

## Procurement Plan

### I. General

1. Bank's approval Date of the procurement Plan [*Original: November 26, 2011; Revision 1 of Updated Procurement Plan, August 27, 2019*]
2. Date of General Procurement Notice:
3. Period covered by this procurement plan: *The procurement period of project covered from year August 2019 to December 2022*

### II. Goods and non-consulting services.

1. **Prior Review Threshold:** Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement:

|    | Procurement Method                  | Prior Review Threshold<br>US\$    | Comments  |
|----|-------------------------------------|-----------------------------------|---|
| 1. | NCB (Goods) < US\$ 3 million        | ≥ US\$ 2 million                  |   |
| 2. | Shopping (Goods) < US\$ 0.1 million | NA                                |   |
| 3. | Non-Consultant Services             | Same as for Goods                 |   |
| 4. | Direct Selection                    | Same as for competitive selection | Justification to use direct selection is included in the Procurement Plan |

2. **Prequalification.** Bidders for *Not applicable* shall be prequalified in accordance with the provisions of paragraphs 2.9 and 2.10 of the Guidelines.
3. Summary of the Procurement Packages planned during the first 18 months after project effectiveness ( *including those that are subject to retroactive financing and advanced procurement*)

*[List the Packages which require Bank's prior review first and then the other packages]*

| 1        | 2           | 3                   | 4        | 5                            | 6                             | 7        |
|----------|-------------|---------------------|----------|------------------------------|-------------------------------|----------|
| Ref. No. | Description | Estimated Cost US\$ | Packages | Domestic Preference (yes/no) | Review by Bank (Prior / Post) | Comments |

July 9, 2010

|   |                  |        |   |    |      |  |
|---|------------------|--------|---|----|------|--|
| 1 | Office equipment | 40,000 | 1 | No | Post |  |
|---|------------------|--------|---|----|------|--|

### III. Selection of Consultants

- Prior Review Threshold:** Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

|    | Selection Method                      | Prior Review Threshold            | Comments  |
|----|---------------------------------------|-----------------------------------|---|
| 1. | Competitive Methods (Firms)           | ≥ US\$ 1 million                  |   |
| 2. | Competitive Methods (Individuals)     | ≥ US\$ 0.3 million                |   |
| 3. | Single Source (Firms and Individuals) | Same as for competitive selection | Justification to use direct selection is included in the Procurement Plan |

- Short list comprising entirely of national consultants:** Short list of consultants for services, estimated to cost less than US\$ 500,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.
- CQS threshold:** < US\$ 0.3 million
- Consultancy Assignments with Selection Methods and Time Schedule**

| 1        | 2  | 3                           | 4        | 5                             | 6        |
|----------|--|-----------------------------|----------|-------------------------------|----------|
| Ref. No. | Description of Assignment                                  | Estimated Cost US\$ million | Packages | Review by Bank (Prior / Post) | Comments |
| 1        | Summary of number of contracts that will be let under QCBS | 1.45                        | 2        | Prior and Post                |          |
| 2        | Summary of number of contracts that will be let under      | 0.72                        |          | Post                          | CQS      |
|          |  | 0.12                        |          | Post                          | IC       |

|  |                  |  |  |  |  |
|--|------------------|--|--|--|--|
|  | other<br>methods |  |  |  |  |
|--|------------------|--|--|--|--|

**PROCUREMENT PLAN Vietnam : GEF Mekong Delta Integrated Climate Resilience and Sustainable Livelihoods Project**

**General Information**  
 Country: Vietnam Bank's Approval Date of the Original Procurement Plan: 2019-08-27  
 Revised Plan Date(s): (comma delineated, leave blank if non 2020-11-24  
 Project ID: P159976 GPN Date:  
 Project Name: GEF Mekong Delta Integrated Climate Resilience and Sustainable Livelihoods Project  
 Loan / Credit No: TF / A8261  
 Executing Agency(ies) Central Project Management Unit for M-ICRSL

| Activity Reference No. / Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Procurement Process | Prequalification (Y/N) | High SEA/SH Risk | Procurement Document Type | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Draft Pre-qualification Documents |        | Prequalification Evaluation Report |        | Draft Bidding Document / Justification |        | Specific Procurement Notice / Invitation |        | Bidding Documents as Issued |        | Proposal Submission / Opening / Minutes |        | Bid Evaluation Report and Recommendation for Award |        | Signed Contract |        | Contract Completion |
|--------------------------------------|-------------------|-----------|-------------|--------|-----------------|---------------------|------------------------|------------------|---------------------------|-------------------------|----------------------|----------------|-----------------------------------|--------|------------------------------------|--------|--|--------|--|--------|-----------------------------|--------|---|--------|--|--------|-----------------|--------|---------------------|
|                                      |                   |           |             |        |                 |                     |                        |                  |                           |                         |                      |                | Planned                           | Actual | Planned                            | Actual | Planned                                | Actual | Planned                                  | Actual | Planned                     | Actual | Planned                                 | Actual | Planned  | Actual | Planned         | Actual |                     |

| Activity Reference No. / Description | Loan / Credit No. | Component  | Review Type | Method                 | Market Approach | Procurement Process         | Prequalification (Y/N) | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status         | Draft Pre-qualification Documents |        | Prequalification Evaluation Report |        | Draft Bidding Document / Justification |        | Specific Procurement Notice / Invitation |            | Bidding Documents as Issued |        | Proposal Submission / Opening / Minutes |        | Bid Evaluation Report and Recommendation for Award |        | Signed Contract |        | Contract Completion |            |
|--------------------------------------|-------------------|--|-------------|------------------------|-----------------|-----------------------------|------------------------|-------------------------|----------------------|------------------------|-----------------------------------|--------|------------------------------------|--------|--|--------|--|------------|-----------------------------|--------|---|--------|--|--------|-----------------|--------|---------------------|------------|
|                                      |                   |  |             |                        |                 |                             |                        |                         |                      |                        | Planned                           | Actual | Planned                            | Actual | Planned                                | Actual | Planned                                  | Actual     | Planned                     | Actual | Planned                                 | Actual | Planned  | Actual | Planned         | Actual |                     | Planned    |
| GEF-CPMU-GO-01 / Office equipment    | TF / A8261        | Component 5: Project Management and Implementation Support | Post        | Request for Quotations | Open - National | Single Stage - One Envelope |                        | 40,000.00               | 0.00                 | Pending Implementation |                                   |        |                                    |        |  |        |  | 2019-10-06 |                             |        |   |        |  |        |                 |        | 2019-12-01          | 2020-01-30 |

| Activity Reference No. / Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Procurement Process | Prequalification (Y/N) | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Draft Pre-qualification Documents |        | Prequalification Evaluation Report |        | Draft Bidding Document / Justification |        | Specific Procurement Notice / Invitation |        | Bidding Documents as Issued |        | Proposal Submission / Opening / Minutes |        | Bid Evaluation Report and Recommendation for Award |        | Signed Contract |        | Contract Completion |
|--------------------------------------|-------------------|-----------|-------------|--------|-----------------|---------------------|------------------------|-------------------------|----------------------|----------------|-----------------------------------|--------|------------------------------------|--------|--|--------|--|--------|-----------------------------|--------|---|--------|--|--------|-----------------|--------|---------------------|
|                                      |                   |           |             |        |                 |                     |                        |                         |                      |                | Planned                           | Actual | Planned                            | Actual | Planned                                | Actual | Planned                                  | Actual | Planned                     | Actual | Planned                                 | Actual | Planned  | Actual | Planned         | Actual |                     |

| Activity Reference No. / Description   | Loan / Credit No. | Component   | Review Type | Method                             | Market Approach      | Contract Type | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status         | Terms of Reference  |            | Expression of Interest Notice   |        | Short List and Draft Request for Proposals |                 | Request for Proposals as Issued |            | Opening of Technical Proposals / Minutes |                        | Evaluation of Technical Proposal |            | Combined Evaluation Report and Draft Negotiated Contract |        | Signed Contract |        | Contract Completion |        |         |        |  |
|--|-------------------|---|-------------|------------------------------------|----------------------|---------------|-------------------------|----------------------|------------------------|---|------------|---|--------|--|-----------------|---------------------------------|------------|--|------------------------|----------------------------------|------------|--|--------|-----------------|--------|---------------------|--------|---------|--------|--|
|  |                   |   |             |                                    |                      |               |                         |                      |                        | Planned   | Actual     | Planned   | Actual | Planned                                    | Actual          | Planned                         | Actual     | Planned                                  | Actual                 | Planned                          | Actual     | Planned  | Actual | Planned         | Actual | Planned             | Actual | Planned | Actual |  |
|  |                   |   |             |                                    |                      |               |                         |                      |                        | GEF-CPMU-CS-CQS-01 / Support province in farmer organization, cooperative for scaling up livelihood demonstration | TF / A8261 | Component 1: Enhancing Monitoring, Analytics, and Information Systems | Post   | Consultant Qualification Selection         | Open - National |                                 | 150,000.00 | 0.00                                     | Pending Implementation | 2019-11-01                       | 2019-11-22 | 2020-01-05   |        |                 |        |                     |        |         |        |  |
| GEF-CPMU-CS-CQS-02 / Analytical work on market research including, value chains, enhancing branding and extension services   | TF / A8261        | Component 1: Enhancing Monitoring, Analytics, and Information Systems | Post        | Consultant Qualification Selection | Open - National      |               | 230,000.00              | 0.00                 | Pending Implementation | 2019-10-01  | 2019-10-22 | 2019-12-05  |        |  |                 |                                 |            |  |                        |                                  |            |  |        |                 |        |                     |        |         |        |  |
| GEF-CPMU-CS-CQS-03 / Reportage on Objectives, activities and current livelihoods under ICRLS project   | TF / A8261        | Component 1: Enhancing Monitoring, Analytics, and Information Systems | Post        | Consultant Qualification Selection | Open - National      |               | 25,000.00               | 0.00                 | Pending Implementation | 2019-10-20  | 2019-11-10 | 2019-12-24  |        |  |                 |                                 |            |  |                        |                                  |            |  |        |                 |        |                     |        |         |        |  |
| GEF-CPMU-CS-CQS-04 / Produce a series of documentary - Journey together with Mekong Delta - Agriculture in climate change adaptation   | TF / A8261        | Component 1: Enhancing Monitoring, Analytics, and Information Systems | Post        | Consultant Qualification Selection | Open - National      |               | 85,000.00               | 0.00                 | Pending Implementation | 2019-10-13  | 2019-11-03 | 2019-12-17  |        |  |                 |                                 |            |  |                        |                                  |            |  |        |                 |        |                     |        |         |        |  |
| GEF-CPMU-CS-CQS-05 / Upper Delta - Identify, evaluate, consolidate and select climate smart and climate-resilient practices  | TF / A8261        | Component 2: Managing Floods in the Upper Delta                       | Post        | Consultant Qualification Selection | Open - National      |               | 250,000.00              | 0.00                 | Pending Implementation | 2020-10-10  | 2020-10-31 | 2020-12-14  |        |  |                 |                                 |            |  |                        |                                  |            |  |        |                 |        |                     |        |         |        |  |
| GEF-CPMU-CS-CQS-07 / Operation Manual for D Mon Xa No system   | TF / A8261        | Component 2: Managing Floods in the Upper Delta                       | Post        | Consultant Qualification Selection | Open - National      |               | 250,000.00              | 0.00                 | Pending Implementation | 2020-09-01  | 2020-09-22 | 2020-11-05  |        |  |                 |                                 |            |  |                        |                                  |            |  |        |                 |        |                     |        |         |        |  |
| GEF-CPMU-CS-CQS-09 / Delta Estuary - Identify, evaluate, consolidate and select climate smart and climate-resilient practices  | TF / A8261        | Component 3: Adapting to Salinity Transitions in the Delta Estuary    | Post        | Consultant Qualification Selection | Open - National      |               | 250,000.00              | 0.00                 | Pending Implementation | 2020-09-13  | 2020-10-04 | 2020-11-17  |        |  |                 |                                 |            |  |                        |                                  |            |  |        |                 |        |                     |        |         |        |  |
| GEF-CPMU-CS-CQS-11 / Delta Peninsula - Identify, evaluate, consolidate and select climate smart and climate-resilient practices  | TF / A8261        | Component 4: Protecting Coastal Areas in the Delta Peninsula          | Post        | Consultant Qualification Selection | Open - National      |               | 250,000.00              | 0.00                 | Pending Implementation | 2020-09-13  | 2020-10-04 | 2020-11-17  |        |  |                 |                                 |            |  |                        |                                  |            |  |        |                 |        |                     |        |         |        |  |
| GEF-CPMU-CS-CQS-12 / Study on alternative water resource creation for domestic use and industrial production including agricultural and fishery processing in coastal area of the Camau peninsula for controlling land subsidence due to ground water extraction | TF / A8261        | Component 4: Protecting Coastal Areas in the Delta Peninsula          | Post        | Consultant Qualification Selection | Open - National      |               | 200,000.00              | 0.00                 | Pending Implementation | 2020-09-13  | 2020-10-04 | 2020-11-17  |        |  |                 |                                 |            |  |                        |                                  |            |  |        |                 |        |                     |        |         |        |  |
| GEF-CPMU-CS-QCBS-01 / Identify, evaluate, consolidate and select climate smart and climate-resilient practices   | TF / A8261        | Component 2: Managing Floods in the Upper Delta                       | Prior       | Quality And Cost-Based Selection   | Open - International |               | 1,000,000.00            | 0.00                 | Cancelled              | 2019-11-17  | 2019-12-08 | 2020-01-21  |        |  |                 |                                 |            |  |                        |                                  |            |  |        |                 |        |                     |        |         |        |  |

|   |            |   |      |                                    |                      |  |            |      |                        |            |  |            |  |            |  |  |  |            |            |            |            |  |            |
|---|------------|---|------|------------------------------------|----------------------|--|------------|------|------------------------|------------|--|------------|--|------------|--|--|--|------------|------------|------------|------------|--|------------|
| GEF-CPMU-CS-QCBS-02 / Development of knowledge product of climate-resilient Agriculture, Aquaculture, Water and Forestry (AAWF) practices | TF / A8261 | Component 2: Managing Floods in the Upper Delta | Post | Quality And Cost-Based Selection   | Open – International |  | 450,000.00 | 0.00 | Canceled               | 2020-07-26 |  | 2020-06-16 |  | 2020-09-29 |  |  |  | 2020-10-27 | 2020-11-26 | 2020-12-31 | 2021-02-04 |  | 2022-02-04 |
| GEF-CPMU-CS-CQS-08 / Strategy of development cluster to 2030  | TF / A8261 | Component 2: Managing Floods in the Upper Delta | Post | Consultant Qualification Selection | Open – National      |  | 210,000.00 | 0.00 | Pending Implementation | 2021-04-11 |  | 2021-05-02 |  | 2021-06-15 |  |  |  |            |            | 2021-07-15 | 2021-08-19 |  | 2022-08-19 |
| GEF-CPMU-CS-CQS-06 / Development of knowledge product of climate-resilient Agriculture, Aquaculture, Water and Forestry (AAWF) practices  | TF / A8261 | Component 2: Managing Floods in the Upper Delta | Post | Consultant Qualification Selection | Open – National      |  | 280,000.00 | 0.00 | Pending Implementation | 2021-04-04 |  | 2021-04-25 |  | 2021-06-08 |  |  |  |            |            | 2021-07-08 | 2021-08-12 |  | 2022-08-12 |

| INDIVIDUAL CONSULTANTS                                |                   |   |             |                                 |                 |               |                         |                      |                        |                    |        |  |        |                           |        |                 |        |                     |        |
|---|-------------------|---|-------------|---------------------------------|-----------------|---------------|-------------------------|----------------------|------------------------|--------------------|--------|--|--------|---------------------------|--------|-----------------|--------|---------------------|--------|
| Activity Reference No. / Description                  | Loan / Credit No. | Component   | Review Type | Method                          | Market Approach | Contract Type | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status         | Terms of Reference |        | Invitation to Identified/Selected Consultant |        | Draft Negotiated Contract |        | Signed Contract |        | Contract Completion |        |
|   |                   |   |             |                                 |                 |               |                         |                      |                        | Planned            | Actual | Planned                                      | Actual | Planned                   | Actual | Planned         | Actual | Planned             | Actual |
| GEF-CPMU-CS-IC-01 / National communication expert     | TF / A8261        | Component 1: Enhancing Monitoring, Analytics, and Information Systems | Post        | Individual Consultant Selection | Open            |               | 40,000.00               | 0.00                 | Pending Implementation | 2019-10-01         |        | 2019-11-19                                   |        | 2019-12-10                |        | 2019-12-27      |        | 2022-12-27          |        |
| GEF-CPMU-CS-IC-02 / National Aquaculture expert       | TF / A8261        | Component 1: Enhancing Monitoring, Analytics, and Information Systems | Post        | Individual Consultant Selection | Open            |               | 40,000.00               | 0.00                 | Pending Implementation | 2019-10-01         |        | 2019-11-19                                   |        | 2019-12-10                |        | 2019-12-27      |        | 2022-12-27          |        |
| GEF-CPMU-CS-IC-03 / National Agricultural expert      | TF / A8261        | Component 1: Enhancing Monitoring, Analytics, and Information Systems | Post        | Individual Consultant Selection | Open            |               | 40,000.00               | 0.00                 | Pending Implementation | 2019-10-01         |        | 2019-11-19                                   |        | 2019-12-10                |        | 2019-12-27      |        | 2022-12-27          |        |
| GEF-CPMU-CS-DC-01 / Project Monitoring and Evaluation | TF / A8261        | Component 1: Enhancing Monitoring, Analytics, and Information Systems | Post        | Direct Selection                | Direct          |               | 200,000.00              | 0.00                 | Pending Implementation | 2020-11-29         |        | 2020-12-09                                   |        |                           |        | 2021-02-12      |        | 2022-12-31          |        |