

INDIA: DAM REHABILITATION & IMPROVEMENT PROJECT

PROCUREMENT PLAN

I. General1. **Project information:**

- Project Name : Dam Rehabilitation & Improvement Project
- Country : India
- Project Code : P089985
- Implementing agencies for this Procurement Plan:
Water Resources Department, Orissa

2. **Bank's approval Date of the procurement Plan** Procurement Plan dated May 31, 2010
Revision-0 approved by the Bank on May 31,2010
3. **Date of General Procurement Notices:** UNDB On –line May 04, 2009
4. **Period covered by this procurement plan:** 18 months

II. Goods and Works and Non-consulting services.1 a) **Procurement Methods and Thresholds**

Category	Method of Procurement	Threshold (US\$ Equivalent)
Goods, IT equipment, and non-consultant services	ICB	>300,000
	NCB	300,000 or less
	Shopping	Up to 30,000
	Direct Contracting	As per para 3.6 of the guidelines, with prior agreement of the Bank
Works, and Supply and Installation	ICB	> 10,000,000
	NCB	10,000,000 or less
	Shopping	Up to 30,000 carried out through a qualified local contractor, and after inviting a minimum of three quotations in response to a written invitation with a minimum of 15 days notice period.
	Force Account	As per para 3.8 of the guidelines, with prior agreement of the Bank

Goods estimated to cost less than US\$300,000 equivalent per contract and works estimated to cost less than US\$10 million may be procured under contracts awarded on the basis of

National Competitive Bidding procedures as per paragraph 3.3 and 3.4 of the Procurement Guidelines and the following additional conditions:

- Only the model bidding documents for NCB agreed with the GOI Task Force (and as amended from time to time) shall be used for bidding;
- Invitations to bid shall be advertised in at least one widely circulated national daily newspaper, at least 30 days prior to the deadline for the submission of bids;
- No special preference will be accorded to any bidder, either for price or for other terms and conditions, when competing with foreign bidders, state-owned enterprises, small-scale enterprises or enterprises from any given state;
- Except with the prior concurrence of the Bank, there shall be no negotiation of price with the bidders, even with the lowest evaluated bidder;
- Extension of bid validity shall not be allowed without the prior concurrence of the Bank: (a) for the first request for extension if it is longer than four weeks; and (b) for all subsequent requests for extension irrespective of the period (such concurrence will be considered by the Bank only in cases of *Force Majeure* and circumstances beyond the control of the Purchaser/Employer);
- Re-bidding shall not be carried out without the prior concurrence of the Bank. The system of rejecting bids outside a pre-determined margin or “bracket” of prices shall not be used in the project;
- Rate contracts entered into by Directorate General of Supplies & Disposals (DGSD) will not be acceptable as a substitute for NCB procedures. Such contracts will be acceptable however for any procurement under Shopping procedures; and
- Two or three envelop system will not be used.

1 b) Prior Review Threshold:

The Procurement Decisions shall be subject to Prior Review by the Bank as stated in Appendix 1 to the Procurement Guidelines. For Prior review by the Bank, CPMU in CWC will carry out quality check on all procurement documents to be prepared by the Implementing Agency and then forward the same with its recommendations to the Bank for prior review. In addition contracts above equivalent of a defined value as given in Table-1 which are below the agreed prior review thresholds of the Bank will be prior reviewed by CPMU in CWC:

Table 1: Prior Review Threshold for Procurement of Works, Goods and IT System

Procurement Method	Prior Review Threshold	Prior Review by CPMU at CWC	Remarks
Goods, IT Systems, and Non Consulting Services	>US\$500,000	US\$200,000<contracts<US\$500,000	In addition first contract respective of the value for both ICB and NCB for goods, IT Systems and Non consulting services will be subject o Prior review by the Bank

Works, Supply & Installation	>US\$5,000,000	US\$1,000,000<contracts<US\$5,000,000	In addition first contract respective of the value for both ICB and NCB for Works and Supply & Installation will be subject o Prior review by the Bank
Direct Contracting	All contracts irrespective of value	NIL	
Force Account	All contracts irrespective of value	NIL	

Note: If a contract comprises several packages, lots or slices, the aggregate value of the contract determines the applicable threshold amount for procurement and also for the review by the Bank.

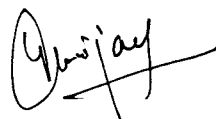
The contracts which are not subject to prior review shall be subject to Post Review by the Bank as per paragraphs 5 of Appendix 1 to the Procurement Guidelines.

2. **Pre-qualification.** Not Applicable
3. **Reference to (if any) Project Operational/Procurement Manual:** Procurement Manual to be finalized by July' 2010
4. **Any Other Special Procurement Arrangements:** NA
5. **Procurement Packages with Methods and Time Schedule – As per Annex-I**

III. Selection of Consultants

1 a) **Selection Methods and Thresholds:**

- Consultant Services may be procured under contracts awarded on the basis of Quality- and Cost-based Selection in accordance with the provisions of Section II of the Consultant Guidelines. Services which meet the requirements set forth in paragraph 3.2 of the Consultant Guidelines shall be procured under contracts awarded on the basis of Quality-based Selection in accordance with the provisions of paragraphs 3.1 through 3.4 of the Consultant Guidelines.
- Services to cost less than US\$200,000 equivalent per contract may be procured on the basis of Consultants' Qualifications (CQ) in accordance with the provisions of paragraphs 3.1, 3.7, and 3.8 of the Consultant Guidelines or Least-Cost Selection (LCS) in accordance with the provision of paragraphs 3.1 and 3.6.
- Services for tasks in circumstances which meet the requirements of paragraph 3.9 and 3.10 of the Consultant Guidelines for Single Source Selection, may, with the Bank's prior


agreement, be procured in accordance with the provisions of paragraphs 3.9 through 3.13 of the Consultant Guidelines.

- Services for assignments that meet the requirements set forth in paragraph 5.1 of the Consultant Guidelines may be procured under contracts awarded to individual consultants in accordance with the provisions of paragraphs 5.2 and 5.3 of the Consultant Guidelines. Under the circumstances described in paragraph 5.4 of the Consultant Guidelines, such contracts may be awarded to individual consultants on a sole source basis.

1 b) **Prior Review Threshold:**

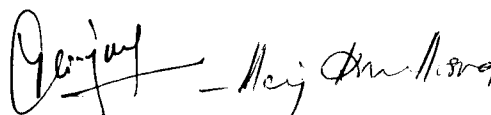
Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Procurement Guidelines. For Prior review cases CPMU in CWC will carry out quality check on all procurement documents to be prepared by the Implementing Agency and then forward the same with its recommendations to the Bank for prior review. In addition contracts above equivalent of a defined value as given in Table-2 which are below the agreed prior review thresholds of the Bank, will be prior reviewed by CPMU in CWC:

Table 2: Prior Review Threshold for Procurement of Consultancies:

Selection Method	Prior Review Threshold	Prior Review by CPMU in CWC	Remarks
Competitive Methods (Firms)	> US\$100,000	> US\$50,000 and <US\$100,000	In addition First contract irrespective of the value for each method of section [QCBS Time Based, QCBS-Lump sum, QBS, FBS and Least Cost to be prior reviewed by the Bank
Single Source (Firms)	All	NIL	
Individual Consultants	> US\$ 50,000	>US\$25,000 and <US\$50,000	In addition First contract irrespective of the value to be prior reviewed by the Bank

The contracts which are not subject to prior review shall be subject to Post Review by the Bank as per paragraphs 5 of Appendix 1 to the Consultants Guidelines.


2. **Short list comprising entirely of national consultants:** Short list of consultants for services, estimated to cost less than US\$500,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.





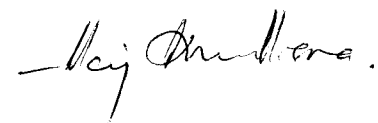
3. **Any Other Special Selection Arrangements:** NA
4. **Reference to (if any) Project Operational/Procurement Manual :** Procurement Manual to be finalized by July' 2010
5. **Consultancy Assignments with Selection Methods and Time Schedule:** As per Annex-II

IV. Implementing Agency Procurement Capacity Building Activities with Time Schedule

	Activity	Timeline	Status
1	Training on World Bank Procurement Procedures at NIFM Faridabad/ASCI Hyderabad [2-3 officers]		Two officers have taken Training at NIFM Faridabad during 7-18 Sept., 2009
2	Two days workshop by Bank Staff	June, 2009	Completed
3	Appointment of management and engineering consulting firm by CWC for assisting CPMU and SPMUs	not later than June 30, 2011	EOI published on May 28, 2010
4	Additional Procurement Training Workshop by Bank Staff	As needed during implementation	


(Yash Gupta)
The World Bank


(Yoki Vijay)
Dy. Director, DSR
CWC

MANOJ KUMAR MISRA


**PROCUREMENT PLAN FOR WORKS
Govt. of Orissa, DRIP(18 months)**

Dated May 31, 2010 | Revision -0|

Sl. No.	Package No.	Description of Works	Estimated Cost (Rs in Millions)	Method of Procurement (ICB/ NCB)	Design/ Investigation completed (Date)	Prior Review/ Post Review	Whether Margin of Domestic Preference Applicable (YES/NO)	Estimate Prepared & Sanctioned (Date and Value)	Preparation of Bid Document (Date)	Bank's No Objection to Bidding Document (Date)**	Bids		Contract Award decided (Date/ Value/ Currency)	Bank's No Objection to Contract Award (Date)**	Contract Signed (Date Value/ Currency)	Contract No.	Name of Contractor / Nationality & ZIP Code	** WBR No.	Date of completion of Contract	Expenditure incurred
											Invitation (Date)	Opened On (Date)								
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21
P P R A	O R P R A	Impt.to KALODam. Improvement to toe road . toe drainl and restoration to spill way &HR.Instrumentation.Consn. Of parapet wall.Improvement to surface drainage system...	36.35	NCB	25.08.10	Prior	no	10.09.10	22.09.10	03.10.10	8.10.10	13.11.10	08.12.10	22.12.10	08.01.11				8.07.12	
P P R A	O R P R A	Improvement to NESADam. Renovation of D/S wing and return wall of HR .improvement to surface drainage system.constru ction of guard wall.	7.710	NCB	10.09.10	Post	no	24.09.10	11.10.10		20.10.10	25.11.10	25.12.10		23.12.10				23.5.12	
* P P R A	O R P R A	Improvement to Banksal Dam.Improvement to fall in spill channel.rock toe and toe drains etc.	11.04	NCB	25.09.10	Post	no	01.11.10	15.11.10	26.11.10	10.12.10	18.01.11	23.02.11	04.03.11	25.03.11				25.9.12	

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0	69/19	NCB	04.10.10	post	30	01.11.10	15.11.10	28.11.10	05.01.11	15.02.11	16.03.11	16.9.12
P P R A	4	Imprint. to SANAMAC HAKANDAN A Dam. Consn of parapet wall .repair of HR Guard wall. Improvement to spillway .Rock toe etc.										

*PP: Target dates agreed as per Procurement Plan
 ** Applicable in case of 'Prior Review' by Bank.
 Note: For Column 5, state ICB/NCB/Direct Contracting/Shopping as appropriate. For Column Nos. 6 to 19, fill the information for all the three elements i.e. PP, R and A. as applicable, against each contract.

Land acquisition completed on _____

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PROCUREMENT PLAN FOR CONSULTANCY
Govt of Orissa.DRIP,PROJECT

Dated May 31, 2010 | Revision -0|

Sl. No	Package No.	Description of Services	Estimated Cost (Rs. Millions)	Methods of Selection @	Prior Review / Post Review	Advertising for Short listing (Date)	TOR/ Shortlist to be Finalised (Date)	Final Draft to be forwarded to the Bank (Date)**	No Objection from Bank for TOR/ Shortlist/ Final RFP (Date)**	RFP Issued (Date)	Proposals to be Received by the Project Authorities (Date)	Evaluation to be Finalised (Technical / #Combine d/ Draft/ Final Contract) (Date)	No Objection by the Bank (Technical/ #Combined/ Draft Contract/ Final Contract) (Date)**	Contract Number, Value and Currency	Name of Consultant/ Nationality & Zip Code, if USA	Services to be Completed (Date)	WBR No	Exp. Included Date
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
1	O R D R R I P C I	Consultancy services for under water survey of cavities, mapping of cracks of left & right spill ways and power dam portion of Hirakud Dam etc.	10.06	QCB S	Prior	15.08.10	31.08.10	16.09.10	28.09.10	07.10.10	07.11.10	07.12.10	22.12.10	15	16	17	18	19
												15.01.11	-			3.03.12		
												07.02.11	22.02.11					
												03.03.11	-					
1	O R D R R I P C 2	Consultancy services for Hydrological & Structural review, survey & investigation works of spillway and preparation of emergency action plan of Hirakud Dam	12.0	QCB S	Prior	31.08.10	16.09.10			07.10.10	07.11.10	07.12.10	22.12.10			03.03.12		
												15.01.11	-					
												07.02.11	22.02.11					
												03.03.11	-					

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		2.0	QA 3 S	Post	31.08.10	16.09.10	07.10.10	07.11.10	07.12.10	15.01.11			
*pp R A	↑	Consultancy services for Improvement to the flood forecasting system of Mahanadi Basin.	3.0	QCBS	Post	31.08.10	16.09.10	07.10.10	07.11.10	07.12.10	15.01.11		07.02.12
*pp R A	↑	Consultancy services for Seismic Design of overflow and non-overflow section & protection to right abutment of Hirakud Dam.	1.0	QCBS	Post	31.08.10	16.09.10	07.10.10	07.11.10	07.12.10	15.01.11		07.08.11
PP R A	↑	Consultancy Services for hydrological review and Structural review of 33dams	6.60	QCBS	Prior	15.08.10	31.08.10	07.10.10	07.11.10	07.12.10	15.01.11		3.03.12

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PP	R	A	QCB	16.08.10	16.09.10	18.09.10	16.10.10	07.11.10	07.12.10	15.01.11	15.01.11	07.02.11	03.03.11	07.02.12
PP R A	OR R IP C 7	Consultancy Services for preparation of EAP for 55 dams.	S							15.01.11 07.02.11 03.03.11	- - -			
PP R A	OR R IP C 8	Consultancy Services for preparation of completion reports for 160 dams and O & M manual for 55 dams.	S	16.08.10	16.09.10	28.09.10	07.10.10	07.11.10	07.12.10	15.01.11	15.01.11	15.01.11	07.02.11	03.03.11

*PP: Target dates agreed as per Procurement Plan R: revision 1,2 etc. A: actual dates ♦RFP (Request for Proposal): Same as 'Bid Document' #Technical and Financial
 ** Applicable in case of 'Prior Review' by Bank WBR No.: World Bank Reference Number
 @ State whether (i) Single firm or individual; or (ii) Competitive, if Competitive, then state whether Quality and Cost Based Selection (QCBS) or Quality Based Selection (QBS)

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