



Indonesia National Slum Upgrading Project (P154782)

EAST ASIA AND PACIFIC | Indonesia | Social, Urban, Rural and Resilience Global Practice Global Practice | IBRD/IDA | Investment Project Financing | FY 2017 | Seq No: 4 | ARCHIVED on 04-Apr-2018 | ISR31855 |

Implementing Agencies: Ministry of Public Works and Housing, Ministry of Finance

Key Dates

Key Project Dates

Bank Approval Date:12-Jul-2016

Effectiveness Date:11-Oct-2016

Planned Mid Term Review Date:04-Mar-2019

Actual Mid-Term Review Date:--

Original Closing Date:31-Mar-2022

Revised Closing Date:31-Mar-2022

Project Development Objectives

Project Development Objective (from Project Appraisal Document)

To improve access to urban infrastructure and services in targeted slums in Indonesia.

Has the Project Development Objective been changed since Board Approval of the Project Objective?

No

Components

Name

Institutional and Policy Development:(Cost \$7.00 M)

Integrated Planning Support and Capacity Building for Local Government and Communities:(Cost \$84.00 M)

Urban Infrastructure and Services Investment Support:(Cost \$1578.00 M)

Implementation Support and Technical Assistance:(Cost \$74.00 M)

Contingency for Disaster Response

Overall Ratings

| Name | Previous Rating | Current Rating |
|--------------------------------------|-----------------|---------------------------|
| Progress towards achievement of PDO | ● Satisfactory | ● Satisfactory |
| Overall Implementation Progress (IP) | ● Satisfactory | ● Moderately Satisfactory |
| Overall Risk Rating | ● Moderate | ● Moderate |



Implementation Status and Key Decisions

Overall progress towards achieving the PDO is still on track, despite slight implementation delays. Six out of eight targets set for 31 December 2017 have been met, such as: LG task forces established, SIAPs and CSPs completed for 2018 implementation, complaints resolved, and independent audit of Board of Trustee completed. The program has conducted training for local governments, city level teams and kelurahan (urban ward) facilitator teams, and has prepared detailed Slum Improvement Action Plans (SIAPs) in 44 priority cities and Community Settlement Plans (CSPs) in 3640 kelurahans. By the end of December 2017, the project had financed the implementation of tertiary infrastructure in 706 kelurahans, resulting in 918,000 beneficiaries to-date. The quality of the completed works visited is generally good, however the quality of planning and selection of primary/secondary infrastructure varies significantly from one location to another. Now that the National Management Consultants have come aboard, the completion and issuance of project technical manuals early in 2018 should be completed immediately to address these issues. Overall, it is still relatively early in implementation.

Risks

Systematic Operations Risk-rating Tool

| Risk Category | Rating at Approval | Previous Rating | Current Rating |
|--|--------------------|-----------------|----------------|
| Political and Governance | ● Moderate | ● Moderate | ● Moderate |
| Macroeconomic | ● Moderate | ● Moderate | ● Moderate |
| Sector Strategies and Policies | ● Low | ● Low | ● Low |
| Technical Design of Project or Program | ● Moderate | ● Moderate | ● Moderate |
| Institutional Capacity for Implementation and Sustainability | ● Substantial | ● Substantial | ● Substantial |
| Fiduciary | ● Moderate | ● Moderate | ● Moderate |
| Environment and Social | ● Moderate | ● Moderate | ● Moderate |
| Stakeholders | ● Moderate | ● Moderate | ● Moderate |
| Other | -- | -- | -- |
| Overall | ● Moderate | ● Moderate | ● Moderate |

Results

Project Development Objective Indicators

| ► People provided with improved infrastructure under the project (Number, Custom) | | | | |
|---|-------------|-------------------|------------------|--------------|
| | Baseline | Actual (Previous) | Actual (Current) | End Target |
| Value | 0.00 | 0.00 | 0.00 | 9,500,000.00 |
| Date | 01-Apr-2016 | 30-Sep-2017 | 07-Mar-2018 | 31-Dec-2021 |



Comments

The first target is set for December 2018. Intervention and construction for 2017 has been completed, a calculation of the interim outcome will be available by the end of April 2018.

▲ People provided with "improved water sources" under the project (of which women) (Number, Custom Breakdown)

| | Baseline | Actual (Previous) | Actual (Current) | End Target |
|-------|-------------|-------------------|------------------|-------------|
| Value | 0.00 | 0.00 | 0.00 | 800,000.00 |
| Date | 01-Apr-2016 | 30-Sep-2017 | 07-Mar-2018 | 31-Dec-2021 |

▲ People provided with "improved sanitation" under the project (of which women) (Number, Custom Breakdown)

| | Baseline | Actual (Previous) | Actual (Current) | End Target |
|-------|-------------|-------------------|------------------|--------------|
| Value | 0.00 | 0.00 | 0.00 | 1,200,000.00 |
| Date | 01-Apr-2016 | 30-Sep-2017 | 07-Mar-2018 | 31-Dec-2021 |

▲ People provided with access to all-season roads within 500 meter range under the project (of which women) (Number, Custom Breakdown)

| | Baseline | Actual (Previous) | Actual (Current) | End Target |
|-------|-------------|-------------------|------------------|--------------|
| Value | 0.00 | 0.00 | 0.00 | 3,700,000.00 |
| Date | 01-Apr-2016 | 30-Sep-2017 | 07-Mar-2018 | 31-Dec-2021 |

▲ People provided with regular solid waste collection under the project (of which women) (Number, Custom Breakdown)



| | Baseline | Actual (Previous) | Actual (Current) | End Target |
|-------|-------------|-------------------|------------------|--------------|
| Value | 0.00 | 0.00 | 0.00 | 1,500,000.00 |
| Date | 01-Apr-2016 | 30-Sep-2017 | 07-Mar-2018 | 31-Dec-2021 |

▲ People provided with "improved drainage" under the project (of which women) (Number, Custom Breakdown)

| | Baseline | Actual (Previous) | Actual (Current) | End Target |
|-------|-------------|-------------------|------------------|--------------|
| Value | 0.00 | 0.00 | 0.00 | 3,600,000.00 |
| Date | 01-Apr-2016 | 30-Sep-2017 | 07-Mar-2018 | 31-Dec-2021 |

► Slum Area Alleviated (Hectare(Ha), Custom)

| | Baseline | Actual (Previous) | Actual (Current) | End Target |
|-------|-------------|-------------------|------------------|-------------|
| Value | 0.00 | 0.00 | 0.00 | 7,800.00 |
| Date | 01-Apr-2016 | 30-Sep-2017 | 07-Mar-2018 | 31-Dec-2021 |

Comments

The first target is set for December 2018. A calculation of the slum area reduced will be available by end of April 2018 following the completion of data updating of slum profile and investments of various funding.

► Percentage of slum dwellers who are satisfied with the quality of urban infrastructure and services (of which women, of which bottom 40%, of which poor) (Percentage, Custom)

| | Baseline | Actual (Previous) | Actual (Current) | End Target |
|-------|-------------|-------------------|------------------|-------------|
| Value | 0.00 | 0.00 | 0.00 | 80.00 |
| Date | 01-Apr-2016 | 30-Sep-2017 | 07-Mar-2018 | 31-Dec-2021 |

Comments

The result will be produced through household survey scheduled to be carried out in the fourth quarter of 2018.



► Percentage of complaints resolved (Percentage, Custom)

| | Baseline | Actual (Previous) | Actual (Current) | End Target |
|-------|-------------|-------------------|------------------|-------------|
| Value | 0.00 | 99.56 | 99.90 | 90.00 |
| Date | 01-Apr-2016 | 30-Sep-2017 | 07-Mar-2018 | 31-Dec-2021 |

Comments

NSUP has received 924 complaints, including request of information or clarification, channeled through various means. Out of 924 cases, 923 cases have been resolved. (Source of data: National Management Consultant (NMC) of NSUP through offline record).

► Establishment of functional task forces for slum alleviation at local level (%Local Governments) (Percentage, Custom)

| | Baseline | Actual (Previous) | Actual (Current) | End Target |
|-------|-------------|-------------------|------------------|-------------|
| Value | 0.00 | 76.00 | 79.00 | 90.00 |
| Date | 01-Apr-2016 | 30-Sep-2017 | 07-Mar-2018 | 31-Dec-2021 |

Comments

Of the 154 participating cities, 121 cities (79%) have established the local task forces, however only 28.8% of local task forces are functioning effectively. In terms of functionality, it often happens that in the first quarter of a new fiscal year local governments are not yet fully active in implementation of the program. (Source: Secretariat of National Task Force and NMC of NSUP)

► Direct project beneficiaries (Number, Custom)

| | Baseline | Actual (Previous) | Actual (Current) | End Target |
|-------|----------|-------------------|------------------|--------------|
| Value | 0.00 | 0.00 | 917,690.00 | 9,700,000.00 |



| | | | | |
|------|-------------|-------------|-------------|-------------|
| Date | 01-Apr-2016 | 30-Sep-2017 | 07-Mar-2018 | 31-Dec-2021 |
|------|-------------|-------------|-------------|-------------|

Comments

The target by December 2018 is set at 3,000,000 people. Up to now, number of beneficiaries from investment of tertiary infrastructure and services across 701 kelurahans is 917,690 persons. The total recorded number of beneficiaries of improved infrastructure in slum areas under the umbrella program is 1,739,418, (national and local governments, community self-funded, etc). It is expected that in 2018, there will be investments due to loans in about 1,600 kelurahans. (Source: NMC of NSUP, data recorded offline).

Female beneficiaries (Percentage, Custom Supplement)

| | Baseline | Actual (Previous) | Actual (Current) | End Target |
|-------|----------|-------------------|------------------|--------------|
| Value | 0.00 | 0.00 | 51.30 | 4,850,000.00 |

Overall Comments**Intermediate Results Indicators**

Indicator 1.1. Establishment of functional task force for slum alleviation at national level (Yes/No, Custom)

| | Baseline | Actual (Previous) | Actual (Current) | End Target |
|-------|-------------|-------------------|------------------|-------------|
| Value | N | Y | Y | Y |
| Date | 01-Apr-2016 | 30-Sep-2017 | 07-Mar-2018 | 31-Dec-2021 |

Comments

The National Task Force was established in 2017, and the implementing team was formally assigned in January 2018. The implementing team will lead the program through Central Collaboration Management Unit (CCMU).



► Indicator 1.2. Establishment of slum inventory database/profiling (Text, Custom)

| | Baseline | Actual (Previous) | Actual (Current) | End Target |
|-------|-------------|-------------------|------------------|----------------|
| Value | No | yes | updated | Done & updated |
| Date | 01-Apr-2016 | 30-Sep-2017 | 07-Mar-2018 | 31-Dec-2021 |

Comments

Annual updating of the slum database is nearly completed, and currently under validation review before it is used to calculate outcomes indicators. The updated profile is expected to be available on the project website by April 2018. (Source: NMC of NSUP, data updated and submitted through online application).

► Percentage of local governments that have completed Slum Improvement Action Plans (SIAPs) which have been approved by Bupati/Walikota (Percentage, Custom)

| | Baseline | Actual (Previous) | Actual (Current) | End Target |
|-------|-------------|-------------------|------------------|-------------|
| Value | 0.00 | 56.21 | 56.21 | 90.00 |
| Date | 01-Apr-2016 | 30-Sep-2017 | 07-Mar-2018 | 31-Dec-2021 |

Comments

The target for December 2018 is 60%. The status is still the same as the previous ISR sequence because [JSN1] the Bank has been focusing assistance to 45 select cities to improve the quality of the SIAPs, including area development plans and a 5-year investment list as requested before moving ahead to remaining cities. (source: NMC monitoring data)

► Indicator 2.2. Percentage of kelurahans which have Community Settlement Plans (CSPs) that have been consolidated with SIAPs (Percentage, Custom)

| | Baseline | Actual (Previous) | Actual (Current) | End Target |
|-------|-------------|-------------------|------------------|-------------|
| Value | 0.00 | 58.59 | 23.00 | 90.00 |
| Date | 01-Apr-2016 | 30-Sep-2017 | 05-Mar-2018 | 31-Dec-2021 |

Comments

The target by December 2018 is set for 70%. The current status is very low due to difficulties in synchronizing CSPs with existing regulations and cities plans (some of which are not always available), incorporating relevant safeguard mitigation measures as well as the low number of urban planners (source: NMC monitoring data)



► Indicator 3.1. Number of cities which have completed 80% of primary and secondary infrastructure works and services connected to slum areas (Number, Custom)

| | Baseline | Actual (Previous) | Actual (Current) | End Target |
|-------|-------------|-------------------|------------------|-------------|
| Value | 0.00 | 0.00 | 0.00 | 40.00 |
| Date | 01-Apr-2016 | 30-Sep-2017 | 07-Mar-2018 | 31-Dec-2021 |

Comments

Expected target by December 2018 was set for 20 cities.

No construction is expected until March 2018. A review of Detailed Engineering Design for primary and secondary infrastructure is still underway in 2 cities. It is expected that the number will increase by end of June 2018.

► Indicator 3.2. Number of kelurahans that have completed 90% of tertiary infrastructure and services implemented in slum areas (Number, Custom)

| | Baseline | Actual (Previous) | Actual (Current) | End Target |
|-------|-------------|-------------------|------------------|-------------|
| Value | 0.00 | 0.00 | 701.00 | 2,500.00 |
| Date | 01-Apr-2016 | 30-Sep-2017 | 07-Mar-2018 | 31-Dec-2021 |

Comments

The target for December 2017 is set for 1400 kelurahans, and for December 2018, 1,600 kelurahans. PMU has disbursed the investment in 706 kelurahans, across 143 cities. Until now, 701 have completed the construction.



► Indicator 3.3. Percentage of good quality of infrastructure and services (Percentage, Custom)

| | Baseline | Actual (Previous) | Actual (Current) | End Target |
|-------|-------------|-------------------|------------------|-------------|
| Value | 0.00 | 0.00 | 97.70 | 90.00 |
| Date | 01-Apr-2016 | 30-Sep-2017 | 07-Mar-2018 | 31-Dec-2021 |

Comments

From 6,217 subprojects completed, 97.7% are reported to have good quality of infrastructure, based on indicators that are set by the NMC. (Source: NMC monitoring data)

► Indicator 3.4. Percentage of infrastructure built that is fully functioning (Percentage, Custom)

| | Baseline | Actual (Previous) | Actual (Current) | End Target |
|-------|-------------|-------------------|------------------|-------------|
| Value | 0.00 | 0.00 | 0.00 | 70.00 |
| Date | 01-Apr-2016 | 30-Sep-2017 | 07-Mar-2018 | 31-Dec-2021 |

Comments

NMC will develop the indicators of functionality for monitoring. No target is set for 2018.

► Indicator 4.1. Percentage of local governments that have project monitoring structure and provide regular information on project implementation (Percentage, Custom)

| | Baseline | Actual (Previous) | Actual (Current) | End Target |
|-------|-------------|-------------------|------------------|-------------|
| Value | 0.00 | 0.00 | 0.00 | 70.00 |
| Date | 01-Apr-2016 | 30-Sep-2017 | 07-Mar-2018 | 31-Dec-2021 |

Comments

MIS development is still underway and experiencing delays and expected to be running by end of March 2018. The MIS will be used by the Local Governments to monitor implementation progress and update information.



► Indicator 4.2. Percentage of kelurahans with completed annual financial audits (Percentage, Custom)

| | Baseline | Actual (Previous) | Actual (Current) | End Target |
|-------|-------------|-------------------|------------------|-------------|
| Value | 0.00 | 84.19 | 98.70 | 90.00 |
| Date | 01-Apr-2016 | 30-Sep-2017 | 14-Feb-2018 | 31-Dec-2021 |


Comments

Not all kelurahans received grants for 2016-2017. Thus, financial audits were only done by those who received the grants. From 6,143 kelurahans that received the grants, 6,066 (98.7%) kelurahans have completed annual financial audits.

Overall Comments

Data on Financial Performance

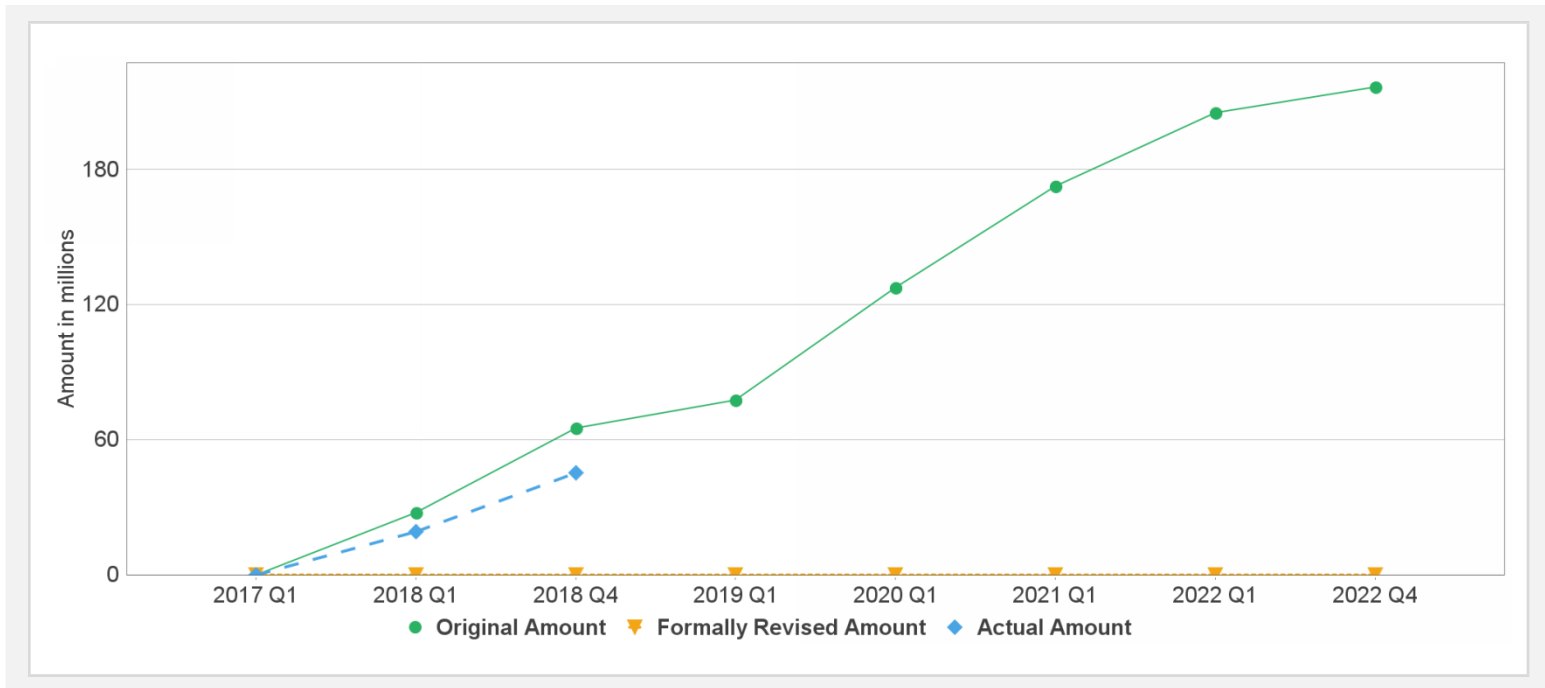
Disbursements (by loan)

| Project | Loan/Credit/TF | Status | Currency | Original | Revised | Cancelled | Disbursed | Undisbursed | Disbursed |
|---------|----------------|-----------|----------|----------|---------|-----------|-----------|-------------|---|
| P154782 | IBRD-86360 | Effective | USD | 216.50 | 216.50 | 0.00 | 45.20 | 171.30 |  21% |

Key Dates (by loan)

| Project | Loan/Credit/TF | Status | Approval Date | Signing Date | Effectiveness Date | Orig. Closing Date | Rev. Closing Date |
|---------|----------------|-----------|---------------|--------------|--------------------|--------------------|-------------------|
| P154782 | IBRD-86360 | Effective | 12-Jul-2016 | 22-Aug-2016 | 11-Oct-2016 | 31-Mar-2022 | 31-Mar-2022 |

Cumulative Disbursements



Restructuring History

There has been no restructuring to date.

Related Project(s)

There are no related projects.