

Procurement Plan for KRWSS (P121774)

I. General

1. **Bank's approval Date of the procurement Plan** [April 2017]
2. **Date of General Procurement Notice:** [30 August 2011]
3. **Period covered by this procurement plan:** January 2017 onwards

II. Goods and Works and non-consulting services.

1. **Prior Review Threshold:** Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement:

2.

| | Procurement Method | Prior Review Threshold US\$ |
|----|---------------------------|--------------------------------|
| 1. | ICB and LIB (Goods) | <i>Above US\$ 500,000</i> |
| 2. | NCB (Goods) | <i>Above US\$ 100,000</i> |
| 3. | ICB (Works) | <i>Above US\$ 40 million</i> |
| 4. | NCB (Works) | <i>Above US\$ 10 million</i> |
| 5. | (Non-Consultant Services) | <i>Below US\$ 100,000</i> |

2. **Prequalification** for Bidders *Not applicable*

3. **Proposed Procedures for CDD Components (as per paragraph. 3.17 of the Guidelines:** NA

4. **Reference to (if any) Project Operational/Procurement Manual:** *Project Implementation Manual for World Bank Loan Project P121774 by Jalanidhi*

5. **Any Other Special Procurement Arrangements:**

In addition, the justification for all contracts to be issued on the basis of LIB, single-source or direct contracting (except for contracts less than US\$50,000 in value) will be subject to prior review. Justification for all contracts to be issued on the basis of LIB, single-source or direct contracting (except for contracts less than US\$50,000 in value) will be subject to prior review.

III. Selection of Consultants

1. **Prior Review Threshold:** Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

| | Selection Method | Prior Review Threshold |
|----|-----------------------------|------------------------|
| 1. | Competitive Methods (Firms) | Above US\$ 500,000 |
| 2. | Single Source (Firms) | Above US\$ 50,000 |
| 3. | Individual | Above US\$ 200,000 |

2. **Short list comprising entirely of national consultants:** Short list of consultants for services, estimated to cost less than \$ 300,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.

PROCUREMENT PLAN India : IN 2nd Kerala Rural Water Supply and Sanitation Project (Jalanidhi II)

General Information
Country: India **Bank / Approval Date of the Original Procurement Plan:** 2016-07-22
Revised Plan Date(s): (comma delineated, leave blank if none) 2017-05-23
Project ID: P121774 **GPN Date:**
Project Name: IN 2nd Kerala Rural Water Supply and Sanitation Project (Jalanidhi II)
Loan / Credit No.: IDA / 50270
Executing Agency(ies): Kerala Rural Water Supply and Sanitation Agency

WORKS

| Activity Reference No./ Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Procurement Process | Prequalification (Y/N) | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Draft Pre-qualification Documents | | Prequalification Evaluation Report | | Draft Bidding Document / Justification | | Specific Procurement Notice / Invitation | | Bidding Documents as Issued | | Proposal Submission / Opening / Minutes | | Bid Evaluation Report and Recommendation for Award | | Signed Contract | | Contract Completion | |
|-------------------------------------|-------------------|-----------|-------------|--------|-----------------|---------------------|------------------------|-------------------------|----------------------|----------------|-----------------------------------|--------|------------------------------------|--------|--|--------|--|--------|-----------------------------|--------|---|--------|--|--------|-----------------|--------|---------------------|--------|
| | | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual |

GOODS

| Activity Reference No./ Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Procurement Process | Prequalification (Y/N) | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Draft Pre-qualification Documents | | Prequalification Evaluation Report | | Draft Bidding Document / Justification | | Specific Procurement Notice / Invitation | | Bidding Documents as Issued | | Proposal Submission / Opening / Minutes | | Bid Evaluation Report and Recommendation for Award | | Signed Contract | | Contract Completion | |
|--|-------------------|----------------------------|-------------|------------------------|-----------------|-----------------------------|------------------------|-------------------------|----------------------|------------------------|-----------------------------------|--------|------------------------------------|--------|--|--------|--|------------|-----------------------------|--------|---|--------|--|--------|-----------------|------------|---------------------|------------|
| | | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual |
| 2696PROC2016KRWSA / Procurement of chair | IDA / 50270 | Institution Building | Post | Request for Quotations | Open - National | Single Stage - One Envelope | | 1,000.00 | 0.00 | Under Implementation | | | | | | | | 2016-08-21 | | | | | | | | 2016-10-16 | | 2017-04-14 |
| 2775PROC2016KRWSA / Procurement of UPS | IDA / 50270 | Institution Building | Post | Request for Quotations | Open - National | Single Stage - One Envelope | | 1,000.00 | 0.00 | Under Implementation | | | | | | | | 2016-08-21 | | | | | | | | 2016-10-16 | | 2017-04-14 |
| 3196PROC2012KRWSA / Printing of Mbook (200 Nos.) and Site Order Books (300 Nos.) | IDA / 50270 | Infrastructure Development | Post | Request for Quotations | Open - National | Single Stage - One Envelope | | 2,000.00 | 0.00 | Under Implementation | | | | | | | | 2017-02-05 | | | | | | | | 2017-04-02 | | 2017-09-29 |
| 0877PROC2017KRWSA / High Speed Document Scanner- 4 Nos for e-office (PMU & RPMUs) | IDA / 50270 | Institution Building | Post | Request for Quotations | Limited | Single Stage - One Envelope | | 5,000.00 | 0.00 | Under Implementation | | | | | | | | 2017-02-27 | | | | | | | | 2017-03-20 | | 2017-09-16 |
| 0877PROC2017KRWSA / Procurement of Network Switch- 24 Port- 4 Nos for e-office (PMU & RPMUs) | IDA / 50270 | Institution Building | Post | Request for Quotations | Limited | Single Stage - One Envelope | | 5,000.00 | 0.00 | Under Implementation | | | | | | | | 2017-02-27 | | | | | | | | 2017-03-20 | | 2017-09-16 |
| 0877PROC2017KRWSA / Procurement of Router 4 Nos. for e-office (PMU & RPMUs) | IDA / 50270 | Institution Building | Post | Request for Quotations | Limited | Single Stage - One Envelope | | 15,000.00 | 0.00 | Under Implementation | | | | | | | | 2017-02-27 | | | | | | | | 2017-03-20 | | 2017-09-16 |
| 0877PROC2017KRWSA / Procurement of Network Rack 2 Nos. for e-office (RPMUs) | IDA / 50270 | Institution Building | Post | Request for Quotations | Limited | Single Stage - One Envelope | | 666.00 | 0.00 | Under Implementation | | | | | | | | 2017-02-27 | | | | | | | | 2017-03-20 | | 2017-09-16 |
| 399PROC2017KRWSA / Procurement of Almishah - 2 Nos. | IDA / 50270 | Institution Building | Post | Request for Quotations | Limited | Single Stage - One Envelope | | 667.00 | 0.00 | Pending Implementation | | | | | | | | 2017-02-27 | | | | | | | | 2017-04-03 | | 2017-09-30 |
| 522PROC2017KRWSA / Procurement of Desktop Computers for PMU & RPMUs- 15 Nos. | IDA / 50270 | Institution Building | Post | Request for Quotations | Limited | Single Stage - One Envelope | | 13,750.00 | 0.00 | Pending Implementation | | | | | | | | 2017-02-27 | | | | | | | | 2017-04-18 | | 2017-10-15 |
| 1151PROC2017KRWSA / Procurement of multifunction printer for RPMU Malappuram- number | IDA / 50270 | Institution Building | Post | Request for Quotations | Limited | Single Stage - One Envelope | | 1,700.00 | 0.00 | Under Implementation | | | | | | | | 2017-04-08 | 2017-04-04 | | | | | | | 2017-06-03 | | 2017-11-30 |
| 559PROC2017KRWSA / Procurement of Multifunction printer for PMU-1 number | IDA / 50270 | Institution Building | Post | Request for Quotations | Limited | Single Stage - One Envelope | | 250.00 | 0.00 | Pending Implementation | | | | | | | | 2017-04-09 | | | | | | | | 2017-06-04 | | 2017-12-01 |
| 924PROC2017KRWSA / Procurement of electronic dipmeter for deep borewell/water level indicator- two numbers | IDA / 50270 | Institution Building | Post | Request for Quotations | Limited | Single Stage - One Envelope | | 1,670.00 | 0.00 | Cancelled | | | | | | | | 2017-03-20 | | | | | | | | 2017-05-15 | | 2017-11-11 |

NON CONSULTING SERVICES

| Activity Reference No./ Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Procurement Process | Prequalification (Y/N) | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Draft Pre-qualification Documents | | Prequalification Evaluation Report | | Draft Bidding Document / Justification | | Specific Procurement Notice / Invitation | | Bidding Documents as Issued | | Proposal Submission / Opening / Minutes | | Bid Evaluation Report and Recommendation for Award | | Signed Contract | | Contract Completion | |
|-------------------------------------|-------------------|-----------|-------------|--------|-----------------|---------------------|------------------------|-------------------------|----------------------|----------------|-----------------------------------|--------|------------------------------------|--------|--|--------|--|--------|-----------------------------|--------|---|--------|--|--------|-----------------|--------|---------------------|--------|
| | | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual |

CONSULTING FIRMS

| Activity Reference No./ Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Contract Type | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Terms of Reference | | Expression of Interest Notice | | Short List and Draft Request for Proposals | | Request for Proposals as Issued | | Opening of Technical Proposals / Minutes | | Evaluation of Technical Proposal | | Combined Evaluation Report and Draft Negotiated Contract | | Signed Contract | | Contract Completion | |
|--|-------------------|---|-------------|----------------------------------|----------------------|---------------|-------------------------|----------------------|----------------|--------------------|--------|-------------------------------|--------|--|--------|---------------------------------|--------|--|--------|----------------------------------|--------|--|------------|-----------------|------------|---------------------|--------|
| | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual |
| WBR No. 3 / Selection of Independent Construction Quality & Surveillance Consultancy for Batch I, II and Tribal Gramapanchayats of Jalanidhi phase II under RPMU Malappuram, Idukki and Kannur | IDA / 50270 | Institution Building Infrastructure Development Technical Assistance to Implementing Agencies | Prior | Quality And Cost-Based Selection | Open - International | N | 0.00 | 720,405.90 | Signed | | | 2014-01-31 | | 2014-05-01 | | | | 2014-07-30 | | 2014-08-29 | | 2014-10-13 | 2014-10-22 | 2014-12-12 | 2014-10-14 | 2015-12-07 | |
| WBR No. 13 / Consultancy Services for preparing Rural Water Supply Sector Development Plan | IDA / 50270 | Institution Building Infrastructure Development Technical Assistance to Implementing Agencies | Prior | Quality Based Selection | Open - International | N | 0.00 | 265,251.96 | Signed | | | | | | | | | | | | | 2016-03-02 | | 2016-02-10 | | | |

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|--|------------|--|-------|--|-----------------|--|------------|------|---------------------------|------------|------------|------------|------------|------------|--|------------|------------|------------|------------|------------|------------|
| 2272PROC/2015/KRWSA / Consultancy for hiring of media advertising agency for Jalandhi II for various audio/visual campaigns | DA / 50270 | Technical Assistance to Implementing Agencies | Prior | Quality And Cost- Based Selection | Open - National | | 675,000.00 | 0.00 | Under Implementation | 2016-08-10 | 2017-01-30 | 2016-08-31 | 2016-10-14 | | | 2016-11-11 | 2016-12-11 | 2017-01-15 | 2017-02-19 | 2018-02-19 | |
| 2879PROC/2016/KRWSA / Consultancy to conduct the Second Round of Study on Domestic Water and Sanitation Service Levels in the State of Kerala | DA / 50270 | Technical Assistance to Implementing Agencies | Post | Quality Based Selection | Open - National | | 90,000.00 | 0.00 | Under Implementation | 2016-08-16 | 2016-08-05 | 2016-09-06 | 2016-10-20 | 2016-11-25 | | 2016-11-17 | 2017-01-04 | 2016-12-17 | 2017-01-16 | 2017-02-20 | 2018-02-20 |
| 3550TECH/2016/KRWSA / Consultancy for the preparation of Detailed Project Report and bid documents for the distribution system of the multi GP WSS to Cherode and adjoining villages in Malappuram District following Jalandhi principles | DA / 50270 | Technical Assistance to Implementing Agencies | Post | Quality Based Selection | Open - National | | 100,000.00 | 0.00 | Under Implementation | 2016-08-12 | 2016-07-07 | 2016-09-02 | 2016-10-16 | | | 2016-11-13 | 2016-12-13 | 2017-01-12 | 2017-02-16 | 2018-02-16 | |
| 3634TECH/2016/KRWSA / Consultancy for Modernisation and Service Delivery Improvement of Kodalakumbha water services in Alapparamba Grama panchayat in Malappuram District | DA / 50270 | Technical Assistance to Implementing Agencies | Post | Consultant Qualification Selection | Open - National | | 24,000.00 | 0.00 | Under Implementation | 2016-08-12 | 2016-03-30 | 2016-09-02 | 2016-10-16 | | | | | 2016-11-15 | 2016-12-20 | 2017-06-18 | |
| 721PROC/2016/KRWSA / Consultancy for conducting Audit of Project Accounts of Gramapanchayaths and Beneficiary groups of Jalandhi II, Batch II under RPMU Malappuram, Kannur and Idukki (2 packages) | DA / 50270 | Technical Assistance to Implementing Agencies | Post | Consultant Qualification Selection | Open - National | | 50,000.00 | 0.00 | Under Implementation | 2016-08-16 | 2016-05-06 | 2016-09-06 | 2016-10-20 | 2016-07-20 | | | | 2016-11-19 | 2016-08-03 | 2016-12-24 | 2017-06-22 |
| 3911PROC/2016/KRWSA / Consultancy Service for Process Documentation of Large Water Supply Schemes of Jalandhi II Project | DA / 50270 | Technical Assistance to Implementing Agencies | Post | Quality And Cost- Based Selection | Open - National | | 37,000.00 | 0.00 | Under Implementation | 2016-11-18 | 2016-11-04 | 2016-11-30 | 2017-01-13 | | | 2017-02-10 | 2017-03-12 | 2017-04-16 | 2017-05-21 | 2018-05-21 | |
| 3032PROC/2016/KRWSA / Consultancy Service to conduct Technical Performance and Service Delivery of 10 Multi GP rural drinking water supply schemes in the State of Kerala. | DA / 50270 | Technical Assistance to Implementing Agencies | Post | Quality And Cost- Based Selection | Open - National | | 150,000.00 | 0.00 | Under Implementation | 2016-11-30 | 2017-01-24 | 2016-12-21 | 2017-02-03 | | | 2017-03-03 | 2017-04-02 | 2017-05-07 | 2017-06-11 | 2018-06-11 | |
| 2747TECH/2016/KRWSA / Consultancy for Service Delivery Improvement of Nemmen WSS in Nemmen GP by converting the system into a 2x47 system | DA / 50270 | Technical Assistance to Implementing Agencies | Post | Consultant Qualification Selection | Open - National | | 17,000.00 | 0.00 | Cancelled | 2016-08-12 | | 2016-09-02 | 2016-10-16 | | | | | 2016-11-15 | 2016-12-20 | 2017-06-18 | |
| 3982PROC/2016/KRWSA / Selection of Supporting Organisations for Nedumassery & adjoining GPs - Multi GP Scheme (4 Grama panchayaths - Nedumassery & Changanassery, Kanjor & Sreemoolanagar, 2 Supporting Organisations are proposed to be selected one each for two GPs). | DA / 50270 | Technical Assistance to Implementing Agencies | Post | Fixed Budget Selection | Open - National | | 200,000.00 | 0.00 | Cancelled | 2016-11-29 | | 2016-12-20 | 2017-02-02 | | | 2017-03-02 | 2017-04-01 | 2017-05-06 | 2017-06-10 | 2018-06-10 | |
| 794PROC/2017/KRWSA / Selection of consultancy service to monitor Sustainability Evaluation Exercise(SSEE-2) | DA / 50270 | Technical Assistance to Implementing Agencies | Post | Consultant Qualification Selection | Open - National | | 69,230.70 | 0.00 | Pending Implementation | 2017-03-03 | | 2017-03-24 | 2017-05-07 | | | | | 2017-06-06 | 2017-07-11 | 2018-01-07 | |
| 3982PROC/2016/KRWSA / Selection of Supporting organisation for Nedumassery and Changanassery GPs | DA / 50270 | Technical Assistance to Implementing Agencies | Post | Consultant Qualification Selection | Open - National | | 100,000.00 | 0.00 | Pending Implementation | 2017-03-03 | | 2017-03-03 | 2017-03-03 | | | | | 2017-04-02 | 2017-05-07 | 2017-11-03 | |
| 3982PROC/2016/KRWSA / Selection of supporting organisation for Kanjor and Sreemoolanagar GPs | DA / 50270 | Technical Assistance to Implementing Agencies | Post | Consultant Qualification Selection | Open - National | | 100,000.00 | 0.00 | Pending Implementation | 2017-03-03 | | 2017-03-03 | 2017-03-03 | | | | | 2017-04-02 | 2017-05-07 | 2017-11-03 | |
| 1152PROC/2017/KRWSA / Procurement of consultancy for website restructuring including AMC | DA / 50270 | Institution Building | Post | Consultant Qualification Selection | Open - National | | 15,000.00 | 0.00 | Pending Implementation | 2017-04-03 | | 2017-04-24 | 2017-06-07 | | | | | 2017-07-07 | 2017-08-11 | 2018-02-07 | |
| 1243PROC/2017/KRWSA / Selection of supporting organisation for community mobilisation activities in Ottapalam and Vengara GPs in Malappuram | DA / 50270 | Technical Assistance to Implementing Agencies | Post | Consultant Qualification Selection | Open - National | | 40,000.00 | 0.00 | Under Implementation | 2017-04-12 | 2017-04-11 | 2017-04-12 | 2017-04-29 | 2017-04-12 | | | | 2017-04-12 | 2017-05-03 | 2017-10-30 | |
| KRWSA-PMU/016/2017 / Selection of Independent Construction Quality & supervision consultancy for balance non commissioned schemes of batch II WSS /GWR works and sanitation schemes implemented in general and tribal GPs of Batch II and multi GP WSS under three RPMUs | DA / 50270 | Technical Assistance to Implementing Agencies | Post | Quality And Cost- Based Selection | Open - National | | 295,000.00 | 0.00 | Pending Implementation | 2017-04-25 | | 2017-04-25 | 2017-06-08 | | | 2017-07-06 | 2017-08-05 | 2017-09-09 | 2017-10-14 | 2018-10-14 | |

INDIVIDUAL CONSULTANTS

| Activity Reference No./ Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Contract Type | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Terms of Reference | | Invitation to Identified/Selected Consultant | | Draft Negotiated Contract | | Signed Contract | | Contract Completion | |
|--|-------------------|-----------|-------------|--------|-----------------|---------------|----------------------------|-------------------------|----------------|--------------------|--------|--|--------|------------------------------|--------|-----------------|--------|---------------------|--------|
| | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual |

| | | | | | | | | | | | | | | | | | | |
|---|-------------|--|-------|------------------------------------|--------|---|----------|------------|-------------------------|------------|------------|------------|------------|------------|--|--|------------|------------|
| WBR No. 14 / CONSULTING SERVICES FOR THE PREPARATION OF DPR FOR THE DISTRIBUTION SYSTEM OF THE MULTI GP WATER SUPPLY SCHEME TO CHEERODE AND ADJACENT VILLAGES IN MALAPPURAM. | IDA / 50270 | Institution Building Infrastructure Development Technical Assistance to Implementing Agencies | Prior | Direct Selection | Direct | N | 0.00 | 55,584.77 | Signed | | | | | | | | 2015-12-01 | |
| WBR No. 16 / Consultancy for the preparation of Evaluation report and Detailed Project Report for distribution system of the multi GP Water Supply Scheme to Nedumbassery and adjoining GPS in Ernakulam District | IDA / 50270 | Institution Building Infrastructure Development Technical Assistance to Implementing Agencies | Prior | Direct Selection | Direct | N | 0.00 | 107,623.61 | Signed | | | | | | | | 2016-02-05 | |
| 3696PROC2016/KRWSA / Selection of Consultant (Procurement) for a period of 3 months | IDA / 50270 | Technical Assistance to Implementing Agencies | Prior | Direct Selection | Direct | | 4,500.00 | 0.00 | Under Implementation | 2016-11-19 | 2017-03-08 | 2016-11-29 | 2016-11-29 | | | | 2017-02-02 | 2017-08-01 |
| 3913PROC2016/KRWSA / Selection of Consultant (Individual/Organisation)for conducting Software Programming for modification in the customised Tally software. | IDA / 50270 | Technical Assistance to Implementing Agencies | Post | Individual Consultant Selection | Open | | 6,670.00 | 0.00 | Cancelled | 2016-11-30 | | 2017-01-18 | | 2017-02-08 | | | 2017-03-15 | 2017-09-11 |