

### PROCUREMENT PLAN (Textual Part)

|                                                 |                     |                                                    |
|-------------------------------------------------|---------------------|----------------------------------------------------|
| <b>Project information</b>                      | Country:            | Republic of Tajikistan                             |
|                                                 | Full Project Name:  | Dushanbe Water Supply and WasteWater Project       |
|                                                 | Total Finance (\$): | USD 32 million                                     |
|                                                 | Project Number:     | P163734                                            |
| <b>Project Implementation agency</b>            |                     | State Unitary Enterprise "Dushanbevodokanal" (DVK) |
| <b>Date of the Procurement Plan</b>             |                     | May 2, 2020 (initial)                              |
| <b>Period covered by this Procurement Plan:</b> |                     | Project duration (till December 30, 2024)          |

#### Preamble

In accordance with paragraph 5.9 of the "World Bank Procurement Regulations for IPF Borrowers" (July 2016, revised November 2017) ("Procurement Regulations") the Bank's Systematic Tracking and Exchanges in Procurement (STEP) system will be used to prepare, clear and update Procurement Plans and conduct all procurement transactions for the Project.

This textual part along with the Procurement Plan tables in STEP constitute the Procurement Plan for the Project. The following conditions apply to all procurement activities in the Procurement Plan. The other elements of the Procurement Plan as required under paragraph 4.4 of the Procurement Regulations are set forth in STEP.

**The Bank's Standard Procurement Documents:** shall be used for all contracts subject to international competitive procurement and those contracts as specified in the Procurement Plan tables in STEP.

#### National Procurement Arrangements:

In accordance with paragraph 5.3 of the Procurement Regulations, when approaching the national market (as specified in the Procurement Plan tables in STEP), procurement under World Bank financed operations may be carried out in accordance with "Tendering with Unlimited Participation" method set forth in the Law of the Republic of Tajikistan "On Public Procurement of Goods, Works and Services", # 168 dated March 3, 2006 (as amended by Law #815, the "Law of the Republic of Tajikistan on "Introduction of Amendments and Additions to the Law of the Republic of Tajikistan on 'Public Procurement of Goods, Works and Services'" dated April 16, 2012), provided that such arrangements continue to meet requirements of the paragraph 5.4 of the Procurement Regulations and the following conditions:

- The request for bids/request for proposals document shall require that bidders/proposers submitting bids/proposals present a signed acceptance at the time of bidding, to be incorporated in any resulting contracts, confirming application of, and compliance with, Bank Anti-Corruption Guidelines, including without limitation the Bank's right to sanction and the Bank's inspection and audit rights;
- The request for bids/request for proposals document, including contract forms, acceptable to the Bank shall be used.
- Borrower shall put in place an effective complaints review mechanism with procurement related complaints, and shall disclose the details in all the bidding documents. All complaints shall be recorded by the Borrower in the appropriate tracking and monitoring system, as agreed between the Bank and the Borrower.
- No preference shall be applied under competitive bidding following national market approach.

When other national procurement arrangements other than national open competitive procurement arrangements are applied by the Borrower, such arrangements shall be subject to paragraph 5.5 of the Procurement Regulations. Other national procurement arrangements such as as tendering with limited participation, request for quotations, direct contracting, may be used on the above stated conditions.

**Leased Assets** as specified under paragraph 5.10 of the Procurement Regulations: *"Not Applicable"*

**Procurement of Second Hand Goods** as specified under paragraph 5.11 of the Procurement Regulations *"Not Applicable"*

**Domestic preference** as specified under paragraph 5.51 of the Procurement Regulations **(Goods and Works):** *"Not Applicable"*

**PROCUREMENT PLAN** Tajikistan : Dushanbe Water Supply and Wastewater Project

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|--------------------------------------------------------------------|----------------------------------------------|
| <b>General Information</b>                                         |                                              |
| <b>Country:</b>                                                    | Tajikistan                                   |
| <b>Bank's Approval Date of the Original Procurement Plan:</b>      | 2020-06-17                                   |
| <b>Project ID:</b>                                                 | P163734                                      |
| <b>Revised Plan Date(s): (comma delimited, leave blank if not)</b> | 2020-11-20                                   |
| <b>Project Name:</b>                                               | Dushanbe Water Supply and Wastewater Project |
| <b>GN Date:</b>                                                    |                                              |
| <b>Loan / Credit No:</b>                                           | IDA / D4780                                  |
| <b>Executing Agency(ies)</b>                                       | DushanbeVodoKanal                            |

| Activity Reference No. / Description                                        | Loan / Credit No. | Component                                                                        | Review Type | Method           | Market Approach | Procurement Process         | Prequalification (Y/N) | High SEA/SH Risk | Procurement Document Type | Actual Amount (US\$) | Process Status         | Draft Pre-qualification Documents |        | Prequalification Evaluation Report |        | Draft Bidding Document / Justification |            | Specific Procurement Notice / Invitation |        | Bidding Documents as Issued |        | Proposal Submission / Opening / Minutes |            | Bid Evaluation Report and Recommendation for Award |            | Signed Contract |            | Contract Completion |            |
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|                                                                             |                   |                                                                                  |             |                  |                 |                             |                        |                  |                           |                      |                        | Planned                           | Actual | Planned                            | Actual | Planned                                | Actual     | Planned                                  | Actual | Planned                     | Actual | Planned                                 | Actual     | Planned                                            | Actual     | Planned         | Actual     | Planned             | Actual     |
|                                                                             |                   |                                                                                  |             |                  |                 |                             |                        |                  |                           |                      |                        |                                   |        |                                    |        |                                        |            |                                          |        |                             |        |                                         |            |                                                    |            |                 |            |                     |            |
| DWSWFW-03 / Replacement of main water pipelines                             | IDA / D4780       | Water and Wastewater Systems Improvements                                        | Post        | Request for Bids | Open - National | Single Stage - One Envelope |                        |                  |                           | 0.00                 | Pending Implementation |                                   |        |                                    |        | 2020-08-10                             |            | 2020-08-19                               |        |                             |        |                                         | 2020-10-05 |                                                    | 2020-11-05 |                 | 2020-12-18 |                     | 2022-12-19 |
| DWSWFW-04 / Replacement of main water pipelines                             | IDA / D4780       | Water and Wastewater Systems Improvements                                        | Post        | Request for Bids | Open - National | Single Stage - One Envelope |                        |                  |                           | 0.00                 | Pending Implementation |                                   |        |                                    |        | 2020-09-21                             |            | 2020-09-30                               |        |                             |        |                                         | 2020-11-19 |                                                    | 2020-12-21 |                 | 2021-02-02 |                     | 2023-02-03 |
| DWSWFW-05 / Construction of two water storage tanks (est. 6,000m3 each)     | IDA / D4780       | Water and Wastewater Systems Improvements                                        | Post        | Request for Bids | Open - National | Single Stage - One Envelope |                        |                  |                           | 0.00                 | Pending Implementation |                                   |        |                                    |        | 2020-10-20                             |            | 2020-10-29                               |        |                             |        |                                         | 2020-12-21 |                                                    | 2021-01-22 |                 | 2021-03-06 |                     | 2023-03-07 |
| DWSWFW-06 / Replacement of sewer collectors and sewer pipelines             | IDA / D4780       | Water and Wastewater Systems Improvements                                        | Post        | Request for Bids | Open - National | Single Stage - One Envelope |                        |                  |                           | 0.00                 | Pending Implementation |                                   |        |                                    |        | 2020-11-20                             |            | 2020-11-29                               |        |                             |        |                                         | 2021-01-21 |                                                    | 2021-02-22 |                 | 2021-04-06 |                     | 2023-04-07 |
| DWSWFW-07 / Replacement of sewer collectors and sewer pipelines             | IDA / D4780       | Water and Wastewater Systems Improvements                                        | Post        | Request for Bids | Open - National | Single Stage - One Envelope |                        |                  |                           | 0.00                 | Pending Implementation |                                   |        |                                    |        | 2020-12-28                             |            | 2021-01-06                               |        |                             |        |                                         | 2021-03-01 |                                                    | 2021-04-02 |                 | 2021-05-15 |                     | 2023-05-16 |
| DWSWFW-10 (2 LOTS) / Installation of household water meters (around 40,000) | IDA / D4780       | Institutional Strengthening, Capacity Building of DVK and Water Meters Provision | Post        | Request for Bids | Open - National | Single Stage - One Envelope |                        |                  |                           | 0.00                 | Pending Implementation |                                   |        |                                    |        | 2020-10-07                             |            | 2020-10-12                               |        |                             |        |                                         | 2020-12-02 |                                                    | 2021-01-04 |                 | 2021-02-22 |                     | 2024-02-22 |
| DWSWFW-01 / Replacement of main water pipelines                             | IDA / D4780       | Water and Wastewater Systems Improvements                                        | Post        | Request for Bids | Open - National | Single Stage - One Envelope |                        |                  |                           | 0.00                 | Under Implementation   |                                   |        |                                    |        | 2020-06-25                             | 2020-09-02 | 2020-07-14                               |        |                             |        |                                         | 2020-09-03 | 2020-10-06                                         | 2020-10-13 |                 | 2020-11-27 |                     | 2022-11-28 |
| DWSWFW-02 / Replacement of main water pipelines                             | IDA / D4780       | Water and Wastewater Systems Improvements                                        | Post        | Request for Bids | Open - National | Single Stage - One Envelope |                        |                  |                           | 0.00                 | Under Implementation   |                                   |        |                                    |        | 2020-09-16                             | 2020-09-23 | 2020-09-24                               |        |                             |        |                                         | 2020-11-10 | 2020-09-29                                         | 2020-12-11 |                 | 2021-01-23 |                     | 2023-01-24 |

| Activity Reference No. / Description                                                                           | Loan / Credit No. | Component                                 | Review Type | Method           | Market Approach | Procurement Process         | Prequalification (Y/N) | Actual Amount (US\$) | Process Status       | Draft Pre-qualification Documents |        | Prequalification Evaluation Report |        | Draft Bidding Document / Justification |            | Specific Procurement Notice / Invitation |        | Bidding Documents as Issued |        | Proposal Submission / Opening / Minutes |            | Bid Evaluation Report and Recommendation for Award |            | Signed Contract |            | Contract Completion |            |
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|                                                                                                                |                   |                                           |             |                  |                 |                             |                        |                      |                      | Planned                           | Actual | Planned                            | Actual | Planned                                | Actual     | Planned                                  | Actual | Planned                     | Actual | Planned                                 | Actual     | Planned                                            | Actual     | Planned         | Actual     |                     |            |
|                                                                                                                |                   |                                           |             |                  |                 |                             |                        |                      |                      |                                   |        |                                    |        |                                        |            |                                          |        |                             |        |                                         |            |                                                    |            |                 |            |                     |            |
| DWSWFW-G-02 / Procurement and installation of mechanical and electrical equipment for booster pumping stations | IDA / D4780       | Water and Wastewater Systems Improvements | Post        | Request for Bids | Open - National | Single Stage - One Envelope |                        | 0.00                 | Under Implementation |                                   |        |                                    |        | 2020-07-30                             | 2020-08-27 | 2020-08-04                               |        |                             |        |                                         | 2020-09-15 | 2020-09-29                                         | 2020-10-15 |                 | 2020-11-19 |                     | 2021-05-18 |

|                                                                                                                                                                         |             |                                                                                  |      |                        |                      |                             |  |           |                        |  |  |  |  |            |            |            |            |  |            |            |            |            |            |
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| DWSWP-G-10 / Procurement new vehicle                                                                                                                                    | IDA / D4780 | Project Management                                                               | Post | Request for Quotations | Open - National      | Single Stage - One Envelope |  | 29,928.21 | Completed              |  |  |  |  | 2020-07-07 |            |            |            |  |            | 2020-09-01 | 2020-07-16 | 2021-02-28 | 2020-08-10 |
| DWSWP-G-03 / Goods and equipment (special equipment for diagnosis of water supply and wastewater systems, equipment for leak detection: pipe detectors and other tools) | IDA / D4780 | Institutional Strengthening, Capacity Building of DVK and Water Meters Provision | Post | Request for Bids       | Open - National      | Single Stage - One Envelope |  | 0.00      | Pending Implementation |  |  |  |  | 2020-07-30 | 2020-08-04 |            |            |  | 2020-09-15 | 2020-10-15 | 2020-11-19 | 2021-05-18 |            |
| DWSWP-G-01 / Procurement of household water meters (around 40,000)                                                                                                      | IDA / D4780 | Institutional Strengthening, Capacity Building of DVK and Water Meters Provision | Post | Request for Bids       | Open - International | Single Stage - One Envelope |  | 0.00      | Under Implementation   |  |  |  |  | 2020-08-07 | 2020-10-14 | 2020-08-14 | 2020-10-14 |  | 2020-10-02 | 2020-11-02 | 2020-12-21 | 2022-12-26 |            |
| DWSWP-COM / Procurement Office Equipment                                                                                                                                | IDA / D4780 | Project Management                                                               | Post | Request for Quotations | Open - National      | Single Stage - One Envelope |  | 0.00      | Pending Implementation |  |  |  |  | 2020-11-01 |            |            |            |  |            | 2020-11-09 | 2021-05-08 |            |            |
| DWSWP-G-1C / Procurement and adaptation of new accounting software "1C: Enterprise" Version 8.3 for the DWSWP                                                           | IDA / D4780 | Project Management                                                               | Post | Request for Quotations | Open - National      | Single Stage - One Envelope |  | 0.00      | Pending Implementation |  |  |  |  | 2020-11-03 |            |            |            |  |            | 2020-11-17 | 2021-05-16 |            |            |

**NON CONSULTING SERVICES**

| Activity Reference No. / Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Procurement Process | Prequalification (Y/N) | Actual Amount (US\$) | Process Status | Draft Pre-qualification Documents |        | Prequalification Evaluation Report |        | Draft Bidding Document / Justification |        | Specific Procurement Notice / Invitation |        | Bidding Documents as Issued |        | Proposal Submission / Opening / Minutes |        | Bid Evaluation Report and Recommendation for Award |        | Signed Contract |        | Contract Completion |        |
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|                                      |                   |           |             |        |                 |                     |                        |                      |                | Planned                           | Actual | Planned                            | Actual | Planned                                | Actual | Planned                                  | Actual | Planned                     | Actual | Planned                                 | Actual | Planned                                            | Actual | Planned         | Actual | Planned             | Actual |

**CONSULTING FIRMS**

| Activity Reference No. / Description                                     | Loan / Credit No. | Component                                                                        | Review Type | Method                             | Market Approach      | Contract Type | Actual Amount (US\$) | Process Status         | Terms of Reference |        | Expression of Interest Notice |        | Short List and Draft Request for Proposals |        | Request for Proposals as Issued |        | Opening of Technical Proposals / Minutes |        | Evaluation of Technical Proposal |        | Combined Evaluation Report and Draft Negotiated Contract |        | Signed Contract |        | Contract Completion |        |
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|                                                                          |                   |                                                                                  |             |                                    |                      |               |                      |                        | Planned            | Actual | Planned                       | Actual | Planned                                    | Actual | Planned                         | Actual | Planned                                  | Actual | Planned                          | Actual | Planned                                                  | Actual | Planned         | Actual | Planned             | Actual |
| DWSWP-QCBS-03 / Development of an Integrated Urban Water Resilience Plan | IDA / D4780       | Institutional Strengthening, Capacity Building of DVK and Water Meters Provision | Post        | Quality And Cost-Based Selection   | Open - International |               | 0.00                 | Pending Implementation | 2022-04-01         |        | 2022-04-22                    |        | 2022-06-05                                 |        |                                 |        | 2022-07-03                               |        | 2022-08-02                       |        | 2022-09-06                                               |        | 2022-10-11      |        | 2024-03-29          |        |
| DWSWP-CQS-05 / Design and implementation of a baseline survey            | IDA / D4780       | Institutional Strengthening, Capacity Building of DVK and Water Meters Provision | Post        | Consultant Qualification Selection | Open - National      |               | 0.00                 | Pending Implementation | 2020-12-04         |        | 2020-12-25                    |        | 2021-02-07                                 |        |                                 |        |                                          |        | 2021-03-09                       |        | 2021-04-13                                               |        | 2021-10-10      |        |                     |        |
| DWSWP-CQS-07 / Design and implementation of a project completion survey  | IDA / D4780       | Institutional Strengthening, Capacity Building of DVK and Water Meters Provision | Post        | Consultant Qualification Selection | Open - National      |               | 0.00                 | Pending Implementation | 2024-01-07         |        | 2024-01-28                    |        | 2024-03-17                                 |        |                                 |        |                                          |        | 2024-04-16                       |        | 2024-05-21                                               |        | 2024-11-17      |        |                     |        |
| DWSWP-QCBS-04 / Development of a Business Plan                           | IDA / D4780       | Institutional Strengthening, Capacity Building of DVK and Water Meters Provision | Post        | Quality And Cost-Based Selection   | Open - International |               | 0.00                 | Pending Implementation | 2020-11-23         |        | 2020-12-14                    |        | 2021-01-27                                 |        |                                 |        | 2021-02-24                               |        | 2021-03-26                       |        | 2021-04-30                                               |        | 2021-06-04      |        | 2022-11-21          |        |
| DWSWP-CQS-06 / Regular Customer Satisfaction Surveys                     | IDA / D4780       | Institutional Strengthening, Capacity Building of DVK and Water Meters Provision | Post        | Consultant Qualification Selection | Open - National      |               | 0.00                 | Pending Implementation | 2020-09-04         |        | 2020-09-25                    |        | 2020-11-13                                 |        |                                 |        |                                          |        | 2020-12-13                       |        | 2021-01-17                                               |        | 2021-07-16      |        |                     |        |

|                                                                |             |                                                                                  |      |                                    |                      |  |      |                        |            |  |            |  |            |  |  |  |            |  |            |  |            |  |            |  |            |
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| DWSWP/QCBS-02 / Development of a Wastewater Master Plan        | IDA / D4780 | Institutional Strengthening, Capacity Building of DVK and Water Meters Provision | Post | Quality And Cost-Based Selection   | Open - International |  | 0.00 | Pending Implementation | 2020-12-04 |  | 2020-12-25 |  | 2021-02-07 |  |  |  | 2021-03-07 |  | 2021-04-06 |  | 2021-05-03 |  | 2021-06-01 |  | 2021-12-31 |
| DWSWP-08-AUDIT / Conduct annual audits for the project and DVK | IDA / D4780 | Project Management                                                               | Post | Consultant Qualification Selection | Limited              |  | 0.00 | Pending Implementation | 2021-02-15 |  |            |  | 2021-03-01 |  |  |  |            |  |            |  | 2021-03-31 |  | 2021-05-05 |  | 2024-12-31 |

**INDIVIDUAL CONSULTANTS**

| Activity Reference No. / Description                                                                      | Loan / Credit No. | Component                                 | Review Type | Method                          | Market Approach | Contract Type | Actual Amount (US\$) | Process Status         | Teams of Reference |        | Invitation to Identified/Selected Consultant |        | Draft Negotiated Contract |        | Signed Contract |        | Contract Completion |        |
|-----------------------------------------------------------------------------------------------------------|-------------------|-------------------------------------------|-------------|---------------------------------|-----------------|---------------|----------------------|------------------------|--------------------|--------|----------------------------------------------|--------|---------------------------|--------|-----------------|--------|---------------------|--------|
|                                                                                                           |                   |                                           |             |                                 |                 |               |                      |                        | Planned            | Actual | Planned                                      | Actual | Planned                   | Actual | Planned         | Actual | Planned             | Actual |
| DWSWP/IC-04 / Individual consultant for construction supervision of water supply and wastewater pipelines | IDA / D4780       | Water and Wastewater Systems Improvements | Post        | Individual Consultant Selection | Open            |               | 0.00                 | Pending Implementation | 2020-09-01         |        | 2020-10-23                                   |        | 2020-10-27                |        | 2020-11-10      |        | 2024-11-10          |        |
| DWSWP/IC-01 / Individual consultant (time lides) for construction supervision                             | IDA / D4780       | Water and Wastewater Systems Improvements | Post        | Individual Consultant Selection | Open            |               | 0.00                 | Pending Implementation | 2020-09-01         |        | 2020-10-23                                   |        | 2020-10-27                |        | 2020-11-10      |        | 2024-11-10          |        |
| DWSWP/IC-02 / Individual consultant for electrical supervision of construction and installation works     | IDA / D4780       | Water and Wastewater Systems Improvements | Post        | Individual Consultant Selection | Open            |               | 0.00                 | Pending Implementation | 2020-09-01         |        | 2020-10-23                                   |        | 2020-10-27                |        | 2020-11-10      |        | 2024-11-10          |        |
| DWSWP/IC-03 / Individual consultant for construction supervision                                          | IDA / D4780       | Water and Wastewater Systems Improvements | Post        | Individual Consultant Selection | Open            |               | 0.00                 | Pending Implementation | 2020-11-01         |        | 2020-12-23                                   |        | 2020-12-27                |        | 2021-02-01      |        | 2024-11-10          |        |