

Procurement Plan

I. General

1. Project Information

| | | |
|--------------|---|--|
| Country | : | India |
| Borrower | : | Department of Finance, GoR |
| Project Name | : | Strengthening PFM in Rajasthan (P156869) |
| Loan Nos. | : | Project under preparation |

Bank's Approval Date of the Procurement Plan: October 06, 2016 [through STEP]

2. **Date of General Procurement Notice:** March 22, 2016
3. **Period covered by this Procurement plan:** 18 months
4. **Risk Rating for the Implementing Agencies:** Moderate

II. Goods and Non-consulting Services.

1. (a) Procurement Methods

| | Procurement Method | Threshold (US\$ Equivalent) |
|----|--|---|
| 1 | Open International (Goods) | Above 3 million |
| 2 | Open National (Goods) | Above 100,000 and up to 3 million (with NCB conditions) – as per para 3.3 and 3.4 of the Guidelines |
| 3 | Open International (Works) | Above 40 million |
| | Open National (Works) | Above 100,000 |
| 3 | Shopping (Goods/Works) | Up to 100,000 and up to 40 million |
| 4. | Open International (Non-consulting Services) | Above 3 million |
| 5 | Open National (Non-consulting Services) | Up to 3 million |
| 6 | Direct Contract | As per para 3.7 of Guidelines |

(b) Prior Review Threshold: Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement:

| Sl. No. | Category | Prior Review Threshold (US\$ Equivalent) | Comments |
|---------|-------------------------|--|---------------|
| 1 | Works | Above US\$ 15.0 million | All Contracts |
| 1 | Goods | Above US\$ 4.0 million | All Contracts |
| 2 | Non-consulting Services | Above US\$ 4.0 million | All Contracts |

2. **Pre-qualification:** Bidders shall be pre-qualified in accordance with the provisions of paragraphs 2.9 and 2.10 of the Guidelines.

3. Proposed Procedures for CDD Components (as per paragraph. 3.17 of the Guidelines):
Not applicable

4. Reference to (if any) Project Operational/Procurement Manual: Under preparation

5. NCB Provisions:

- a) Only the model bidding documents for NCB agreed with the GOI Task Force (and as amended for time to time), shall be used for bidding;
- b) Invitations to bid shall be advertised in at least one widely circulated national daily newspaper (or on a widely used website or electronic portal with free national and international access along with an abridged version of the said advertisement published in a widely circulated national daily inter-alia giving the website/electronic portal details from which the details of the invitation to bid can be downloaded), at least 30 days prior to the deadline for the submission of bids;
- c) No special preference will be accorded to any bidder either for price or for other terms and conditions when competing with foreign bidders, state-owned enterprises, small-scale enterprises or enterprises from any given State;
- d) Except with the prior concurrence of the Bank, there shall be no negotiation of price with the bidders, even with the lowest evaluated bidder;
- e) Extension of bid validity shall not be allowed with reference to Contracts subject to Bank prior review without the prior concurrence of the Bank (i) for the first request for extension if it is longer than four weeks; and (ii) for all subsequent requests for extension irrespective of the period (such concurrence will be considered by Bank only in cases of Force Majeure and circumstances beyond the control of the Purchaser/Employer);
- f) Re-bidding shall not be carried out with reference to contracts subject to Bank prior review without the prior concurrence of the Bank. The system of rejecting bids outside a pre-determined margin or "bracket" of prices shall not be used in the project;
- g) Rate contracts entered into by Directorate General of Supplies and Disposals (DGS&D) will not be acceptable as a substitute for NCB procedures unless agreed with the Bank on case to case basis. Such contracts will be acceptable however for any procurement under the Shopping procedures;
- h) Two or three envelope system will not be used (except when using e-procurement system assessed and agreed by the Bank).

6. Summary of the Procurement Packages planned during the first 18 months after project effectiveness (including those that are subject to retroactive financing and advanced procurement)

Refer STEP system

III. Selection of Consultants

1. (a) Procurement Methods

| Sl. No. | Procurement Method | Threshold (US\$ Equivalent) |
|----------------|---------------------------|------------------------------------|
|----------------|---------------------------|------------------------------------|

| | | |
|---|---|--|
| 1 | Selection based on Consultants' Qualifications / Least Cost Selection | Up to 300,000 |
| 2 | Single-source Selection of consulting firms | As per para 3.9 – 3.11 of Guidelines |
| 3 | Selection of Individual Consultants/ Sole Source Procedures for the Selection of Individual Consultants | As per Section V of Guidelines |
| 4 | Quality- and Cost-based Selection/ Quality-based Selection/ Selection under a Fixed Budget | For all other cases |
| 5 | i. International Shortlist ii. Shortlist may comprise national consultants only | Above US\$ 800,000 Up to US\$ 800,000 |

(b) Prior Review Threshold: Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

| | Selection Method | Prior Review Threshold | Comment |
|----|-----------------------------|-------------------------------|----------------|
| 1. | Competitive Methods (Firms) | Above USD 2.0 million | All Contracts |
| 2. | Individual | Up to US\$ 400,000 | All Contracts |

2. Short list comprising entirely of national consultants: Short list of consultants for services, estimated to cost up to US\$ 800,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.

3. Any Other Special Selection Arrangements: NA

IV. Other Requirements / Information (applicable for all procurements)

- a) Even for Post Review cases, the inputs of Bank on TORs will be obtained by project.
- b) The above thresholds are for the initial 18-month implementation period. Based on the procurement performance of the project, these thresholds may be subsequently modified.
- c) The prior review thresholds will also be indicated in the procurement plan. The procurement plan will be subsequently updated annually (or at any other time, if required), and will reflect any change in prior review thresholds.
- d) The World Bank will carry out an annual ex-post procurement review falling below the prior review thresholds indicated above. To avoid any doubts, the Bank may conduct at any time Independent Procurement Reviews (IPRs) of all the contracts financed under the grant.

PROCUREMENT PLAN India : Strengthening Public Financial Management In Rajasthan

General Information
Country: India **Bank / Approval Date of the Original Procurement Plan:** 2016-10-06
Project ID: P156869 **Revised Plan Date(s): (comma delineated, leave blank if none)** 2017-05-24
Project Name: Strengthening Public Financial Management in Rajasthan **GN Date:**
Loan / Credit No.:
Executing Agency(ies): Department of Finance, Government of Rajasthan

WORKS

| Activity Reference No. / Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Procurement Process | Prequalification (Y/N) | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Draft Pre-qualification Documents | | Prequalification Evaluation Report | | Draft Bidding Document / Justification | | Specific Procurement Notice / Invitation | | Bidding Documents as Issued | | Proposal Submission / Opening / Minutes | | Bid Evaluation Report and Recommendation for Award | | Signed Contract | | Contract Completion | |
|--------------------------------------|-------------------|-----------|-------------|--------|-----------------|---------------------|------------------------|-------------------------|----------------------|----------------|-----------------------------------|--------|------------------------------------|--------|--|--------|--|--------|-----------------------------|--------|---|--------|--|--------|-----------------|--------|---------------------|--------|
| | | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual |

GOODS

| Activity Reference No. / Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Procurement Process | Prequalification (Y/N) | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Draft Pre-qualification Documents | | Prequalification Evaluation Report | | Draft Bidding Document / Justification | | Specific Procurement Notice / Invitation | | Bidding Documents as Issued | | Proposal Submission / Opening / Minutes | | Bid Evaluation Report and Recommendation for Award | | Signed Contract | | Contract Completion | |
|--|-------------------|-----------|-------------|------------------------|-----------------|-----------------------------|------------------------|-------------------------|----------------------|------------------------|-----------------------------------|--------|------------------------------------|--------|--|--------|--|------------|-----------------------------|--------|---|--------|--|--------|-----------------|------------|---------------------|------------|
| | | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual |
| IN-DOF-5924-GO-RFQ / Procurement of Office Furniture Items (Modular office furniture, computer furniture, and storage furniture etc.) for SPFM-PMU office establishments. | | | Post | Request for Quotations | Open - National | Single Stage - One Envelope | | 24,220.00 | 0.00 | Under Implementation | | | | | | | | 2017-01-28 | | | | | | | | 2017-03-08 | | 2017-04-07 |
| IN-DOF-5925-GO-RFQ / Procurement of computers , peripherals , software licenses (MS Office & Auto-Virus), UPS etc. for SPFM-PMU Office Establishments. | | | Post | Request for Quotations | Open - National | Single Stage - One Envelope | | 18,713.00 | 15,501.03 | Under Implementation | | | | | | | | 2017-01-28 | | | | | | | | 2017-03-07 | | 2017-04-01 |
| IN-DOF-5928-GO-RFQ / Printers (including multi-functional printers) and Photocopier machines (including multi-functional machines) for SPFM-PMU Office Establishments. | | | Post | Request for Quotations | Open - National | Single Stage - One Envelope | | 6,825.00 | 0.00 | Pending Implementation | | | | | | | | 2017-02-01 | | | | | | | | 2017-03-29 | | 2017-09-25 |
| IN-DOF-5927-GO-RFQ / Air Conditioners for SPFM-PMU Office establishments. | | | Post | Request for Quotations | Open - National | Single Stage - One Envelope | | 4,550.00 | 0.00 | Under Implementation | | | | | | | | 2017-02-01 | | | | | | | | 2017-03-29 | | 2017-09-25 |
| IN-DOF-5932-GO-RFB / Procurement of Hardware for Commercial Taxes Department, GOR. | | | Post | Request for Bids | Open - National | Single Stage - One Envelope | | 1,492,537.00 | 0.00 | Pending Implementation | | | | | 2017-02-23 | | | 2017-03-02 | | | 2017-05-15 | | 2017-05-30 | | | 2017-07-08 | | 2018-01-04 |
| IN-DOF-14298-GO-DIR / Procurement of IT Hardware- 'OEM' IT Hardware' for Data Center of the Commercial Taxes Department (CTD), Government of Rajasthan on single source basis. | | | Post | Direct Selection | Direct | | | 140,328.99 | 0.00 | Pending Implementation | | | | | 2017-05-05 | | | 2017-05-12 | | | | | | | | 2017-06-23 | | 2017-08-22 |

NON CONSULTING SERVICES

| Activity Reference No. / Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Procurement Process | Prequalification (Y/N) | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Draft Pre-qualification Documents | | Prequalification Evaluation Report | | Draft Bidding Document / Justification | | Specific Procurement Notice / Invitation | | Bidding Documents as Issued | | Proposal Submission / Opening / Minutes | | Bid Evaluation Report and Recommendation for Award | | Signed Contract | | Contract Completion | |
|--------------------------------------|-------------------|-----------|-------------|--------|-----------------|---------------------|------------------------|-------------------------|----------------------|----------------|-----------------------------------|--------|------------------------------------|--------|--|--------|--|--------|-----------------------------|--------|---|--------|--|--------|-----------------|--------|---------------------|--------|
| | | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual |

CONSULTING FIRMS

| Activity Reference No. / Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Contract Type | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Terms of Reference | | Expression of Interest Notice | | Short List and Draft Request for Proposals | | Request for Proposals as Issued | | Opening of Technical Proposals / Minutes | | Evaluation of Technical Proposal | | Combined Evaluation Report and Draft Negotiated Contract | | Signed Contract | | Contract Completion | |
|---|-------------------|-----------|-------------|----------------------------------|----------------------|---------------|-------------------------|----------------------|------------------------|--------------------|------------|-------------------------------|--------|--|------------|---------------------------------|--------|--|--------|----------------------------------|--------|--|--------|-----------------|------------|---------------------|------------|
| | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual |
| IN-DOF-2276-CS-QCBS / Selection of Consultants for carry out an Institutional Assessment including GST Readiness Assessment and training. | | | Post | Quality And Cost-Based Selection | Open - International | | 540,000.00 | 0.00 | Under Implementation | 2016-10-07 | 2016-10-19 | 2016-10-12 | | 2016-11-10 | 2016-10-25 | | | 2016-12-08 | | 2017-01-07 | | 2017-02-11 | | | 2017-03-18 | | 2018-03-18 |
| IN-DOF-5930-CS-QCBS / Enhancing Effectiveness of Public Audit Institutions in the Government of Rajasthan | | | Post | Quality And Cost-Based Selection | Open - National | | 671,641.00 | 0.00 | Pending Implementation | 2017-02-05 | | 2017-02-08 | | 2017-02-20 | | | | 2017-03-23 | | 2017-04-22 | | 2017-05-21 | | | 2017-06-18 | | 2019-06-18 |
| IN-DOF-5931-CS-QCBS / Strengthening Decentralized Participative Planning in Government of Rajasthan | | | Post | Quality And Cost-Based Selection | Open - National | | 373,134.00 | 0.00 | Pending Implementation | 2017-02-05 | | 2017-02-10 | | 2017-02-24 | | | | 2017-03-26 | | 2017-04-05 | | 2017-04-22 | | | 2017-05-20 | | 2019-05-20 |
| IN-DOF-4591-CS-QCBS / Consultancy for Training Needs Assessment (TNA) and Development of Training Strategy | | | Post | Quality And Cost-Based Selection | Open - National | | 221,000.00 | 0.00 | Under Implementation | 2016-12-15 | 2016-04-17 | 2016-12-16 | | 2017-02-18 | 2017-02-21 | | | 2017-03-20 | | 2017-04-04 | | 2017-04-24 | | | 2017-05-06 | | 2017-11-02 |
| IN-DOF-4592-CS-QCBS / Consultancy for Developing and Establishing a Commitment Control System and Information System in Government of Rajasthan | | | Post | Quality And Cost-Based Selection | Open - National | | 220,000.00 | 0.00 | Pending Implementation | 2016-12-16 | | 2016-12-26 | | 2017-02-28 | | | | 2017-04-17 | | 2017-05-25 | | 2017-06-04 | | | 2017-06-18 | | 2019-06-18 |

INDIVIDUAL CONSULTANTS

| Activity Reference No./ Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Contract Type | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Terms of Reference | | Invitation to Identified/Selected Consultant | | Draft Negotiated Contract | | Signed Contract | | Contract Completion | |
|--|-------------------|-----------|-------------|--------|-----------------|---------------|----------------------------|-------------------------|----------------|--------------------|--------|--|--------|------------------------------|--------|-----------------|--------|---------------------|--------|
| | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual |
| | | | | | | | | | | | | | | | | | | | |