



Indonesia National Slum Upgrading Project (P154782)

EAST ASIA AND PACIFIC | Indonesia | Social, Urban, Rural and Resilience Global Practice Global Practice | IBRD/IDA | Investment Project Financing | FY 2017 | Seq No: 2 | ARCHIVED on 19-May-2017 | ISR27697 |

Implementing Agencies:

Key Dates

Key Project Dates

Bank Approval Date:12-Jul-2016

Effectiveness Date:11-Oct-2016

Planned Mid Term Review Date:04-Mar-2019

Actual Mid-Term Review Date:--

Original Closing Date:31-Mar-2022

Revised Closing Date:31-Mar-2022

Project Development Objectives

Project Development Objective (from Project Appraisal Document)

To improve access to urban infrastructure and services in targeted slums in Indonesia.

Has the Project Development Objective been changed since Board Approval of the Project Objective?

No

Components

Name

Institutional and Policy Development:(Cost \$7.00 M)

Integrated Planning Support and Capacity Building for Local Government and Communities:(Cost \$84.00 M)

Urban Infrastructure and Services Investment Support:(Cost \$1578.00 M)

Implementation Support and Technical Assistance:(Cost \$74.00 M)

Contingency for Disaster Response

Overall Ratings

Name	Previous Rating	Current Rating
Progress towards achievement of PDO	● Satisfactory	● Satisfactory
Overall Implementation Progress (IP)	● Satisfactory	● Satisfactory
Overall Risk Rating	● Moderate	● Moderate



Implementation Status and Key Decisions

Overall progress towards achieving the PDO is on track, despite a few delays in implementation. The work behind meeting most of the intermediate result KPIs is progressing well. In the last six months, implementation of the National Slum Upgrading Project (NSUP) has been supported by the PNPM Urban Project (Ln 8213), which has been used to recruit a bridging team to facilitate the smooth start-up of this project. The teams have been mobilized to support the preparation of integrated Settlement Improvement Action Plans (SIAPs) at the city level, community settlement plans (CSPs) at the kelurahan level, and Detailed Engineering Design (DED) for primary/secondary infrastructure in 20 priority cities and 175 slum wards. Settlement Improvement Action Plans (SIAPs) at the city level, to alleviate slums over five years including investment plans, have been prepared in 2015/2016 but will be reviewed in 2017 in 87 out of 154 cities, including 20 priority cities. Community Settlement Plans (CSPs) have been prepared in 150 selected slum kelurahans, and are being integrated into the SIAPs. DEDs for connecting infrastructure and tertiary infrastructure have been prepared in 12 priority cities.

The National Housing and Settlements Task Force (Pokja PKP) who will ensure the effective participation of key stakeholders, is being formed. Further capacity building and training of Pokja PKP, local governments and facilitators should be in place in May/June 2017. The web-based MIS monitoring system, which will be used to monitor the progress of kelurahans in achieving zero slums via mobile phones is in the final design stages.

Risks

Systematic Operations Risk-rating Tool

Risk Category	Rating at Approval	Previous Rating	Current Rating
Political and Governance	● Moderate	● Moderate	● Moderate
Macroeconomic	● Moderate	● Moderate	● Moderate
Sector Strategies and Policies	● Low	● Low	● Low
Technical Design of Project or Program	● Moderate	● Moderate	● Moderate
Institutional Capacity for Implementation and Sustainability	● Substantial	● Substantial	● Substantial
Fiduciary	● Moderate	● Moderate	● Moderate
Environment and Social	● Moderate	● Moderate	● Moderate
Stakeholders	● Moderate	● Moderate	● Moderate
Other	--	--	--
Overall	● Moderate	● Moderate	● Moderate

Results

Project Development Objective Indicators

► People provided with improved infrastructure under the project (Number, Custom)

Baseline

Actual (Previous)

Actual (Current)

End Target



Value	0.00	0.00	0.00	9,500,000.00
Date	01-Apr-2016	11-Oct-2016	28-Feb-2017	31-Dec-2021
Comments No target yet in 2017, initial target will be in Dec 2018.				

▲ People provided with "improved water sources" under the project (of which women) (Number, Custom Breakdown)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	800,000.00
Date	01-Apr-2016	11-Oct-2016	28-Feb-2017	31-Dec-2021

▲ People provided with "improved sanitation" under the project (of which women) (Number, Custom Breakdown)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	1,200,000.00
Date	01-Apr-2016	11-Oct-2016	28-Feb-2017	31-Dec-2021

▲ People provided with access to all-season roads within 500 meter range under the project (of which women) (Number, Custom Breakdown)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	3,700,000.00
Date	01-Apr-2016	11-Oct-2016	28-Feb-2017	31-Dec-2021

▲ People provided with regular solid waste collection under the project (of which women) (Number, Custom Breakdown)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	1,500,000.00



Date	01-Apr-2016	11-Oct-2016	28-Feb-2017	31-Dec-2021
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▲ People provided with "improved drainage" under the project (of which women) (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	3,600,000.00
Date	01-Apr-2016	11-Oct-2016	28-Feb-2017	31-Dec-2021

▶ Slum Area Alleviated (Hectare(Ha), Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	7,800.00
Date	01-Apr-2016	11-Oct-2016	28-Feb-2017	31-Dec-2021

Comments

No target yet in 2017, initial target will be in Dec 2018.

▶ Percentage of slum dwellers who are satisfied with the quality of urban infrastructure and services (of which women, of which bottom 40%, of which poor) (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	80.00
Date	01-Apr-2016	11-Oct-2016	28-Feb-2017	31-Dec-2021

Comments

No target yet in 2017, initial target will be in Dec 2018.



► Percentage of complaints resolved (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	90.00
Date	01-Apr-2016	11-Oct-2016	28-Feb-2017	31-Dec-2021

Comments

No target yet in 2017, initial target will be in Dec 2018.

► Establishment of functional task forces for slum alleviation at local level (%Local Governments) (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	61.00	90.00
Date	01-Apr-2016	11-Oct-2016	28-Feb-2017	31-Dec-2021

Comments

88% Provincial Task Force established. At city level, 34% Task Force has been formally established, and 39% in the process of getting legal signing from the City Mayor. This is beyond the target of 30% local task force established in 31-December-2017.

► Direct project beneficiaries (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	9,700,000.00
Date	01-Apr-2016	11-Oct-2016	28-Feb-2017	31-Dec-2021

Comments

No target yet in 2017, initial target will be in Dec 2018.



Female beneficiaries (Percentage, Custom Supplement)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	4,850,000.00

Overall Comments

The overall PDO level indicators do not have target yet in 2017.

Intermediate Results Indicators

Indicator 1.1. Establishment of functional task force for slum alleviation at national level (Yes/No, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	N	Y	Y	Y
Date	01-Apr-2016	11-Oct-2016	28-Feb-2017	31-Dec-2021

Comments

Establishment of the National Task Force is in progress. The highest level officials in relevant ministries have been assigned in January 2017 to lead the program. Yet, the assignment letter is awaiting approval of the Ministry of the National Development Planning/Head of Bappenas.

Indicator 1.2. Establishment of slum inventory database/profiling (Text, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	No	in progress	in progress	Done & updated
Date	01-Apr-2016	11-Oct-2016	28-Feb-2017	31-Dec-2021

Comments

The baseline survey has been fully completed in early 2016. Slum profile has been prepared in all participating cities and urban wards (kelurahan), yet the formal endorsement of the profile is still being process by the respective Local Governments as this data will be used as targeted slums. The final profile will be later published in the project website. (source: NMC monitoring data).



► Percentage of local governments that have completed Slum Improvement Action Plans (SIAPs) which have been approved by Bupati/Walikota (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	43.00	90.00
Date	01-Apr-2016	11-Oct-2016	28-Feb-2017	31-Dec-2021

Comments

Nearly all 154 participating cities have started the preparation of SIAPs, except the Special District of Jakarta. However, the quality of all SIAPs is still being reviewed and refined (source: NMC monitoring data).

► Indicator 2.2. Percentage of kelurahans which have Community Settlement Plans (CSPs) that have been consolidated with SIAPs (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	97.00	90.00
Date	01-Apr-2016	11-Oct-2016	28-Feb-2017	31-Dec-2021

Comments

About 5,951 kelurahans out of 6,143 participating kelurahans have completed the preparation of CSPs. However, the quality of CSPs is currently being reviewed by OSPs and NMC, before getting final endorsement. (source: NMC monitoring data).



► Indicator 3.1. Number of cities which have completed 80% of primary and secondary infrastructure works and services connected to slum areas (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	40.00
Date	01-Apr-2016	11-Oct-2016	28-Feb-2017	31-Dec-2021

Comments

The target is not yet due for this ISR sequence.

► Indicator 3.2. Number of kelurahans that have completed 90% of tertiary infrastructure and services implemented in slum areas (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	2,500.00
Date	01-Apr-2016	11-Oct-2016	28-Feb-2017	31-Dec-2021

Comments

The target is not yet due for this ISR sequence. Of 6,143 participating kelurahans, about 7% (98 kelurahans) has completed selection of tertiary infrastructure and DED, and ready for physical implementation. The remaining will still have to finalize the CSPs (source: NMC monitoring data).

► Indicator 3.3. Percentage of good quality of infrastructure and services (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	90.00
Date	01-Apr-2016	11-Oct-2016	28-Feb-2017	31-Dec-2021

Comments

The target is not yet due for this ISR sequence.



► Indicator 3.4. Percentage of infrastructure built that is fully functioning (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	70.00
Date	01-Apr-2016	11-Oct-2016	28-Feb-2017	31-Dec-2021

Comments

The target is not yet due for this ISR sequence.

► Indicator 4.1. Percentage of local governments that have project monitoring structure and provide regular information on project implementation (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	70.00
Date	01-Apr-2016	11-Oct-2016	28-Feb-2017	31-Dec-2021

Comments

The target is not yet due for this ISR sequence. MIS development is still underway and expected to be running by end of June 2017. The MIS will be used by the Local Governments to monitor implementation progress and update information.

► Indicator 4.2. Percentage of kelurahans with completed annual financial audits (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	99.20	99.20	90.00
Date	01-Apr-2016	11-Oct-2016	28-Feb-2017	31-Dec-2021

Comments

BKM as community board of trustee that has been established at least since 2007 carry out annual financial audits regularly.

Overall Comments

Most of the target is not yet due for this ISR sequence.



Data on Financial Performance

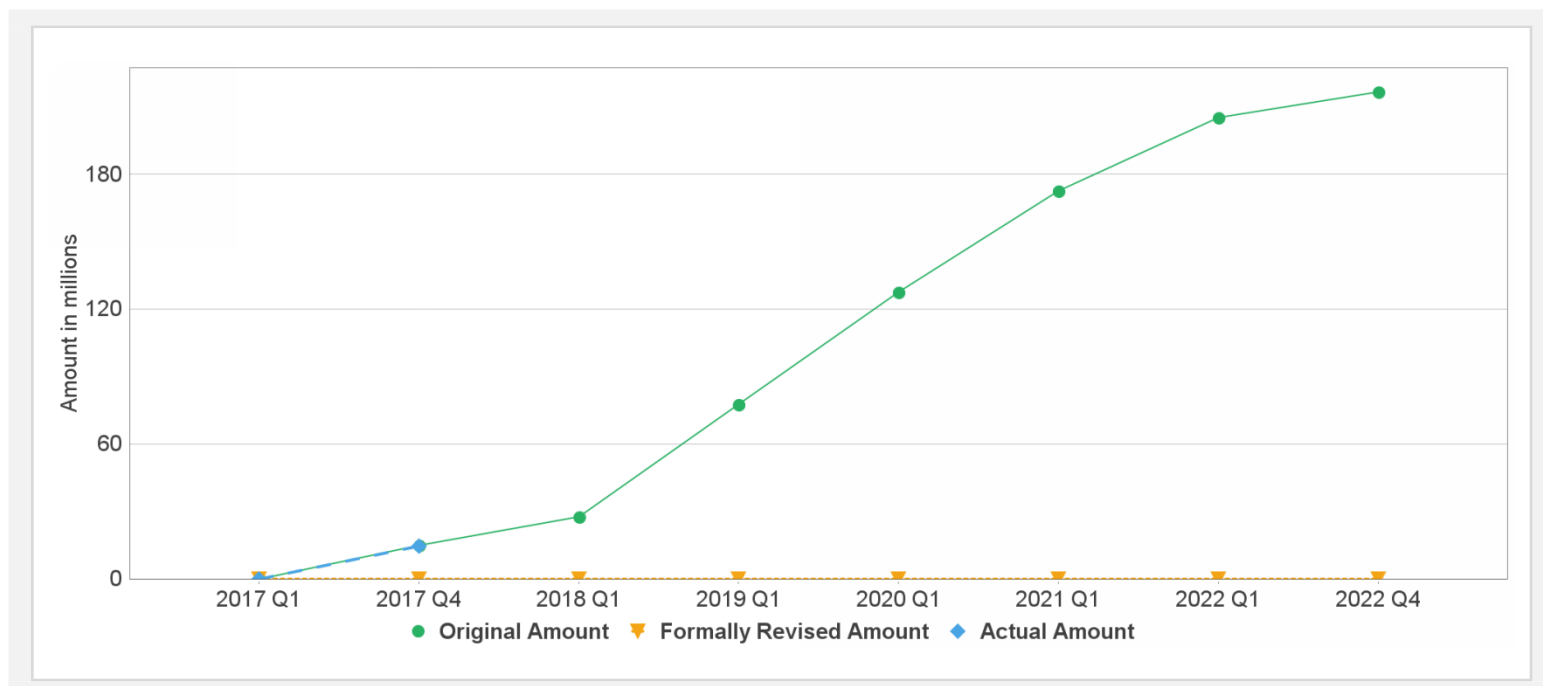
Disbursements (by loan)

Project	Loan/Credit/TF	Status	Currency	Original	Revised	Cancelled	Disbursed	Undisbursed	Disbursed
P154782	IBRD-86360	Effective	USD	216.50	216.50	0.00	14.50	202.00	7%

Key Dates (by loan)

Project	Loan/Credit/TF	Status	Approval Date	Signing Date	Effectiveness Date	Orig. Closing Date	Rev. Closing Date
P154782	IBRD-86360	Effective	12-Jul-2016	22-Aug-2016	11-Oct-2016	31-Mar-2022	31-Mar-2022

Cumulative Disbursements



Restructuring History

There has been no restructuring to date.



Related Project(s)

There are no related projects.
