



Kerala Local Government and Service Delivery (P102624)

SOUTH ASIA | India | Social, Urban, Rural and Resilience Global Practice Global Practice |
IBRD/IDA | Specific Investment Loan | FY 2011 | Seq No: 11 | ARCHIVED on 03-Mar-2017 | ISR27117 |

Implementing Agencies:

Key Dates

Key Project Dates

Bank Approval Date:29-Mar-2011

Effectiveness Date:16-Sep-2011

Planned Mid Term Review Date:02-Feb-2014

Actual Mid-Term Review Date:20-Jan-2014

Original Closing Date:31-Dec-2015

Revised Closing Date:30-Jun-2017

Project Development Objectives

Project Development Objective (from Project Appraisal Document)

To enhance and strengthen the institutional capacity of the local government system in Kerala to deliver services and undertake basic administrative and governance functions more effectively and in a sustainable manner.

Has the Project Development Objective been changed since Board Approval of the Project Objective?

No

Components

Name

Performance Grants:(Cost \$238.60 M)

Capacity Building:(Cost \$10.60 M)

Enhancing State Monitoring of the Local Government System:(Cost \$3.30 M)

Project Management:(Cost \$6.30 M)

Overall Ratings

Name	Previous Rating	Current Rating
Progress towards achievement of PDO	● Moderately Satisfactory	● Moderately Satisfactory
Overall Implementation Progress (IP)	● Moderately Unsatisfactory	● Moderately Unsatisfactory



Overall Risk Rating

● Moderate

● Moderate

Implementation Status and Key Decisions

The Project remains relevant and achievable with two out of the three PDO indicators, and seven out of nine component indicators, reaching its yearly targets. The implementation of the support to the backward/tribal GPs and revenue deficit municipalities, as well as the allocation of resources for the fifth round of performance grants has shown good progress since the last mission, but they continue to carry some delays from the past, compromising the timely completion and documentation of all the grant-financed investments. To ensure timely and high quality implementation of the grant investments it was agreed that: (i) all contracts financed by the additional support to the backward/tribal GPs and revenue deficit municipalities, must be signed by March 31, 2017; (ii) that procedures to ensure prompt documentation will be put in place; and (iii) that Government will ensure that there is a budget provision for the Project by April 15, 2017, the latest. Also, due to lack of absorption capacity and the fact that the project's closing date is rapidly approaching, it was agreed that GoK will request the partial cancelation of the undisbursed IDA balance of approximately US\$7.1 million.









Risks

Systematic Operations Risk-rating Tool

Risk Category	Rating at Approval	Previous Rating	Current Rating
Political and Governance	--	● Moderate	● Moderate
Macroeconomic	--	● Low	● Low
Sector Strategies and Policies	--	● Low	● Low
Technical Design of Project or Program	--	● Moderate	● Moderate
Institutional Capacity for Implementation and Sustainability	--	● Moderate	● Moderate
Fiduciary	--	● Moderate	● Moderate
Environment and Social	--	● Moderate	● Moderate
Stakeholders	--	● Low	● Low
Other	--	--	--
Overall	--	● Moderate	● Moderate

Results

Project Development Objective Indicators

► Indicator one: 70% GPs and Municipalities will have passed the performance assessments for well-functioning fiduciary, planning and service delivery systems 18 (total =1030) (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	87.00	87.00	85.00
Date	24-Feb-2011	06-Oct-2016	22-Feb-2017	30-Jun-2017

Comments

The value corresponds to the last (third APA and fifth PBG) cycle. No more assessments will be conducted in the project. The EOP target has already been met.



► Indicator two: A well-established performance based grant system in increasingly financed by GoK (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	65.30	65.30	60.00
Date	24-Feb-2011	06-Oct-2016	22-Feb-2017	30-Jun-2017

Comments

The EOP target has already been met.

► Indicator three: The number of direct project beneficiaries of which a certain number are female. (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	21,000,000.00	21,000,000.00	29,500,000.00
Date	24-Feb-2011	06-Oct-2016	22-Feb-2017	30-Jun-2017

Comments

A more accurate number of beneficiaries will be estimated once all the sub-projects of the fifth PBG cycle are implemented.

► Direct project beneficiaries (Number, Core)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	21,000,000.00	21,000,000.00	29,500,000.00
Date	11-Feb-2014	16-May-2016	22-Feb-2017	30-Jun-2017

▲ Female beneficiaries (Percentage, Core Supplement)



	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	77.60	77.60	100.00

Overall Comments

Intermediate Results Indicators

► Indicator one: By EOP, #/types of sub projects built by GPs and Municipalities through block grant (Text, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	33,173	33,173	#/type of subprojects from block grants are not predetermined. However, the project will be reporting upon the #/type of outputs through semi-annual reports.
Date	24-Feb-2011	06-Oct-2016	22-Feb-2017	30-Jun-2017

Comments

Preliminary numbers for the fifth round of PBG indicate that about 8,000+ additional sub-projects will be added. The actual number will be reported on the next ISR.



► Indicator two: Performance of all GPs and Municipalities is assessed each year. (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	100.00	100.00	100.00
Date	24-Feb-2011	06-Oct-2016	22-Feb-2017	30-Jun-2017

Comments

The final APA of the program was successfully completed at the end of June 2016.

► Indicator one: LSGs received training over life of project in areas mentioned under component 2. (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	1,028.00	1,028.00	1,028.00
Date	24-Feb-2011	06-Oct-2016	22-Feb-2017	30-Jun-2017

► Indicator two: KILA's capacity developed to provide enhanced training to GPs and Municipalities (Text, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	Quality as assessed by independent evaluation.	The capacity enhancement of KILA will only be known after the institutional strengthening study being undertaken by KPMG is completed by October 31. Results will be reported in the next ISR.	The capacity enhancement of KILA will only be known after the institutional strengthening study being undertaken by KPMG is completed. A first final draft has been shared with the Bank and the results will be reported in the next ISR.	Quality improved as assessed during final evaluation.
Date	24-Feb-2011	06-Oct-2016	22-Feb-2017	30-Jun-2017



► Indicator three: Production of draft manuals in the areas of budget, planning, financial mgmt, public works/procurement, institutional mgmt. (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	11.00	11.00	4.00
Date	24-Feb-2011	06-Oct-2016	22-Feb-2017	30-Jun-2017

Comments

The 11 Manual have been completed. 6 have been issued as Government Orders (GOs). The remaining GOs will be issues by March 31, 2017.

► Indicator one: GP database established and operating with annual reports produced (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	1.00	1.00	1.00
Date	24-Feb-2011	06-Oct-2016	06-Oct-2016	16-Jun-2017

► Indicator two: Decentralization Analysis Cell (DAC) providing annual fiscal reports (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	2.00	2.00	3.00
Date	24-Feb-2011	06-Oct-2016	06-Oct-2016	30-Jun-2017

► Indicator three: Project studies/surveys complete (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	4.00	4.00	4.00
Date	24-Feb-2011	06-Oct-2016	06-Oct-2016	30-Jun-2017



▶ Indicator one: LSGD PMU fully staffed and functioning to provide project support and oversight to GPs & Municipalities (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	6.00	10.00	10.00	10.00
Date	24-Feb-2011	06-Oct-2016	06-Oct-2016	30-Jun-2017

▶ Intended beneficiaries aware of project info. and project investments (%) (Percentage, Core)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	72.40	72.40	100.00
Date	11-Feb-2014	16-May-2016	16-May-2016	30-Jun-2017

▲ Intended beneficiaries aware of project info. and project investments - female (Number, Core Supplement)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	14,750,000.00	14,750,000.00	21,000,000.00

▲ Intended beneficiaries aware of project info. and project investments –male (Number, Core Supplement)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	7,500,000.00	7,500,000.00	11,000,000.00



Intended beneficiaries - female (number) (Number, Core Supplement)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	14,750,000.00	14,750,000.00	20,000,000.00

Intended beneficiaries - male (number) (Number, Core Supplement)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	7,500,000.00	7,500,000.00	12,000,000.00


Number of backward/tribal LGs that execute the additional grant support on time (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	51.00
Date	14-Jul-2016	06-Oct-2016	06-Oct-2016	30-Jun-2017

Overall Comments

Data on Financial Performance

Disbursements (by loan)

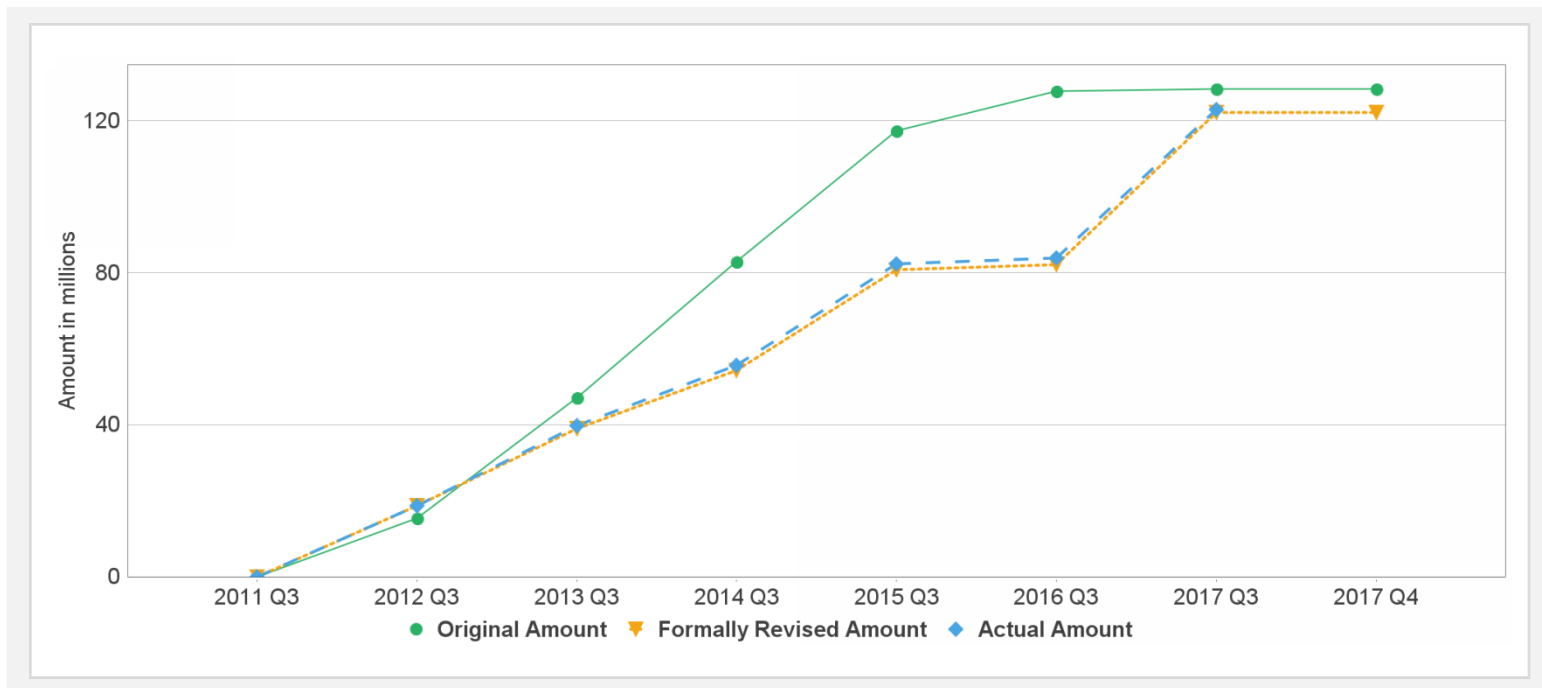
Project	Loan/Credit/TF	Status	Currency	Original	Revised	Cancelled	Disbursed	Undisbursed	Disbursed
P102624	IDA-48720	Effective	XDR	128.10	128.10	0.00	122.83	5.27	 96%

Key Dates (by loan)



Project	Loan/Credit/TF	Status	Approval Date	Signing Date	Effectiveness Date	Orig. Closing Date	Rev. Closing Date
P102624	IDA-48720	Effective	29-Mar-2011	04-Jul-2011	16-Sep-2011	31-Dec-2015	30-Jun-2017

Cumulative Disbursements



Restructuring History

There has been no restructuring to date.

Related Project(s)

There are no related projects.