

**Ministry of Ecology, Geology and Natural
Resources of the Republic of Kazakhstan**

**Partnership for Market Implementation (PMI)
Project (P177785)**

**ENVIRONMENTAL AND SOCIAL
COMMITMENT PLAN (ESCP)**

June 29, 2022

Partnership for Market Implementation (PMI) Project (P177785)

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of Kazakhstan will implement the Partnership for Market Implementation Project, with the involvement of the Ministry of Ecology Geology, and Neutral Resources (MEGNR), as set out in the Grant Agreement. The International Bank for Reconstruction and Development/International Development Association has agreed to provide financing for the project, as set out in the referred agreements(s).
2. The MEGNR of the Republic of Kazakhstan shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the World Bank. The ESCP is a part of the Grant Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement(s).
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the MEGNR of the Republic of Kazakhstan shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and inform and substance, and in a manner acceptable to the World Bank Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the World Bank].
4. As agreed by the World Bank and the MEGNR of the Republic of Kazakhstan, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Republic of Kazakhstan through MEGNR and the World Bank agree to update the ESCP to reflect these changes through an exchange of letters signed between the World Bank and the MEGNR of the Republic of Kazakhstan. The MEGNR of the Republic of Kazakhstan shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the World Bank regular monitoring reports on the environmental, social, health, and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation, and implementation of E&S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism(s).</p>	<p>Submit semi-annual reports to the World Bank throughout Project implementation, from the date of effectiveness. Submit each report to the World Bank no later than 45 days after the end of each reporting period.</p>	<p>Zhasyl Damu (ZD) JSC of MEGNR</p>
B	<p>INCIDENTS AND ACCIDENTS</p> <p>Promptly notify the World Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public, or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injuries. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the World Bank’s request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Notify the World Bank no later than 48 hours after learning of the incident or accident.</p> <p>As needed, provide subsequent report to the World Bank within a week from the day of request, detailing the nature of incidence along with location and immediate measures taken and future course of action suggested preventing a recurrence</p>	<p>ZD JSC of MEGNR</p>
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE</p> <p>Establish and maintain a project Implementation Unit (PIU) with qualified staff and resources to support the management of ESHS risks and impacts of the Project including an Environmental and Social Development Specialist. E&S risks of the proposed activities are low and moderate respectively. Stakeholder engagement is embedded in the project component 3. The PIU, supported by the ZD, will continue to monitor the E&S risks of project activities throughout the implementation and seek if required, the Bank’s guidance in managing and mitigating any emerging E&S risks that were not anticipated at the time of project appraisal.</p>	<p>Within three months of project effectiveness and maintained throughout project implementation</p>	<p>ZD JSC of MEGNR</p>
1.2	<p>ENVIRONMENTAL AND SOCIAL INSTRUMENTS</p>		<p>ZD JSC of MEGNR</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<p>1. Prepare TOR for the Distribution Impact Assessment of carbon pricing options to be studied under Project Component 1 and 2. The TOR should be reviewed and cleared by the World Bank team.</p> <p>2. If the scope of upgrading IT infrastructure under the project component 1 change to include any hardware (electronic equipment) replacement, the PIU will notify the Bank about the change and prepare, adopt and implement an Electronic Waste Management Plan, which is subject to review and clearance by the Bank, to manage hazardous and non-hazardous wastes as a result of replacement of disposal of electronic equipment, consistent with ESS3.</p>	<p>1. Before the procurement process for the assessment is initiated.</p> <p>2. Prepare, adopt, and implement the Electronic Waste Management Plan if needed, prior to any electronic device procurement</p>	
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT PROCEDURES</p> <p>Due to the nature of the project activities, the project is not expected to hire labor. However, a number of consultants will be hired for the project in accordance with the Bank procurement procedures and national regulations. Therefore, the project shall be carried out in accordance with the applicable requirements of ESS2 and relevant National regulations and policies on working conditions, management of workers' relationships, occupational health and safety emergency preparedness and response, code of conduct (including relating to SEA and SH), grievance arrangements for Project workers, and applicable requirements for contractors, subcontractors, and supervising firms.</p>	By project effectiveness and throughout project implementation	ZD JSC of MEGNR
2.2	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS</p> <p>The Existing departmental GRM for government employees should be maintained which is considered to be consistent with ESS2 to manage grievances of project workers under this Project.</p>	Maintain the existing GRM System during the project implementation.	ZD JSC of MEGNR
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
ESS 3 is not relevant for the project			
ESS 4: COMMUNITY HEALTH AND SAFETY			
ESS 4 is not relevant for the project			
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE, AND INVOLUNTARY RESETTLEMENT			
ESS 5 is not relevant for the project			
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
ESS 6 is not relevant for the project			
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
ESS 7 is not relevant for the project			
ESS 8: CULTURAL HERITAGE			
ESS 8 is not relevant for the project			
ESS 9: FINANCIAL INTERMEDIARIES			
ESS 9 is not relevant for the project			
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	<p>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</p> <p>Adopt and implement a Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable, and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination, and intimidation.</p>	SEP finalized, consulted on, and disclosed after the completion of project appraisal/approval review, and thereafter implement the SEP throughout Project implementation.	ZD JSC of MEGNR
10.2	<p>PROJECT GRIEVANCE MECHANISM</p> <p>Establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate the resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p> <p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p>	Establish the grievance mechanism within one month of the PIU establishment, and thereafter maintain and operate the mechanism throughout Project implementation.	ZD JSC of MEGNR
CAPACITY SUPPORT			
CS1	<p>Training to be provided for PIU staff on:</p> <ul style="list-style-type: none"> • stakeholder mapping and engagement • code of conduct (including relating to SEA and SH), • grievance redress mechanism awareness 	<i>First training to be done within 6 months from project effectiveness and throughout project implementation as needed.</i>	ZD JSC of MEGNR