



## Kenya Urban Support Program (P156777)

AFRICA | Kenya | Social, Urban, Rural and Resilience Global Practice Global Practice | Requesting Unit: AFCE2 | Responsible Unit: GSU13  
IBRD/IDA | Program-for-Results Financing | FY 2018 | Team Leader(s): Abdu Muwonge

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### Program Development Objectives

Program Development Objective (from Program Appraisal Document)

The Program Development Objective (PDO) is to establish and strengthen urban institutions to deliver improved infrastructure and services in participating counties in Kenya.

### Overall Ratings

Name	Previous Rating	Current Rating
Progress towards achievement of PDO	● Satisfactory	● Satisfactory
Overall Implementation Progress (IP)	● Satisfactory	● Satisfactory

### Implementation Status and Key Decisions

The State Department of Housing, Urban Development and Public Works is strongly anchoring KUSP as the main vehicle for supporting integrated urban development and the delivery of affordable housing in county governments. KUSP is being realigned to support the establishment of the integrated program delivery unit that will support the coordination and delivery of the state department mandate. The National Program Coordination Team (NPCT) in the Urban Development Department (UDD) and the Council of Governors (COG) are actively raising awareness and disseminating information about KUSP to County Governors and senior county officials.

All counties have met the program requirements for accessing the urban institutional grants (UIG), namely, signed the program participation agreements for KUSP, and prepared their County Urban Institutional Development Strategies (CUIDS). As a result, the Bank has disbursed USD9 Million to National Treasury toward achievement of the results for the disbursement linked indicator (DLI) of counties' meeting the minimum conditions for the UIG for FY 2017-18. The Bank has also disbursed USD9 Million to National Treasury as an advance toward compliance with the same DLI by county governments for FY 2018-19. In total, the Bank has disbursed USD18 Million as of June 30, 2018 to National Treasury for disbursement to all 45 county governments. Each county government will receive USD0.4 Million toward undertaking eligible activities as per the KUSP guidelines. The Bank has also disbursed USD4.1 Million to support national level activities toward enhancing urban development. As of June 2018, total disbursements for the entire program stands at USD23.5 Million against the appraisal target of USD9 Million.

The first annual performance assessment (APA) process to inform allocations for the urban development grants (UDG) for eligible counties was completed and the results were endorsed by the program steering committee. National Treasury has submitted a request to the World Bank for UDG disbursements, which is currently being processed.

### Data on Financial Performance

#### Disbursements (by loan)

Project	Loan/Credit/TF	Status	Currency	Original	Revised	Cancelled	Disbursed	Undisbursed	% Disbursed
P156777	IDA-61340	Effective	USD	300.00	300.00	0.00	23.55	276.45	7.8%

#### Key Dates (by loan)

Project	Loan/Credit/TF	Status	Approval Date	Signing Date	Effectiveness Date	Orig. Closing Date	Rev. Closing Date
P156777	IDA-61340	Effective	26-Jul-2017	14-Sep-2017	11-Jan-2018	31-Jul-2023	31-Jul-2023

### Program Action Plan

Action Description	Align program implementation structure and staffing					
Responsibility	Recurrent	Frequency	Due Date	DLI#	Status	
Client	Yes	CONTINUOUS			In Progress	
Comments						

Action Description	Strengthen environmental and social management system					
Responsibility	Recurrent	Frequency	Due Date	DLI#	Status	
Client	Yes	CONTINUOUS			In Progress	
Comments						

Action Description	Improve implementation of environmental and social management system					
Responsibility	Recurrent	Frequency	Due Date	DLI#	Status	
Client	Yes	CONTINUOUS			Not Yet Due	
Comments						

Action Description	Build capacity for environmental and social management					
Responsibility	Recurrent	Frequency	Due Date	DLI#	Status	
Client	Yes	CONTINUOUS			In Progress	
Comments						

Action Description	Strengthen national and sub-national budgeting and fund flow systems					
Responsibility	Recurrent	Frequency	Due Date	DLI#	Status	
Client	Yes	CONTINUOUS			In Progress	
Comments						



<b>Action Description</b>	Build capacity for program financial management				
<b>Responsibility</b>	<b>Recurrent</b>	<b>Frequency</b>	<b>Due Date</b>	<b>DLI#</b>	<b>Status</b>
Client	Yes	CONTINUOUS			In Progress
<b>Comments</b>					

<b>Action Description</b>	Strengthen procurement capacities				
<b>Responsibility</b>	<b>Recurrent</b>	<b>Frequency</b>	<b>Due Date</b>	<b>DLI#</b>	<b>Status</b>
Client	Yes	CONTINUOUS			In Progress
<b>Comments</b>					

<b>Action Description</b>	Strengthen complaints management				
<b>Responsibility</b>	<b>Recurrent</b>	<b>Frequency</b>	<b>Due Date</b>	<b>DLI#</b>	<b>Status</b>
Client	Yes	CONTINUOUS			Not Yet Due
<b>Comments</b>					

<b>Action Description</b>	Through KDSP, establish county level complaints management systems				
<b>Responsibility</b>	<b>Recurrent</b>	<b>Frequency</b>	<b>Due Date</b>	<b>DLI#</b>	<b>Status</b>
Client	Yes	CONTINUOUS			In Progress
<b>Comments</b>					

<b>Action Description</b>	Improve risk management				
<b>Responsibility</b>	<b>Recurrent</b>	<b>Frequency</b>	<b>Due Date</b>	<b>DLI#</b>	<b>Status</b>
Client	Yes	CONTINUOUS			In Progress
<b>Comments</b>					

## Risks

### Systematic Operations Risk-rating Tool

Risk Category	Rating at Approval	Previous Rating	Current Rating
Political and Governance	● Substantial	● Substantial	● Substantial
Macroeconomic	● Low	● Low	● Low
Sector Strategies and Policies	● Substantial	● Substantial	● Substantial
Technical Design of Project or Program	● Substantial	● Substantial	● Substantial
Institutional Capacity for Implementation and Sustainability	● Substantial	● Substantial	● Substantial
Fiduciary	● High	● High	● High
Environment and Social	● Substantial	● Substantial	● Substantial
Stakeholders	● Moderate	● Moderate	● Moderate
Other	--	--	--
Overall	● Substantial	● Substantial	● Substantial

## Results

### PDO Indicators by Objectives / Outcomes

Urban areas with approved charters, established boards, appointed urban managers, and a budget vote				
▶ Urban areas with approved charters, established boards, appointed urban managers, and a budget vote (measures establishment of urban institutions). (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	59.00	35.00
Date	26-Jul-2017	25-Jun-2018	03-Dec-2018	26-Jul-2023
Comments				
Urban areas that utilize at least 50 percent of the budget intended for their urban investments				
▶ Urban areas that utilize at least 50 percent of the budget intended for their urban investments (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	17.00
Date	31-Jul-2017	20-Jun-2018	03-Dec-2018	31-Jul-2023
Comments this indicator will be assessed in the next APA process.				
Score in the APA for achievement of urban planning, infrastructure, and service delivery targets				
▶ Score in the APA for achievement of urban planning, infrastructure, and service delivery targets (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target

Value	0.00	0.00	0.00	15.00
Date	31-Jul-2017	20-Jun-2018	03-Dec-2018	31-Jul-2023
Comments	indicator not yet scored. to be scored in the next APA.			

### Intermediate Results Indicators by Results Areas

National Level Results				
▶ Guidelines on planning, infrastructure, and basic service delivery prepared and made public on MTIHUD website (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	6.00
Date	06-Jul-2017	25-Jun-2018	03-Dec-2018	31-Jul-2023
Comments				
▶ APA results (UIG and UDG allocations) made on time (before end of FY and prior to start of next FY) (Yes/No, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	No	Yes	Yes	Yes
Date	06-Jul-2017	30-Mar-2018	03-Dec-2018	31-Jul-2023
Comments				

County and Urban Area Results: Urban Management Systems in place				
▶ Counties that qualify for the UIGs (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	45.00	45.00	45.00
Date	06-Jul-2017	30-Mar-2018	30-Mar-2018	31-Jul-2023
Comments				
▶ Urban areas for which citizen fora (public consultations between urban board and residents, including plan and budget consultations) have been held at least once a year. (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	35.00
Date	06-Jul-2017	25-Jun-2018	03-Dec-2018	31-Jul-2023
Comments	This information will be tracked starting from the next ISR because counties have just finalized formation of municipalities and urban boards.			

County and urban area results: Urban planning, infrastructure and services				
<b>►People provided with improved urban living conditions (million) (Number, Custom)</b>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	3.50
Date	06-Jul-2017	29-Jun-2018	03-Dec-2018	31-Jul-2023
Comments	Indicator not yet tracked. Counties have not yet received infrastructure grants to start projects against which to report the beneficiaries.			
<b>►1.a. People provided with improved urban living conditions (of which female, [percentage]) (Percentage, Custom)</b>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	50.00	0.00	50.00
Date	06-Jul-2017	29-Jun-2018	03-Dec-2018	31-Jul-2023
Comments	Indicator not yet tracked. Counties have not yet received infrastructure grants to start projects against which to report the beneficiaries.			
<b>►UDGs for the previous FY spent in accordance with the eligible investment menu (Percentage, Custom)</b>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	90.00
Date	06-Jul-2017	29-Jun-2018	03-Dec-2018	31-Jul-2023
Comments	Indicator not yet tracked. Counties have not yet received infrastructure grants to start projects against which to report the beneficiaries.			
<b>►Drainage systems built or rehabilitated under the program (Kilometers, Custom)</b>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	10.00
Date	06-Jul-2017	29-Jun-2018	03-Dec-2018	31-Jul-2023
Comments	Indicator not yet tracked. Counties have not yet received infrastructure grants to start projects against which to report the beneficiaries.			
<b>►Nonmotorized transport facilities constructed or rehabilitated under the program (Kilometers, Custom)</b>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	10.00
Date	06-Jul-2017	25-Jun-2018	03-Dec-2018	31-Jul-2023
Comments	Indicator not yet tracked. Counties have not yet received infrastructure grants to start projects against which to report the beneficiaries.			

<b>►Street or high-mast security lights constructed under the program (Number, Custom)</b>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	50.00
Date	06-Jul-2017	25-Jun-2018	25-Jun-2018	31-Jul-2023
Comments	Indicator not yet tracked. Counties have not yet received infrastructure grants to start projects against which to report the beneficiaries.			
<b>►Markets constructed under the program (Number, Custom)</b>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	4.00
Date	06-Jul-2017	25-Jun-2018	03-Dec-2018	31-Jul-2023
Comments	Indicator not yet tracked. Counties have not yet received infrastructure grants to start projects against which to report the beneficiaries.			
<b>►Public works and green urban spaces under the program (Hectare(Ha), Custom)</b>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	10.00
Date	06-Jul-2017	25-Jun-2018	25-Jun-2018	31-Jul-2023
Comments	Indicator not yet tracked. Counties have not yet received infrastructure grants to start projects against which to report the beneficiaries.			
<b>►Municipal solid waste policies and plans prepared under the program adopted (Number, Custom)</b>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	10.00
Date	06-Jul-2017	25-Jun-2018	03-Dec-2018	31-Jul-2023
Comments	Indicator not yet tracked. Counties have not yet received infrastructure grants to start projects against which to report the beneficiaries.			
<b>►Urban spatial plans completed under the program adopted (Number, Custom)</b>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	8.00
Date	06-Jul-2017	25-Jun-2018	03-Dec-2018	31-Jul-2023
Comments	Indicator not yet tracked. Counties have not yet received institutional and infrastructure grants to start projects against which to report the beneficiaries.			
<b>►Urban IDEPs completed under the program (Number, Custom)</b>				

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	35.00
Date	06-Jul-2017	25-Jun-2018	25-Jun-2018	31-Jul-2023
Comments	Indicator not yet tracked. Counties have not yet received infrastructure grants to start projects against which to report the beneficiaries.			

### Disbursement Linked Indicators

►DLI 1 Window 2: County governments - County governments commit to address urban development and management issues (County Governments have met UIG MCs) (Output, 22.00, 82%)

	Baseline	Actual (Previous)	Actual (Current)	2017-18
Value	0.00	45.00	45.00	45.00
Date	26-Jul-2017	20-Jun-2018	03-Dec-2018	--
<b>Comments</b>				

►DLI 2 Window 3: Urban boards and counties - Institutional framework established and operational (County governments have met UDG MCs) (Outcome, 171.40, 0%)

	Baseline	Actual (Previous)	Actual (Current)	2017-18
Value	0.00	0.00	45.00	40.00
Date	26-Jul-2017	20-Jun-2018	03-Dec-2018	--
<b>Comments</b>				

►DLI 3 Window 3: Urban boards and counties - Urban planning, infrastructure and service delivery (County governments and urban area institutions have met UDG PSs) (Outcome, 76.10, 0%)

	Baseline	Actual (Previous)	Actual (Current)	2017-18
Value	0.00	0.00	0.00	45.00
Date	26-Jul-2017	20-Jun-2018	03-Dec-2018	--
<b>Comments</b>	Not yet measured UDG performance standards. The UDG PSs will be assessed in the next APA.			