

Procurement Plan (Textual Part)

I. General

1. Project Information

Country: Mozambique

Project Name: Southern Africa Regional Trade Connectivity Project

Project ID: P164847

2. Project Implementation Agency: MTC

3. Date of the Procurement Plan: May 12, 2020

4. Period Covered by this procurement plan: 24 Months

II. Preamble

In accordance with paragraph 5.9 of the “World Bank Procurement Regulations for IPF Borrowers” (July 2016) (“Procurement Regulations”) the Bank’s Systematic Tracking and Exchanges in Procurement (STEP) system will be used to prepare, clear and update Procurement Plans and conduct all procurement transactions for the Project.

This textual part along with the Procurement Plan tables in STEP constitute the Procurement Plan for the Project. The following conditions apply to all procurement activities in the Procurement Plan. The other elements of the Procurement Plan as required under paragraph 4.4 of the Procurement Regulations are set forth in STEP.

III. Bank’s Standard Procurement Documents:

The Bank’s Standard Procurement Documents shall be used for all contracts subject to international competitive procurement and those contracts as specified in the Procurement Plan tables in STEP.

IV. National Procurement Arrangements: In accordance with paragraph 5.3 of the Procurement Regulations, when approaching the national market (as specified in the Procurement Plan tables in STEP), the country’s own procurement procedures may be used.

When the Borrower uses its own **national open competitive procurement** arrangements as set forth in as set forth in *Mozambique Procurement Regulation, the Decree 5/2016 of March 8*, such arrangements shall be subject to paragraph 5.4 of the Procurement Regulations and the following conditions:

There should be no mandatory requirements for inclusion of local experts and firms for the consulting assignments.

When **other national procurement arrangements** other than national open competitive procurement arrangements are applied by the Borrower, such arrangements shall be subject to paragraph 5.5 of the Procurement Regulations.

In accordance with paragraph 5.4(c) and 5.5 of the Procurement Regulations, the request for bids/request for proposals/request for quotations document shall require that Bidders/Proposers submitting Bids/Proposals/quotations present a signed acceptance at the time of bidding, to be incorporated in any resulting contracts, confirming application of, and compliance with, the Bank's Anti-Corruption Guidelines, including without limitation the Bank's right to sanction and the Bank's inspection and audit rights. The form of the Letter of Acceptance is attached in Appendix 1.

V. Prequalification : *Not Applicable*

VI. Leased Assets: *Not Applicable*

VII. Procurement of Second Hand Goods: *Not Applicable*

VIII. Domestic preference as specified under paragraph 5.51 of the Procurement Regulations (**Goods and Works**). *Specify for each.*

- (a) Goods: *Not Applicable*
- (b) Works: *To be defined*

Domestic preference is not applicable for National Open Competitive Procurement

IX. Hands-on Expanded Implementation Support (HEIS): *Not Applicable*

X. Other Relevant Procurement Information: None

XI. Procurement Prior Review Thresholds (US\$ millions)

Type of Procurement	Substantial Risk
Works (including turnkey, supply & installation of plant and equipment, and PPP)	10

Goods, information technology and non-consulting services	2
Consultants: firms	1
Consultants: Individuals	0.3

Post Review: All contacts not subject to prior review shall be subject to post review.

XII. Thresholds for Procurement Approaches and Method (US\$ millions)

Works			Goods, IT and Non-consulting Services			Shortlist of National Consultants	
Open International ≥	Open National <	Request for Quotation ≤	Open International ≥	Open National <	Request for Quotation ≤	Consulting Services	Engineering & Construction Supervision
15	15	0.2	5	5	0.1	0.3	0.3

XIII. Summary of the procurement packages: (Planned for the first 18 months after project effectiveness):

Category: {Indicate Goods, Civil Works, Non-Consultancy Services or Consultancy Services as appropriate, and replicate this table for all each of the four categories}

Sr. No	Contract Description	Estimated Cost (US\$)	Review by Bank (Prior/Post)	Market Approach (Open-National / Limited etc.)	Selection Method (RFP, RFB, QCBS etc.)	Evaluation Options (BAFO, Rated Criteria etc.)	Procurement Process (Single stage-single envelope etc.)	Prequalification (Yes/No)	Domestic Preference (Yes/No)	Expected date of proposal opening	Expected date of Contract Signature
1	Works										
2	Goods and NCS										
	Consulting Services										

Appendix 1

Letter of Acceptance of the World Bank's Anti-Corruption Guidelines and Sanctions Framework

Date: _____

Invitation of Bids/Proposals No. _____

To:

We, along with our sub-contractors, sub-consultants, service providers, suppliers, agents (whether declared or not) consultants and personnel, acknowledge and agree to abide by the World Bank's policy regarding Fraud and Corruption (corrupt, fraudulent, collusive, coercive, and obstructive practices), as set out and defined in the World Bank's Anti-Corruption Guidelines¹ in connection with the procurement and execution of the contract (in case of award), including any amendments thereto.

We declare and warrant that we, along our sub-contractors, sub-consultants, service providers, suppliers, agents (whether declared or not), consultants and personnel, , are not subject to, and are not controlled by any entity or individual that is subject to, a temporary suspension, early temporary suspension, or debarment imposed by a member of the World Bank Group, including, inter alia, a cross-debarment imposed by the World Bank Group as agreed with other international financial institutions (including multilateral development banks), or through the application of a World Bank Group finding of non-responsibility on the basis of Fraud and Corruption in connection with World Bank Group corporate procurement. Further, we are not ineligible under the laws or official regulations of *[Insert name of Employer as per bidding document]* or pursuant to a decision of the United Nations Security Council.

We confirm our understanding of the consequences of not complying with the World Bank's Anti-Corruption Guidelines, which may include the following:

- a. rejection of our Proposal/Bid for award of contract;
- b. in the case of award, termination of the contract, without prejudice to any other remedy for breach of contract; and
- c. sanctions, pursuant to the Bank's Anti-corruption Guidelines and in accordance with its prevailing sanctions policies and procedures as set forth in the Bank's Sanctions Framework. This may include a public declaration of ineligibility, either indefinitely or for a stated period of time, (i) to be awarded or otherwise benefit from a Bank-financed

¹*Guidelines on Preventing and Combating Fraud and Corruption in Projects Financed by International Bank for Reconstruction and Development Loans and the International Development Agency Credits and Grants*, dated October 15, 2006, and revised in January 2011 and July 2016, as they may be revised from time to time.

contract, financially or in any other manner;² (ii) to be a nominated³ sub-contractor, consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract; and (iii) to receive the proceeds of any loan made by the Bank or otherwise to participate further in the preparation or implementation of any Bank-financed project.

We understand that we may be declared ineligible as set out above upon:

- a. completion of World Bank Group sanctions proceedings according to its prevailing sanctions procedures;
- b. cross-debarment as agreed with other international financial institutions (including multilateral development banks);
- c. the application of a World Bank Group finding of non-responsibility on the basis of Fraud and Corruption in connection with World Bank Group corporate procurement; or
- d. temporary suspension or early temporary suspension in connection with an ongoing World Bank Group sanctions proceeding.

For avoidance of doubt, the foregoing effects of ineligibility do not extend to a sanctioned firm's or individual's execution of its ongoing Bank-financed contracts (or its ongoing sub-agreements under such contracts) that are not the subject of a material modification, as determined by the Bank.

We shall permit, and shall cause our sub-contractors, sub-consultants, agents (whether declared or not), personnel, consultants, service providers or suppliers, to permit the Bank to inspect⁴ all accounts, records, and other documents relating to the procurement process and/or contract execution (in

² For the avoidance of doubt, a sanctioned party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

³ A nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider (different names are used depending on the particular bidding document) is one which has been: (i) included by the bidder in its pre-qualification application or bid because it brings specific and critical experience and know-how that allow the bidder to meet the qualification requirements for the particular bid; or (ii) appointed by the Borrower.

⁴ Inspections in this context are usually investigative (i.e., forensic) in nature: they involve fact-finding activities undertaken by the Bank or persons appointed by the Bank to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data, and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third-party verification of information.

the case of award), and to have them audited by auditors appointed by the Bank.

We agree to preserve all accounts, records, and other documents (whether in hard copy or electronic format) related to the procurement and execution of the contract.

Name of the Bidder/Consultant: _____

Name of the person duly authorized to sign the Bid/Proposal on behalf of the Bidder/Consultant:

Title of the person signing the Letter: _____

PROCUREMENT PLAN Southern Africa : Southern Africa Trade and Connectivity Project

General Information
Country: Southern Africa **Bank's Approval Date of the Original Procurement Plan:** 2022-02-09
Revised Plan Date(s): (comma delineated, leave blank) 2022-06-23
Project ID: P164847 **GPN Date:** 2022-06-07
Project Name: Southern Africa Trade and Connectivity Project
Loan / Credit No.: IDA / 68700
Executing Agency: Ministry of Transport and Public Works

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents Issued		Proposal Submission Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents Issued		Proposal Submission Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		Planned
MW-MTPW-277510-GO-RFB / Procurement of communication and environment monitoring equipment and back up for the Malawi Revenue Authority (MRA) Disaster Recovery Site (DRS)	IDA / 68700	Strengthen Regional Coordination and Project Implementation	Post	Request for Bids	Open - National	Single Stage - One Envelope		500,000.00	0.00	Canceled					2022-03-07		2022-03-12					2022-04-23		2022-05-23		2022-06-27		2022-12-24
MW-MTPW-286600-GO-DIR / Procurement of PU accounting software	IDA / 68700	Strengthen Regional Coordination and Project Implementation	Post	Direct Selection	Direct			90,000.00	79,350.00	Signed					2022-04-07	2022-04-12	2022-04-12	2022-04-14							2022-05-22	2022-05-13	2022-11-18	
MW-MTPW-286676-GO-DIR / Procurement of SO class software for upgrading of the Malawi Revenue Authority (MRA) ASYCUDA World	IDA / 68700	Reduce Trade Costs	Post	Direct Selection	Direct			100,000.00	0.00	Under Implementation					2022-04-07	2022-04-12	2022-04-12	2022-04-21							2022-05-22		2022-11-18	
MW-MTPW-286680-GO-DIR / Procurement of Linux Software for upgrading of the Malawi Revenue Authority (MRA) ASYCUDA World	IDA / 68700	Reduce Trade Costs	Post	Direct Selection	Direct			40,000.00	0.00	Under Implementation					2022-04-07	2022-04-12	2022-04-12	2022-04-21							2022-05-22		2022-11-18	
MW-MTPW-286674-GO-DIR / Procurement of Java Software for upgrading of the Malawi Revenue Authority (MRA) ASYCUDA World	IDA / 68700	Reduce Trade Costs	Post	Direct Selection	Direct			10,000.00	0.00	Canceled					2022-04-07	2022-04-12	2022-04-12	2022-04-21							2022-05-22		2022-11-18	
MW-MTPW-285072-GO-RFB / Procurement of ICT equipment for upgrading of Malawi Revenue Authority (MRA) ASYCUDA WORLD software and equipment for Data Recovery Site (DRS)	IDA / 68700	Reduce Trade Costs	Post	Request for Bids	Open - National	Single Stage - One Envelope		550,000.00	0.00	Under Implementation					2022-04-15	2022-06-09	2022-04-29	2022-06-15				2022-06-10		2022-07-10		2022-08-14		2023-02-10
MW-MTPW-287415-GO-RFQ / Procurement of Server, power back up and local area network for PIU TOMPRO accounting software	IDA / 68700	Strengthen Regional Coordination and Project Implementation	Post	Request for Quotations	Limited	Single Stage - One Envelope		15,000.00	8,566.18	Signed							2022-04-22	2022-04-07							2022-06-17	2022-05-31	2022-12-14	
MW-MTPW-292446-GO-DIR / Procurement of ASYCUDA World software modules to upgrade Malawi Revenue Authority's (MRA) existing Customs system	IDA / 68700	Reduce Trade Costs	Post	Direct Selection	Direct			1,200,000.00	0.00	Under Implementation					2022-04-29	2022-04-27	2022-05-04	2022-05-04							2022-06-18		2022-12-15	
MW-MTPW-294901-GO-RFQ / Procurement of two (2) motor vehicles for the Ministry of Industry for facilitation of institutional capacity	IDA / 68700	Strengthen Value Chains for Regional Integration	Post	Request for Quotations	Limited	Single Stage - One Envelope		80,000.00	0.00	Under Implementation					2022-05-25	2022-05-25									2022-07-20		2023-01-16	
MW-MTPW-299683-GO-RFB / Procurement of mobile scanners and their connectivity to customs ASYCUDA World system for the Malawi Revenue Authority (MRA)	IDA / 68700	Reduce Trade Costs	Post	Request for Bids	Open - Internationally	Single Stage - One Envelope		1,000,000.00	0.00	Under Implementation					2022-06-19	2022-06-09	2022-06-24	2022-06-15				2022-08-05		2022-09-04		2022-10-09		2023-04-07

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents Issued		Proposal Submission Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract	Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		Planned	Actual	Planned	Actual
MW-MTPW-277499-CS-QCBS / Consulting Services for third party monitoring.	IDA / 68700	Strengthen Regional Coordination and Project Implementation	Post	Quality And Cost-Based Selection	Open - International		600,000.00	0.00	Under Implementation	2022-03-07	2022-03-16	2022-03-28	2022-05-04	2022-05-11	2022-06-22			2022-06-08		2022-07-08		2022-08-12		2022-09-16		2027-06-30
MW-MTPW-288909-CS-QCBS / Consulting services to assess the sanitary, phytosanitary and standards capacities, skills, services, equipment and infrastructure at Malawi's age nodes.	IDA / 68700	Reduce Trade Costs	Post	Quality And Cost-Based Selection	Open - International		200,000.00	0.00	Under Implementation	2022-04-15	2022-04-08	2022-04-29	2022-05-11	2022-05-23			2022-07-04		2022-08-03		2022-09-07		2022-10-01		2023-10-01	
MW-MTPW-294898-CS-QCBS / Recruitment of Consulting Services for Post Clearance Audits and Authorised Economic Operator for the Malawi Revenue Authority (MRA)	IDA / 68700	Reduce Trade Costs	Post	Quality And Cost-Based Selection	Open - International		175,000.00	0.00	Under Implementation	2022-05-30	2022-06-02	2022-06-13	2022-06-06	2022-07-11			2022-08-22		2022-09-21		2022-10-26		2022-11-30		2023-11-30	

INDIVIDUAL CONSULTANTS																			
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identify/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
MW-MTPW-277467-CS-INDV / Recruitment of PPP expert advisor (in-house) for PPPC	IDA / 68700	Reduce Trade Costs	Post	Individual Consultant Selection	Open		350,000.00	0.00	Canceled	2022-03-14	2022-03-16	2022-04-24		2022-05-04		2022-06-01		2027-06-25	
MW-MTPW-277529-CS-INDV / Recruitment of Transport PPP Expert Advisor (retainer) for the logistics for PPPC	IDA / 68700	Reduce Trade Costs	Post	Individual Consultant Selection	Open		150,000.00	0.00	Under Implementation	2022-03-14	2022-03-16	2022-05-02		2022-05-23		2022-06-27		2027-06-26	
MW-MTPW-277530-CS-INDV / Recruitment of Disbursement and Accounts Assistant	IDA / 68700	Strengthen Regional Coordination and Project Implementation	Post	Individual Consultant Selection	Open		108,000.00	0.00	Under Implementation	2022-03-14	2022-03-16	2022-06-01	2022-06-02	2022-06-22		2022-07-27		2027-12-31	
MW-MTPW-284989-CS-INDV / Recruitment of a Senior Consultant for the National Trade Facilitation Committee Secretariat	IDA / 68700	Strengthen Regional Coordination and Project Implementation	Post	Individual Consultant Selection	Open		40,000.00	0.00	Under Implementation	2022-03-30	2022-04-04	2022-05-22	2022-06-03	2022-06-12		2022-07-17		2023-01-13	
MW-MTPW-284993-CS-INDV / Recruitment of a Junior Consultant for the National Trade Facilitation Committee Secretariat	IDA / 68700	Strengthen Regional Coordination and Project Implementation	Post	Individual Consultant Selection	Open		30,000.00	0.00	Under Implementation	2022-03-30	2022-04-04	2022-05-22	2022-06-02	2022-06-12		2022-07-17		2023-01-13	
MW-MTPW-294996-CS-INDV / Recruitment for Information communication and technology specialist for the Project implementation office at the Southern Africa trade and connectivity project	IDA / 68700	Strengthen Regional Coordination and Project Implementation	Post	Individual Consultant Selection	Open		150,000.00	0.00	Under Implementation	2022-05-20	2022-05-23	2022-07-01		2022-07-22		2022-08-26		2023-02-22	
MW-MTPW-294896-CS-INDV / Recruitment of Customs Risk Management Advisor for the Malawi Revenue Authority (MRA)	IDA / 68700	Reduce Trade Costs	Post	Individual Consultant Selection	Open		90,000.00	0.00	Under Implementation	2022-05-20	2022-05-23	2022-07-01		2022-07-22		2022-08-26		2023-02-22	
MW-MTPW-297895-CS-INDV / Procurement of a Consultant to conduct a needs assessment for the design, management and delivery of a Border Residency pass system	IDA / 68700	Reduce Trade Costs	Post	Individual Consultant Selection	Open		50,000.00	0.00	Under Implementation	2022-06-02	2022-06-07	2022-07-02		2022-07-07		2022-07-31		2023-01-27	
MW-MTPW-299048-CS-INDV / Procurement of Consultancy to conduct a study on mapping of Value Chains for Export and identification of last mile infrastructure gaps along the project coverage areas	IDA / 68700	Strengthen Value Chains for Regional Integration	Post	Individual Consultant Selection	Open		30,000.00	0.00	Under Implementation	2022-06-19	2022-06-13	2022-08-07		2022-08-28		2022-10-02		2023-03-31	
MW-MTPW-277467-CS-INDV / Recruitment of PPP expert advisor (in-house) for PPPC	IDA / 68700	Reduce Trade Costs	Prior	Individual Consultant Selection	Open		350,000.00	0.00	Pending Implementation	2022-03-14		2022-06-08		2022-06-29		2022-08-12		2023-02-08	
MW-MTPW-300896-CS-INDV / Recruitment of communications specialist for the Project implementation office at the Southern Africa trade and connectivity project	IDA / 68700	Strengthen Regional Coordination and Project Implementation	Post	Individual Consultant Selection	Open		25,000.00	0.00	Pending Implementation	2022-06-22		2022-08-10		2022-08-31		2022-10-05		2023-04-03	