

# PROCUREMENT PLAN

**Project information:** Lao PDR – Scaling-up Water Supply, Sanitation and Hygiene Project  
(P164901)

**Project Implementation agency:** Department of Water Supply/Ministry of Public Works and Transport,

Department of Hygiene and Health Promotion/Ministry of Health

**Date of the Procurement Plan:** January 07, 2020

**Period covered by this Procurement Plan:** at least 18 months

## Preamble

In accordance with paragraph 5.9 of the “World Bank Procurement Regulations for IPF Borrowers” (July 2016) (“Procurement Regulations”) the Bank’s Systematic Tracking and Exchanges in Procurement (STEP) system will be used to prepare, clear and update Procurement Plans and conduct all procurement transactions for the Project.

This textual part along with the Procurement Plan tables in STEP constitute the Procurement Plan for the Project. The following conditions apply to all procurement activities in the Procurement Plan. The other elements of the Procurement Plan as required under paragraph 4.4 of the Procurement Regulations are set forth in STEP.

***The Bank’s Standard Procurement Documents:*** shall be used for all contracts subject to international competitive procurement and those contracts as specified in the Procurement Plan tables in STEP.

**National Procurement Arrangements:** In accordance with paragraph 5.3 of the Procurement Regulations, when approaching the national market (as specified in the Procurement Plan tables in STEP), the country’s own procurement procedures may be used.

When the Borrower uses its own national open competitive procurement arrangements as set forth in the Public Procurement Law and the Instruction on Implementation Public Procurement Law, such arrangements shall be subject to paragraph 5.4 of the Procurement Regulations and the following conditions.

- a) The request for bids/request for proposals document shall require that bidders/proposers submitting bids/proposals present a signed acceptance at the time of bidding, to be incorporated in any resulting contracts, confirming application of, and compliance with, Bank Anti-Corruption Guidelines, including without limitation the Bank’s right to sanction and the Bank’s inspection and audit rights;
- b) Information related to award of contract including reasons for rejection of unsuccessful bidders shall be published on the implementing agency websites and/or newspaper of wide circulation.
- c) All complaints on procurement related matters including complaints arising from procurement under Bank financed Projects whilst using National Procurement Procedures shall be dealt with in accordance with Article 33 of the Procurement Decree. Borrower shall put in place an effective complaints review mechanism for dealing with procurement related complaints, and shall disclose the details in all the bidding documents. All complaints shall be recorded by the Borrower in the appropriate tracking and monitoring system, as agreed between the Bank and the Borrower.

When other national procurement arrangements other than national open competitive procurement arrangements are applied by the Borrower, such arrangements shall be

subject to paragraph 5.5 of the Procurement Regulations.

**Leased Assets as specified under paragraph 5.10** of the Procurement Regulations: Leasing may be used for those contracts identified in the Procurement Plan tables. *Not Applicable*

**Procurement of Second Hand Goods as specified under paragraph 5.11** of the Procurement Regulations – is allowed for those contracts identified in the Procurement Plan tables. *Not Applicable*

**Domestic preference as specified under paragraph 5.51** of the Procurement Regulations (**Goods and Works**).

Goods: is applicable for those contracts identified in the Procurement Plan tables;

Works: is applicable for those contracts identified in the Procurement Plan tables

**Other Relevant Procurement Information.**

**I. Works and Goods and Non-Consultant Services**

**1.1. Prior Review Threshold:** Procurement Decisions subject to Prior Review by the Bank as stated in Annex II of the Procurement Regulations:

Category	Procurement Method Thresholds		Prior Review Thresholds	
	Applicable thresholds (US\$)	Remarks	Applicable thresholds (US\$)	Remarks
<i>Works</i>				
RFB through Open International market approach	≥ 2.0 million	-	Above 10 million	This has not been foreseen at the project preparation stage.
RFB through Open National market approach	≥ 200,000 < 2.0 million	-	None	-
Request for Quotation	< 200,000	-	None	-
Direct Selection	None	No threshold; meet requirements of regulations 7.13-7.15	Same as for competitive selection	This has not been foreseen at the project preparation stage.
<i>Goods and Non-Consulting Services</i>				
RFB through Open International market approach	≥ 0.6 million	-	Above 2 million	This has not been foreseen at the project preparation stage.
RFB through Open National market approach	≥ 100,000 < 0.6 million	-	None	Risk-based approach
Request for Quotation	< 100,000		None	
Direct Selection	None	No threshold; meet requirements of regulations 7.13-7.15	Same as for competitive selection	This has not been foreseen at the project preparation stage.

**II. Selection of Consultants**

**2.1 Prior Review Threshold:** Selection decisions subject to Prior Review by Bank as stated in Annex II of the Procurement Regulations:

Category	Procurement Method Thresholds		Prior Review Thresholds	
	Applicable thresholds (US\$)	Remarks	Applicable thresholds (US\$)	Remarks
QCBS, QBS, FBS, LCS (Using the most appropriate market approach)	≥ 300,000	Shortlist of only National Consultants (Firms) for contract agreed in the PP	≥ 1 million	Risk-based approach
CQS (Open or limited competition through national or international market approach, specified in the PP and agreed with the Bank.	< 300,000	QCBS, QBS, FBS, LCS) can be applied for contracts with value under \$300,000	None	
Direct Selection of firms	None	No threshold; meet requirements of regulations 7.13-7.15	Same as for competitive selection	This has not been foreseen at the project preparation stage.
Individual Consultants	N/A depending on nature of services	Essential individual assignments will be defined in the Procurement Plan agreed with the Bank and in line with regulations 7.34-7.39 using the most appropriate market approach	≥ 300,000 and for the positions as specified in the PP agreed with the Bank	Risk-based approach

**2.2 Short list comprising entirely of national consultants:** Short list of consultants for services, estimated to cost less than US\$ 200,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 7.1, Section VII of the Procurement Regulations.

**PROCUREMENT PLAN** Lao People's Democratic Republic : Scaling-Up Water Supply, Sanitation and Hygiene Project

**General Information**

Country: Lao People's Democratic Republic Bank's Approval Date of the Original Procurement Plan: 2020-01-15  
 Revised Plan Date(s): (comma delineated, leave blank if non 2020-12-23)  
 Project ID: P164901 GPN Date:  
 Project Name: Scaling-Up Water Supply, Sanitation and Hygiene Project  
 Loan / Credit No: IDA / 63750  
 Executing Agency(ies) Department of Water Supply

**WORKS**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		Planned
C1/W/01 / Office Renovation for PMU	IDA / 63750	Component 3: Implementation Support and Sector Development	Post	Request for Quotations	Open - National	Single Stage - One Envelope				50,000.00	0.00	Pending Implementation																	2021-09-30	
C1/W/04 / Office Renovation for PIU and 2 districts in Xiangkhouang	IDA / 63750	Component 3: Implementation Support and Sector Development	Post	Request for Quotations	Limited	Single Stage - One Envelope				6,000.00	0.00	Pending Implementation																	2021-09-13	
C1/W/02 / Office Renovation for PIU and 4 districts in Phongsaly	IDA / 63750	Component 3: Implementation Support and Sector Development	Post	Request for Quotations	Limited	Single Stage - One Envelope				10,000.00	0.00	Pending Implementation																	2021-09-16	
C1/W/05 / Office Renovation for PIU and 4 districts in Huaphanh	IDA / 63750	Component 3: Implementation Support and Sector Development	Post	Request for Quotations	Limited	Single Stage - One Envelope				10,000.00	0.00	Pending Implementation																		2021-09-23
C1/W/03 / Office Renovation for PIUs and 2 districts Oudomsay	IDA / 63750	Component 3: Implementation Support and Sector Development	Post	Request for Quotations	Limited	Single Stage - One Envelope				6,000.00	0.00	Pending Implementation																		2021-10-07

**GOODS**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion			
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		
C1/G/01 / Five (5) Double Cab 4 x 4 Vehicles	IDA / 63750	Component 3: Implementation Support and Sector Development	Post	Request for Bids	Open - National	Single Stage - One Envelope		250,000.00	215,000.00	Signed																				
C1/G/02 / Motorbikes for 12 Districts	IDA / 63750	Component 3: Implementation Support and Sector Development	Post	Request for Quotations	Open - National	Single Stage - One Envelope		99,000.00	0.00	Pending Implementation																				
C1/G/04 / Office Furniture for PMU, 4 PIUs and 12 Districts	IDA / 63750	Component 3: Implementation Support and Sector Development	Post	Request for Quotations	Open - National	Single Stage - One Envelope		68,000.00	0.00	Under Implementation																				
C1/G/05 / Video Conferencing Equipment for PMU, 4 PIUs and 12 Districts	IDA / 63750	Component 3: Implementation Support and Sector Development	Post	Request for Quotations	Open - National	Single Stage - One Envelope		69,500.00	0.00	Pending Implementation																				
C1/G/06 / Office Equipment (IT) for 4 PIUs and 12 Districts	IDA / 63750	Component 3: Implementation Support and Sector Development	Post	Request for Quotations	Open - National	Single Stage - One Envelope		99,500.00	0.00	Pending Implementation																				
C1/G/03 / Office Equipment (IT) for PMU	IDA / 63750	Component 3: Implementation Support and Sector Development	Post	Request for Quotations	Open - National	Single Stage - One Envelope		67,000.00	0.00	Pending Implementation																				

**NON CONSULTING SERVICES**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion		
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual			

**CONSULTING FIRMS**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
C1/CS/07 / Consultancy Firm for Project Implementation Support for the Scaling-Up Water Supply, Sanitation and Hygiene Project	IDA / 63750	Component 1: Delivery of Infrastructure and Sustainability of Water Supply and Sanitation	Prior	Quality And Cost-Based Selection	Open - International		2,900,000.00	0.00	Under Implementation	2019-11-22	2020-02-06	2019-11-29	2020-02-11	2019-12-27	2020-08-03			2020-01-31	2020-08-28	2020-02-28		2020-04-08		2020-05-08		2023-12-24	
C1/CS/08 / Project Financial Audit (July 2019 until March 2024)	IDA / 63750	Component 3: Implementation Support and Sector Development	Post	Consultant Qualification Selection	Limited		150,000.00	0.00	Under Implementation	2020-09-01	2020-10-02			2020-09-15								2020-10-15		2020-11-19		2021-05-18	

**INDIVIDUAL CONSULTANTS**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
C1/CS/01 / Project Management & Implementation Support Consultant	IDA / 63750	Component 3: Implementation Support and Sector Development	Post	Individual Consultant Selection	Open		99,000.00	66,000.00	Signed	2019-08-01	2019-08-07	2019-09-19	2020-04-24	2019-10-10	2020-04-27	2019-11-14	2020-06-30		2020-05-12

C3/CS/05 / National Project Coordinator	IDA / 63750	Component 3: Implementation Support and Sector Development	Post	Individual Consultant Selection	Open		76,000.00	69,000.00	Signed	2020-02-20	2019-09-19	2020-04-09	2020-04-24	2020-04-30		2020-06-04	2020-06-05	2020-12-01
C3/CS/02 / National Procurement Consultant	IDA / 63750	Component 3: Implementation Support and Sector Development	Post	Individual Consultant Selection	Open		120,000.00	43,200.00	Signed	2020-02-20	2019-09-19	2020-04-09	2020-05-12	2020-04-30	2020-05-12	2020-06-04	2020-06-16	2020-12-01
C3/CS/03 / National Financial Management Consultant	IDA / 63750	Component 3: Implementation Support and Sector Development	Post	Individual Consultant Selection	Open		128,000.00	139,500.00	Signed	2020-03-12	2019-12-19	2020-06-22	2020-04-21	2020-07-12	2020-05-13	2020-08-14	2020-06-05	2021-08-13
C3/CS/04 / National Project Accountant	IDA / 63750	Component 3: Implementation Support and Sector Development	Post	Individual Consultant Selection	Open		92,000.00	59,800.00	Signed	2020-03-12	2019-09-19	2020-04-30	2020-04-24	2020-05-21	2020-04-24	2020-06-25	2020-06-05	2020-12-22
C3/CS/8 / National Environmental Consultant	IDA / 63750	Component 3: Implementation Support and Sector Development	Post	Individual Consultant Selection	Open		21,000.00	0.00	Under Implementation	2020-05-05	2020-05-20	2020-06-23		2020-07-14		2020-08-18		2021-02-14
C3/CS/9 / Social Safeguard Consultant	IDA / 63750	Component 3: Implementation Support and Sector Development	Post	Individual Consultant Selection	Open		21,000.00	0.00	Under Implementation	2020-05-05	2020-05-08	2020-06-23		2020-07-14		2020-08-18		2021-02-14
C3/CS/10 / National Civil Work Engineer	IDA / 63750	Component 3: Implementation Support and Sector Development	Post	Individual Consultant Selection	Open		42,000.00	0.00	Under Implementation	2020-05-05	2020-05-20	2020-06-23		2020-07-14		2020-08-18		2021-02-14
C3/CS/11 / Management Information System (MIS) and Monitoring and Evaluation(M&E)	IDA / 63750	Component 3: Implementation Support and Sector Development	Post	Individual Consultant Selection	Open		144,000.00	0.00	Canceled	2020-05-05	2020-05-20	2020-06-23		2020-07-14		2020-08-18		2021-02-14
C3/CS/12 / Management Information System (MIS)	IDA / 63750	Component 3: Implementation Support and Sector Development	Post	Individual Consultant Selection	Open		42,000.00	0.00	Pending Implementation	2020-08-01		2020-09-19		2020-10-10		2020-11-14		2021-05-13
C1/CS/10 (2) / National Civil Engineer	IDA / 63750	Component 1: Delivery of Infrastructure and Sustainability of Water Supply and Sanitation	Post	Individual Consultant Selection	Open		42,000.00	0.00	Pending Implementation	2020-10-12		2020-11-30		2020-12-21		2021-01-25		2021-07-24
C3/CS/05(2) / National Project Coordinator (Administrative Officer)	IDA / 63750	Component 3: Implementation Support and Sector Development	Post	Individual Consultant Selection	Open		42,000.00	0.00	Pending Implementation	2020-12-14		2021-02-01		2021-02-22		2021-03-29		2021-09-25
C3/CS/02 (2) / National Project Procurement Consultant (2)	IDA / 63750	Component 3: Implementation Support and Sector Development	Post	Individual Consultant Selection	Open		42,000.00	0.00	Pending Implementation	2020-12-14		2021-02-01		2021-02-22		2021-03-29		2021-09-25