

RESULTS-BASED NATIONAL URBAN DEVELOPMENT PROGRAM - NORTHERN MOUNTAINS (P143596)

EAST ASIA AND PACIFIC | Vietnam | Social, Urban, Rural and Resilience Global Practice Global Practice | IBRD/IDA | Program-for-Results | FY 2014 | Seq No: 4 | ARCHIVED on 17-Nov-2015 | ISR21396 |

Implementing Agencies: Ministry of Construction

Program Development Objectives

Program Development Objective (from Program-for-Results Appraisal Document)

The proposed program development objective is to strengthen the capacity of participating Northern Mountains cities to plan, implement and sustain urban infrastructure.

Overall Ratings


Name	Previous Rating	Current Rating
Progress towards achievement of PDO	● Moderately Satisfactory	● Moderately Satisfactory
Overall Implementation Progress (IP)	● Moderately Satisfactory	● Moderately Satisfactory
Overall Risk Rating	● Substantial	● Substantial

Implementation Status and Key Decisions

The Program is in the early stages of implementation, with the official start date as January 1, 2015. Ten months into Program implementation, among the seven participating cities, three are advancing relatively well across most results areas thanks to advance preparation activities. However, the other four are trailing behind mostly due to weak coordination between different stakeholders, low capacity in procurement and contract management, and lack of counterpart funds for land acquisition and compensation. The technical assistance from MOC has been slowly mobilized to support the cities in Program implementation. For the second group of cities, the risk of not achieving some DLIs for 2015 is augmented if significant efforts are not immediately taken.

Data on Financial Performance

Disbursements (by loan)

Project	Loan/Credit/TF	Status	Currency	Original	Revised	Cancelled	Disbursed	Undisbursed	Disbursed
P143596	IDA-54760	Effective	XDR	161.80	161.80	0.00	5.79	156.01	 4%

Key Dates (by loan)

Project	Loan/Credit/TF	Status	Approval Date	Signing Date	Effectiveness Date	Orig. Closing Date	Rev. Closing Date
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P143596 IDA-54760 Effective 05-Jun-2014 17-Jul-2014 30-Oct-2014 30-Jun-2021 --

Disbursement Linked Indicators (DLI)

► Enhanced Annual City Plans approved and disclosed to the public (Text)

	Baseline	Actual (Previous)	Actual (Current)
Value	0 Plan approved and disclosed	7 Plans approved and disclosed	7 Plans approved and disclosed
Date	01-May-2014	--	05-Oct-2015

Comments

All 7 participating cities have approved and disclosed their Enhanced Annual City Plans. Actual achievement will be verified by June 2016 for first year results.

► Professionally-staffed management units in place within each Participating City People's Committee (Text)

	Baseline	Actual (Previous)	Actual (Current)
Value	0 PMU in place	7 PMUs in place, but only 2/7 fully staffed as per POM	7 PMUs in place and fully staffed as per POM
Date	01-May-2014	--	05-Oct-2015

Comments

All cities have confirmed the list of staff as per POM. Actual achievements will be verified by June 2016 for first year results.

► Local urban infrastructure investments delivered as per each Participating City's approved Enhanced Annual City Plan (Text)

	Baseline	Actual (Previous)	Actual (Current)
Value	0 sub project completed	0 sub project completed	4 sub project completed
Date	01-May-2014	--	05-Oct-2015

Comments

4 sub-projects have been completed in Thai Nguyen and Bac Kan. Actual achievement will be verified by June 2016 for first year results.

► Asset management plan adopted and local urban infrastructure sub-projects in full service after completion (Text)

	Baseline	Actual (Previous)	Actual (Current)
Value	0 Asset Management Plan adopted/0 sub-project	0 Asset Management Plan adopted/0 sub-project	0 Asset Management Plan adopted/0 sub-project completed



	completed	completed	
Date	01-May-2014	--	05-Oct-2015

Comments

The cities will be supported by MOC through the Capacity Building activities to carry out their Asset Condition Assessments and Asset Management Plans for DLI 3.1. Actual achievement will be verified by June 2016 for first year results.

► Increased annual own-sources revenue in Participating Cities (Text)

	Baseline	Actual (Previous)	Actual (Current)
Value	5 cities with increase annual own-sources revenue of at least 12%	No annual revenue measure taken	No annual revenue measure taken
Date	01-May-2014	--	05-Oct-2015

Comments

The submitted budget for DLI 1.1 had reflected the commitment to increase owned source revenue by 12% to achieve DLI 3.2. All cities except Thai Nguyen have reported the high possibility to achieve this DLI. Actual achievement will be verified by June 2016 for first year results.

► Implementation Strategy for National Urban Development Program adopted with annual milestones (Text)

	Baseline	Actual (Previous)	Actual (Current)
Value	No annual milestone applied	No annual milestone achieved	No annual milestone achieved
Date	01-May-2014	--	05-Oct-2015

Comments

Work is ongoing. Actual achievement will be verified by June 2016 for first year results.

► Professionally-staffed unit in place in MOC, preparation of Annual Capacity Development Plans, and capacity building support provided to cities in accordance with such plans (Yes/No)

	Baseline	Actual (Previous)	Actual (Current)
Value	N	N	N
Date	01-May-2014	--	05-Oct-2015

Comments

MOC PMU staff are in place as per POM. The Annual Capacity Development Plan has been approved and trainings will need to be carried out. Actual achievement will be verified by June 2016 for first year results.



► Completed Program Report (Yes/No)

	Baseline	Actual (Previous)	Actual (Current)
Value	N	N	N
Date	01-May-2014	--	05-Oct-2015

Comments

Actual achievement will be verified by June 2016 for first year results.

Results

Results Area

Intermediate Results Area

Institutional Strengthening at the City Level

Infrastructure Delivered

Sustainability of Infrastructure

National Policy, Support and Oversight

Project Development Objective Indicators

► Enhanced Annual City Plans approved and disclosed to the public (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	7.00	7.00	7.00
Date	01-May-2014	01-Jun-2015	05-Oct-2015	30-Jun-2021

Comments

Actual results will be verified by June 2016.

► Asset Management Plan adopted and local urban infrastructure sub-projects in full service after completion (Yes/No, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	N	N	N	Y
Date	01-May-2014	01-Jun-2015	05-Oct-2015	30-Jun-2021



Comments

Actual results will be verified by June 2016.

► Implementation Strategy for National Urban Development Program adopted with annual milestones (Yes/No, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	N	N	N	Y
Date	01-May-2014	01-Jun-2015	05-Oct-2015	30-Jun-2021

Comments

Actual results will be verified by June 2016.

► Total number of people benefiting from Program (disaggregated by gender) (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	759300.00
Date	01-May-2014	01-Jun-2015	05-Oct-2015	30-Jun-2021

Comments

Actual results will be verified by June 2016.

▲ Number of male beneficiaries from the Program (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	--
Date	01-May-2014	01-Jun-2015	05-Oct-2015	30-Jun-2021

▲ Number of female beneficiaries from the Program (Number, Custom Breakdown)



	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	--
Date	01-May-2014	01-Jun-2015	05-Oct-2015	--

Overall Comments

Intermediate Results Indicators

► Professionally-staffed management units in place within each Participating City People's Committee (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	5.00	7.00	7.00
Date	01-May-2014	01-Jun-2015	05-Oct-2015	30-Jun-2021

Comments

Actual results will be verified by June 2016.

► People benefiting from city primary/secondary infrastructure services (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	651500.00
Date	01-May-2014	01-Jun-2015	05-Oct-2015	30-Jun-2021

Comments

Actual results will be verified by June 2016.

► People benefiting from improved tertiary/social infrastructure services (disaggregated by gender) (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	107800.00
Date	01-May-2014	01-Jun-2015	05-Oct-2015	30-Jun-2021

Comments

Actual results will be verified by June 2016.



▲ Number of women benefiting from improved tertiary/social infrastructure services (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	--
Date	01-May-2014	01-Jun-2015	05-Oct-2015	--

▲ Number of men benefiting from improved tertiary/social infrastructure services (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	--
Date	01-May-2014	01-Jun-2015	05-Oct-2015	--

▶ Ethnic Minority beneficiaries (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	14.00
Date	01-May-2014	01-Jun-2015	05-Oct-2015	30-Jun-2021

Comments

Actual results will be verified by June 2016.

▲ Male ethnic minority beneficiaries (Percentage, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	--
Date	01-May-2014	01-Jun-2015	05-Oct-2015	30-Jun-2021

▲ Female ethnic minority beneficiaries (Percentage, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
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Value	0.00	0.00	0.00	--
Date	01-May-2014	01-Jun-2015	05-Oct-2015	30-Jun-2021

► New and upgraded roads completed (Kilometers, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	101.90
Date	01-May-2014	01-Jun-2015	05-Oct-2015	30-Jun-2021

Comments

Actual results will be verified by June 2016.

► Resettlement areas completed (Hectare(Ha), Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	236.00
Date	01-May-2014	01-Jun-2015	05-Oct-2015	30-Jun-2021

Comments

Actual results will be verified by June 2016.

► LIAs upgraded (Hectare(Ha), Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	333.00
Date	01-May-2014	01-Jun-2015	05-Oct-2015	30-Jun-2021

Comments

Actual results will be verified by June 2016.

► Increase in own-sources revenue (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
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Value	0.00	0.00	0.00	7.00
Date	01-May-2014	01-Jun-2015	05-Oct-2015	30-Jun-2021

Comments

Actual results will be verified by June 2016.

► Professionally-staffed unit in place in MOC; and capacity support for cities provided as per Annual Capacity Development Plan (Text, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	PMU not consolidated, No capacity development plan or activity is available.	9/10 required staff are in place; Capacity Development Plan is approved and implemented.	10/10 required staff are in place; Capacity Development Plan is approved and being implemented.	100% of staff in place in MOC and capacity support is fully provided as per Annual Capacity Development Plan
Date	01-May-2014	01-Jun-2015	05-Oct-2015	30-Jun-2021

Comments

Actual results will be verified by June 2016.

► Completed Program report (Yes/No, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	N	N	N	Y
Date	01-May-2014	01-Jun-2015	05-Oct-2015	30-Jun-2021

Comments

Actual results will be verified by June 2016.

Overall Comments