



## ET Productive Safety Nets Project 4 (PSNP 4) (P146883)

AFRICA EAST | Ethiopia | Social Protection & Jobs Global Practice |  
IBRD/IDA | Investment Project Financing | FY 2015 | Seq No: 13 | ARCHIVED on 24-Jun-2021 | ISR47355 |

Implementing Agencies: Federal Democratic Republic of Ethiopia, Ministry of Agriculture-ET

### Key Dates

#### Key Project Dates

Bank Approval Date: 30-Sep-2014

Effectiveness Date: 03-Feb-2015

Planned Mid Term Review Date: 20-Nov-2017

Actual Mid-Term Review Date: 11-Dec-2017

Original Closing Date: 31-Dec-2020

Revised Closing Date: 30-Jun-2021

### Project Development Objectives

Project Development Objective (from Project Appraisal Document)

The Program Development Objective is: Increased access to safety net and disaster risk management systems, complementary livelihoods services and nutrition support for food insecure households in rural Ethiopia. This will be achieved through 1) support for building core instruments and tools of social protection and DRM systems, 2) delivery of safety net and enhanced access to livelihoods services for vulnerable rural households, and 3) improved program management and institutional coordination. The project will also contribute to the higher level objectives of (i) improved household food security, livelihoods and nutrition, and (ii) enhanced household and community resilience to shocks. This is consistent with the higher level objectives of the ongoing APL series supporting the PSNP.

Has the Project Development Objective been changed since Board Approval of the Project Objective?

Yes

Board Approved Revised Project Development Objective (If project is formally restructured)

The Project Development Objective is: increase access to effective safety net and disaster risk management systems, and complementary livelihood and nutrition services for food-insecure households in the Recipient's rural areas.

### Components Table

Name
Social Protection and Disaster Risk Management Systems:(Cost \$40.00 M)
Productive safety nets and links to livelihoods services:(Cost \$530.00 M)
Institutional Capacity Building and Project Management Support:(Cost \$30.00 M)

### Overall Ratings

Name	Previous Rating	Current Rating
Progress towards achievement of PDO	<input type="checkbox"/> Satisfactory	<input type="checkbox"/> Moderately Satisfactory
Overall Implementation Progress (IP)	<input type="checkbox"/> Satisfactory	<input type="checkbox"/> Satisfactory
Overall Risk Rating	<input type="checkbox"/> Substantial	<input type="checkbox"/> Substantial

### Implementation Status and Key Decisions

#### Implementation Status and Key Decisions (Public Disclosure)



The implementation of the Productive Safety Net Project 4 during the extension period focused primarily on: a) provision of food assistance to PSNP and beneficiaries in Tigray region affected by the on-going conflict; b) finalizing outstanding procurement activities and pending contracts. Under the provision transfer to people affected by the conflict, the Project was able to provide 19,322 MT of food to 1.1 million people. Even though, the project closing date was extended for six months until June 30, 2021 and some progress was made in grain procurement (supply of 75K of wheat was completed and 80K is expected to be fully delivered before the current closing date), other high value contracts (beneficiary household registry, end line impact evaluations, purchase of 300K MT of wheat) could not be completed during the first extension period.

Government has officially requested the Bank for a second extension of the closing date of the project and the Bank Team is considering to further extend the closing date until December 31, 2021.

## Risks

### Systematic Operations Risk-rating Tool

Risk Category	Rating at Approval	Previous Rating	Current Rating
Political and Governance	--	<input type="checkbox"/> High	<input type="checkbox"/> High
Macroeconomic	--	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate
Sector Strategies and Policies	--	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate
Technical Design of Project or Program	--	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate
Institutional Capacity for Implementation and Sustainability	--	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate
Fiduciary	--	<input type="checkbox"/> Substantial	<input type="checkbox"/> Substantial
Environment and Social	--	<input type="checkbox"/> Substantial	<input type="checkbox"/> Substantial
Stakeholders	--	<input type="checkbox"/> Substantial	<input type="checkbox"/> Substantial
Other	--	--	--
Overall	--	<input type="checkbox"/> Substantial	<input type="checkbox"/> Substantial

## Results

### PDO Indicators by Objectives / Outcomes



Increased access to SN & DRM systems, complimentary LH services & nutrition for f/insecure HH				
<b>► Direct project beneficiaries (Number, Custom)</b>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	7,200,000.00	7,997,218.00	7,997,218.00	8,300,000.00
Date	01-Jul-2015	30-Nov-2020	31-May-2021	30-Jun-2021
<b>□ Female beneficiaries (Percentage, Custom Supplement)</b>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	50.00	52.00	52.00	50.00
<b>► Beneficiaries of Safety Nets programs (number) (Number, Corporate)</b>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	7,200,000.00	7,997,218.00	7,997,218.00	8,300,000.00
Date	01-Jul-2015	30-Nov-2020	31-May-2021	30-Jun-2021
<b>□ Beneficiaries of Safety Nets programs - Other cash transfers programs (number) (Number, Custom Breakdown)</b>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	7,200,000.00	7,997,218.00	7,997,218.00	8,300,000.00
Date	01-Jul-2015	30-Nov-2020	31-May-2021	30-Jun-2021
<b>□ Beneficiaries of Safety Nets programs - Female (number) (Number, Custom Breakdown)</b>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	3,600,000.00	4,131,994.00	4,131,994.00	4,150,000.00
Date	01-Jul-2015	30-Nov-2020	31-May-2021	30-Jun-2021
<b>► Average number of months of household food insecurity (Months, Custom)</b>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	3.20	1.83	1.83	2.00
Date	01-Jul-2015	29-Mar-2019	29-Mar-2019	30-Jun-2021
Comments:	The indicator value is calculated based on a weighted average (75% Highlands/25% Lowlands). The 2018 PSNP Mid-Term Review (MTR) reported 2.0 months of food gap in the Highlands national and 1.3 months of food gap in the Lowlands.			



The endline to assess this indicator was scheduled to take place between March-May 2020, however due to the COVID-19 crisis, the endline data collection is tentatively scheduled for March 2021.

► % increase in average value of household assets (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	13.38	13.38	30.00
Date	01-Jul-2015	31-Dec-2019	31-Dec-2019	30-Jun-2021

Comments:

This is a weighted average (75% Highlands/ 25% Lowlands). Actual value for Highlands and Lowlands are as follows: Highlands - total increase in HH assets = 15.5% [ Consumer Durables =10.7%; Productive assets = 7.0%; Livestock Assets = 16.9%]  
Lowlands: Total increase in HH assets = 7% [Consumer Durables = -3.5%; Productive Assets=-16.2%; Livestock Holdings =8.4%].  
The endline to assess this indicator was scheduled to take place between March-May 2020, however due to the COVID-19 crisis, the endline data collection is tentatively scheduled for March 2021

► % of clients who receive community-based nutrition counseling services (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	40.00	84.00	84.00	80.00
Date	01-Jul-2015	30-Apr-2020	31-May-2021	30-Jun-2021

Comments:

Source: 2018 Joint PWLH Review.  
The value of this indicator is based on a sample of 28 woredas representing 8 percent of the total PSNP woredas.  
The indicator measures access of client households to community-based nutrition (CBN) services and behavioral change communication (BCC). The BCC implementation is progressing slow: currently it is implemented in 80 woredas of the 349 woredas, due to financing constraints.  
The updated indicator in the 2019 Joint PWLH Review is currently in the process of being finalized and validated by the federal government. No change since the previous report

► Improved early warning triggers and response mechanisms agreed and functional (Yes/No, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	No	No	No	Yes
Date	01-Jul-2015	30-Nov-2020	31-May-2021	30-Jun-2021

Comments:

An assessment on early warning triggers has been finalized which will help to identify improved early warning triggers. But it will take time until the client operationalizes it

► % of PSNP woredas where MIS and single registry is operational (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	75.00



Date	01-Jul-2015	30-Nov-2020	31-May-2021	30-Jun-2021
Comments:	<p>MIS development is progressing well and as of January 2020, the MIS phase 1 was planned to be finalized in June 2020 rolled-out in a phased approach, based on the completion of the PSNP BHH Registry. However due to the recent COVID Crisis, plans to roll out the MIS within the planned timeframe is being reassessed.</p> <p>The PSNP BHH Registry- 7 firms were contracted in January 2020 to carry out the primary data collection activity for 12 of the 14 clusters. Communication on the registry data collection started cascading from regions to woredas in January 2020 and trainings on the survey software and survey instruments tookplace in February 2020. Unfortunately due to the COVID-19 crisis, data collection activities have been put on hold until further notice.</p>			

### Intermediate Results Indicators by Components

Social Protection and Disaster Risk Management Systems				
▶ Time taken from issuing a drought warning to identifying and agreeing number of people in need (Days, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	180.00	100.00	100.00	60.00
Date	01-Jul-2015	30-Nov-2020	31-May-2021	30-Jun-2021
Comments:	<p>This is estimated to be approximately 110 days for food transfers, and 90 days for cash. Currently there is no formal data available for this indicator.</p> <p>As of December 2019, there was no updated data available by the counterpart for this indicator.</p>			
▶ % of kebeles where poverty index is used to complement community targeting (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	75.00
Date	01-Jul-2015	30-Nov-2020	31-May-2021	30-Jun-2021
Comments:	<p>Currently poverty indexes are not being used to complement community targeting. A proxy means test is currently being used for the entire program, and will be integrated as part of the MIS once it is rolled out, to verify the percentage of beneficiaries.</p>			
▶ % of clients receiving regular payments within the agreed time frame (20 days for cash and 30 days for food) (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	60.00	59.50	59.50	90.00
Date	01-Jul-2015	30-Nov-2020	31-May-2021	30-Jun-2021
Comments:	January-February 2020.			



Cash: 71%  
 Food: 81%  
 For the Q1 of EFY 2012:  
 Cash: 35%  
 Food: No food transfer

Key challenges for delays in cash payments in the first quarter were due to:

1. Reshuffling of staff specially Afar and Somali
2. Instability problem in certain parts of the country
3. Limited bank liquidity and cash holding capacity in lowlands
4. Low level of commitment between regions and weredas to implement the agreed actions developed by the FSCD
5. Poor coordination between BoLSA and regional Food security in Afar resulted in a delay of cash transfer to weredas.

► % of clients reporting that they can provide adequate meals for their family for 12 months a year (male/female) (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	44.41	44.41	50.00
Date	01-Jul-2015	31-Dec-2019	31-Dec-2019	30-Jun-2021
Comments:	This is a weighted average based on the data from the Midline evaluation (75% Highlands/25% Lowlands). 39.5% of PSNP Household reported 12 months of food security in the highlands; 59.1% of PSNP Households in the Lowlands; The endline to assess this indicator was scheduled to take place between March-May 2020, however due to the COVID-19 crisis, the endline data collection has been suspended until further notice.			

► % of transfers received that has a value of at least 15 kgs of cereals and 4 kgs of pulses or its cash equivalent (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	70.00
Date	01-Jul-2015	30-Nov-2020	31-May-2021	30-Jun-2021
Comments:	Data source: Dec. 2019 Consumer Price Index data from CSA In EFY 2012 the wage rate was increased by 16% on average Data source: June 2020 Consumer Price Index data from CSA In EFY 2012 the wage rate was increased by 16% on average Based on analysis of CPI data from CSA, despite the wage rate adjustments, none of the transfers can purchase a full 15kg of cereals with the existing wage rate. The existing wage can purchase in average about 9.4 kg of cereals.			

► % of clients receiving contingency resources within 60 days of identification of need (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	85.00	85.00	75.00



Date	01-Jul-2015	31-Dec-2019	31-Dec-2019	30-Jun-2021
Comments:	There is no update after December 2019			

<b>Productive safety nets and links to livelihoods services</b>				
▶ % of clients that feel PW sub-projects reflect their needs (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	75.00	51.61	51.61	90.00
Date	01-Jul-2015	30-Nov-2020	31-May-2021	30-Jun-2021
Comments:	Source: IFPRI 2018 Midline Evaluation Proxy Indicator Used: % clients reporting the building of the PW infrastructure benefited them The endline to assess this indicator was scheduled to take place between March-May 2020, however due to the COVID-19 crisis, the endline data collection has been suspended until further notice. The endline data collection has been suspended until March 2021			
▶ % of PW sub-projects selected and implemented following GOE's Community-based Participatory Watershed Management Guidelines or Rangeland Management Guidelines (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	75.00	84.50	84.50	90.00
Date	01-Jul-2015	30-Nov-2020	31-May-2021	30-Jun-2021
Comments:	Source: FSCD Report			
▶ % of client households reporting that their livelihoods have benefitted from public work created assets (male/female) (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	20.00	61.65	61.65	50.00
Date	01-Jul-2015	31-Dec-2019	31-Dec-2019	30-Jun-2021
Comments:	Source: IFPRI PSNP 4 Midline Survey 2018 Proxy Indicator used: % clients reporting the PW project was productive for the community The endline to assess this indicator was scheduled to take place between March-May 2020, however due to the COVID-19 crisis, the endline data collection has been suspended until March 2021			
▶ % of PSNP HHs reporting new income source as a result of program support (male/female) (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	1.40	1.40	30.00
Date	31-May-2016	30-Nov-2020	30-Nov-2020	30-Jun-2021
Comments:	There is currently no information on this indicator.			



▶ % of HHs that save regularly (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	67.50	67.50	50.00
Date	01-Jul-2015	30-Nov-2020	31-May-2021	30-Jun-2021
Comments:	Source: EFY 2012 Q2 Progress Report. Savings declined from EFY 2011 Q2 due to the following: (i) Income irregularity between July-September which resulted in clients dropping out of the savings schemes; (ii) Limited loan disbursement from MFI as a result of the low level of compulsory savings mobilization, which implied that a smaller number of livelihood clients saved in the first quarter compared to the end of the previous fiscal year (June EFY 2011).			
▶ % of clients with business plans developed (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	72.66	72.66	90.00
Date	01-Jul-2015	30-Nov-2020	31-May-2021	30-Jun-2021
Comments:	Source: EFY 2012 FSCD 2nd Quarter Report			
▶ % of client HHs receiving livelihoods transfers (cumulative) (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	11.61	11.61	27.00
Date	30-Jun-2015	30-Nov-2020	31-May-2021	30-Jun-2021
Comments:	55,692 client households of an estimated 1,135,065 households. Budget for livelihoods transfer has yet to be disbursed to clients for EFY 2012..			
▶ % of clients receiving wage employment related skills training (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	16.41	16.41	30.00
Date	01-Jul-2015	30-Nov-2020	31-May-2021	30-Jun-2021
Comments:	Source: EFY 2012 Q2 Report Note that between July 2018-June 2019 (EFY 2011), cumulative employment skills training was 165,984; Between July-Dec 2019 (EFY 2012) = this increased by 10, 719 clients to a cumulative total of 176,703 clients.			





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Institutional Capacity Building and Project Management Support				
▶ % of PSNP woredas meeting minimum PSNP staffing standards (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	80.00	14.29	14.29	90.00
Date	01-Jul-2015	30-Nov-2020	31-May-2021	30-Jun-2021
Comments:	Source: National Spot Checks The decline in this indicator is due to a change in the data source used. Previously this indicator was being tracked to different sources that could not be updated regularly. As of December 2019, National Spot Checks have been introduced which aims to measure this indicator based on a sample of woredas. This indicator is based on a sample of 26 woredas across all 8 regions. It was assessed based on the PIM requirements of 1 cashier & 1 accountant per 25,000 clients + 1 capacity development officer at Woreda level;			
▶ % of clients that report they are aware of program objectives and entitlements (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	98.94	98.94	70.00
Date	01-Jul-2015	30-Nov-2020	30-Nov-2019	30-Jun-2021
Comments:	Source: IFPRI 2018 Midline Note that this indicator is calculated based on the following questions, and therefore does not reflect client knowledge of program objectives and entitlements. Q1. Have you heard about the Productive Safety Net Programme 4 (PSNP)? Q2. We would like to be sure of your answer. The PSNP is the Government of Ethiopia's rural safety net for food insecure households. It provides cash and/or food transfers to these households. Even if you do not know it by name, are you aware of such a programme? The endline to assess this indicator was scheduled to take place between March-May 2020, however due to the COVID-19 crisis, the endline data collection has been until March 2021			

**Performance-Based Conditions**

**Data on Financial Performance**

**Disbursements (by loan)**

Project	Loan/Credit/TF	Status	Currency	Original	Revised	Cancelled	Disbursed	Undisbursed	% Disbursed
P146883	IDA-55400	Effective	USD	600.00	600.00	0.00	546.01	0.00	100%
P146883	IDA-58770	Effective	USD	100.00	100.00	0.00	96.27	0.00	100%

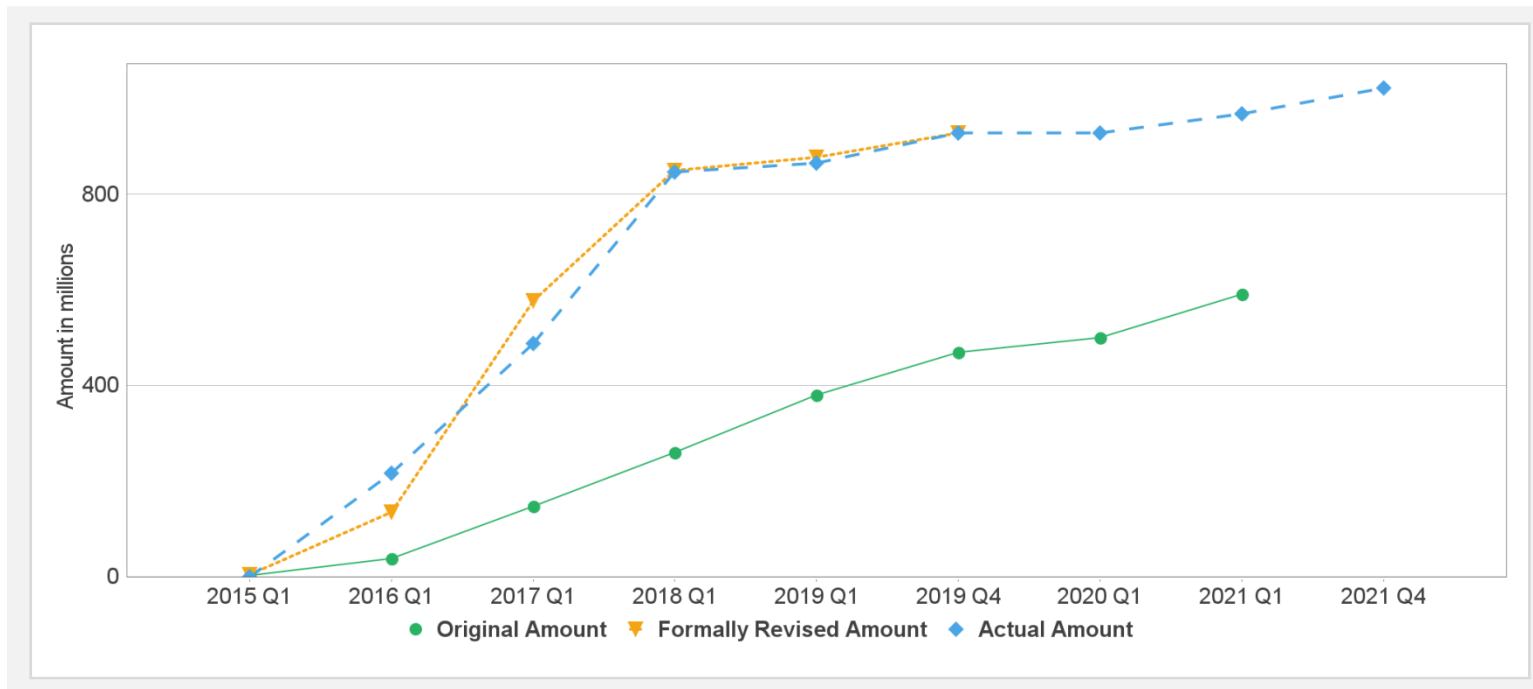


P146883	IDA-60410	Effective	USD	108.10	108.10	0.00	110.90	0.00	<div style="width: 100%; height: 10px; background-color: green;"></div>	100%
P146883	TF-A1426	Closed	USD	94.86	94.86	0.00	94.86	0.00	<div style="width: 100%; height: 10px; background-color: green;"></div>	100%
P146883	TF-A3267	Effective	USD	182.39	182.39	0.00	175.16	7.22	<div style="width: 96%; height: 10px; background-color: green;"></div>	96%

**Key Dates (by loan)**

Project	Loan/Credit/TF	Status	Approval Date	Signing Date	Effectiveness Date	Orig. Closing Date	Rev. Closing Date
P146883	IDA-55400	Effective	30-Sep-2014	31-Oct-2014	03-Feb-2015	31-Dec-2020	30-Jun-2021
P146883	IDA-58770	Effective	30-Jun-2016	01-Jul-2016	26-Jul-2016	31-Dec-2020	30-Jun-2021
P146883	IDA-60410	Effective	02-May-2017	09-May-2017	06-Jun-2017	31-Dec-2020	30-Jun-2021
P146883	TF-A1426	Closed	30-Sep-2014	04-Dec-2015	04-Dec-2015	31-Dec-2020	31-Dec-2020
P146883	TF-A3267	Effective	30-Sep-2014	09-Dec-2016	09-Dec-2016	31-Dec-2020	30-Jun-2021

**Cumulative Disbursements**



**PBC Disbursement**

PBC ID	PBC Type	Description	Coc	PBC Amount	Achievement Status	Disbursed amount in Coc	Disbursement % for PBC



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### Restructuring History

Level 2 Approved on 11-Dec-2020

### Related Project(s)

P158770-PRODUCTIVE SAFETY NET PROJECT (PSNP 4) ADDITIONAL FINANCING ,P163350-Productive Safety Net 4 Project Additional Financing

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