

# GEF CHINA SUSTAINABLE CITIES INTEGRATED APPROACH PILOT (P156507)

## Procurement Plan

May 24, 2017

The procurement plan will cover the whole period of the project implementation. The Implementing Agency/PMO shall update the procurement plan throughout the duration of the project at least annually by including contracts previously awarded. All procurement plans, their updates or modifications shall be subject to Bank’s prior review and no objection before implementation.

### I. General

1. **Project information:** Country □ China  
 Borrower: The People’s Republic of China  
 Project Name: GEF China Sustainable Cities Integrated Approach Pilot  
 Grant No:  
 Project number: P156507  
 Project Implementation Agencies (PIAs): There are 8 PIAs or PMOs under the Project, which are responsible for procurement of the activities in their respective cities or parts. The PMOs include the following:

No.	Name of PIAs	Location
1	Project Management Office of Ministry of Housing and Urban & Rural Development (MOHURD)	MOHURD
2	Beijing Municipal Housing and Urban-Rural Construction Science and Technology Promoting Center	Beijing
3	Tianjin Municipal Construction Commission World Bank Loan Project Management Office	Tianjin
4	Project Management Office of Shijiazhuang Municipal GEF CSC-IAP Project Leading Group	Shijiazhuang
5	GEF CSC-IAP Guiyang Municipal Project Management Office	Guiyang
6	Project Management Office of Ningbo Municipal World Bank Loan Project Leading Group	Ningbo
7	Nanchang Municipal GEF Project Management Office	Nanchang
8	Shenzhen DRC Project Management Office	Shenzhen

2. **Bank’s approval Date of the procurement Plan** Original: December 22, 2016; Revision 1: May 24, 2017
3. **Date of General Procurement Notice:** .....
4. **Period covered by this procurement plan:** June 2017 to March 2023

## II. Selection of Consultants

- 1. Prior Review Threshold:** Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

Expenditure Category	Contract Value (US\$)	Selection Method	Bank Prior Review
Consultants	>= 300,000	QCBS, QBS, LCS, FBS	Firms: All contracts ≥ US\$2 million; Firms: All SSS contracts >= US\$ 1 million;
	< 300,000	QCBS, QBS, LCS, FBS, CQS	
	N/A	SSS	
	N/A	IC	Individual Consultant: >= US\$ 400,000; SSS for individual consultant: ≥ US\$400,000  All terms of reference for consultant contracts, regardless of contract value, will be subject to Bank prior review

**Notes:** QCBS: Quality- and Cost-Based Selection  
QBS: Quality-Based Selection  
LCS: Least Cost Selection  
FBS: Fixed Budget Selection  
CQS: Selection Based on the Consultants' Qualifications  
SSS: Single-Source Selection  
IC: Individual Consultant selection procedure  
NA: Not Applicable

- 2. Short list comprising entirely of national consultants:** Short list of consultants for services, estimated to cost less than USD 500, 000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.
- 3. Any Other Special Selection Arrangements:** Retroactive financing will be agreed under this project. Payments will be made only for contracts procured in accordance with applicable Bank procurement procedures.
- 4. Consultancy Assignments with Selection Methods and Time Schedule:**

1	2	3	4	5	6	7	8	9
Ref. No.	Description of Assignment	Estimated Cost (USD million)	Selection Method	Review by Bank (Prior / Post)	Expected Selection Start Date	Expected Proposals Submission Date	Contract Duration (months)	Remarks
MOHURD								
GEMH-01	Development and application of	1.492	SSS	Prior	April 2017	June 2017	36	

	TOD policy, technical standards and management tools for Chinese cities							
<b>Sub-total</b>		<b>1.492</b>						

1	2	3	4	5	6	7	8	9
Ref. No.	Description of Assignment	Estimated Cost (USD million)	Selection Method	Review by Bank (Prior / Post)	Expected Selection Start Date	Expected Proposals Submission Date	Contract Duration (months)	Remarks
<b>Beijing</b>								
GEBJ-01	City TOD strategy	1.70	QCBS	Post	Oct 2017	Dec 2017	36	
GEBJ-02	Corridor-level and station-level application of TOD strategy (Stations on Metro Line 17, and the corridor along Pinggu Metro Line)	0.85	QCBS	Post	Mar 2018	May 2018	30	
GEBJ-03	District-level application of TOD strategy (Urban regeneration of Life Science Park near Jingzhang HSR and Changping Metro Line).	0.85	QCBS	Post	Mar 2018	May 2018	30	
<b>Sub-total</b>		<b>3.4</b>						

1	2	3	4	5	6	7	8	9
Ref. No.	Description of Assignment	Estimated Cost (USD million)	Selection Method	Review by Bank (Prior / Post)	Expected Selection Start Date	Expected Proposals Submission Date	Contract Duration (months)	Remarks
<b>Tianjin</b>								
GETJ-1	City TOD strategy	1.01	QCBS	Post	April 2017	July 2017	36	
GETJ-2	Study on Private sector	1.00	QCBS	Post	April 2017	July 2017	16	

	engagement in infrastructure investment around TOD							
GETJ-3	Study on Low-carbon travel and sustainable transport development around TOD	1.20	QCBS	Post	January 2018	March 2018	16	
<b>Sub-total</b>		<b>3.21</b>						

1	2	3	4	5	6	7	8	9
Ref. No.	Description of Assignment	Estimated Cost (USD million)	Selection Method	Review by Bank (Prior / Post)	Expected Selection Start Date	Expected Proposals Submission Date	Contract Duration (months)	Remarks
<b>Shijiazhuang</b>								
GESJ-1	City TOD strategy	1.20	QCBS	Prior	April 2017	June 2017	36	
GESJ-2	Study on integration of urban rail transit and city planning in the context of Jing-Jin-Ji Region	1.20	QCBS	Post	July 2017	September 2017	30	
GSSJ-3	District-level application of TOD strategy (Zhengding New District).	1.9	QCBS	Post	July 2017	September 2017	16	
<b>Sub-total</b>		<b>4.30</b>						

1	2	3	4	5	6	7	8	9
Ref. No.	Description of Assignment	Estimated Cost (USD million)	Selection Method	Review by Bank (Prior / Post)	Expected Selection Start Date	Expected Proposals Submission Date	Contract Duration (months)	Remarks
<b>Ningbo</b>								
GENB-1	City TOD strategy	1.30	QCBS	Post	Aug 2017	Oct. 2017	48	
GENB-2	Study on TOD planning, design and	1.50	QCBS	Post	Jun. 2017	Sep. 2017	28	

	implementation for an urban rail transit corridor							
GENB-3	Apply TOD to improve development around existing urban rail stations	1.08	QCBS	Post	Aug 2018	Oct. 2017	18	
<b>Sub-total</b>		<b>3.88</b>						

1	2	3	4	5	6	7	8	9
Ref. No.	Description of Assignment	Estimated Cost (USD million)	Selection Method	Review by Bank (Prior / Post)	Expected Selection Start Date	Expected Proposals Submission Date	Contract Duration (months)	Remarks
<b>Nanchang</b>								
GENC-1	City TOD strategy	1.05	QCBS	Prior	April 2017	July 2017	36	
GENC-2	Corridor-level application of TOD strategy (Metro line 2 and a BRT corridor)	1.50	QCBS	Post	August 2017	September 2017	30	
GENC-3	Station-level application of TOD strategy (Jiulonghu New Town Station area)	1.60	QCBS	Post	August 2017	September 2017	30	
<b>Sub-total</b>		<b>4.15</b>						

1	2	3	4	5	6	7	8	9
Ref. No.	Description of Assignment	Estimated Cost (USD million)	Selection Method	Review by Bank (Prior / Post)	Expected Selection Start Date	Expected Proposals Submission Date	Contract Duration (months)	Remarks
<b>Guiyang</b>								
GEGY-1	City TOD Strategy	1.62	QCBS	Post	April 2017	June 2017	36	
GEGY-2	Corridor-level application of TOD strategy Part A: Metro Line 3 and Line S2	1.6	QCBS	Post	July 2017	September 2017	30	
GEGY-3	Corridor-level application of TOD strategy Part B: BRT	1.13	QCBS	Post	July 2017	September 2017	24	

	corridors							
<b>Sub-total</b>		4.35						

1	2	3	4	5	6	7	8	9
Ref. No.	Description of Assignment	Estimated Cost (USD million)	Selection Method	Review by Bank (Prior / Post)	Expected Selection Start Date	Expected Proposals Submission Date	Contract Duration (months)	Remarks
<b>Shenzhen</b>								
GESZ-1	City TOD Strategy	1.35	QCBS	Prior	April 2017	June 2017	36	
GESZ-2	District-level and station-level application of TOD strategy: International Low-carbon City (ILCC) district	1.95	QCBS	Post	April 2017	June 2017	30	
<b>Sub-total</b>		<b>3.3</b>						

**PROCUREMENT PLAN** China : GEF China Sustainable Cities Integrated Approach Pilot

**General Information**

**Country:** China **Bank / Approval Date of the Original Procurement Plan:** 2017-07-23  
**Revised Plan Date(s): (comma delineated, leave blank if none)** 2017-07-23  
**Project ID:** P156507 **GNP Date:** 2017-06-14  
**Project Name:** GEF China Sustainable Cities Integrated Approach Pilot  
**Loan / Credit No.:** TF / A1509  
**Executing Agency(ies):** Department of Building Energy Efficiency and Science & Technology, Ministry of Housing and Urban-Rur, Beijing Project Management office, Ningbo PMO for World Bank Financed Urban Project, Guiyang PMO

Activity Reference No./ Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual

Activity Reference No./ Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual

Activity Reference No./ Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual

Activity Reference No./ Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion					
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
										TT041509-CS-1 / Conceptual design and TORs of the City TOD Platform and TORs for city pilots including workshops, travel, training and expert panel	TF / A1509	National TOD Platform, Toolkit, and Policy Support	Post	Consultant Qualification Selection	Open - National		185,000.00	0.00	Under Implementation	2017-05-31	2017-05-26	2017-06-21		2017-08-04					2018-01-05	2018-01-19	2018-03-09
GEBJ-01 / City TOD strategy	TF / A1509	City TOD Technical Support and TOD Application	Post	Quality And Cost-Based Selection	Open - International		1,700,000.00	0.00	Pending Implementation	2017-08-01		2017-09-29		2017-11-17					2018-01-05	2018-01-19	2018-03-09		2018-04-02		2021-04-01						
GEBJ-02 / Corridor-level and station-level application of TOD strategy (Stations on Metro Line 17, and the corridor along Pingguo Metro Line)	TF / A1509	City TOD Technical Support and TOD Application	Post	Quality And Cost-Based Selection	Open - International		850,000.00	0.00	Pending Implementation	2018-04-02		2018-05-29		2018-07-27					2018-09-14	2018-10-12	2018-12-07		2019-01-11		2022-01-10						
GEBJ-03 / District-level application of TOD strategy (Urban regeneration of Life Science Park near Jingzhang HSR and Changping Metro Line)	TF / A1509	City TOD Technical Support and TOD Application	Post	Quality And Cost-Based Selection	Open - International		850,000.00	0.00	Pending Implementation	2018-04-02		2018-05-29		2018-07-27					2018-09-14	2018-10-12	2018-12-07		2019-01-11		2022-01-10						
GENB-1 / Studies on Transit-oriented Development Strategy of Ningbo	TF / A1509	National TOD Platform, Toolkit, and Policy Support	Post	Quality And Cost-Based Selection	Open - International		1,300,000.00	0.00	Pending Implementation	2017-08-01		2017-08-07		2017-09-04					2017-10-10	2017-10-10	2017-10-20		2017-11-17		2020-11-16						
GENB-2 / Study on TOD planning, design and implementation for an urban rail transit corridor	TF / A1509	City TOD Technical Support and TOD Application	Post	Quality And Cost-Based Selection	Open - International		1,500,000.00	0.00	Pending Implementation	2017-06-09		2017-06-12		2017-07-04					2017-08-03	2017-08-03	2017-08-16		2017-08-10		2020-01-08						
GENB-3 / Apply TOD to improve development around existing urban rail stations	TF / A1509	City TOD Technical Support and TOD Application	Post	Quality And Cost-Based Selection	Open - International		1,080,000.00	0.00	Pending Implementation	2018-08-01		2018-08-06		2018-09-06					2018-10-11	2018-10-11	2018-10-22		2018-11-12		2020-05-12						
GEY-1 / City TOD Strategy	TF / A1509	City TOD Technical Support and TOD Application	Post	Quality And Cost-Based Selection	Open - International		1,620,000.00	0.00	Pending Implementation	2017-06-28		2017-07-19		2017-09-01					2017-09-29	2017-10-29	2017-12-03		2018-01-07		2019-01-07						
GEY-2 / Corridor-level application of TOD strategy part A: Metro Line 3 and Line S2	TF / A1509	City TOD Technical Support and TOD Application	Post	Quality And Cost-Based Selection	Open - International		1,600,000.00	0.00	Pending Implementation	2017-06-28		2017-07-19		2017-09-01					2017-09-29	2017-10-29	2017-12-03		2018-01-07		2019-01-07						
GEY-3 / Corridor-level application of TOD strategy Part B: BRT corridors	TF / A1509	City TOD Technical Support and TOD Application	Post	Quality And Cost-Based Selection	Open - International		1,130,000.00	0.00	Pending Implementation	2017-07-31		2017-08-21		2017-10-04					2017-11-01	2017-12-01	2018-01-05		2018-02-09		2019-02-09						

Activity Reference No./ Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion		
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	
										TT041509-CS-2 / Developing GEF SCAP China Child Project environmental management framework, Participation in relevant meetings and provide suggestions related to environmental safeguards for the GEF SCAP China Child Project.	TF / A1509		Post	Individual Consultant Selection	Open		27,500.00	0.00	Under Implementation	2016-08-25

TFDA1509-CS-3 / Developing GEF SCAP China Child Project social management framework. Participation in relevant meetings and provide suggestions related to social safeguards for the GEF SCAP China Child Project.	TF / A1509		Post	Individual Consultant Selection	Open		27,500.00	0.00	Under Implementation	2016-08-25	2016-10-13	2016-11-03	2016-12-08	2017-06-06
TFDA1509-CS-4 / Technical support (Urban Planning TOD)	TF / A1509	National TOD Platform, Toolkit, and Policy Support	Post	Individual Consultant Selection	Open		35,000.00	0.00	Pending Implementation	2017-05-31	2017-07-19	2017-08-09	2017-09-13	2018-03-12
TFDA1509-6 / Workshop, training, study tour			Post	Direct Selection	Direct		25,000.00	0.00	Cancelled	2016-09-12	2016-09-22		2016-11-26	2017-05-25