

Procurement Plan

I. General

1. Project Information

Country : Kenya

Recipient : Republic of Kenya

Project Name : National Agriculture and Rural Inclusive Growth

Loan Credit No. : IDA 59000

2. Date of General Procurement Notice : 9th February 2018

3. Period Covered by this procurement plan : 18 months

II. Goods, Works, and Non-Consulting Services

1. Thresholds for the Method of Procurement:

Method of Procurement	Thresholds for Method (US\$ equivalent)
Open International (Goods)	Equal to or more than 3 million
Open National (Goods) - Open Tender as per PPDA 2015	< \$3 million
RFQ (Goods)	Less than 20,000 or as stipulated in Kenya Procurement Regulations 2017
Open International (Works)	Equal to or more than 15 million
Open National (Works) - Open Tender as per PPDA 2015	Less than 15 million
RFQ (works)	Less than 20,000 or as stipulated in Kenya Procurement Regulations 2017

Method of Procurement	Thresholds for Method (US\$ equivalent)
Open International (Non-consulting Services)	Equal to or More than 3 million
Open National (Non-consulting Services) - Open Tender as per PPDA 2015	Less than 3 million
Direct Contracting	No threshold; meet requirements of Bank's Procurement Regulations

Prior Review: The details of the Procurement review / oversight are defined in the Annex II of the Regulations for borrowers. The following would be subject to Prior review of the Bank regardless of the Method of selection (Project Procurement Risk Rating is **"High"**):

- (a) **Goods, information technology and Non-consulting Services:** First procurement under the project irrespective of value and all procurements estimated to cost more than USD 1.5 million
- (b) **Works (including turnkey, supply & installation of plant and equipment and PPP);** First procurement under the project irrespective of value and all procurements estimated to cost more than USD 5 million and

The prior review thresholds will be reviewed during the implementation of the project and modified, based on the risk assessment.

2. For contracts subject to prior review, the Borrower shall seek the Bank's no objection before agreeing to:

- a. an extension of the stipulated time for execution of a contract that has an impact on the planned completion of the project;
- b. any material modification of the scope of the Works, Goods, Non-consulting services or Consulting Services, or other significant changes to the terms and conditions of the contract;
- c. any variation order or contract amendment (except in cases of extreme urgency), including due to extension of time, that singly or combined with all previous variation orders or amendments, increases the original contract amount by more than 15% (fifteen percent); or
- d. the proposed termination of the contract.

The Borrower / IA shall provide a copy of all amendments to the contract to the Bank for its records.

3. Prequalification

Not Applicable

Domestic Preference. The provision of domestic preference shall be applied in the procurement of goods following international market approach in accordance with Annex VI of the Regulations. Domestic preference shall not apply in the procurement of works.

4. Reference to Standard Procurement Documents

Bank's Standard RFB Goods and RFP Consulting Services dated July 2016 will be used as a base and incorporating suitable modifications and the same would be agreed with the Bank subject international competition.

5. Any Other Special Procurement Arrangements

A. Procurement approach under CDD Approach by Communities:

Applicable if stipulated in the PAD.

B. When approaching the national market, as agreed in the Procurement Plan, the Open Tender procedure of Public Procurement and Asset Disposal Act 2015 and Regulations 2017 may be used. The specific Requirements for national open competitive procurement include the following:

- a. open advertising of the procurement opportunity at the national level;
- b. the procurement is open to eligible firms from any country;
- c. the request for bids/request for proposals document shall require that Bidders/Proposers submitting Bids/Proposals present a signed acceptance at the time of bidding, to be incorporated in any resulting contracts, confirming application of, and compliance with, the Bank's Anti-Corruption Guidelines, including without limitation the Bank's right to sanction and the Bank's inspection and audit rights;
- d. contracts with an appropriate allocation of responsibilities, risks, and liabilities;
- e. publication of contract award information;
- f. rights for the Bank to review procurement documentation and activities;
- g. an effective complaints mechanism; and
- h. maintenance of records of the Procurement Process.

Other national procurement arrangements (other than national open competitive procurement), that may be applied by the Borrower (such as limited/restricted competitive bidding, request for quotations/shopping, direct contracting), shall be consistent with the Bank's Core Procurement Principles and ensure that the Bank's Anti-Corruption Guidelines and Sanctions Framework and contractual remedies set out in its Legal Agreement apply.

In all cases, the national procurement procedures to be used shall give due attention to quality aspects.

6. The bid evaluation will be carried out according to the agreed timeline in the Procurement Activity Schedule.

III. Selection of Consultants

1. Methods and Prior Review Threshold

Selection methods of procurement of Consultants' Services (Firms):

The Approved Selection Methods as reflected in the Section VII of the procurement regulations

Method of Procurement	Thresholds for Methods
Quality and Cost Based Selection (QCBS)	As per requirements of para 7.3 of the Regulations
Quality Based Selection (QBS)	As per requirements of para 7.8 to 7.10 of the Regulations
Selection based on a Fixed Budget (FBS)	As per requirements of para 7.4 and 7.5 of the Regulations
Selection Based on Least Cost Basis (LCS)	As per requirements of para 7.6 and 7.7 of the Regulations
Selection based on Consultant's Qualification (CQ)	As per requirements of para 7.11 and 7.12 of the Regulations
Direct	Must meet the requirements of Para 7.13 to 7.15 of the Regulations, and with prior agreement in PP with the Bank.

The short list may consist of all National consultants in case the estimated cost is less than USD 300,000

Procurement methods for Selection of Individual Consultants (IC):

The Approved Selection Methods as reflected in the Section VII of the procurement regulations

Method of Procurement	Thresholds for Methods
Open Competitive Selection	As per requirements of para 7.36 and 7.37 of the Regulations
Limited Competitive Selection	As per requirements of para 7.38 of the Regulations
Direct	Must meet the requirements of Para 7.39 of the Regulations, and with prior agreement in PP with the Bank

***Shall meet the requirements as stated in Regulations**

Prior Review Thresholds: The details of the Procurement review / oversight are defined in the Annex II of the Regulations for borrowers. The following would be subject to Prior review of the Bank regardless of the Method of selection:

(a). **Consulting Firm:** First procurement under the project irrespective of value and all procurements estimated to cost more than USD 0.5 million.

(b). **Individual Consultant:** all procurements estimated to cost more than USD 0.2 million

Terms of Reference (ToR) for all consultant contracts shall be furnished to the Bank for its prior review and No Objection.

PROCUREMENT PLAN
Kenya : National Agricultural and Rural Inclusive Growth Project

General Information
Country: Kenya **Bank's Approval Date of the Original Procurement Plan:** 2019-10-03
Project ID: P153349 **Revised Plan Date(s):** (comma delineated, leave blank) 2022-06-23
Project Name: National Agricultural and Rural Inclusive Growth Project **GPN Date:** 2018-02-09
Loan / Credit No.: IDA / 59000
Executing Agency: Trans Nzoia County

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		
KE-TRANS NZOIA COUNTY-1 39287-CW-RFQ / Renovation of Trans Nzoia County Project Office	IDA / 59000	Project Coordination and Management	Post	Request for Quotations	Limited	Single Stage - One Envelope				8,000.00	7,253.49	Completed								2020-02-17	2020-04-24									2020-06-04
KE-TRANS NZOIA COUNTY-1 70386-CW-RFB / Proposed construction works for Chepkaitit Irrigation Project in Cherangany Sub-County	IDA / 59000	Supporting County Community-Led Development	Post	Request for Bids	Open - National	Single Stage - One Envelope				800,000.00	719,291.58	Signed								2020-04-29	2020-05-11	2020-05-04								2021-06-28
KE-TRANS NZOIA COUNTY-1 84743-CW-RFB / Proposed Rehabilitation of Muruki Dam in Saboti Sub-County	IDA / 59000	Supporting County Community-Led Development	Post	Request for Bids	Open - National	Single Stage - One Envelope				230,000.00	156,421.00	Signed								2020-07-21	2020-08-27	2020-07-24								2021-09-10
KE-TRANS NZOIA COUNTY-2 93732-CW-RFB / Rehabilitation of Amani-Chepchoina Dam	IDA / 59000	Supporting County Community-Led Development	Post	Request for Bids	Open - National	Single Stage - One Envelope			Request for Bids - Small Works SPD (1 envelope process)	220,000.00	0.00	Pending Implementation								2022-05-09		2022-05-11								2022-12-30

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion					
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual						
KE-TRANS NZOIA COUNTY-1 38533-GO-RFQ / ICT Equipment: Laptop HP ENVY x360 15p165ci 16 GB RAM core i7 - 1 TB + 256 SSD Touchscreen Windows 10 Black 6 No; Samsung NB 5.8" 4GB RAM 12MP Dual SIM Black 10 No; External Hard disk Drive 1TB Transcend or equivalent-10 No	IDA / 59000	Project Coordination and Management	Post	Request for Quotations	Open - National	Single Stage - One Envelope		12,000.00	0.00	Cancelled																						
KE-TRANS NZOIA COUNTY-1 38674-GO-RFQ / Motorcycle 175cc; Wheelbase- 13 50mm /53.1in; Weight-99kg	IDA / 59000	Project Coordination and Management	Post	Request for Quotations	Open - National	Single Stage - One Envelope		107,000.00	0.00	Cancelled																						
KE-TRANS NZOIA COUNTY-1 39140-GO-RFQ / Land survey & soil testing equipment; Clinometer, GPS, RTK, LCD, Mobile mapper, Levelling boards, Tap & Measure, Spirit level	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope		44,040.00	27,817.00	Completed																					2021-03-10	
KE-TRANS NZOIA COUNTY-1 39300-GO-RFQ / ICT Equipment: Laptop x360 15p165ci 16 GB RAM core i7 - 1TB + 256 SSD Touchscreen Windows 10 Black; Smart phone 5.8" 4 GB RAM 12MP; Dual SIM Black; External Hard disk Drive 1	IDA / 59000	Project Coordination and Management	Post	Request for Quotations	Limited	Single Stage - One Envelope		12,000.00	14,279.18	Completed																					2020-08-14	
KE-TRANS NZOIA COUNTY-1 38674-GO-RFQ-2 / Purchase of brand new motor cycle, engine capacity 100-130 cc, 4 stroke, single cylinder, complete with riding gear, 1 year warranty; spare parts locally available	IDA / 59000	Project Coordination and Management	Post	Request for Quotations	Limited	Single Stage - One Envelope		80,000.00	54,888.43	Completed																					2020-12-07	
KE-TRANS NZOIA COUNTY-1 77183-GO-RFQ / Supply and installation of Tomato green house system	IDA / 59000	Strengthening Producer Organizations and Value Chain Development	Post	Request for Quotations	Limited	Single Stage - One Envelope		70,000.00	62,221.22	Completed																					2021-08-01	
KE-TRANS NZOIA COUNTY-1 68368-NC-RFQ / Supply and installation of teleconferencing system and internet connectivity	IDA / 59000	Project Coordination and Management	Post	Request for Quotations	Limited	Single Stage - One Envelope		81,000.00	65,093.98	Completed																						2021-02-15
KE-TRANS NZOIA COUNTY-1 92028-GO-DRF / Supply and installation of Solar Powered Irrigation Pumps-50 NO.	IDA / 59000	Supporting Community-Driven Development	Post	Direct Selection	Direct			46,000.00	7,617.80	Completed																						2021-04-06
KE-TRANS NZOIA COUNTY-2 24433-GO-RFQ / Supply and Delivery of Hardened Grevillea Seedlings to Amani Area in Chepchoina Ward	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope		4,740.00	2,160.22	Completed																						2021-06-09
KE-TRANS NZOIA COUNTY-2 24434-GO-RFQ / Supply and Delivery of Avocado Hass Seedlings to Amani Area in Chepchoina Ward	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope		11,850.00	10,674.70	Completed																						2021-04-29
KE-TRANS NZOIA COUNTY-2 59351-GO-RFQ / Purchase and delivery of mature Hardened bamboo seedlings to Amani-Chepchoina catchment	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope		12,000.00	6,917.03	Signed																						2022-01-13
KE-TRANS NZOIA COUNTY-2 60327-GO-RFQ / SUPPLY AND INSTALLATION OF SIGN POSTS	IDA / 59000	Project Coordination and Management	Post	Request for Quotations	Limited	Single Stage - One Envelope		44,600.00	37,650.64	Signed																						2022-03-13

KE-TRANS NZOIA COUNTY-2 75883-CS-INDV / provisional of financial management ser vices to farmers groups	IDA / 59000	Supporting Community-Driv en Development	Post	Individual Consult ant Selection	Limited		25,000.00	0.00	Pending Impleme ntation	2022-02-01		2022-02-08		2022-02-14		2022-02-23	2023-02-23
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