



Government of Mozambique

Cyclone Idai and Kenneth Emergency Recovery and Resilience Project (P171040)

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (FINAL)

27 June 2019

Cyclone Idai and Kenneth Emergency Recovery and Resilience Project

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

The Government of Mozambique (GoM) is planning to implement Cyclone Idai Emergency Recovery and Resilience Project (CERRP). The Government intends to implement the project under the leadership of the Ministry of Public Works, Housing and Water Resources (MOPARH). The project will be implemented by two entities subordinated to the Ministry of Public Works and Water Resources (MOPHRH): Administration for Water and Sanitation Infrastructure - AIAS and the recently created Reconstruction Office. The World Bank has agreed to provide funding for the project.

The Government of Mozambique *will implement material measures and actions so that the Project is implemented in accordance with the World Bank Environmental and Social Standards (ESSs). This Environmental and Social Commitment Plan (ESCP) sets out a summary of the material measures and actions*

1. Where the ESCP refers to specific plans or other documents, whether they have already been prepared or are to be developed, the ESCP requires compliance with all provisions of such plans or other documents. In particular, the ESCP requires compliance with the provisions set out in the Environmental and Social Management Framework (ESMF), *Resettlement Policy Framework (RPF)*, *the Personnel Management Procedures (PMPs)*, *Evaluation Risk and Action Plan on Gender-Based Violence*, *as well as other necessary instruments, such as Environmental and Social Impact Assessments (ESIAs)*, *Environmental and Social Management Plans (ESMPs)*, *Resettlement Action Plans (RAPs) for the Local Population*, etc. that will be developed for the Project.
2. The table below summarizes the material measures and actions that are required as well as the timing of the material measures and actions. The GoM is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministry, agency or unit referenced in 1. above.
3. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Bank by the Republic of Mozambique as required by the ESCP and the conditions of the legal agreement, and the Bank will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
4. As agreed by the Bank and the Republic of Mozambique, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the Republic of Mozambique will agree to the changes with the Bank and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Bank and the Republic of Mozambique. The Republic of Mozambique will promptly disclose the updated ESCP. Depending on the project, the ESCP may also specify the funding necessary for completion of a measure or action.

5. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Republic of Mozambique shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts, which may include environmental, health, and safety impacts, and gender-based violence.

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ESCP Monitoring and Reporting

	<p>REGULAR REPORTING: The Government of Mozambique, through the PIU, will prepare and submit regular environmental and social monitoring reports using monitoring templates specified in the ESMF, presenting the status of compliance with the actions presented in ESCP, and particularly with regard to the elaboration and implementation of environmental and social management tools and actions referred to in Section 1.3.</p>	<p>Quarterly reporting throughout Project implementation to the World Bank. Reports to be sent within the first 7 days after the reporting quarter</p>	<p>Responsibility: PIU Environment & Social safeguard Team Accountability: PIU Team leader Funding: Project Funds</p>	<p>Quarterly throughout the Project implementation</p>
	<p>INCIDENTS AND ACCIDENTS NOTIFICATION: Promptly notify any incident or accident related or having an impact on the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers. Provide sufficient detail regarding the incident or accident, indicating immediate measures taken to address it, and include information provided by any contractor and supervising entity, as appropriate.</p>	<p>Report any incident or accident to the World Bank within 48 hours and no later than 72 hours after becoming aware of the occurrence of the incident or accident in line with the World Bank's Environment and Social Incidence Response Toolkit (ESIRT)</p>	<p>Responsibility: PIU Environment & Social safeguard Team Accountability: PIU Team leader Funding: Project Funds</p>	<p>Throughout the Project implementation</p>

SUMMARY ASSESSMENT

ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS

1.1	<p>ORGANIZATIONAL STRUCTURE: Under CERRP, the government will establish and maintain an organizational structure to support environmental and social risk management. The Ministry of Public Works, Housing and Water</p>	<p>Before Commencement of Project Activities</p>		
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<p>Resources will have overall coordination and accountability for the implementation of the project. The Ministry will establish a PIU for project implementation.</p> <p>The Government will ensure that the PIU Team Leader recruits and maintains at least one Environmental Specialist and one Social Safeguard Specialist (ESS – each responsible for environmental and social risks and impacts). as well as specialists on Gender Based Violence/Sexual Exploitation and Abuse (GBV/SEA), labor conditions (health & safety), impact on river systems. Other specialists will also be hired as and when required.</p>	<p>within 15 days after World Bank Board</p> <p>Before Commencement of Project Activities within 30 days after World Bank Board</p>	<p>Accountability: Minister of Public Works, Housing and Water Resources</p> <p>PIU team leader</p> <p>Funding: Project Funds</p>	<p>PIU and Environment and Social Specialist to be retained until the Project is completed</p>
<p>1.2 ENVIRONMENTAL AND SOCIAL ASSESSMENT: Carry out an environmental and social assessment to identify and assess the environmental and social risks and impacts of the project related subprojects and propose appropriate mitigation measures for cases where the ESMF is not sufficient.</p>	<p>Before subprojects implementation</p>	<p>Responsibility: PIU – environmental and social Safeguard team</p> <p>Funding: Project Funds</p>	<p>Prior to implementation and ongoing throughout Project implementation</p>
<p>1.3 MANAGEMENT TOOLS AND INSTRUMENTS: The Government of Mozambique will prepare the following management instruments:</p> <ul style="list-style-type: none"> • Prepare Environmental and Social Commitment Plan • Develop, adopt and disclose a Stakeholder Engagement Plan (SEP) satisfactory to the World Bank 	<p>As early as possible and prior to appraisal</p> <p>Within 2 months after World Bank Board Within</p>	<p>Responsibility: Ministry of Public Works</p>	<p>Implemented throughout Project implementation</p>

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<ul style="list-style-type: none"> • Prepare, consult on and disclose an Environmental and Social Framework (ESMF) which establishes procedures for screening E&S risks and impacts of sub-projects prior to implementation of sub-projects, • Resettlement Policy Framework (RPF); • Resettlement Action Plans (RAPs)/ Abbreviated Resettlement Action Plans ARAPs • Labor management procedures (LMPs) • Gender-Based Violence Risk Assessment (GBV) and Action Plan for the Project • Preparation of site-specific ESIA/ESMPs, including management and monitoring of mitigation measures in line with the screening and assessment guidelines in the ESMF. • Construction ESMPs (CESMPs) and Environmental Codes of Practices (ECOPs) satisfactory to the World Bank. • Develop, adopt, update and disclose a Stakeholder Engagement Plan (SEP) satisfactory to the World Bank • Prepare Terms of Reference for all technical assistance activities, incorporating applicable ESF requirements. 	<p>2 months of Board approval and prior to commencement of civil works/project activities</p> <p>RAPs/ARAPs to be prepared and implemented before start of construction works for each sub-project where such instruments are required.</p> <p>Before commencement of any activity requiring these instruments as an outcome of screening process according to ESMF requirements</p> <p>Upon the project effectiveness - Before commencement of any technical assistance activity.</p>	<p>PIU Team environmental and social safeguard team</p> <p>PIU Team environmental and social safeguard team</p> <p>Funding: Project Funds</p> <p>Funding: Project Funds</p>	

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<p>1.4 MANAGEMENT OF CONTRACTORS: Develop and implement procedures for managing contractors and subcontractors by the following:</p> <ul style="list-style-type: none"> • environmental and social requirements (replace 'requirements' with 'plans' or ESMPs) will be included in the procurement and contracting process including bidding documents, for potential civil works. • relevant requirements on environmental and social management are included in contracts and subcontracts (reflecting ESSs and ESCP); • codes of conduct are required of contractors and subcontractors and their workers; • Incorporate and implement specific actions in line with the Project GBV Action Plan as part of ESMPs • Preparation of a detailed contractor ESMP (C-ESMP) that is costed, with sufficient budget and staff to mitigate E&S risks • Monitor contractor commitment and compliance • Providing grievance redress mechanisms for contractor and subcontractor, communities and other stakeholders • Ensure contractors provide details on contractor's oversight on environmental, social, health and safety (ESHS) performance • Contractor to develop a grievance mechanism to handle concerns of their employees especially laborers • Contractor shall prepare and implement a Labor Management Plan (LMP) 	<p>Prior to the preparation of procurement documents.</p> <p>Maintain procedures throughout Project implementation</p>	<p>Responsibility: PIU Environment & Social safeguard</p> <p>Accountability: PIU Team Leader.</p> <p>Funding: Project Funds</p>	<p><i>Ongoing throughout Project implementation</i></p>

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1.5	<p>PERMIT, CONSENTS AND AUTHORIZATIONS: Obtain or assist in obtaining, as appropriate, the permits, consents and authorizations that are applicable to the Project from relevant national authorities such as:</p> <ul style="list-style-type: none"> • Environmental license • Water use and effluent discharging license • Land use right (DUAT) • Construction license • Bush clearing license, etc. • Any other licenses as and when required <p>Comply or cause to comply, as appropriate, with the conditions established in these permits, consents and authorizations throughout Project implementation.</p>	<p>Prior to initiating activities that require permits, consents and authorizations. Prior to procurement of contractors.</p>	<p>Responsibility: PIU Environment & Social safeguard Team</p> <p>Accountability: PIU Team Leader.</p> <p>Funding: Project Funds</p>	<p>Ongoing throughout Project implementation</p>		
1.6	<p>THIRD PARTY MONITORING: A TPM agent will be engaged to provide independent review of project implementation and verification of project results, including adherence to all aspects of the Project Operations Manual.</p>	<p>Once a year TPM Throughout project implementation</p>	<p>Responsibility: PIU Environment & Social Team</p> <p>Accountability: PIU Team Leader.</p> <p>Funding: Project Funds</p>	<p>Throughout Project implementation</p>		
ESS 2: LABOR AND WORKING CONDITIONS						
2.1	<p>LABOR MANAGEMENT PROCEDURES: Develop outline of Labor Management Procedures (LMP) aligned with National Labor Legislation and ESS2 as part of the ESMF. The LPM will be refined based on ongoing assessment results</p>	<p>3 months after Board approval</p>	<p>Responsibility: PIU Environment & Social Team</p>	<p><i>Throughout Project implementation</i></p>		

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			Accountability: PIU Team Leader. Funding: Project Funds	
2.2	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS: Develop and maintain a grievance redress mechanism (GRM) for direct project workers, including contracted and community workers as part of the LMP</p>	Grievance mechanism developed as part of the ESMF- 3 months after Board approval and prior to engaging Project workers.	Responsibility: PIU Environment & Social Team Accountability: PIU Team Leader. Funding: Project Funds	Maintained throughout Project implementation
2.3	<p>OHS MEASURES: Develop and implement occupational, health and safety (OHS) measures, in line with National legislation and ESS5, as part of the ESMF</p>	Before commencement of project activities during development of ESMF – 3 months after board approval	Responsibility: PIU Environment & Social Team Accountability: PIU Team Leader. Funding: Project Funds	<i>Maintained throughout Project implementation.</i>
2.4	<p>EMERGENCY PREPAREDNESS AND RESPONSE: As part of the OHS measures specified in 2.3, include measures on Emergency Preparedness and Response, and ensure coordination with measures under 4.5. Ensure workers and contractors are trained and implement the plan.</p>	Before commencement of project activities during development of ESMF – 3 months after board approval	Responsibility: PIU Environment & Social safeguard Team Accountability: PIU Team Leader. Funding: Project Funds	<i>Maintained throughout Project implementation.</i>

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2.5	<p>PROJECT WORKERS TRAINING: Implement training of Project workers designed to improve awareness of risks and to mitigate impacts on local communities, as defined in ESMF, LMP and GBV action plan.</p>	<p>Prior to initiating construction, with regular refresher training.</p> <p>Maintained throughout Project implementation</p>	<p>Responsibility: PIU environment & Social Team Accountability: PIU Team Leader.</p> <p>Funding: Project Funds</p>	<p><i>Maintained throughout Project implementation</i></p>
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT				
3.1	<p>MANAGEMENT OF WASTE AND HAZARDOUS MATERIALS: As part of the ESMF, develop and implement measures and procedures for managing waste and hazardous materials during demolition, construction and disposal.</p>	<p>Prior to initiating project activities /construction.</p> <p>Maintained throughout Project implementation</p>	<p>Responsibility: PIU Environment & Social Team Accountability: PIU Team Leader.</p> <p>Funding: Project Funds</p>	<p><i>Maintained throughout Project implementation.</i></p>
ESS 4: COMMUNITY HEALTH AND SAFETY				
4.1	<p>TRAFFIC AND ROAD SAFETY: In consultation with the host communities, develop and implement road safety management measures as part of the ESMF. Contractors will develop road safety management plan as part of the CESMP to address the impacts on local communities of moving construction equipment.</p>	<p>General approach will be outlined in the ESMF (3 months after board approval), site-specific plans prior to initiating construction.</p> <p>Maintained throughout Project implementation</p>	<p>Responsibility: PIU Safeguards Team Accountability: PIU Team Leader.</p> <p>Funding: Project Funds</p>	<p>Maintained throughout Project implementation.</p>

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4.2	<p>COMMUNITY HEALTH AND SAFETY: Measures and actions to assess and manage specific risks and impacts outlined in the ESMF and subsequent ESMPs.</p>	<p>Prior to initiating construction.</p> <p>Maintained throughout Project implementation</p>	<p>Responsibility: PIU Environment & Social safeguard Team</p> <p>Accountability: PIU Team leader</p> <p>Funding: Project Funds</p>	Maintained throughout Project implementation.
4.3	<p>GBV AND SEA RISKS: Develop and implement measures and actions to assess and manage the risks of gender-based violence (GBV) and sexual exploitation and abuse (SEA) through existing mechanisms. After GBV analysis a GBV action plan will be developed and implemented.</p>	<p>After World Bank Board approval prior to initiating construction</p> <p>Maintained throughout Project cycle</p>	<p>Responsibility: PIU Safeguards Team</p> <p>Accountability: PIU Team Leader.</p> <p>Funding: Project Funds</p>	Maintained throughout Project implementation.
4.4	<p>GBV AND SEA RISKS DURING PROJECT IMPLEMENTATION: There will be GBV procedures in place, including training and monitoring, pre-deployment and during project implementation. This will be defined in the ESMF and through a GBV action plan</p>	<p>Prior to initiating construction.</p> <p>Maintained throughout Project cycle</p>	<p>Responsibility: PIU Safeguards Team</p> <p>Accountability: PIU Team Leader.</p> <p>Funding: Project Funds</p>	Maintained throughout Project implementation.
4.5	<p>EMERGENCY RESPONSE MEASURES: Measures will be defined in the ESMF and subsequent ESMPs and included as part of the SEP</p>	<p>Prior to initiating construction.</p> <p>Maintained throughout Project implementation</p>	<p>Responsibility: PIU Safeguards Team</p> <p>Accountability: PIU Team Leader.</p>	Maintained throughout Project implementation.

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			Funding: Project Funds	
4.6	<p>SECURITY PERSONNEL: No expectation of security personnel, however, procedures will be outlined in the ESMF in particular the LMP</p> <p>In the event that security personnel is used for the sub-projects, the contractor shall develop and implement measures and actions to assess and manage the risks to human security of project-affected communities and project workers that could arise from the use of security personnel</p>	<p>Prior to initiating construction</p> <p>Maintained throughout Project implementation</p>	<p>Responsibility: PIU Safeguards Team</p> <p>Accountability: PIU Team Leader.</p> <p>Funding: Project Funds</p>	Maintained throughout Project implementation.
4.7	<p>TRAINING FOR THE COMMUNITY: The Government will conduct trainings for the community designed to raise awareness of environmental and social risks and impacts and mitigation measures including trainings on (not exhaustive):</p> <ul style="list-style-type: none"> • Communicable diseases/HIV-AIDS/STI/GBV awareness and prevention • Community grievance redress mechanism as described in the SEP/ ESMF • Road Safety Awareness 	<p>Prior to initiating construction, with regular refresher training.</p>	<p>Responsibility: PIU Safeguards Team</p> <p>Accountability: PIU Team Leader.</p> <p>Funding: Project Funds</p>	Maintained throughout Project implementation.
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT				
5.1	<p>LAND ACQUISITION AND RESETTLEMENT: Project activities are expected to require some land acquisition, restrictions on land use and some involuntary resettlement. A Resettlement Policy Framework (RPF) will be developed to provide guidance where land acquisition need may rise.</p>	<p>After World Bank Board Approval (2 months).</p> <p>Before start of activities and release of funds</p>	<p>Responsibility: PIU Safeguards Team</p> <p>Accountability: PIU Team Leader.</p>	<i>Maintained throughout Project implementation.</i>

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Where required by the sub-project, a Resettlement Action Plan/Abbreviated Resettlement Action Plan will be prepared and implemented.	Maintained throughout Project implementation Prior to the commencement of construction work for the sub-project	Funding: Project Funds	
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1 BIODIVERSITY RISKS AND IMPACTS: As part of the ESMF, develop and implement screening procedures for biodiversity risks and impacts likely to occur from implementing project activities.	After World Bank Board Approval (3 months). Prior to commencing project activities.	Responsibility: PIU Safeguards Team Accountability: PIU Team Leader. Funding: Project Funds	
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
This standard is not relevant currently as there are no distinct social and cultural groups have been identified in accordance with ESS7 and in the national context in the project area.			
ESS 8: CULTURAL HERITAGE			

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8.1	CHANCE FINDS: Develop and implement Chance Find Procedures as part of the ESMF.	Prior to commencing project activities and maintained throughout construction.	Responsibility: PIU Environment & Social safeguard Team Accountability: PIU Team leader Funding: Project Funds	Maintained throughout Project implementation.
ESS 9: FINANCIAL INTERMEDIARIES				
9.1	Development and implementation of specific ESMS for selected FIs (Responsible FIs), addressing environmental and social regulations, institutional strengthening, subprojects assessment, risk rating and reporting.	After World Bank Board Approval (6 months).	Responsibility: PIU Environment & Social safeguard Team Accountability: PIU Team leader Funding: Project Funds	Maintained throughout Project implementation.
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE				

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10.1	<p>SEP PREPARATION: Prepare and disclose SEP, and continuously update. Develop specific plans per sector.</p>	By project appraisal	<p>Responsibility: PIU Environment & Social safeguard Team</p> <p>Accountability: PIU Team leader</p> <p>Funding: Project Funds</p>	Throughout project implementation
10.2	<p>SEP IMPLEMENTATION: SEP is incorporated into the project's management system, adequate staffing and budget is allocated to implementing the SEP</p>	By project appraisal	<p>Responsibility: PIU Environment & Social safeguard Team</p> <p>Accountability: PIU Team leader</p> <p>Funding: Project Funds</p>	Throughout project implementation
10.3	<p>PROJECT GRIEVANCE MECHANISM: Develop and implement the arrangements for the grievance mechanism, as part of the SEP</p>	Within 2 months of Board approval	<p>Responsibility: PIU Environment & Social safeguard Team</p> <p>Accountability: PIU Team leader</p> <p>Funding: Project Funds</p>	Throughout Project implementation

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Capacity Support

All capacity building activities and trainings will be further detailed in the ESMF, trainings may be required in:

- Stakeholder engagement
- Subprojects Environmental and Social screening
- Occupational and Community Health and Safety
- Emergency preparedness and response
- Gender-Based Violence Risk Mitigation
- Disability inclusion training
- Grievance Management
- Monitoring and reporting
- Labor management procedures

Before commencement of civil works.