

## Sample Procurement Plan

### I. General

1. **Bank's approval Date of the Procurement Plan:** *Original, October 2015.*
2. **Date of General Procurement Notice:** *June 26, 2017*
3. **Period covered by this procurement plan:** *From September 2017 to September 2018*

### II. Goods and Works and non-consulting services.

1. **Prior Review Threshold:** Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement:

	Procurement Method	Prior Review Threshold US\$	Comments
1.	ICB (Works)	<i>All</i>	<i>All</i>
2.	ICB (Goods and Non-Consulting Services)	<i>All</i>	<i>All</i>
3.	NCB (Works)	<i>From US\$ 15 million</i>	<i>All</i>
4.	NCB (Goods and Non-Consulting Services)	<i>From US\$ 4 million</i>	<i>All</i>
5.	Shopping (Works)	<i>None</i>	<i>None</i>
6.	Shopping (Goods and Non-Consulting Services)	<i>None</i>	<i>None</i>
7.	Direct Contracting (Works)	<i>From US\$ 15 million</i>	<i>All</i>
	Direct Contracting (Goods and Non-Consulting Services)	<i>From US\$ 4 million</i>	<i>All</i>

2. **Prequalification.** *Not applicable.*
3. **Proposed Procedures for CDD Components (as per paragraph. 3.19 of the Guidelines:** *Not applicable.*
4. **Reference to (if any) Project Operational/Procurement Manual:** *The Operations Manual issued by the SEPLAN (Project Implementation Unit) describes the methods and procedures applicable to all procurement of goods, works, non-consulting services and consulting services financed by the Loan Agreement # 8575-BR.*
5. **Any Other Special Procurement Arrangements:** *Eligible expenditures under Part 1 of the Project are not subject to the Procurement and Consultant Guidelines.*

July 9, 2010

6. **Summary of the Procurement Packages planned during the first 18 months after project effectiveness (including those that are subject to retroactive financing and advanced procurement)**

1	2	3	4	5	6	7
Ref. No.	Description	Estimated Cost US\$ million	Packages	Domestic Preference (yes/no)	Review by Bank (Prior/Post)	Comments
1	Summary of the ICBs (Goods)	0.24	1	No	Prior	
2	Summary of the NCBs (Goods)	3.1	16	No	Post	
3	Summary of the NCBs (Non-Consulting Services)	0.96	11	No	Post	
4	Summary of the Shoppings (Goods)	0.23	5	No	Post	
5	Summary of the Shoppings (Non-Consulting Services)	0.37	10	No	Post	

**III. Selection of Consultants**

1. **Prior Review Threshold:** Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

	Selection Method	Prior Review Threshold	Comments
1.	Competitive Methods (Firms)	From US\$ 1 million	All
2.	Single Source Selection (Firms)	From US\$ 1 million	All
3.	Individual Consultant	From US\$ 300,000	All
4.	Single Source Selection (Individuals)	From US\$ 300,000	All

2. **Short list comprising entirely of national consultants:** Short list of consultants for services, estimated to cost less than USD 1,500,000 equivalent per contract, may comprise entirely of national consultants in

accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.

**3. Any Other Special Selection Arrangements: *Not Applicable***

**4. Consultancy Assignments with Selection Methods and Time Schedule**

1	2	3	4	5	6
Ref. No.	Description of Assignment	Estimated Cost US\$ million	Packages	Review by Bank (Prior / Post)	Comments
1	Summary of number of contracts that will be let under QCBS method	<i>5.8</i>	<i>19</i>	<i>Prior</i>	
2	Summary of number of contracts that will be let under methods other than QCBS	<i>2.2</i>	<i>25</i>	<i>Post</i>	<i>SCQ</i>
3	Summary of number of contracts that will be let under Individual Consultant method	<i>2.7</i>	<i>22</i>	<i>Post</i>	<i>IC</i>



Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual

**CONSULTING FIRMS**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
BR-SEMARH-Pi-1-CS-QCBS / Desenvolvimento de Sistema de Apoio a Decisão e treinamento da equipe da SEMAR	IBRD / 85750	Component 2: Provision of technical assistance to strengthen the Borrower's public management.	Posterior	Seleção Baseada na Qualidade e Custo	Open - National		66.666.00	0.00	Implementação Pendente	2017-08-01	2017-08-22	2017-10-05							2017-11-02	2017-12-02			2018-01-06	2018-02-10	2019-02-10		
BR-SEMARH-Pi-4-CS-IC / Elaboração do Plano Diretor de Lagoas	IBRD / 85750	Component 2: Provision of technical assistance to strengthen the Borrower's public management.	Posterior	Seleção Baseada na Qualidade e Custo	Open - National		200.000.00	0.00	Implementação Pendente	2018-06-30	2018-07-21	2018-09-03							2018-10-01	2018-10-31			2018-12-05	2019-01-09	2020-01-09		
BR-SEMARH-Pi-5-CS-IC / Estudo de superexploração de aquíferos	IBRD / 85750	Component 2: Provision of technical assistance to strengthen the Borrower's public management.	Posterior	Seleção Baseada na Qualidade e Custo	Open - National		180.000.00	0.00	Implementação Pendente	2018-05-31	2018-06-21	2018-08-04							2018-09-01	2018-10-01			2018-11-05	2018-12-10	2019-12-10		
BR-SEMARH-Pi-7-CS-IC / Projeto de Revitalização do Rio Guaribas e regra de operação da barragem de Bocaina	IBRD / 85750	Component 2: Provision of technical assistance to strengthen the Borrower's public management.	Posterior	Seleção Baseada nas Qualificações dos Consultores	Open - National		60.000.00	0.00	Implementação Pendente	2019-01-08	2019-01-29	2019-03-14											2019-04-13	2019-05-18	2019-11-14		
BR-SEMAR-Pi-141182-CS-CQS / Consultoria Especializada em Qualidade de Água para análise e cadastramento de poços tubulares	IBRD / 85750	Component 2: Provision of technical assistance to strengthen the Borrower's public management.	Posterior	Seleção Baseada nas Qualificações dos Consultores	Open - National		23.352.00	0.00	Implementação Pendente	2019-10-20	2019-11-10	2019-12-24											2020-01-23	2020-02-27	2020-08-25		

**INDIVIDUAL CONSULTANTS**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion			
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		
BR-SEMARH-Pi-6-CS-IC / Revisão e atualização do PERH e estudos de viabilidade (estudos iniciais)	IBRD / 85750	Component 2: Provision of technical assistance to strengthen the Borrower's public management.	Posterior	Individual	Open		30.000.00	0.00	Implementação Pendente	2018-04-30	2018-06-18	2018-07-09							2018-08-13	2019-02-09	
BR-SEMARH-Pi-3-CS-IC / Contratação de consultor para elaboração de Termos de Referência. Estudo de Superexploração de aquíferos. Elaboração do Plano Diretor de Lagoas. Projeto de Revitalização do Rio Guaribas e regra de operação da barragem de Bocaina. Revisão e atualização PE	IBRD / 85750	Component 2: Provision of technical assistance to strengthen the Borrower's public management.	Posterior	Individual	Open		21.333.00	0.00	Em fase de implementação	2017-08-01	2020-01-10	2017-09-19	2017-10-10						2017-11-14	2018-05-13	
BR-SEMAR-RH-Pi-110254-CS-INDV / Consultor Banco de Dados para Desenvolvimento de Ferramentas de Gestão de Recursos Hídricos (1)	IBRD / 85750	Component 2: Provision of technical assistance to strengthen the Borrower's public management.	Posterior	Individual	Open		41.200.00	0.00	Implementação Pendente	2019-04-11	2019-05-30	2019-06-20							2019-07-25	2021-01-15	
BR-SEMAR-RH-Pi-110256-CS-INDV / Consultor Banco de Dados para Desenvolvimento de Ferramentas de Gestão de Recursos Hídricos (2)	IBRD / 85750	Component 2: Provision of technical assistance to strengthen the Borrower's public management.	Posterior	Individual	Open		41.200.00	0.00	Implementação Pendente	2019-04-11	2019-05-30	2019-06-20							2019-07-25	2021-01-15	
BR-SEMAR-RH-Pi-110262-CS-INDV / Consultor Especializado em Qualidade da Água	IBRD / 85750	Component 2: Provision of technical assistance to strengthen the Borrower's public management.	Posterior	Individual	Open		44.619.00	0.00	Implementação Pendente	2019-04-15	2019-06-03	2019-06-24							2019-07-29	2020-01-25	
BR-SEMAR-Pi-134170-CS-INDV / Consultor Especialista em Regularização Ambiental Rural para o CCEO, para avaliação ambiental na preparação e/ou análise	IBRD / 85750	Component 2: Provision of technical assistance to strengthen the Borrower's public management.	Posterior	Individual	Open		36.486.49	0.00	Cancelado	2019-09-20	2019-11-08	2019-11-29							2020-01-03	2020-07-01	
BR-SEMAR-Pi-141186-CS-CDS / Consultor Especializado em Hidrologia para cadastro e análise de poços tubulares	IBRD / 85750	Component 2: Provision of technical assistance to strengthen the Borrower's public management.	Posterior	Seleção Direta de Consultores	Direct		23.352.00	0.00	Implementação Pendente	2019-10-20	2019-10-30								2020-01-03	2020-07-01	
BR-SEMAR-Pi-141189-CS-CDS / Consultor Especializado em Hidrogeologia para análise e cadastro de poços tubulares	IBRD / 85750	Component 2: Provision of technical assistance to strengthen the Borrower's public management.	Posterior	Seleção Direta de Consultores	Direct		32.433.00	0.00	Implementação Pendente	2019-10-20	2019-10-30								2020-01-03	2020-07-01	
BR-SEMAR-Pi-141169-CS-CDS / Consultoria Individual Especializada em Assistência Social para atendimento aos cadastros de recursos hídricos nas comunidades de povos e comunidades tradicionais	IBRD / 85750	Component 2: Provision of technical assistance to strengthen the Borrower's public management.	Posterior	Individual	Open		23.352.00	0.00	Implementação Pendente	2020-01-07	2020-02-25	2020-03-17							2020-04-21	2020-10-18	

BR-SEMAR-PH-141175-CS-CQS / Consultoria Especializada em Gestão Ambiental e Recursos Hídricos para realização de visitas e cadastramento de poços tubulares	IBRD / 85750	Component 2: Provision of technical assistance to strengthen the Borrower's public management.	Posterior	Individual	Open	23.352.00	0.00	Implementação Pendente	2020-01-07	2020-02-25	2020-03-17	2020-04-21	2020-10-18
BR-SEMAR-PH-141177-CS-CQS / Consultor Especializado em Gestão Ambiental e Recursos Hídricos para realizar visitas e cadastramento de poços tubulares	IBRD / 85750	Component 2: Provision of technical assistance to strengthen the Borrower's public management.	Posterior	Individual	Open	23.352.00	0.00	Implementação Pendente	2020-01-10	2020-02-28	2020-03-20	2020-04-24	2020-10-21
BR-SEMAR-PH-PH-110265-CS-INDV / Consultor Especializado em Gestão Ambiental e Recursos Hídricos para reforçar atuação dos Recursos Hídricos no cadastramento de poços tubulares	IBRD / 85750	Component 2: Provision of technical assistance to strengthen the Borrower's public management.	Posterior	Individual	Open	23.352.00	0.00	Implementação Pendente	2020-01-10	2020-02-28	2020-03-20	2020-04-24	2020-10-21
BR-SEMAR-PH-135197-CS-CQS / Contratação de Consultor Especializado em gestão Ambiental e recursos hídricos para o cadastramento de poços tubulares e visitas	IBRD / 85750	Component 2: Provision of technical assistance to strengthen the Borrower's public management.	Posterior	Individual	Open	23.352.00	0.00	Implementação Pendente	2020-01-10	2020-02-28	2020-03-20	2020-04-24	2020-10-21
BR-SEMAR-PH-153448-CS-INDV / Consultor especialista em Qualidade de Água para auxiliar no cumprimento de metas dos recursos hídricos da SEMAR	IBRD / 85750	Component 2: Provision of technical assistance to strengthen the Borrower's public management.	Posterior	Individual	Open	23.352.00	0.00	Implementação Pendente	2020-01-10	2020-02-28	2020-03-20	2020-04-24	2020-10-21