



Uttarakhand Health Systems Development Project (P148531)

SOUTH ASIA | India | Health, Nutrition & Population Global Practice |
IBRD/IDA | Investment Project Financing | FY 2017 | Seq No: 9 | ARCHIVED on 07-Dec-2020 | ISR44043 |

Implementing Agencies: Republic of India, Department of Medical Health and Family Welfare, Government of Uttarakhand

Key Dates

Key Project Dates

Bank Approval Date: 26-Jan-2017

Effectiveness Date: 23-May-2017

Planned Mid Term Review Date: 31-Jul-2020

Actual Mid-Term Review Date: 26-Oct-2020

Original Closing Date: 30-Sep-2023

Revised Closing Date: 30-Sep-2023

Project Development Objectives

Project Development Objective (from Project Appraisal Document)

The Project Development Objective (PDO) is to improve access to quality health services, particularly in the hilly districts of the state, and to expand health financial risk protection for the residents of Uttarakhand

Has the Project Development Objective been changed since Board Approval of the Project Objective?

No

Components Table

Name

Innovations in engaging the private sector:(Cost \$48.88 M)
Stewardship and system improvement component:(Cost \$38.62 M)

Overall Ratings

Name	Previous Rating	Current Rating
Progress towards achievement of PDO	<input type="checkbox"/> Moderately Unsatisfactory	<input type="checkbox"/> Moderately Satisfactory
Overall Implementation Progress (IP)	<input type="checkbox"/> Moderately Unsatisfactory	<input type="checkbox"/> Moderately Satisfactory
Overall Risk Rating	<input type="checkbox"/> Substantial	<input type="checkbox"/> Moderate

Implementation Status and Key Decisions

The Mid-term Review determined that the UKHSDP has turned in a positive direction with substantial implementation progress over the last six months, despite the myriad of challenges posed by COVID-19. Specifically, lockdowns and travel restrictions that have made it difficult for the PIT to provide oversight and management of activities in the districts, recruiting additional staff to the PIT has been delayed as selected candidates from outside of Uttarakhand have not accepted offers, and many PIT staff and health providers have themselves contracted COVID-19. Commitments have increased significantly from only 20% in April 2020 to 72% in October 2020. Additionally, new procurements of US\$15 million are expected to be committed by March 2021. The longstanding staffing gaps in the Project Implementation Team (PIT) have been filled with around 70% of the positions currently filled. Both technical and operational capacities of the PIT have been strengthened, which is critical for the growing monitoring, supervision and management needs in this next phase of UKHSDP implementation. As a result of implementation progress in many areas, the ratings for implementation progress and for the likelihood of achieving the project development objective (PDO) are rated "Moderately Satisfactory". While great progress and commitment have been demonstrated by the Government of Uttarakhand, a restructuring of the project is suggested to align with an evolving state context (no need for health insurance based on Atal Ayushman Yojana and PM-JAY combined with a need



to support the state's COVID-19 response). This will be processed shortly and is expected to help consolidate implementation, accelerate pace of implementation and related disbursements.

Risks

Systematic Operations Risk-rating Tool

Risk Category	Rating at Approval	Previous Rating	Current Rating
Political and Governance	--	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate
Macroeconomic	--	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate
Sector Strategies and Policies	--	<input type="checkbox"/> Substantial	<input type="checkbox"/> Moderate
Technical Design of Project or Program	--	<input type="checkbox"/> Substantial	<input type="checkbox"/> Moderate
Institutional Capacity for Implementation and Sustainability	--	<input type="checkbox"/> Substantial	<input type="checkbox"/> Moderate
Fiduciary	--	<input type="checkbox"/> Substantial	<input type="checkbox"/> Substantial
Environment and Social	--	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate
Stakeholders	--	<input type="checkbox"/> Substantial	<input type="checkbox"/> Substantial
Other	--	--	--
Overall	--	<input type="checkbox"/> Substantial	<input type="checkbox"/> Moderate

Results

PDO Indicators by Objectives / Outcomes

Innovations in engaging the private sector				
▶ Number of outpatient visits to Primary Health Centers (PHCs), CHCs, and mobile vans per year, disaggregated by districts (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	774,926.00	3,256,588.00	1,165,548.00	1,150,000.00
Date	31-Mar-2016	31-Mar-2020	30-Sep-2020	31-Mar-2023
Comments:	Data for April-2020 to September 2020			
▶ Number of hospital admissions and outpatient consultations covered by any form of health insurance supported by the project (RSBY and MSBY), disaggregated by gender (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target



Value	46,040.00	126,678.00	74,747.00	100,000.00
Date	29-Jul-2016	31-Mar-2020	30-Sep-2020	31-Mar-2023
Comments:	Data for April-2020 to September-2020 (these data are for Atal Ayushman Uttarakhand Yojana (AAUY), predecessor scheme to RSBY/MSBY) Female:42,312; Male: 32,435 Potential indicator for deletion during restructuring – project isn't supporting health insurance given state has already extended coverage to all residents under AAUY			

Stewardship and system improvement component				
▶ Number of persons who used the Health Helpline to receive information or lodge grievances, disaggregated by gender (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	81,192.00	70,931.00	64,736.00	160,000.00
Date	25-Nov-2016	31-Mar-2020	30-Sep-2020	31-Mar-2023
Comments:	Data for - April-2020 to September-2020 Female: 15,382; Male: 32,673; Others: 16,681 Potential indicator for deletion during restructuring – project isn't supporting this			
▶ Patients contacted by the Health Helpline that are satisfied with the health services provided to them (per year, disaggregated by gender) (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	9.30	70.00
Date	25-Nov-2016	31-Mar-2020	30-Sep-2020	31-Mar-2023
Comments:	Data for - April-2020 to September-2020 Total calls (incoming and outgoing): 64,736; Total satisfaction calls: 6033 Potential indicator for deletion during restructuring – project isn't supporting this			
▶ Number of government and non-government healthcare facilities in the state issued with an entry (or higher) level certification by the National Accreditation Board for Hospitals (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	2.00	4.00	4.00	8.00
Date	25-Nov-2016	31-Mar-2020	30-Sep-2020	31-Mar-2023
Comments:	As on September 30, 2020: 4 non-govt facilities have NABH certification			

Intermediate Results Indicators by Components



Innovations in engaging the private sector				
► People with access to any form of health insurance in the state during the year, disaggregated by gender (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	921,584.00	10,086,292.00	10,086,292.00	3,000,000.00
Date	31-Dec-2014	31-Mar-2020	30-Sep-2020	31-Mar-2023
Comments:	Data as on September 30, 2020 Total: 10,086,292; Female: 4,948,519; Male: 5,137,773 Potential indicator for deletion during restructuring – project isn't supporting health insurance given state has already extended coverage to all residents under AAUY			
► Number of children covered under the expanded health insurance program who received an annual health assessment during the year, disaggregated by gender (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	200,000.00
Date	25-Nov-2016	31-Mar-2020	30-Sep-2020	31-Mar-2023
Comments:	Since there has been change in insurance program to cover entire population of the state, decision on expansion of insurance program to cover children separately has not been taken. This is a potential indicator for deletion during restructuring – project isn't supporting health insurance given state has already extended coverage to all residents under AAUY			
► Number of patients who use the services provided by the mobile health vans, disaggregated by gender (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	104,122.00	46,644.00	22,210.00	300,000.00
Date	25-Nov-2016	31-Mar-2020	30-Sep-2020	31-Mar-2023
Comments:	Data for - April-2020 to September-2020 Total: 22,210; Female: 11,560; Male: 10,650 This data is for the number of OPDs and not the number of patients; data on the number of patients not available with the state. This indicator needs to be reworded during the restructuring.			
► Number of mobile medical units complying with the requirement of having at least one female doctor with sonology certification (>90% compliance on a day-to-day basis) (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	9.00
Date	25-Nov-2016	31-Mar-2020	30-Sep-2020	31-Mar-2023
Comments:	This indicator needs to be deleted due to legal change on ultrasounds - mobile health units can not provide ultrasound services anymore in the country.			



▶ Number of outsourced CHCs with utilization of emergency services reported during each month (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	2.00	2.00	8.00
Date	25-Nov-2016	31-Mar-2020	30-Sep-2020	31-Mar-2023
Comments:	Data for - April-2020 to September-2020			
▶ Number of outsourced CHCs where at least one emergency caesarean section was reported during each quarter (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	1.00	1.00	6.00
Date	25-Nov-2016	31-Mar-2020	30-Sep-2020	31-Mar-2023
Comments:	Data for - April-2020 to September-2020			
▶ Benefit package of child health services finalized and piloted (Text, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	No	No	'yes' from year 2
Date	25-Nov-2016	31-Mar-2020	30-Sep-2020	31-Mar-2023
Comments:	Potential indicator for deletion during restructuring – the project isn't supporting health insurance provided under AAUA			
▶ Benefit package of NCDs services finalized and piloted (Text, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	No	No	"no" for the first three years and "yes" from year 4.
Date	25-Nov-2016	31-Mar-2020	30-Sep-2020	31-Mar-2023
Comments:	Potential indicator for deletion during restructuring – the project isn't supporting health insurance provided under AAUA			
▶ Share of eligible households successfully enrolled under RSBY/MSBY during the year (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	45.70	100.00	100.00	50.00
Date	31-Dec-2014	31-Mar-2020	30-Sep-2020	31-Mar-2023
Comments:	Potential indicator for deletion during restructuring – the project isn't supporting health insurance provided under AAUA			



► Number of specialists working in the outsourced health facilities (and augmenting the positions functionally vacant in the public system) (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	25.00	15.00	30.00	50.00
Date	25-Nov-2016	31-Mar-2020	30-Sep-2020	31-Mar-2023
Comments:	Data as of September 30, 2020			
► People who have received essential health, nutrition, and population (HNP) services (Number, Corporate)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	140,631.00	174,794.00	88,948.00	180,000.00
Date	31-Mar-2016	31-Mar-2020	30-Sep-2020	31-Mar-2023
Comments:	Number of children immunized. Data for - April-2020 to September-2020			
□ Number of children immunized (Number, Corporate Breakdown)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	140,631.00	174,794.00	88,948.00	180,000.00
Date	31-Mar-2016	31-Mar-2020	30-Sep-2020	31-Mar-2023
Stewardship and system improvement component				
► Health personnel receiving training financed by the project- cumulative (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	3.00	7,796.00	5,000.00
Date	25-Nov-2016	31-Mar-2020	30-Sep-2020	31-Mar-2023
Comments:	Data as of September 30, 2020			
► Development and periodical update of a comprehensive disaster response plan at the state level (Text, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	No	No	Done by year 2, updated by year revised by year 6.
Date	25-Nov-2016	31-Mar-2020	30-Sep-2020	31-Mar-2023



Comments: PIT is under process of hiring an agency to develop disaster management plan for the state

Performance-Based Conditions

Data on Financial Performance

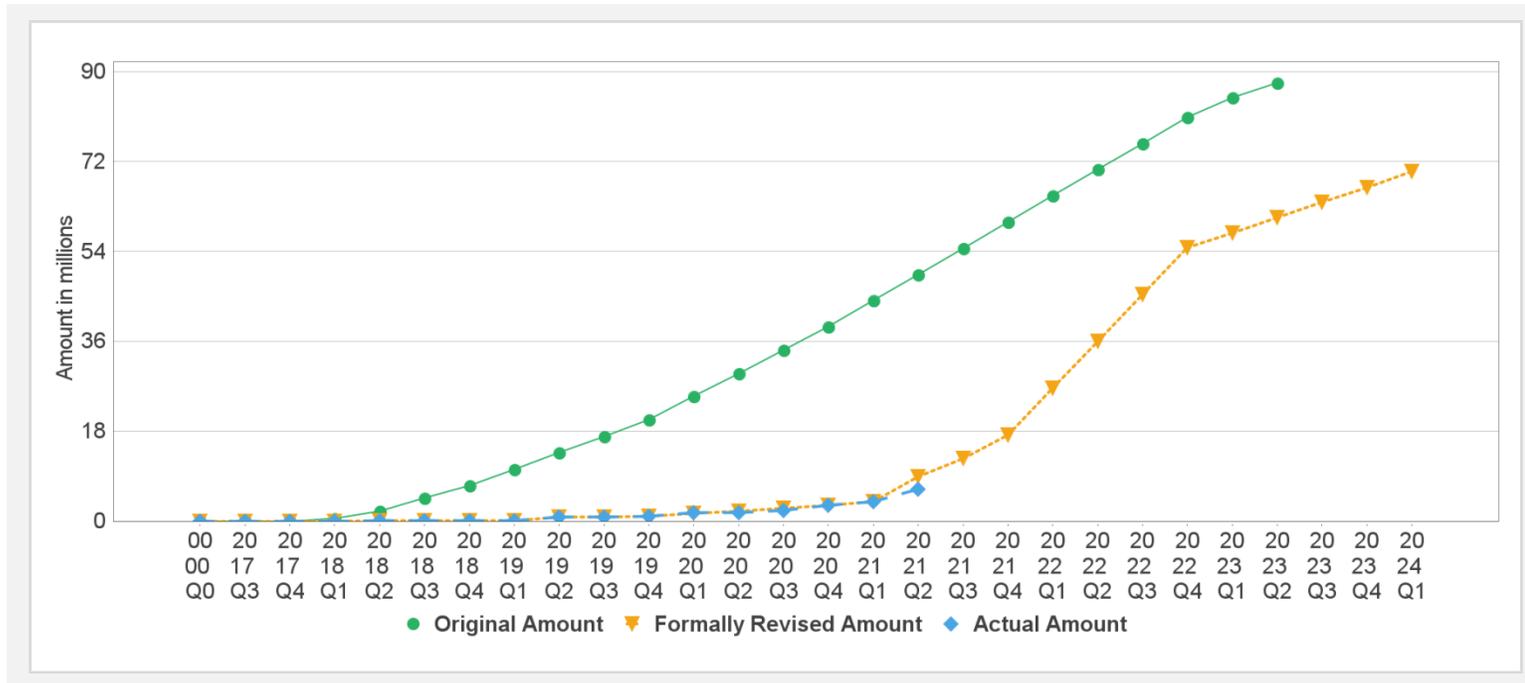
Disbursements (by loan)

Project	Loan/Credit/TF	Status	Currency	Original	Revised	Cancelled	Disbursed	Undisbursed	% Disbursed
P148531	IDA-59480	Effective	USD	100.00	70.00	30.00	6.38	63.62	9.1%

Key Dates (by loan)

Project	Loan/Credit/TF	Status	Approval Date	Signing Date	Effectiveness Date	Orig. Closing Date	Rev. Closing Date
P148531	IDA-59480	Effective	26-Jan-2017	23-Mar-2017	23-May-2017	30-Sep-2023	30-Sep-2023

Cumulative Disbursements





PBC Disbursement

PBC ID	PBC Type	Description	Coc	PBC Amount	Achievement Status	Disbursed amount in Coc	Disbursement % for PBC
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Restructuring History

Level 2 Approved on 30-Oct-2020

Related Project(s)

There are no related projects.
