

PROCUREMENT PLAN

A. GENERAL

1. Project Information:

- Country: Lao PDR
- Borrower/Recipient: Lao PDR
- Project Name: Scaling Up Participatory Sustainable Forest Management – Additional Finance
- Project No.: P170810
- Project Implementation Agency: Ministry of Agriculture and Forestry

2. Date of the Procurement Plan: December 17, 2019

3. Period covered by this Procurement Plan: 18 months (from the effectiveness date)

B. PREAMBLE

In accordance with paragraph 5.9 of the “World Bank Procurement Regulations for IPF Borrowers” (July 2016) (“Procurement Regulations”) the Bank’s Systematic Tracking and Exchanges in Procurement (STEP) system will be used to prepare, clear and update Procurement Plans and conduct all procurement transactions for the Project.

This textual part along with the Procurement Plan tables in STEP constitute the Procurement Plan for the Project. The following conditions apply to all procurement activities in the Procurement Plan. The other elements of the Procurement Plan as required under paragraph 4.4 of the Procurement Regulations are set forth in STEP.

The Bank’s Standard Procurement Documents: shall be used for all contracts subject to international competitive procurement and those contracts as specified in the Procurement Plan tables in STEP.

National Procurement Arrangements: In accordance with paragraph 5.3 of the Procurement Regulations, when approaching the national market (as specified in the Procurement Plan tables in STEP), the country’s own procurement procedures may be used.

When the Borrower uses its own national open competitive procurement arrangements as set forth in the Public Procurement Law No. 30/NA, dated 2 November 2017 and the Instruction on Implementation of Public Procurement Law No. 0477/MOF, dated 13 February 2019, such arrangements shall be subject to paragraph 5.4 of the Procurement Regulations and the following conditions:

- a) The request for bids/request for proposals document shall require that bidders/proposers submitting bids/proposals present a signed acceptance at the time of bidding, to be incorporated in any resulting contracts, confirming application of, and compliance with, Bank Anti-Corruption Guidelines, including without limitation the Bank’s right to sanction and the Bank’s inspection and audit rights;
- b) Information related to award of contract including reasons for rejection of unsuccessful bidders shall be published on the implementing agency websites and/or newspaper of wide circulation.

- c) All complaints on procurement related matters including complaints arising from procurement under Bank financed Projects whilst using National Procurement Procedures shall be dealt with in accordance with Article 33 of the Procurement Decree. Borrower shall put in place an effective complaint review mechanism for dealing with procurement related complaints and shall disclose the details in all the bidding documents. All complaints shall be recorded by the Borrower in the appropriate tracking and monitoring system, as agreed between the Bank and the Borrower.

When other national procurement arrangements other than national open competitive procurement arrangements are applied by the Borrower, such arrangements shall be subject to paragraph 5.5 of the Procurement Regulations.

Leased Assets as specified under paragraph 5.10 of the Procurement Regulations: Leasing may be used for those contracts identified in the Procurement Plan tables. **Not Applicable.**

Procurement of Second-Hand Goods as specified under paragraph 5.11 of the Procurement Regulations - is allowed for those contracts identified in the Procurement Plan tables. **Not Applicable.**

Domestic preference as specified under paragraph 5.51 of the Procurement Regulations (**Goods and Works**).

- Goods: is applicable for those contracts identified in the Procurement Plan tables;
- Works: is applicable for those contracts identified in the Procurement Plan tables.

Sub-project Procurement Plan will be prepared separately each year and sent to the World Bank for information and reference. All activities under sub-projects will be subjected to the World Bank's post review and will not be posted on the STEP.

C. OTHER RELEVANT PROCUREMENT INFORMATION

I. Works and Goods and Non-Consultant Services

1.1. Prior Review Threshold: Procurement Decisions subject to Prior Review by the Bank as stated in Annex II of the Procurement Regulations:

Category	Procurement Method Thresholds		Prior Review Thresholds	
	Applicable thresholds (US\$)	Remarks	Applicable thresholds (US\$)	Remarks
Works				
RFB through Open International market approach	≥ 2.0 million	–	Above 10 million	-
RFB through Open National market approach	≥ 200,000 < 2.0 million	–	None	-
Request for Quotation	< 200,000	–	None	–
Direct Selection	None	No threshold; meet requirements of regulations 7.13-7.15	Same as for competitive selection	This has not been foreseen at the project preparation stage.
Goods and Non-Consulting Services				

RFB through Open International market approach	≥ 0.6 million	–	Above 2 million	-
RFB through Open National market approach	≥ 100,000 < 0.6 million	-	None	Risk-based approach
Request for Quotation	< 100,000		None	
Direct Selection	None	No threshold; meet requirements of regulations 7.13-7.15	Same as for competitive selection	This has not been foreseen at the project preparation stage.

II. Selection of Consultants

2.1 Prior Review Threshold: Selection decisions subject to Prior Review by Bank as stated in Annex II of the Procurement Regulations:

Category	Procurement Method Thresholds		Prior Review Thresholds	
	Applicable thresholds (US\$)	Remarks	Applicable thresholds (US\$)	Remarks
QCBS, QBS, FBS, LCS (Using the most appropriate market approach)	≥ 300,000	Methods for consulting services are specified in paragraph 7.3 to 7.12, Section VII of the Procurement Regulations.	≥ 1.0 million	Risk-based approach
CQS (Open or limited competition through national or international market approach, specified in the PP and agreed with the Bank.	< 300,000	QCBS, QBS, FBS, LCS) can be applied for contracts with value under \$300,000	None	
Direct Selection of firms	None	No threshold; meet requirements of regulations 7.13-7.15	Same as for competitive selection	This has not been foreseen at the project preparation stage.
Individual Consultants	N/A depending on nature of services	Essential individual assignments will be defined in the Procurement Plan agreed with the Bank and in line with regulations 7.34-7.39 using the most appropriate market approach	≥ 300,000 and for the positions as specified in the PP agreed with the Bank	Risk-based approach

2.2 Short list comprising entirely of national consultants: Short list of consultants for services, estimated to cost less than US\$ 200,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 7.1, Section VII of the Procurement Regulations.

NCS-01 / Forest certification Auditors	IDA / 65350	1: Strengthening and Expanding PSFM in PFAs	Post	Direct Selection	Direct			70,000.00	0.00	Pending Implementation						2020-08-31		2020-09-05							2020-10-10		2021-04-08	
NCS-02 / translate documents from English to Lao and vice versa, as well as simultaneous and consecutive translation from English to Lao and vice versa	IDA / 65350	4: Project Management	Post	Request for Quotations	Limited	Single Stage - One Envelope		15,000.00	0.00	Pending Implementation								2020-09-02							2020-10-28		2021-04-26	

CONSULTING FIRMS

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion			
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		
C01 / Project Financial Audit	IDA / H8520	1: Strengthening and Expanding PSFM in PFAs	Prior	Consultant Qualification Selection	Open - International		0.00	152,000.00	Signed																				

INDIVIDUAL CONSULTANTS

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
C05 / National Procurement Consultant	IDA / H8520	1: Strengthening and Expanding PSFM in PFAs	Prior	Individual Consultant Selection	Open		150,000.00	43,500.00	Signed						2014-06-16		2014-06-23		
C03.05 / Forest Inventory Contract in Vientiane	IDA / H8520	1: Strengthening and Expanding PSFM in PFAs	Prior	Direct Selection	Direct		980,000.00	116,730.00	Signed									2014-05-12	
C03 - LPB1.2 / Contract for Forest Inventory in Xayabouy Province	IDA / H8520	1: Strengthening and Expanding PSFM in PFAs	Prior	Direct Selection	Direct		0.00	155,800.00	Signed									2014-11-19	
C03.03 / Forest Inventory Contract in Luang Prabang - Souphanouvong University	IDA / H8520	1: Strengthening and Expanding PSFM in PFAs	Prior	Direct Selection	Direct		980,000.00	86,388.00	Signed									2014-05-12	
C202016 / National Forestry Consultant	IDA / H8520	1: Strengthening and Expanding PSFM in PFAs	Prior	Direct Selection	Direct		0.00	43,761.00	Signed									2016-11-11	
C172016 / International Forest Management Consultant	IDA / H8520	1: Strengthening and Expanding PSFM in PFAs	Prior	Direct Selection	Direct		0.00	153,602.00	Signed									2016-11-15	
C292017 / Technical and Management Support to National Project Management Office (NPMO)	IDA / H8520	1: Strengthening and Expanding PSFM in PFAs	Prior	Direct Selection	Direct		0.00	879,371.75	Signed									2017-06-30	
C07.2 / National Financial Management Consultant based in Xayabouy	IDA / H8520	1: Strengthening and Expanding PSFM in PFAs	Prior	Individual Consultant Selection	Open		12,000.00	53,741.00	Signed						2014-04-28		2014-04-30		
C07.1 / National Financial Management Consultant based in Champassack	IDA / H8520	1: Strengthening and Expanding PSFM in PFAs	Prior	Individual Consultant Selection	Open		12,000.00	48,900.00	Signed						2014-04-28		2014-04-30		

C03.01 / Forest Inventory Contract in Bolikhamxay Province	IDA / H8520	1: Strengthening and Expanding PSFM in PFAs	Prior	Direct Selection	Direct		980,000.00	33,022.00	Signed									2014-05-12	
C03.04 / Forest Inventory Contract in LuangPrabang - Northern Agriculture and Forestry School (Pak Xeuang)	IDA / H8520	1: Strengthening and Expanding PSFM in PFAs	Prior	Direct Selection	Direct		980,000.00	67,173.00	Signed									2014-05-12	
C07.03 / National Financial Management Consultant based in Bolikhamxay	IDA / H8520	1: Strengthening and Expanding PSFM in PFAs	Prior	Individual Consultant Selection	Open		12,000.00	13,250.00	Signed									2014-05-14	2014-05-15
C03.02 / Forest Inventory Contract in Champasack	IDA / H8520	1: Strengthening and Expanding PSFM in PFAs	Prior	Direct Selection	Direct		980,000.00	74,055.00	Signed									2014-05-12	
C07.04 / National Financial Management Consultant based in Louangnamtha Province	IDA / H8520	1: Strengthening and Expanding PSFM in PFAs	Prior	Individual Consultant Selection	Open		12,000.00	32,350.00	Signed									2014-05-22	2014-05-26
C11 / Forest Survey auditors	IDA / H8520	1: Strengthening and Expanding PSFM in PFAs	Prior	Direct Selection	Direct		150,000.00	41,974.70	Signed									2014-08-21	
C06 / Senior Financial Management Consultant	IDA / H8520	1: Strengthening and Expanding PSFM in PFAs	Prior	Individual Consultant Selection	Open		150,000.00	63,700.00	Signed									2014-05-20	2014-05-28
C.04 / International Short Term Procurement Consultant	IDA / H8520	1: Strengthening and Expanding PSFM in PFAs	Prior	Direct Selection	Direct		128,000.00	70,380.00	Signed									2014-06-01	
C13 / National Village Forestry Consultant	IDA / H8520	1: Strengthening and Expanding PSFM in PFAs	Prior	Individual Consultant Selection	Open		0.00	24,500.00	Signed									2015-06-30	2015-07-01
C12 / National Procurement Assistant	IDA / H8520	1: Strengthening and Expanding PSFM in PFAs	Prior	Individual Consultant Selection	Open		0.00	20,100.00	Signed									2015-09-22	2015-10-13
C07.3 / National Financial Management Consultant	IDA / H8520	1: Strengthening and Expanding PSFM in PFAs	Prior	Individual Consultant Selection	Open		0.00	22,500.00	Signed									2015-09-24	2015-10-15
C15/2016 / National Finance Assistant	IDA / H8520	1: Strengthening and Expanding PSFM in PFAs	Prior	Individual Consultant Selection	Open		0.00	10,000.00	Signed									2016-02-11	2016-02-15
C21/2016 / National Forestry Consultant	IDA / H8520	1: Strengthening and Expanding PSFM in PFAs	Prior	Direct Selection	Direct		0.00	45,177.00	Signed									2016-11-17	
C22/2016 / National Forestry Consultant	IDA / H8520	1: Strengthening and Expanding PSFM in PFAs	Prior	Direct Selection	Direct		0.00	46,211.00	Signed									2016-10-25	
C25/2016 / National Village Livelihoods Consultant	IDA / H8520	1: Strengthening and Expanding PSFM in PFAs	Prior	Direct Selection	Direct		0.00	46,221.00	Signed									2016-11-14	
C23/2016 / National Village Livelihoods Consultant	IDA / H8520	1: Strengthening and Expanding PSFM in PFAs	Prior	Direct Selection	Direct		0.00	49,941.00	Signed									2016-11-30	
C11.3/2016 / THE FSC FM/COC REASSESSMENT 2016	IDA / H8520	1: Strengthening and Expanding PSFM in PFAs	Prior	Direct Selection	Direct		0.00	19,384.62	Signed									2016-08-24	

C16/2016 / International Village Forestry Consultant	IDA / H/S/20	1: Strengthening and Expanding PSFM in PFAs	Prior	Direct Selection	Direct		0.00	150,708.00	Signed									2016-11-01		
C18/2016 / Short Term International Village Livelihood Consultant	IDA / H/S/20	1: Strengthening and Expanding PSFM in PFAs	Prior	Direct Selection	Direct		0.00	191,124.00	Signed									2016-11-25		
C24/2016 / National Village Livelihoods Consultant	IDA / H/S/20	1: Strengthening and Expanding PSFM in PFAs	Prior	Direct Selection	Direct		0.00	46,221.00	Signed									2016-11-17		
C07.3/2016 / National Financial Management Consultant	IDA / H/S/20	1: Strengthening and Expanding PSFM in PFAs	Prior	Individual Consultant Selection	Open		0.00	19,304.00	Signed					2016-07-19				2016-07-22		
C06/2016 / Senior Finance Management Consultant	IDA / H/S/20	1: Strengthening and Expanding PSFM in PFAs	Prior	Individual Consultant Selection	Open		0.00	50,400.00	Signed					2016-08-03				2016-08-08		
C28/2016 / International Forest Law Enforcement Consultant	IDA / H/S/20	1: Strengthening and Expanding PSFM in PFAs	Prior	Direct Selection	Direct		0.00	173,736.00	Signed									2016-10-20		
C03.6/2016 / SAFEGUARD ASSESSMENT	IDA / H/S/20	1: Strengthening and Expanding PSFM in PFAs	Prior	Direct Selection	Direct		0.00	36,614.00	Signed									2016-10-28		
DS-01 / Project Implementation Support Consultant (firm)	IDA / H/S/20	1: Strengthening and Expanding PSFM in PFAs	Prior	Direct Selection	Direct		1,362,000.00	1,181,859.93	Signed	2020-01-31	2020-02-10	2020-02-10	2020-02-13					2020-04-15	2020-05-15	2020-10-12
IC-02 / Finance Management Consultant	IDA / H/S/20	4: Project Management	Post	Direct Selection			50,000.00	37,400.00	Signed	2020-01-31	2020-02-13	2020-01-31	2020-02-14					2020-01-31	2020-03-27	2020-01-31
IC-03 / Financial Assistant	IDA / H/S/20	4: Project Management	Post	Direct Selection	Direct		12,000.00	16,022.00	Signed	2020-01-31	2020-02-05	2020-02-10	2020-02-07					2020-04-15	2020-03-27	2020-10-12
IC-04 / National Forestry Consultant for Northern Provinces	IDA / H/S/20	1: Strengthening and Expanding PSFM in PFAs	Post	Direct Selection	Direct		50,000.00	0.00	Under Implementation	2020-01-31	2020-02-05	2020-02-10	2020-02-13					2020-04-15		2020-10-12
IC-06 / National Forestry Consultant for Southern Provinces	IDA / H/S/20	1: Strengthening and Expanding PSFM in PFAs	Post	Direct Selection	Direct		50,000.00	0.00	Under Implementation	2020-01-31	2020-02-05	2020-02-10	2020-02-17					2020-04-15		2020-10-12
IC-05 / National Forestry Consultant for Central Provinces	IDA / H/S/20	1: Strengthening and Expanding PSFM in PFAs	Post	Individual Consultant Selection	Limited		50,000.00	0.00	Under Implementation	2020-03-01	2020-02-13	2020-03-06		2020-03-27				2020-05-01		2020-10-28
IC-01 / National Procurement Consultant	IDA / H/S/20	4: Project Management	Post	Individual Consultant Selection	Limited		7,000.00	0.00	Under Implementation	2020-01-31	2020-02-13	2020-02-05	2020-02-19	2020-02-26				2020-04-01		2020-09-28
IC-07 / National Livelihoods Development Consultant for Northern Provinces	IDA / H/S/20	1: Strengthening and Expanding PSFM in PFAs	Post	Individual Consultant Selection	Limited		50,000.00	45,240.00	Signed	2020-03-01	2020-02-05	2020-03-06	2020-03-20	2020-03-27	2020-04-10			2020-05-01	2020-04-24	2020-10-28
IC-08 / National Livelihoods Development Consultant for Central Provinces	IDA / H/S/20	1: Strengthening and Expanding PSFM in PFAs	Post	Individual Consultant Selection	Limited		50,000.00	0.00	Under Implementation	2020-03-01	2020-02-05	2020-03-06		2020-03-27				2020-05-01		2020-10-28
IC-09 / National Livelihoods Development Consultant for Southern Provinces	IDA / H/S/20	1: Strengthening and Expanding PSFM in PFAs	Post	Individual Consultant Selection	Limited		50,000.00	0.00	Cancelled	2020-03-01	2020-02-05	2020-03-06		2020-03-27				2020-05-01		2020-10-28

DS-02 / Project Financial Audit	IDA / 65350	4: Project Management	Post	Direct Selection	Direct		50,000.00	0.00	Under Implementation	2020-03-01	2020-06-22	2020-03-11	2020-08-10			2020-05-15		2020-11-11	
DS-03 / National Livelihood Consultant for the Northern Provinces	IDA / 65350	1: Strengthening and Expanding PSFM in PFAs	Post	Direct Selection	Direct		50,000.00	38,766.00	Signed	2020-05-15	2020-05-08	2020-05-25	2020-05-08			2020-07-29	2020-05-15	2021-01-25	