

1. *Prior Review Threshold.* Procurement decisions subject to prior review by the Bank as stated in Appendix 1 in the Procurement Guidelines.

**Table A.2.4 Prior Review Threshold**

	Procurement Method	Procurement Method Threshold (US\$)	Prior Review Threshold (US\$) MODERATE RISK PROJECT
<b>Works</b>			
1.	ICB	≥ 7,000,000	> 15,000,000
2.	NCB	> 200,000 – < 7000,000	As per Procurement Plan
3..	Shopping (small contracts)	< 200,000	As per Procurement Plan
4.	Direct contracting	n.a.	All
<b>Goods and non-consulting services</b> (excluding consultants services)			
1.	ICB	> 1,000,000	> 3,000,000
2.	NCB	>100,000 – <1,000,000	As per Procurement Plan
3.	Shopping	<100,000	As per Procurement Plan
4.	Direct contracting	n.a	All

2. Procurement Packages Subject to World Bank Prior and Post Review with Selection Methods and Time

**Table A2.5. Procurement Packages Subject to World Bank Prior and Post Review**

Ref No.	Contract (Description)	Estimated Cost (US\$)	Procurement Method	Approach to market	Review by Bank (Prior/ Post)	Expected Bid-Opening Date
<b>WORKS</b>						
1	Masunga MC - Sowa Water Supply Master Plan (connection to Nata Cluster)	16,650,000	Request for Bids	Open International	Prior	March 2019
2	Lobatse MC - Mmathethe Water Supply	8,100,000	Request for Bids	Open International	Prior	December 2017
3	Lobatse MC -Mokatako Water Supply	270,000	Request for Proposals	Open National	Post	June 2017
4	Selebi Phikwe MC -Selibi Phikwe - Serule Water Transfer Scheme	18,000,000	Request for Bids	Open International	Prior	June 2017
5	Masunga MC - North East/Tutume Water Transfer Scheme	19,800,000	Request for Bids	Open International	Prior	June 2017
6	Letlhakane MC - Boteti Southern and Central Cluster Villages Water Supply Scheme	18,000,000	Request for Bids	Open International	Prior	June 2017
7	Letlhakane MC – Mosu, Mokubilo and Mmea Villages Water Supply	270,000	Request for Proposals	Open National	Post	June 2017
8	Ghanzi MC - Ghanzi Township Water Supply Expansion	7,560,000	Request for Bids	Open National	Prior	November 2017
8	Ghanzi MC - Kuke Water Supply Master Plan Project	1,710,000	Request for Bids	Open National	Post	November 2017
10	Ghanzi MC – Bere Settlement Water Supply Augmentation	630,000	Request for Bids	Open National	Post	November 2017

Ref No.	Contract (Description)	Estimated Cost (US\$)	Procurement Method	Approach to market	Review by Bank (Prior/Post)	Expected Bid-Opening Date
11	Kanye MC - Kanye/Moshupa Water supply Project	2,700,000	Request for Bids	Open National	Post	April 2018
12	Molepolole MC - Malwelwe/Molepolole/Thamaga Thebephatwa (Phase 2)	9,000,000	Request for Bids	Open International	Prior	April 2018
13	Francistown MC - Mambo Waste Water Treatment Plant Rehabilitation	14,400,000	Request for Proposals	Open International	Prior	July 2017
14	Lobatse MC - Lobatse Waste Water Treatment Plant Rehabilitation	585,000	Request for Proposals	Open National	Post	April 2018
15	Lethakane MC – Lethakane Waste Water Treatment ponds expansion	4,500,000	Request for Proposals	Open National	Post	April 2018
<b>GOODS</b>						
1	Water quality monitoring equipment (WUC)	1,200,000	Request for Bids	Open International	Post	April 2017
2	Ground water monitoring equipment (WUC)	460,000	Request for Bids	Open International	Post	April 2017
3	IWRM Equipment for surface and groundwater monitoring	2,000,000	Request for Bids	Open International	Prior	November 2017
4	Equipment for Operational Forecasting and Early Warning	2,600,000	Request for Bids	Open International	Prior	November 2017
<b>NONCONSULTING SERVICES</b>						
	Loss reduction support program	1,450,000	Request for Bids	Open International	Prior	April 2017

### 3. Selection of Consultants

4. *Prior Review Threshold.* Selection decisions subject to prior review by World Bank as stated in appendix 1 to the Guidelines Selection and Employment of Consultants.

**Table A2.6. Prior Review Threshold for Consultants**

	Selection Method	Selection Method Threshold (US\$)	Prior Review Threshold MODERATE RISK PROJECT (US\$)
1.	QCBS and QBS	≥ \$300,000	>1m
2.	FBS, QBS, LCS, and CQS	< \$300,000	>1m
3.	Single Source (Firms)	n.a.	All
4.	Individual Consultants	n.a.	>300,000
5.	Single Source (Individual Consultants)	n.a.	All

Note: QCBS = Quality-and-Cost-Based Selection (Section II of the Consultants' Guidelines); LCS = Least-Cost Selection (Para 3.6, of the Guidelines); CQS = Selection based on the Consultants' Qualifications (Para 3.7 of the Guidelines); FBS= Selection under a Fixed Budget (Para 3.5 of the Guidelines); QBS = Quality-Based Selection (Para 3.2 of the Guidelines)

5. Short list comprising entirely of national consultants. Short list of consultants for services, estimated to cost less than US\$300,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of Paragraph 2.7 of the Consultant Guidelines. All terms of reference irrespective of the value of the consultancy assignment are subject to prior review.

**Table A2.7 Consultancy Assignments with Selection Methods and Time Schedule**

Ref No.	Description	Estimated Amount (US\$)	Procurement/Selection Method	Approach to market	Prior or Post Review	Expected Proposal Submission/Opening Date
	Review and Roll out of Non-Revenue Water Strategy (WUC)	900,000	QCBS	Open International	Prior	June 2018
	Review of Billing and Collection strategies, including benchmarking (WUC)	100,000	CQS	Open National	Post	June 2018
	Review of water supply and (sanitation) wastewater manual (WUC)	200,000	CQS	Open National	Post	January 2018
	Energy reduction Strategy – with focus on climate change mitigation, solar pumping and biogas utilization (WUC)	100,000	CQS	Open National	Post	January 2018
	Engineering Services for Masunga MC - Sowa Water Supply Master Plan (connection to Nata Cluster)(WUC)	1,850,000	QCBS	Open International	Prior	June 2018
	Engineering Services for Lobatse MC - Mmathethe Water Supply (WUC)	900,000	QCBS	Open International	Prior	October 2017
	Engineering Services for Lobatse MC - Mokatako Water Supply (WUC)	30,000	CQS	Open National	Post	May 2017
	Engineering Services for Selebi-Phikwe MC - Selibi Phikwe - Serule Water Transfer Scheme (WUC)	2,000,000	QCBS	Open International	Prior	May 2017
	Engineering Services for Masunga MC - North East/Tutume Water Transfer Scheme (WUC)	2,200,000	QCBS	Open International	Prior	May 2017
	Engineering Services for Letlhakane MC - Boteti Southern and Central Cluster Water Supply (WUC)	2,000,000	QCBS	Open International	Prior	May 2017
	Engineering Services for Letlhakane MC - Mosu Water Supply (WUC)	30,000	CQS	Open National	Post	May 2017
	Engineering Services for Ghanzi MC - Ghanzi Township Water Supply Expansion(WUC)	840,000	QCBS	Open International	Prior	July 2017
	Engineering Services for Ghanzi MC – Kuke Water Supply Master Plan project (WUC)	190,000	CQS	Open National	Post	May 2017
	Engineering Services for Ghanzi MC –Bere Water Supply Augmentation (WUC)	70,000	CQS	Open National	Post	May 2017
	Engineering Services for Kanye MC - Kanye/Moshupa Water Supply (WUC)	300,000	CQS	Open National	Post	July 2017

Engineering Services for Molepolole MC - Malwelwe/Molepolole/Thamaga Thebephatswa Water Supply (Phase 2) (WUC)	1,000,000	QCBS	Open International	Prior	July 2017
Engineering Services for Francistown - Mambo Waste Water Treatment Plant Refurbishment (WUC)	1,600,000	QCBS	Open International	Prior	August 2017
Engineering Services for Lobatse Waste Water Treatment Ponds Rehabilitation (WUC)	65,000	CQS	Open National	Post	November 2017
Engineering Services for Lethakane Waste Water Treatment Ponds Expansion (WUC)	500,000	QCBS	Open National	Prior	November 2017
Water and Sanitation Policy dissemination program (MLWS)/DWA)	50,000	IC	Open National	Post	June 2017
Water Act Training and outreach facilitator and dissemination program (MLWS)/DWA)	50,000	IC	Open National	Post	December 2017
National Conservation strategy advisory and technical assistance (MLWS)/DWA)	50,000	IC	Open National	Post	November 2018
Update of the 1968 Water Act, including alignment/amendment of related pieces of statutes (MLWS)/DWA)	50,000	CQS	Open National	Post	June 2017
Finalization and dissemination of National Water Conservation and Demand Management Study (MLWS)/DWA)	50,000	CQS	Open National	Post	December 2017
Development of water abstraction and pricing strategy (MLWS)/DWA)	450,000	QCBS	Open International	Prior	December 2017
Regulatory Capacity Building (MLWS)/DWA)	500,000	QCBS	Open International	Prior	December 2017
Water quality monitoring programs (MLWS)/DWA)	300,000	QCBS	Open National	Post	December 2017
Demarcation of catchment areas study (MLWS)/DWA)	200,000	CQS	Open National	Post	November 2018
Study and design of aquifer recharge options (MLWS)/DWA)	400,000	QCBS	Open International	Prior	December 2017
Study and design of saline groundwater use options (MLWS)/DWA)	400,000	QCBS	Open International	Prior	December 2017
Support for implementation of Institutional Strengthening (WUC)	300,000	QCBS	Open International	Prior	December 2017
Development of WSS capital investment book, business strategy, financial recovery plan, and WSS services strategies (including stand posts, sanitation), (WUC)	800,000	QCBS	Open International	Prior	September 2017
Optimization of WSS treatment processes (WUC)	1,350,000	QCBS	Open International	Prior	November 2017
Support for development of Public Private Partnerships (WUC)	280,000	CQS	Open International	Post	November 2017
Western Region Master Plans - Tsabong, Ghanzi and Maun (WUC)	1,800,000	QCBS	Open International	Prior	November 2017

	Pre-feasibility studies and technical assistance for Chobe-Zambesi Transfer Scheme (MLWS)	525,000	QCBS	Open International	Prior	November 2017
	Pre-feasibility studies and technical assistance for Lesotho-Botswana Transfer Scheme (MLWS)	525,000	QCBS	Open International	Prior	November 2017
	Study on Effluent Reuse options at institutional level (MLWS)/DWA)	200,000	CQS	Open International	Post	December 2018
	Groundwater desalination options and feasibility study (MLWS)/DWA)	200,000	CQS	Open International	Post	December 2018
	PPP technical implementation support, transaction advice (WUC)	800,000	QCBS	Open International	Prior	June 2017
	Audits (technical, financial, procurement)	100,000	LC	Open National	Post	December 2017
	Economic and financial review consultant	100,000	IC	Open National	Post	June 2017
	Project Operational Manual consultant	10,000	IC	Open National	Post	March 2017
	Project procurement systems consultant	50,000	IC	Open National	Prior	June 2017
	Financial management systems consultant	50,000	IC	Open National	Prior	June 2017
	Environmental and Social Safeguards instruments, Resettlement Policy Framework Dam Safety Action Plan monitoring (WUC)	450,000	IC	Open International	Prior	June 2017
	Project monitoring, reporting and ICR consultant	100,000	IC	Open National	Post	January 2021
	Communication consultant (WUC)	200,000	IC	Open National	Post	October 2017
	Community consultation and development of Grievance Management Systems (WUC)	100,000	CQS	Open National	Post	June 2017
	Project Management (WUC and DWA)	3,000,000	IC	Open National	Prior	June 2017

**PROCUREMENT PLAN** Botswana : Emergency Water Security and Efficiency Project

**General Information**  
**Country:** Botswana **Bank's Approval Date of the Original Procurement Plan:** 2018-03-28  
**Revised Plan Date(s):** (comma delineated, leave blank if none) 2020-08-31  
**Project ID:** P160911 **GFN Date:** 2017-08-23  
**Project Name:** Emergency Water Security and Efficiency Project  
**Loan / Credit No:** IBRD / 87190  
**Executing Agency(ies):** Department of Water Affairs

**WORKS**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	
BW-DWA-183218-CW-RFP / Geophysical Borehole Exploration, Drilling, Logging, Construction, Development, Rehabilitation and Test Pumping / Injection of Boreholes at Palla Road Wellfield, Central District, Botswana	IBRD / 87190	Component 3: Sector Reform and Institutional Strengthening	Post	Request for Bids	Open - National	Single Stage - One Envelope				750,000.00	0.00	Pending Implementation					2020-08-08		2020-08-11					2020-09-22		2020-10-22		2020-11-28	2021-05-25

**GOODS**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion		
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned
BW-DWA-99568-GO-RFB / Supply and delivery of assorted equipment for Department of Water and Sanitation Lots 1 to 5	IBRD / 87190	Component 3: Sector Reform and Institutional Strengthening	Post	Request for Bids	Open - International	Single Stage - One Envelope		1,500,000.00	719,179.06	Signed					2019-03-04	2019-11-12	2019-03-18	2019-03-07					2019-04-29	2019-04-17	2019-05-29	2019-11-19	2019-07-08	2019-11-11	2019-10-07
BW-DWA-183225-GO-RFB / Assorted Equipment for DWS	IBRD / 87190	Component 3: Sector Reform and Institutional Strengthening	Post	Request for Bids	Open - National	Single Stage - One Envelope		800,000.00	0.00	Under Implementation					2020-07-19	2020-08-28	2020-07-24	2020-08-28					2020-08-25		2020-10-05		2020-11-09		2021-06-04

**NON CONSULTING SERVICES**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion		
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned

**CONSULTING FIRMS**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
BW-DWA-56312-CS-QCBS / consultancy services for the development of raw water abstraction and pricing strategy	IBRD / 87190	Component 3: Sector Reform and Institutional Strengthening	Prior	Quality And Cost Based Selection	Open - International		450,000.00	292,229.88	Signed	2018-03-28	2018-05-15	2018-04-13	2018-11-09	2018-06-08	2019-02-13			2018-07-06	2019-06-24	2018-08-17	2019-07-18	2018-10-05	2019-09-23	2018-11-09	2019-11-21	2019-11-09	

BW-DWA-56327-CS-INDV / consultancy services for the finalization and dissemination of national water conservation and demand management study	IBRD / 87190	Component 3: Sector Reform and Institutional Strengthening	Post	Consultant Qualification Selection	Open - National	50,000.00	0.00	Canceled	2018-03-28	2018-03-29	2018-04-18	2018-06-13						2018-07-13	2018-08-17	2019-08-16						
BW-DWA-61064-CS-QCBS / consultancy services for the finalization and dissemination of national water conservation and demand management strategy	IBRD / 87190	Component 3: Sector Reform and Institutional Strengthening	Prior	Quality And Cost Based Selection	Open - International	50,000.00	449,830.00	Signed	2018-05-02	2018-05-07	2018-05-23	2018-11-09	2018-07-06	2019-04-05				2018-08-03	2019-06-24	2018-09-02	2019-07-18	2018-10-07	2019-10-03	2018-11-11	2019-10-29	2019-11-11
BW-DWA-81629-CS-QCBS / Managed Aquifer Recharge Feasibility Study	IBRD / 87190	Component 3: Sector Reform and Institutional Strengthening	Prior	Quality And Cost Based Selection	Open - International	400,000.00	826,067.76	Signed	2018-10-12	2018-11-09	2018-11-02	2019-01-16	2019-01-21	2019-04-18				2019-02-18	2019-06-25	2019-03-20	2019-07-18	2019-04-24	2019-09-23	2019-05-29	2019-10-28	2020-05-28
BW-DWA-117243-CS-QCBS / review of water related legislation and full assessment of water regulatory authority	IBRD / 87190	Component 3: Sector Reform and Institutional Strengthening	Post	Quality And Cost Based Selection	Open - International	950,000.00	0.00	Under Implementation	2019-06-03	2019-06-24	2019-07-02	2019-07-31	2019-08-15					2019-09-12		2019-10-12		2019-11-16		2019-12-21		2021-12-31
BW-DWA-56360-CS-CQS / consultancy services for the study of demarcation of catchment areas.	IBRD / 87190	Component 3: Sector Reform and Institutional Strengthening	Post	Consultant Qualification Selection	Open - National	200,000.00	0.00	Canceled	2018-03-28	2018-03-29	2018-04-18	2018-11-09	2018-06-13									2018-07-13	2018-08-17	2019-08-16		
BW-DWA-122053-CS-QCBS / a consultancy service the study of demarcation of catchment management areas	IBRD / 87190	Component 3: Sector Reform and Institutional Strengthening	Post	Quality And Cost Based Selection	Open - National	200,000.00	818,609.68	Signed	2019-07-12	2019-07-13	2019-08-02		2019-09-15	2019-07-15				2019-10-13	2019-07-15	2019-11-12	2019-07-15	2019-12-17	2019-11-01	2020-01-21	2019-10-09	2021-01-20
BW-DWA-104017-CS-QCBS / Detailed Assessment and Prioritization and Plan for Long term Water Security.	IBRD / 87190	Component 3: Sector Reform and Institutional Strengthening	Post	Quality And Cost Based Selection	Open - International	305,000.00	0.00	Canceled	2019-03-08		2019-03-31		2019-05-19					2019-06-16		2019-07-16		2019-08-20		2019-09-24		2021-05-24
BW-DWA-123391-CS-QCBS / Detailed Assessment and Prioritization and Plan for Long term Water Security.	IBRD / 87190	Component 3: Sector Reform and Institutional Strengthening	Prior	Quality And Cost Based Selection	Open - International	3,000,000.00	0.00	Under Implementation	2019-07-19	2019-09-23	2019-08-23	2019-10-23	2019-10-06	2020-08-28				2019-11-03		2019-12-03		2020-01-07		2020-02-11		2022-02-10
BW-DWA-124085-CS-QCBS / consultancy services for the development of National Sanitation Management Strategy	IBRD / 87190	Component 3: Sector Reform and Institutional Strengthening	Post	Quality And Cost Based Selection	Open - International	1,200,000.00	0.00	Under Implementation	2019-09-06	2019-09-28	2019-09-30	2019-11-01	2019-11-26					2019-12-25		2020-01-09		2020-03-01		2020-04-05		2021-04-05
BW-DWA-64125-CS-FBS / study and design of saline ground water use options	IBRD / 87190	Component 3: Sector Reform and Institutional Strengthening	Prior	Quality And Cost Based Selection	Open - International	400,000.00	0.00	Canceled	2018-05-28	2018-10-11	2018-06-22	2018-11-09	2018-09-14	2019-02-13				2018-10-26	2019-07-17	2018-11-26	2019-07-18	2018-12-31		2019-02-04		2021-02-04
BW-DWA-136861-CS-QCBS / study and design of saline ground water use options	IBRD / 87190	Component 3: Sector Reform and Institutional Strengthening	Prior	Quality And Cost Based Selection	Open - International	2,500,000.00	1,028,787.57	Signed	2019-10-02	2019-10-09	2019-10-23		2019-12-06	2019-10-31				2020-01-03	2019-10-31	2020-02-02	2019-11-01	2020-03-08	2019-11-06	2020-04-12	2019-11-14	2021-04-12
BW-DWA-183222-CS-CQS / E.I.A for the Study and Design of Saline/Brackish Groundwater Reuse Options	IBRD / 87190	Component 3: Sector Reform and Institutional Strengthening	Post	Consultant Qualification Selection	Open - National	350,000.00	0.00	Under Implementation	2020-07-29	2020-08-05	2020-08-19	2020-08-24	2020-10-02									2020-11-01		2020-12-06		2021-06-04

**INDIVIDUAL CONSULTANTS**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual