



## Kenya Devolution Support Project (P149129)

AFRICA | Kenya | Social, Urban, Rural and Resilience Global Practice Global Practice |  
IBRD/IDA | Program-for-Results | FY 2016 | Seq No: 1 | ARCHIVED on 29-Jun-2016 | ISR23416 |

Implementing Agencies: Ministry of Devolution and Planning

### Program Development Objectives

Program Development Objective (from Program-for-Results Appraisal Document)

To strengthen capacity of core national and county institutions to improve delivery of devolved services at the county level.

### Overall Ratings

| Name                                 | Previous Rating | Current Rating            |
|--------------------------------------|-----------------|---------------------------|
| Progress towards achievement of PDO  | --              | ● Moderately Satisfactory |
| Overall Implementation Progress (IP) | --              | ● Moderately Satisfactory |
| Overall Risk Rating                  | --              | ● High                    |

### Implementation Status and Key Decisions

The Kenya Devolution Support Program-for-Results was approved by the Board on March 15, 2016, followed by signing of the credit by the National Treasury in April 2016. Since then, the Ministry of Devolution and Planning has initiated the process of establishing a program secretariat, and has been in constant consultation with the Council of Governors in rolling out the program to the 47 counties. Technical and Joint Steering Committees have been formed and have held their first meeting. Through the Kenya School of Government, a series of sensitization workshops have been completed, culminating in the opting in by the counties into the program. In this regard, there is high enthusiasm among county governments to enhance their capacity as well as institutional strengthening of devolved systems. To date, 37 county governments have submitted capacity building plans.

The program is expected to be effective by mid-September 2016. The Ministry is in the process of submitting a request for extension of the original effectiveness date.

### Data on Financial Performance

**Disbursements (by loan)**

| Project | Loan/Credit/TF | Status        | Currency | Original | Revised | Cancelled | Disbursed | Undisbursed | Disbursed |
|---------|----------------|---------------|----------|----------|---------|-----------|-----------|-------------|-----------|
| P149129 | IDA-57650      | Not Effective | XDR      | 144.40   | 144.40  | 0.00      | 0.00      | 144.40      | 0%        |

**Key Dates (by loan)**

| Project | Loan/Credit/TF | Status        | Approval Date | Signing Date | Effectiveness Date | Orig. Closing Date | Rev. Closing Date |
|---------|----------------|---------------|---------------|--------------|--------------------|--------------------|-------------------|
| P149129 | IDA-57650      | Not Effective | 15-Mar-2016   | 15-Apr-2016  | --                 | 31-Dec-2020        | --                |

**Disbursement Linked Indicators (DLI)**

► DLI 5: National Treasury implements annual planned activities to strengthen countrywide frameworks and systems and to address county capacity gaps (Text)

|       | Baseline    | Actual (Previous) | Actual (Current)  |
|-------|-------------|-------------------|---|
| Value | 0           | --                | Development of 6-month FY 15 (Jan-June 2016) CB plan underway |
| Date  | 18-Feb-2016 | --                | 29-Jun-2016   |

► DLI 4: MoPSYGA implements annual planned activities to strengthen countrywide frameworks and systems and to address county capacity gaps (Text)

|       | Baseline    | Actual (Previous) | Actual (Current)  |
|-------|-------------|-------------------|---|
| Value | 0           | --                | Development of 6-month FY 15 (Jan-June 2016) CB plan underway |
| Date  | 18-Feb-2016 | --                | --  |

► DLI 1: Office of the Auditor General submits audit reports on time and in compliance with ISSAI for all counties that have submitted financial statements in compliance with the PFMA and prevailing acc (Text)

|       | Baseline    | Actual (Previous) | Actual (Current)  |
|-------|-------------|-------------------|---|
| Value | 0           | --                | Audits have not been submitted. This DLI has delayed in achieving its objective for Year 1. |
| Date  | 18-Feb-2016 | --                | 29-Jun-2016   |

Comments



## Number of Audit Reports

## ► DLI 2: Introduction and timely implementation of Annual Capacity &amp; Performance Assessments by MoDP (Text)

|          | Baseline    | Actual (Previous) | Actual (Current)   |
|----------|-------------|-------------------|--|
| Value    | 0           | --                | County sensitization has been conducted during the month of June 2016.<br><br>The KDSP Technical Committee has been established. |
| Date     | 18-Feb-2016 | --                | 29-Jun-2016  |
| Comments |             |                   |  |

## ► DLI 3: MoDP implements annual planned activities to strengthen countrywide frameworks and systems and to address county capacity gaps (Text)

|       | Baseline    | Actual (Previous) | Actual (Current)                |
|-------|-------------|-------------------|---------------------------------|
| Value | 0           | --                | Preparation of CB Plan underway |
| Date  | 18-Feb-2016 | --                | 29-Jun-2016                     |

## ► DLI 6: Kenya School of Government implements annual planned activities to address county capacity gaps (Text)

|       | Baseline    | Actual (Previous) | Actual (Current)  |
|-------|-------------|-------------------|---|
| Value | 0           | --                | Development of 6-month FY 15 (Jan-June 2016) CB plan underway |
| Date  | 18-Feb-2016 | --                | 29-Jun-2016   |

## ► DLI 7: Counties have undergone annual capacity &amp; performance assessment and met access conditions. (Text)

|  | Baseline | Actual (Previous) | Actual (Current) |
|--|----------|-------------------|------------------|
|--|----------|-------------------|------------------|



|       |             |    |             |
|-------|-------------|----|-------------|
| Value | 0           | -- | N/A         |
| Date  | 18-Feb-2016 | -- | 29-Jun-2016 |

► DLI 8: Counties have undergone annual capacity and performance assessment and have met minimum access conditions and minimum performance conditions for grant funding and implemented projects according (Text)

|       | Baseline    | Actual (Previous) | Actual (Current) |
|-------|-------------|-------------------|------------------|
| Value | 0           | --                | N/A              |
| Date  | 18-Feb-2016 | --                | 29-Jun-2016      |

## Results

### Results Area

#### Intermediate Results Area

Building county-wide institutional capacity for devolution

Capacity and Performance Based Grants - County institutional performance

### Project Development Objective Indicators

► PDO Indicator 1: Counties have strengthened institutional performance as demonstrated in the ACPA - Score in the ACPA for institutional performance of participating counties (average across all counti (Percentage, Custom)

|       | Baseline    | Actual (Previous) | Actual (Current) | End Target |
|-------|-------------|-------------------|------------------|------------|
| Value | 0.00        | --                | --               | 5.00       |
| Date  | 18-Feb-2016 | --                | --               | --         |

#### Comments

- See performance measures outlined in the detailed performance assessment tool, included in the POM.
- There will be no increase in performance in FY 15 (GoK FY 2015/16), as this will be measured in the second ACPA FY 17.

N/A – Baseline for most indicators will be set in FY 15 during the first ACPA (from October – November. 2016).\*

#### DATA SOURCE & METHODOLOGY

Annual Capacity & Performance Assessment (ACPA)

This performance score will be obtained through an in-county annual assessment of core institutional capacity across the four priority areas from the NBCF: PFM, HR, M&E/Planning, and Citizen engagement and implementation performance.

The score will be calculated as the sum of a range of agreed performance criteria across the five areas.



Measured as percentage increase in the average scores of all counties.

► PDO Indicator 2: MC-Number of counties which comply with the minimum performance conditions (DLI 8) (Number, Custom)

|       | Baseline    | Actual (Previous) | Actual (Current) | End Target |
|-------|-------------|-------------------|------------------|------------|
| Value | 0.00        | --                | --               | 35.00      |
| Date  | 18-Feb-2016 | --                | --               | --         |

Comments

Note: the number of counties complying with the MPC is determined in the FY prior to allocation.

DATA SOURCE AND METHODOLOGY

Counties have undergone annual assessment of performance and met access conditions

Overall Comments

**Intermediate Results Indicators**

► IR Indicator 1.1: Number of months taken to produce a full set of audits of financial statements of counties (Months, Custom)

|       | Baseline    | Actual (Previous) | Actual (Current) | End Target  |
|-------|-------------|-------------------|------------------|-------------|
| Value | 12.00       | --                | 11.00            | 7.00        |
| Date  | 18-Feb-2016 | --                | 29-Jun-2016      | 31-Dec-2020 |

Comments

Months since end of FY

► IR Indicator 1.2: ACPA and value for money audits completed on time (DLI 2) (Yes/No, Custom)

|       | Baseline    | Actual (Previous) | Actual (Current) | End Target  |
|-------|-------------|-------------------|------------------|-------------|
| Value | N           | --                | N                | Y           |
| Date  | 18-Feb-2016 | --                | 29-Jun-2016      | 31-Dec-2018 |



## Comments

ACPA will include results from VfM from year 3 only. First ACPA will be completed in FY 15/16 and impact on FY 16/17 grants.

► IR Indicator 1.3: Annual capacity building plans for county governments are completed (DLI 3) (Yes/No, Custom)

|       | Baseline    | Actual (Previous) | Actual (Current) | End Target  |
|-------|-------------|-------------------|------------------|-------------|
| Value | N           | --                | N                | Y           |
| Date  | 18-Feb-2016 | --                | 29-Jun-2016      | 31-Dec-2020 |

## Comments

Plan Developed

► IR Indicator 1.3: Planned MoDP Capacity Building activities are implemented according to the annual implementation plan (DLI 3) (Yes/No, Custom)

|       | Baseline    | Actual (Previous) | Actual (Current) | End Target  |
|-------|-------------|-------------------|------------------|-------------|
| Value | N           | --                | Y                | Y           |
| Date  | 18-Feb-2016 | --                | 30-Jun-2016      | 31-Dec-2020 |

## Comments

Year 2-FY16: Previous Plan 70% implemented  
Year 3-FY17: Previous plan 75% implemented  
Year 4-FY18: Previous Plan 80% implemented  
Year 5-FY19: Previous plan 80% implemented

► IR Indicator 1.4: Annual HRM capacity building activities for county governments are completed (DLI 4) (Yes/No, Custom)

|       | Baseline    | Actual (Previous) | Actual (Current) | End Target  |
|-------|-------------|-------------------|------------------|-------------|
| Value | N           | --                | Y                | Y           |
| Date  | 18-Feb-2016 | --                | 29-Jun-2016      | 31-Dec-2016 |

## Comments

Plan developed



► IR Indicator 1.4: Planned DPSM capacity building activities are implemented according to annual implementation plan (DLI 4) (Yes/No, Custom)

|       | Baseline    | Actual (Previous) | Actual (Current) | End Target  |
|-------|-------------|-------------------|------------------|-------------|
| Value | N           | --                | Y                | Y           |
| Date  | 18-Feb-2016 | --                | 29-Jun-2016      | 31-Dec-2020 |

Comments

Year 2-FY16: Previous Plan 70% implemented  
Year 3-FY17: Previous plan 75% implemented  
Year 4-FY18: Previous Plan 80% implemented  
Year 50FY19: Previous plan 80% implemented

► IR Indicator 1.5: Annual PFM capacity building activities for county governments are completed (DLI 5) (Yes/No, Custom)

|       | Baseline    | Actual (Previous) | Actual (Current) | End Target  |
|-------|-------------|-------------------|------------------|-------------|
| Value | N           | --                | Y                | Y           |
| Date  | 18-Feb-2016 | --                | 29-Jun-2016      | 31-Dec-2020 |

Comments

Plan developed

► IR Indicator 1.5: Planned NT PFM capacity building activities are implemented according to annual implementation plan (DLI 5) (Yes/No, Custom)

|       | Baseline    | Actual (Previous) | Actual (Current) | End Target  |
|-------|-------------|-------------------|------------------|-------------|
| Value | N           | --                | Y                | Y           |
| Date  | 18-Feb-2016 | --                | 29-Jun-2016      | 31-Dec-2020 |

Comments

Year 2-FY16: Previous Plan 70% implemented  
Year 3-FY17: Previous plan 75% implemented  
Year 4-FY18: Previous Plan 80% implemented  
Year 50FY19: Previous plan 80% implemented

► IR Indicator 1.6: Kenya School of Government implements annual planned activities to address county capacity gaps (DLI 6) (Yes/No, Custom)

|  | Baseline | Actual (Previous) | Actual (Current) | End Target |
|--|----------|-------------------|------------------|------------|
|--|----------|-------------------|------------------|------------|



|       |             |    |             |             |
|-------|-------------|----|-------------|-------------|
| Value | N           | -- | Y           | Y           |
| Date  | 18-Feb-2016 | -- | 29-Jun-2016 | 31-Dec-2020 |

Comments  
Plan developed

► IR Indicator 1.6: Planned KSG capacity building activities are implemented according to the annual implementation plan (DLI 6) (Yes/No, Custom)

|       | Baseline    | Actual (Previous) | Actual (Current) | End Target |
|-------|-------------|-------------------|------------------|------------|
| Value | N           | --                | --               | --         |
| Date  | 18-Feb-2016 | --                | --               | --         |

Comments  
Year 2-FY16: Previous Plan 70% implemented  
Year 3-FY17: Previous plan 75% implemented  
Year 4-FY18: Previous Plan 80% implemented  
Year 5-FY19: Previous plan 80% implemented

► IR Indicator 1.7: Inter-Governmental Relations Strengthened (Number, Custom)

|       | Baseline    | Actual (Previous) | Actual (Current) | End Target  |
|-------|-------------|-------------------|------------------|-------------|
| Value | 0.00        | --                | 0.00             | 35.00       |
| Date  | 18-Feb-2016 | --                | 29-Jun-2016      | 31-Dec-2020 |

Comments  
Year 2-FY16: 15  
Year 3-FY17: 25  
Year 4-FY18: 35  
Year 5-FY19: 35

► IR Indicator 2.1: Strengthened County PFM capacity. Average (for all counties) aggregate deviation between budget and outturn (average across all sectors) reduced by: (Percentage, Custom)

|       | Baseline    | Actual (Previous) | Actual (Current) | End Target  |
|-------|-------------|-------------------|------------------|-------------|
| Value | 0.00        | --                | 0.00             | 5.00        |
| Date  | 18-Feb-2016 | --                | 29-Jun-2016      | 31-Dec-2020 |





► IR Indicator 2.1: Strengthened county PFM capacity. Value of Audit queries as % of total expenditures reduced by: (Percentage, Custom)

|       | Baseline    | Actual (Previous) | Actual (Current) | End Target  |
|-------|-------------|-------------------|------------------|-------------|
| Value | 0.00        | --                | 0.00             | 5.00        |
| Date  | 18-Feb-2016 | --                | 29-Jun-2016      | 31-Dec-2020 |

► IR Indicator 2.1: Strengthened county PFM capacity. Number of counties with 25 steps in the IFMIS procurement process adhered to by: (Percentage, Custom)

|       | Baseline    | Actual (Previous) | Actual (Current) | End Target  |
|-------|-------------|-------------------|------------------|-------------|
| Value | 0.00        | --                | --               | 5.00        |
| Date  | 18-Feb-2016 | --                | --               | 31-Dec-2020 |

► IR Indicator 2.2: Improved Planning and M&E capacities. Number of CIDPs that adhere to guidelines increased by: (Percentage, Custom)

|       | Baseline    | Actual (Previous) | Actual (Current) | End Target  |
|-------|-------------|-------------------|------------------|-------------|
| Value | 0.00        | --                | --               | 10.00       |
| Date  | 18-Feb-2016 | --                | --               | 31-Dec-2020 |

► IR Indicator 2.2: Improved Planning and M&E capacities. Number of Counties producing County Annual Progress Reports on time (September 30) by: (Percentage, Custom)

|       | Baseline    | Actual (Previous) | Actual (Current) | End Target  |
|-------|-------------|-------------------|------------------|-------------|
| Value | 0.00        | --                | 0.00             | 7.00        |
| Date  | 18-Feb-2016 | --                | 30-Jun-2016      | 31-Dec-2020 |

► IR Indicator 2.2: Improved Planning and M&E Capacities. Number of counties where the county M&E Committee (COMEC) meets regularly increased by: (Percentage, Custom)

|       | Baseline    | Actual (Previous) | Actual (Current) | End Target  |
|-------|-------------|-------------------|------------------|-------------|
| Value | 0.00        | --                | 0.00             | 5.00        |
| Date  | 18-Feb-2016 | --                | 29-Jun-2016      | 31-Dec-2020 |



► IR Indicator 2.3: Improved HR and performance management capacity. Number of counties with staff performance appraisal process operationalized increased by: (Amount(USD), Custom)

|       | Baseline    | Actual (Previous) | Actual (Current) | End Target  |
|-------|-------------|-------------------|------------------|-------------|
| Value | 0.00        | --                | 0.00             | 5.00        |
| Date  | 18-Feb-2016 | --                | 29-Jun-2016      | 31-Dec-2020 |

► IR Indicator 2.3: Improved HR and performance management capacity. Number of counties with performance contracts for level 1 (and or 2) increased by: (Amount(USD), Custom)

|       | Baseline    | Actual (Previous) | Actual (Current) | End Target  |
|-------|-------------|-------------------|------------------|-------------|
| Value | 0.00        | --                | --               | 5.00        |
| Date  | 18-Feb-2016 | --                | --               | 31-Dec-2020 |

► IR Indicator 2.4: Strengthened citizen education and public participation at the county level. Number of counties with established and functional civic education units increased by: (Percentage, Custom)

|       | Baseline    | Actual (Previous) | Actual (Current) | End Target  |
|-------|-------------|-------------------|------------------|-------------|
| Value | 0.00        | --                | 0.00             | 5.00        |
| Date  | 18-Feb-2016 | --                | 29-Jun-2016      | 31-Dec-2020 |

► IR Indicator 2.4: Strengthened citizen education and public participation at the county level. Number of counties with established and functional civic education units increased by (Percentage, Custom)

|       | Baseline    | Actual (Previous) | Actual (Current) | End Target  |
|-------|-------------|-------------------|------------------|-------------|
| Value | 0.00        | --                | 0.00             | 5.00        |
| Date  | 18-Feb-2016 | --                | 30-Jun-2016      | 31-Dec-2020 |

► IR Indicator 2.4: Strengthened citizen education and public participation at the county level. Number of counties with evidence of citizen input in plans and budgets increased by (Percentage, Custom)

|       | Baseline    | Actual (Previous) | Actual (Current) | End Target  |
|-------|-------------|-------------------|------------------|-------------|
| Value | 0.00        | --                | 0.00             | 5.00        |
| Date  | 18-Feb-2016 | --                | 29-Jun-2016      | 31-Dec-2020 |



► IR Indicator 2.4: Strengthened citizen education and public participation at the county level. Number of counties with the following documents published online: CIDP, ADP, Annual Budget, Fiscal Strat (Percentage, Custom)

|       | Baseline    | Actual (Previous) | Actual (Current) | End Target  |
|-------|-------------|-------------------|------------------|-------------|
| Value | 0.00        | --                | 0.00             | 4.00        |
| Date  | 18-Feb-2016 | --                | 29-Jun-2016      | 31-Dec-2020 |

► IR Indicator 2.5: Improved investment implementation and value-for money. Number of counties that prepare Annual Environmental and Social Audits/reports increased by: (Percentage, Custom)

|       | Baseline    | Actual (Previous) | Actual (Current) | End Target  |
|-------|-------------|-------------------|------------------|-------------|
| Value | 0.00        | --                | 6.00             | 6.00        |
| Date  | 18-Feb-2016 | --                | 29-Jun-2016      | 31-Dec-2020 |

► IR Indicator 2.5: Improved investment implementation and value-for-money. Number of counties projects with a satisfactory value-for-money level increased by (Percentage, Custom)

|       | Baseline    | Actual (Previous) | Actual (Current) | End Target  |
|-------|-------------|-------------------|------------------|-------------|
| Value | 0.00        | --                | 0.00             | 7.00        |
| Date  | 18-Feb-2016 | --                | 29-Jun-2016      | 31-Dec-2020 |

#### Overall Comments