

**Implementation Status & Results  
Ethiopia  
Productive Safety Net APL III (P113220)**

Operation Name: Productive Safety Net APL III (P113220)	Project Stage: Implementation	Seq.No: 3	Status: ARCHIVED	Last Modified Date: 29-Jun-2011
Country: Ethiopia	Approval FY: 2010			
Product Line: IBRD/IDA	Region: AFRICA	Lending Instrument: Adaptable Program Loan		
Implementing Agency(ies): Ministry of Agriculture and Rural Development (MoARD)				

**Key Dates**

Board Approval Date	22-Oct-2009	Original Closing Date	30-Jun-2015	Planned Mid Term Review Date	30-Jun-2012	Last Archived ISR Date	13-Apr-2011
Effectiveness Date	18-Jan-2010	Revised Closing Date	30-Jun-2015	Actual Mid Term Review Date			

**Project Development Objectives**

Project Development Objective (from Project Appraisal Document)

**The Project Development Objective for APL III is 'improved effectiveness and efficiency of the Productive Safety Net Program (PSNP) and related Household Asset Building Program for chronically food insecure households in rural Ethiopia'.**

Has the Project Development Objective been changed since Board Approval of the Project?

- Yes     No

**Component(s)**

Component Name	Component Cost
Safety Net Grants	398.53
Drought Risk Financing	50.00
Institutional Support	14.00
Support to Household Asset Building	17.50

**Overall Ratings**

	Previous Rating	Current Rating
Progress towards achievement of PDO	Satisfactory	Moderately Satisfactory
Overall Implementation Progress (IP)	Satisfactory	Moderately Satisfactory
Overall Risk Rating	Substantial	Substantial

**Implementation Status Overview**

Since January 2011, PSNP has targeted 7,535,496 clients in 308 rural woredas. Progress continues to be made in a number of areas to strengthen program implementation. Major steps have been towards achieving the Covenants Applicable to Project Implementation (to be completed by the June 2012, Mid-Term Review). The Review Mission also noted the continued constructive engagement of MoFED's COPCU through the Financial Management Task Force (FM TF) and welcomed the commitment of MoFED to review the Programme FM system. Transfers from Federal to Regional and from Regional to Woreda level were timely in EFY03 and further improvements have been made in the timeliness

and quality of the financial reporting. Also good progress has been made with the procurement activities at federal level.

The provision of transfers to PSNP clients, however, was later this year than in previous years. This was mainly due to other priorities at District level, like the preparations for the implementation of the Growth and Transformation Plan. The Government reconfirmed its commitment to delivering timely transfers, noting that the pace of transfers had been significantly accelerated.

The May 2011 Mission observed that implementation is variable across program components and among Regions. To address this, it was agreed to reinvigorate the performance management system, which aims to improve the timeliness of information flows from woredas through to Regional- and Federal-level to inform management decisions, including the allocation of capacity building resources. The launch of the CIDA-financed Safety Net Support Facility (SNSF) is expected to complement this system and ongoing efforts to strengthen program implementation capacity, with significant benefits expected for regional and woreda-level capacity. The Government continues to take steps to strengthen the financial management of the Program. This includes measures to address short-term concerns while also devoting resources to building long-term capacity through systematic training and hiring of staff.

While the Government continues to target very high rates of graduation from the PSNP, as detailed in the Growth and Transformation Plan, it has reaffirmed its commitment to an evidence-based process to identify those households that are food sufficient and thus ready to graduate. To support the implementation of this agreement, the November 2010 JRIS Mission outlined a process to simplify the current Graduation Guidelines. The Government has reaffirmed its commitment to implementing these agreements with the aim of producing a revised graduation guideline for Regions by November 2011. Concurrently, Development Partners and Government are taking steps to further strengthening program safeguards, drawing on the experience of other development programs in Ethiopia.

The roll out of the new Household Asset Build Program (HABP) to woredas has been slow, with some aspects proceeding faster than others. For example, the identification of investment opportunities for households is ongoing but is not yet based on value-chain analysis and facilitation of market-based input supply is limited. In response, the Government will further strengthen its efforts to improve understanding of the HABP among technical audiences, service providers and implementing agents.

**Results**

**Project Development Objective Indicators**

Indicator Name	Core	Unit of Measure		Baseline	Current	End Target
Participants reporting they are able to plan ahead on the basis of PSNP transfers	<input type="checkbox"/>	Percentage	Value	27.00	35.00	70.00
			Date	31-Dec-2008	02-Jun-2011	30-Jun-2015
			Comments	Baseline is reported in the 2008 Panel Survey of 8 woredas.	Source: 2010 PSNP Impact Evaluation	
Households reporting direct benefit from community assets	<input type="checkbox"/>	Percentage	Value			
			Date	31-Dec-2008	00000000	30-Jun-2015
			Comments			
PW	<input type="checkbox"/>	Percentage	Value	86.00	97.00	95.00
			Date	31-Dec-2008	02-Jun-2011	30-Jun-2015
		Sub Type Breakdown	Comments		Source: 2010 PSNP Impact Evaluation	
DS	<input type="checkbox"/>	Percentage	Value	67.00	96.00	95.00

Indicator Name	Core	Unit of Measure		Baseline	Current	End Target
		Sub Type	Date	31-Dec-2008	02-Jun-2011	30-Jun-2015
		Breakdown	Comments		Source: 2010 PSNP Impact Evaluation	
PSNP households report that they have developed an on- or off-farm income generating opportunity attributable to HABP	<input type="checkbox"/>	Percentage	Value	36.00	36.00	90.00
			Date	31-Dec-2008	02-Jun-2011	30-Jun-2015
			Comments	The baseline data is the percent of households participating in the PSNP in 2008 that reported having received support from the Government's OFSP in 2006 or 2008. The data source is IFPRI/CSA 2008 Descriptive Report.	Given the slow roll-out of the HABP this indicator was not captured as anticipated in the 2010 PSNP impact evaluation. The questionnaire will be revised to better collect this data in 2012.	

**Intermediate Results Indicators**

Indicator Name	Core	Unit of Measure		Baseline	Current	End Target
Transfers made on time	<input type="checkbox"/>	Percentage	Value	6.00	12.50	80.00
			Date	31-Dec-2008	02-Jun-2011	30-Jun-2015
			Comments	Based on an analysis of timeliness of payments in the 80 woredas that report to the Federal Information Center.	This is an average for the January and February payments. However, as defined in the PAD, this indicator is only measured at the end of each 6 month payment cycle.	
Transfers received that have an average value of at least 15 kg of grain per month	<input type="checkbox"/>	Percentage	Value	0.00	0.00	90.00
			Date	31-Dec-2008	31-Dec-2008	30-Jun-2015
			Comments			
Households participating in the PSNP for 3 consecutive years or more	<input type="checkbox"/>	Percentage	Value	47.00	65.00	85.00
			Date	31-Dec-2008	02-Jun-2011	30-Jun-2015
			Comments	As reported in IFPRI/CSA 2008 Descriptive report	Source: 2010 PSNP Impact Evaluation	
Public works reaching satisfactory standards and sustainability ratings	<input type="checkbox"/>	Percentage	Value	85.00	90.00	90.00
			Date	31-Dec-2008	02-Jun-2011	30-Jun-2015

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Indicator Name	Core	Unit of Measure		Baseline	Current	End Target
			Comments	From the FSCD report to the October 2008 MTR mission, reporting the findings of the 2nd 2008 Public Works Review	Source: 2nd 2010 PW review	
Public works that have an established management mechanism at completion	<input type="checkbox"/>	Percentage	Value	94.00	68.00	95.00
			Date	31-Dec-2008	02-Jun-2011	30-Jun-2015
			Comments	From the 2nd 2008 Public Works Review, as reported in the FSCD report to the October 2008 MTR mission.	Source: 2nd 2010 PW review	
Number of people in rural areas provided with access to Improved Water Sources under the project	<input checked="" type="checkbox"/>	Number	Value	0.00	12185.00	
			Date	31-Dec-2008	02-Jun-2011	30-Jun-2015
			Comments	Baseline in the PAD: 13,128 based on old definition of core indicator	Calculation based on data in 2010 PSNP Impact Evaluation	
Direct project beneficiaries	<input checked="" type="checkbox"/>	Number	Value	0.00	7535496.00	
			Date	31-Dec-2008	02-Jun-2011	30-Jun-2015
			Comments		Source: Government report to May 2011 JRIS Mission	
Female beneficiaries	<input checked="" type="checkbox"/>	Percentage	Value		51.00	
		Sub Type	Date			
		Supplemental	Comments			
Health facilities constructed, renovated, and/or equipped	<input checked="" type="checkbox"/>	Number	Value	0.00	19.00	
			Date	31-Dec-2008	02-Jun-2011	30-Jun-2015
			Comments		Source: Government report to May 2011 JRIS Mission. Refers to the number of rooms constructed or rehabilitated	
Decline in Shortfall of Classrooms at the Primary Level (%)	<input checked="" type="checkbox"/>	Number	Value	0.00	195.00	
			Date	31-Dec-2008	02-Jun-2011	30-Jun-2015

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			Comments		Source: Government report to May 2011 JRIS Mission. Refers to the number of school rooms constructed, expanded, rehabilitated or maintained	
Roads constructed, Rural	<input checked="" type="checkbox"/>	Kilometers	Value	0.00	1860.00	
			Date	31-Dec-2008	02-Jun-2011	30-Jun-2015
			Comments		Source: Government report to May 2011 JRIS Mission. Refers to community, road earth/gravel constructed	
Roads rehabilitated, Rural	<input checked="" type="checkbox"/>	Kilometers	Value	0.00	3076.00	
			Date	31-Dec-2008	02-Jun-2011	30-Jun-2015
			Comments		Source: Government report to May 2011 JRIS Mission. Refers to community, road earth/gravel maintained	
Improved community water points constructed or rehabilitated under the project	<input checked="" type="checkbox"/>	Number	Value	0.00	8224.00	
			Date	31-Dec-2008	02-Jun-2011	30-Jun-2015
			Comments		Source: Government report to May 2011 JRIS Mission. This refers to hand dug wells construction and rehabilitation in meters cubed	
Person days provided in labor-intensive public work	<input type="checkbox"/>	Number	Value	0.00	155796375.00	
			Date	31-Dec-2008	02-Jun-2011	30-Jun-2015
			Comments		Source: Calculated from Government report to May 2011 JRIS Mission	
Transfers to participants within 75 days after RF triggered	<input type="checkbox"/>	Percentage	Value			85.00
			Date		02-Jun-2011	30-Jun-2015
			Comments	Indicators will only be reported on if risk financing is triggered, which is not known	The Risk Financing has not been triggered to date.	
Woredas with contingency plans in place	<input type="checkbox"/>	Number	Value		243.00	
			Date	31-Dec-2008	02-Jun-2011	30-Jun-2015

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			Comments		Source: GoE report to May 2011 JRIS Mission	
Beneficiaries and non-beneficiaries reporting that the targeting processes are fair	<input type="checkbox"/>	Percentage	Value	85.00	65.00	90.00
			Date	31-Dec-2008	02-Jun-2011	30-Jun-2015
			Comments	Baseline data from 2008 Financial Transparency and Accountability report on the PSNP.	Source: 2010 PSNP Impact Evaluation. Note that this is a different source than that used for the baseline.	
Beneficiaries who recieved all information needed to understand how the program works	<input type="checkbox"/>	Percentage	Value	68.00	73.00	90.00
			Date	31-Dec-2008	02-Jun-2011	30-Jun-2015
			Comments	From IFPRI/CSA 2008 Descriptive Report and is a simple average.	Source: 2010 PSNP Impact Evaluation	
Woredas that have posted budgets in public places	<input type="checkbox"/>	Percentage	Value		83.00	
			Date	31-Dec-2008	02-Jun-2011	30-Jun-2015
			Comments		This is based on the woredas contacted by the Information Centre in the Amhara, Oromiya, Tigray and SNNP Regions	
Kebeles with functioning appeals committees in place	<input type="checkbox"/>	Percentage	Value		80.00	
			Date	31-Dec-2008	02-Jun-2011	30-Jun-2015
			Comments		This figure is based on the 2011 PSNP Impact Evaluation and thus is derived from a sample that is smaller than that used in the Annual Appeals Audit	
HABP beneficiaries report that they are satisfied that their business plans reflect their priorities, needs and capacities	<input type="checkbox"/>	Percentage	Value	0.00	0.00	80.00
			Date	31-Dec-2008	31-Dec-2008	30-Jun-2015
			Comments		The HABP is still being rolled out and, as such, this indicator is not yet measurable.	
Average repayment rates for HABP credit	<input type="checkbox"/>	Percentage	Value	72.00	72.00	95.00
			Date	31-Dec-2008	31-Dec-2008	30-Jun-2015

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			Comments	From Review of the Food Security Program	The HABP is still being rolled out and, as such, this indicator is not yet measurable.	
Credit to food insecure households delivered through MFIs, RuSACCOs and VSLAs	<input type="checkbox"/>	Percentage	Value	52.00	40.00	95.00
			Date	31-Dec-2008	02-Jun-2011	30-Jun-2015
			Comments		Source: 2010 FSP Descriptive Report	
Percent of woredas using PASS	<input type="checkbox"/>	Percentage	Value	93.00	97.30	
			Date	01-Feb-2010	02-Jun-2011	30-Jun-2015
			Comments		As reported to the JRIS Mission. This refers to woredas in the 4 main regions only.	
Area with improved land and water management technologies	<input type="checkbox"/>	Hectare(Ha)	Value	167150.00	49440.00	
			Date	18-Jan-2010	02-Jun-2011	30-Jun-2015
			Comments		Source: Government report to the May 2011 JRIS Mission	

**Data on Financial Performance (as of 07-Dec-2010)**

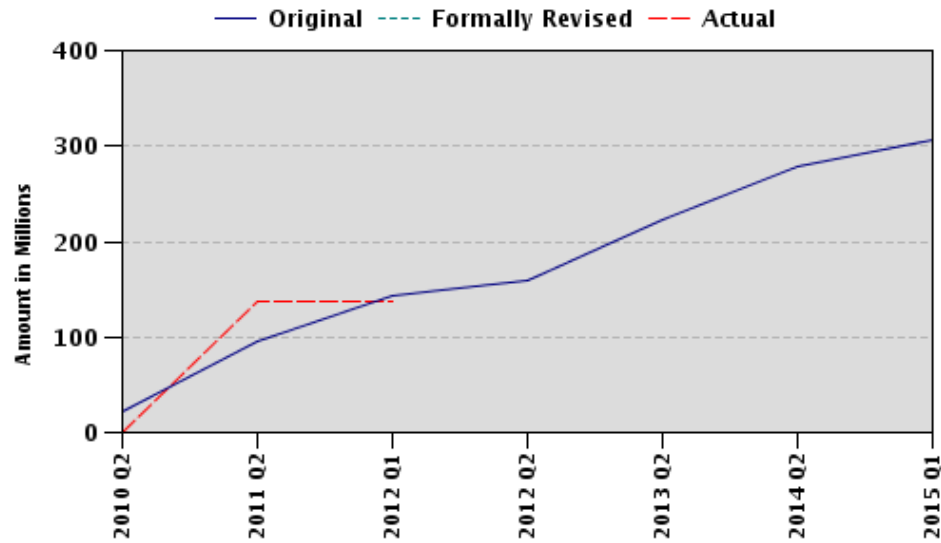
**Financial Agreement(s) Key Dates**

Project	Loan No.	Status	Approval Date	Signing Date	Effectiveness Date	Closing Date
P113220	IDA-46660	Effective	22-Oct-2009	30-Oct-2009	18-Jan-2010	30-Jun-2015
P113220	IDA-H5290	Effective	22-Oct-2009	30-Oct-2009	18-Jan-2010	30-Jun-2015

**Disbursements (in Millions)**

Project	Loan No.	Status	Currency	Original	Revised	Cancelled	Disbursed	Undisbursed	% Disbursed
P113220	IDA-46660	Effective	XDR	83.10	83.10	0.00	8.70	74.40	10.00
P113220	IDA-H5290	Effective	XDR	223.50	223.50	0.00	129.58	93.92	58.00

**Disbursement Graph**



**Key Decisions Regarding Implementation**

The Ministry of Finance and Economic Development to improve the understanding of basic PFM principles and procedures through an institutionalised and structured training program on all FM issues for the appropriate audience.

Ministry of Agriculture to issue revised graduation guidelines for Regions by November 2011.

Ministry of Agriculture to revise HAPB Program Implementation Manual to ensure sufficient guidance is provided to front-line implementers.

**Restructuring History**

There has been no restructuring to date.

**Related Projects**

P121662-ET Co-financing to Productive Safety Net APL III (EUROPEAN UNION FOOD CRISIS RAPID RESPONSE FACILITY), P126428-Productive Safety Nets Program (APL III) Additional Financing, P126430-Productive Safety Nets Program (APL III) Additional Financing