines and in accordance with its prevailing sanctions policies and procedures as set forth in the Bank's Sanctions Framework. This may include a public declaration of ineligibility, either indefinitely or for a stated period of time, (i) to be awarded or otherwise benefit from a Bank-financed contract, financially or in any other manner;³ (ii) to be a nominated⁴ sub-contractor, sub-consultant, consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract; and (iii) to receive the proceeds of any loan made by the Bank or otherwise to participate further in the preparation or implementation of any Bank-financed project.

We understand that we may be declared ineligible as set out above upon:

- a. completion of World Bank Group sanctions proceedings according to its prevailing sanctions procedures;
- b. cross-debarment as agreed with other international financial institutions (including multilateral development banks);
- c. the application of a World Bank Group finding of non-responsibility on the basis of Fraud and Corruption in connection with World Bank Group corporate procurement; or
- d. temporary suspension or early temporary suspension in connection with an ongoing World Bank Group sanctions proceeding.

For avoidance of doubt, the foregoing effects of ineligibility do not extend to a sanctioned firm's or individual's execution of its ongoing Bank-financed contracts (or its ongoing sub-agreements under such contracts) that are not the subject of a material modification, as determined by the Bank.

We shall permit, and shall cause our sub-contractors, sub-consultants, agents (whether declared or not), personnel, consultants, service providers or suppliers, to permit the Bank to inspect⁵ all accounts, records, and other documents relating to

³ For the avoidance of doubt, a sanctioned party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification or initial selection), expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

⁴A nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider (different names are used depending on the bidding document) is one which has been: (i) included by the bidder in its pre-qualification or initial selection application or bid because it brings specific and critical experience and know-how that allow the bidder to meet the qualification requirements for the particular bid; or (ii) appointed by the Borrower.

⁵Inspections in this context are usually investigative (i.e., forensic) in nature: they involve fact-finding activities undertaken by the Bank or persons appointed by the Bank to address specific

the procurement process and/or contract execution (in the case of award), and to have them audited by auditors appointed by the Bank.

We agree to preserve all accounts, records, and other documents (whether in hard copy or electronic format) related to the procurement and execution of the contract.

Name of the Bidder/Proposer/Consultant: _____

Name of the person duly authorized to sign the Bid/Proposal on behalf of the Bidder/Proposer/ Consultant:

Title of the person signing the Letter: _____

matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data, and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third-party verification of information.

PROCUREMENT PLAN Sri Lanka : General Education Modernization Project

General Information
 Country: Sri Lanka Bank's Approval Date of the Original Procurement Plan: 2019-09-18
 Revised Plan Date(s): (comma delineated, leave blank if non 2021-08-20
 Project ID: P163714 GPN Date: 2020-07-31
 Project Name: General Education Modernization Project
 Loan / Credit No: IDA / 62280
 Executing Agency(ies) Ministry of Education

WORKS

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	

GOODS

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion		
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned
LK-MOE-134411-GO-RFQ / Procurement of Laptop & Desktop for Health and nutrition branch & Policy planning branch - Component 02	IDA / 62280	Enhancing Quality and Strengthening Stewardship of Primary and Secondary Education	Post	Request for Quotations	Limited	Single Stage - One Envelope		12,114.30	5,935.76	Completed								2019-10-07	2020-02-03							2019-11-20	2020-12-03	2019-12-20	2020-12-16
LK-MOE-134412-GO-RFQ / Procurement of office equipment for Policy and nutrition branch & Health and nutrition branch - Component 02	IDA / 62280	Enhancing Quality and Strengthening Stewardship of Primary and Secondary Education	Post	Request for Quotations	Limited	Single Stage - One Envelope		4,028.58	5,538.98	Completed								2019-10-07	2019-09-30							2019-11-20	2019-11-18	2019-12-20	2019-12-23
LK-MOE-134413-GO-RFQ / Procurement of Furniture and Office equipment for Policy & planning branch - Component 02	IDA / 62280	Enhancing Quality and Strengthening Stewardship of Primary and Secondary Education	Post	Request for Quotations	Limited	Single Stage - One Envelope		6,942.90	0.00	Canceled								2019-10-07								2019-11-20		2019-12-20	
LK-MOE-134414-GO-RFQ / Procurement of Multimedia for Health and nutrition branch & Policy planning branch - Component 02	IDA / 62280	Enhancing Quality and Strengthening Stewardship of Primary and Secondary Education	Post	Request for Quotations	Limited	Single Stage - One Envelope		1,714.29	3,076.71	Completed								2019-10-07	2019-09-30							2019-11-20	2019-11-26	2019-12-20	2019-12-18
LK-MOE-134416-GO-RFQ / Procurement of Health Accessories for Health and nutrition branch - Component 02	IDA / 62280	Enhancing Quality and Strengthening Stewardship of Primary and Secondary Education	Post	Request for Quotations	Limited	Single Stage - One Envelope		400.00	0.00	Pending Implementation								2019-10-07								2019-11-20		2019-12-20	
CERC-LK-MOE-246397-GO-RFB / Procurement of Desktop Computers and Accessories for selected provincial Schools	IDA / 62280	Contingent Emergency Response Component	Post	Request for Bids	Open - National	Single Stage - One Envelope		910,000.00	0.00	Pending Implementation								2021-09-07	2021-09-14							2021-11-02	2021-12-28		2022-06-14
CERC-LK-MOE-246400-GO-RFB / Procurement of Laptop Computers for selected provincial School	IDA / 62280	Contingent Emergency Response Component	Post	Request for Bids	Open - National	Single Stage - One Envelope		107,500.00	0.00	Pending Implementation								2021-09-07	2021-09-14							2021-11-02	2021-12-28		2022-06-14
CERC-LK-MOE-246402-GO-RFB / Procurement of Smart class room equipment for 25 National Schools	IDA / 62280	Contingent Emergency Response Component	Post	Request for Bids	Open - National	Single Stage - One Envelope		920,625.00	0.00	Pending Implementation								2021-09-07	2021-09-14							2021-11-02	2021-12-28		2022-06-14
CERC-LK-MOE-246405-GO-RFB / Procurement of all in one Computers & Accessories for 35 National Schools	IDA / 62280	Contingent Emergency Response Component	Post	Request for Bids	Open - National	Single Stage - One Envelope		934,500.00	0.00	Pending Implementation								2021-09-07	2021-09-14							2021-11-02	2021-12-28		2022-06-14

NON CONSULTING SERVICES

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual

CONSULTING FIRMS

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual

INDIVIDUAL CONSULTANTS

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual