

PROCUREMENT PLAN (Textual Part)

Project information: *Afghanistan Payments Automation and Integration of Salaries in Afghanistan – P168266*

Project Implementation agency: **Ministry of Finance and Da Afghanistan Bank**

Date of the Procurement Plan: *[insert date]*

Period covered by this Procurement Plan: *[insert from – to period]*

Preamble

In accordance with paragraph 5.9 of the “World Bank Procurement Regulations for IPF Borrowers” (July 2016, Revised November 2017 and August 2018) (“Procurement Regulations”) the Bank’s Systematic Tracking and Exchanges in Procurement (STEP) system will be used to prepare, clear and update Procurement Plans and conduct all procurement transactions for the Project.

This textual part along with the Procurement Plan tables in STEP constitute the Procurement Plan for the Project. The following conditions apply to all procurement activities in the Procurement Plan. The other elements of the Procurement Plan as required under paragraph 4.4 of the Procurement Regulations are set forth in STEP.

The Bank’s Standard Procurement Documents: shall be used for all contracts subject to international competitive procurement and those contracts as specified in the Procurement Plan tables in STEP.

National Procurement Arrangements: In accordance with paragraph 5.3 of the Procurement Regulations, when approaching the national market (as specified in the Procurement Plan tables in STEP), the country’s own procurement procedures may be used.

When the Borrower uses its own national open competitive procurement arrangements as set forth in *[insert appropriate references to the national procurement legislation]*, such arrangements shall be subject to paragraph 5.4 of the Procurement Regulations and the following conditions:

- 1) Only the model bidding documents acceptable to the World Bank shall be used for all national open competitive procurement.
- 2) The eligibility of bidders shall be as defined under Section III of the Procurement Regulations. Accordingly, no bidder or potential bidder shall be declared ineligible for contracts financed by the Bank for reasons other than those provided in Section III of the Procurement Regulations;
- 3) The request for bids/request for proposals document shall require that Bidders/Proposers submitting Bids/Proposals present a signed acceptance (in the form attached) at the time of bidding, to be incorporated in any resulting contracts, confirming application of, and compliance with, the Bank’s Anti-Corruption

Guidelines, including without limitation the Bank’s right to sanction and the Bank’s inspection and audit rights.

- 4) *Procurement Documents include provisions, as agreed with the Bank, intended to adequately mitigate against environmental, social (including sexual exploitation and abuse and gender-based violence), health and safety (“ESHS”) risks and impacts [Retain this paragraph only for projects with decision meeting on or after August 1, 2018].*
- 5) There shall be no negotiations of contract price at post contract stage or termination of the contract - on grounds of operation of Price Adjustment stipulated in a signed contract exceeding a specified percentage.
- 6) No preference of any kind shall be given to national bidders in the bidding process.

When other national procurement arrangements (other than national open competitive procurement) are applied by the Borrower, such arrangements shall be subject to paragraph 5.5 of the Procurement Regulations.

Leased Assets as specified under paragraph 5.10 of the Procurement Regulations: Leasing may be used for those contracts identified in the Procurement Plan tables. *Otherwise state “Not Applicable”*

Procurement of Second Hand Goods as specified under paragraph 5.11 of the Procurement Regulations – is allowed for those contracts identified in the Procurement Plan tables *Otherwise state “Not Applicable”*

Domestic preference as specified under paragraph 5.51 of the Procurement Regulations (Goods and Works). *Specify for each*

Goods: [is not applicable/is applicable for those contracts identified in the Procurement Plan tables];

Works: [is not applicable/is applicable for those contracts identified in the Procurement Plan tables]

Hands-on Expanded Implementation Support (HEIS) as specified under paragraphs 3.10 and 3.11 of the Procurement Regulations is Applicable. *[Include this sentence only if the Bank has formally agreed to provide the Borrower with HEIS. Otherwise delete]*

Other Relevant Procurement Information.

Provide any other relevant procurement information such as procedures for CDD components, Procurement in Situations of Urgent Need of Assistance or Capacity Constraints etc.

Letter of Acceptance of the World Bank's Anticorruption Guidelines and Sanctions Framework¹

Date: _____

Invitation of Bids/Proposals No. _____

To: _____

We, along with our sub-contractors, sub-consultants, service providers, suppliers, agents (whether declared or not) consultants and personnel, acknowledge and agree to abide by the World Bank's policy regarding Fraud and Corruption (corrupt, fraudulent, collusive, coercive, and obstructive practices), as set out and defined in the World Bank's Anti-Corruption Guidelines² in connection with the procurement and execution of the contract (in case of award), including any amendments thereto.

We declare and warrant that we, along our sub-contractors, sub-consultants, service providers, suppliers, agents (whether declared or not), consultants and personnel, are not subject to, and are not controlled by any entity or individual that is subject to, a temporary suspension, early temporary suspension, or debarment imposed by a member of the World Bank Group, including, inter alia, a cross-debarment imposed by the World Bank Group as agreed with other international financial institutions (including multilateral development banks), or through the application of a World Bank Group finding of non-responsibility on the basis of Fraud and Corruption in connection with World Bank Group corporate procurement. Further, we are not ineligible under the laws or official regulations of *[Insert name of Employer as per bidding document]* or pursuant to a decision of the United Nations Security Council.

We confirm our understanding of the consequences of not complying with the World Bank's Anti-Corruption Guidelines, which may include the following:

- a. rejection of our Proposal/Bid for award of contract;

¹[Drafting note: This document shall be signed by bidders/proposers/consultants and submitted as part of their bids/proposals. In addition, this document shall be signed by the winning bidder/consultant and incorporated as part of the contract.]

²*Guidelines on Preventing and Combating Fraud and Corruption in Projects Financed by International Bank for Reconstruction and Development Loans and the International Development Agency Credits and Grants*, dated October 15, 2006, and revised in January 2011 and July 2016, as they may be revised from time to time.

- b. in the case of award, termination of the contract, without prejudice to any other remedy for breach of contract; and
- c. sanctions, pursuant to the Bank's Anti-Corruption Guidelines and in accordance with its prevailing sanctions policies and procedures as set forth in the Bank's Sanctions Framework. This may include a public declaration of ineligibility, either indefinitely or for a stated period of time, (i) to be awarded or otherwise benefit from a Bank-financed contract, financially or in any other manner;³ (ii) to be a nominated⁴ sub-contractor, sub-consultant, consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract; and (iii) to receive the proceeds of any loan made by the Bank or otherwise to participate further in the preparation or implementation of any Bank-financed project.

We understand that we may be declared ineligible as set out above upon:

- a. completion of World Bank Group sanctions proceedings according to its prevailing sanctions procedures;
- b. cross-debarment as agreed with other international financial institutions (including multilateral development banks);
- c. the application of a World Bank Group finding of non-responsibility on the basis of Fraud and Corruption in connection with World Bank Group corporate procurement; or
- d. temporary suspension or early temporary suspension in connection with an ongoing World Bank Group sanctions proceeding.

For avoidance of doubt, the foregoing effects of ineligibility do not extend to a sanctioned firm's or individual's execution of its ongoing Bank-financed contracts (or its ongoing sub-agreements under such contracts) that are not the subject of a material modification, as determined by the Bank.

We shall permit, and shall cause our sub-contractors, sub-consultants, agents (whether declared or not), personnel, consultants, service providers or suppliers, to permit the Bank to inspect⁵ all

³ For the avoidance of doubt, a sanctioned party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification or initial selection), expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

⁴A nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider (different names are used depending on the bidding document) is one which has been: (i) included by the bidder in its pre-qualification or initial selection application or bid because it brings specific and critical experience and know-how that allow the bidder to meet the qualification requirements for the particular bid; or (ii) appointed by the Borrower.

⁵Inspections in this context are usually investigative (i.e., forensic) in nature: they involve fact-finding activities undertaken by the Bank or persons appointed by the Bank to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data, and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies thereof as relevant; interviewing staff and

accounts, records, and other documents relating to the procurement process and/or contract execution (in the case of award), and to have them audited by auditors appointed by the Bank.

We agree to preserve all accounts, records, and other documents (whether in hard copy or electronic format) related to the procurement and execution of the contract.

Name of the Bidder/Proposer/Consultant: _____

Name of the person duly authorized to sign the Bid/Proposal on behalf of the Bidder/Proposer/Consultant:

Title of the person signing the Letter: _____

other relevant individuals; performing physical inspections and site visits; and obtaining third-party verification of information.

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| CONSULTING FIRMS | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| Activity Reference No. / Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Contract Type | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Terms of Reference | | Expression of Interest Notice | | Short List and Draft Request for Proposals | | Request for Proposals as Issued | | Opening of Technical Proposals / Minutes | | Evaluation of Technical Proposal | | Combined Evaluation Report and Draft Negotiated Contract | | Signed Contract | | Contract Completion | |
| | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual |
| PAISA/C1 / Acquisition of PCI DSS certification for the national switch | IDA / D4530 | Component 2: Integration of the Identification, Verification and Payment Systems | Post | Quality And Cost-Based Selection | Open - International | | 200,000.00 | 0.00 | Pending Implementation | 2020-06-25 | | 2020-07-16 | | 2020-08-29 | | | | | 2020-09-28 | | 2020-10-28 | | 2020-11-30 | | 2021-01-04 | | 2022-01-04 |
| PAISA/C2 / Technical Assistance and Advisory support for Procurement of EMV for AFPAy Scheme | IDA / D4530 | Component 4: Project management | Post | Quality And Cost-Based Selection | Open - International | | 250,000.00 | 0.00 | Pending Implementation | 2020-06-15 | | 2020-07-06 | | 2020-08-19 | | | | | 2020-09-16 | | 2020-10-16 | | 2020-11-20 | | 2020-12-25 | | 2021-12-25 |
| PAISA/C4 / Acquiring the Master Card Principle Membership for APS | IDA / D4530 | Component 2: Integration of the Identification, Verification and Payment Systems | Prior | Quality And Cost-Based Selection | Open - International | | 1,000,000.00 | 0.00 | Pending Implementation | 2020-08-15 | | 2020-09-05 | | 2020-10-19 | | | | | 2020-11-16 | | 2020-12-16 | | 2021-01-20 | | 2021-02-24 | | 2022-02-24 |
| PAISA/C6 / Strengthening DAB's (Financial Inclusion, Financial Supervision and Payment Systems Departments and APS) Institutional capacity for Adoption of an enabling legal/regulatory framework | IDA / D4530 | Component 2: Expansion of financial services and access points | Post | Quality And Cost-Based Selection | Open - International | | 350,000.00 | 0.00 | Pending Implementation | 2020-07-15 | | 2020-08-05 | | 2020-09-18 | | | | | 2020-10-16 | | 2020-11-15 | | 2020-12-20 | | 2021-01-24 | | 2022-01-24 |

| INDIVIDUAL CONSULTANTS | | | | | | | | | | | | | | | | | | | |
|---|-------------------|--|-------------|---------------------------------|-----------------|---------------|-------------------------|----------------------|------------------------|--------------------|--------|--|--------|---------------------------|--------|-----------------|------------|---------------------|------------|
| Activity Reference No. / Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Contract Type | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Terms of Reference | | Invitation to Identified/Selected Consultant | | Draft Negotiated Contract | | Signed Contract | | Contract Completion | |
| | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual |
| PAISA/C8 / Development of the Access Point Expansion Strategy | IDA / D4530 | Component 3: Expansion of financial services and access points | Prior | Individual Consultant Selection | Open | | 250,000.00 | 0.00 | Pending Implementation | 2020-07-15 | | 2020-09-02 | | 2020-09-23 | | | 2020-10-28 | | 2021-04-28 |
| PAISA/C5 / Technical Assistance to support integration of the MTN (Mobile Money Operation) system with APS switch. | IDA / D4530 | Component 2: Integration of the Identification, Verification and Payment Systems | Post | Direct Selection | Direct | | 100,000.00 | 0.00 | Pending Implementation | 2020-06-15 | | 2020-06-25 | | | | | 2020-08-29 | | 2021-02-25 |
| PAISA/C3 / Support and maintenance (Post-Warranty Services) to National Payment Switch at APS | IDA / D4530 | Component 2: Integration of the Identification, Verification and Payment Systems | Prior | Direct Selection | Direct | | 650,000.00 | 0.00 | Pending Implementation | 2020-05-14 | | 2020-05-24 | | | | | 2020-07-28 | | 2021-07-28 |
| AF-DAB-PAISA-154480-CS-CDS / Procurement and Contract Management Consultant (International) | IDA / D4530 | Component 4: Project management | Prior | Direct Selection | Direct | | 250,000.00 | 0.00 | Pending Implementation | 2020-04-30 | | 2020-05-05 | | | | | 2020-05-13 | | 2021-05-13 |
| PAISA/C9 / Technical Assistance to support integration of the Boshan (Mobile Money Operation) system with APS switch. | IDA / D4530 | Component 2: Integration of the Identification, Verification and Payment Systems | Post | Direct Selection | Direct | | 100,000.00 | 0.00 | Pending Implementation | 2020-06-15 | | 2020-06-25 | | | | | 2020-08-29 | | 2021-02-25 |

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| PAISA/C10 / Technical Assistance to support integration of the AWCC (Mobile Money Operator) system with APS switch. | IDA / D4530 | Component 2: Integration of the Identification, Verification and Payment Systems | Post | Direct Selection | Direct | | 100,000.00 | 0.00 | Pending Implementation | 2020-07-05 | | 2020-07-15 | | | | 2020-09-18 | | 2021-03-17 |
| PAISA/C11 / Technical Assistance to support integration of the Ehisalat (Mobile Money Operator) system with APS switch. | IDA / D4530 | Component 2: Integration of the Identification, Verification and Payment Systems | Post | Direct Selection | Direct | | 100,000.00 | 0.00 | Pending Implementation | 2020-07-15 | | 2020-07-25 | | | | 2020-09-28 | | 2021-03-27 |