

Procurement Plan

I. General

Bank's approval Date of the procurement Plan: November 29, 2016
Date of General Procurement Notice: September 27, 2016
Period covered by this procurement plan: The procurement period of project covered from year December 2016 - December 2018

II. Goods and Works and non-consulting services.

Procurement Thresholds and Prior Review Thresholds. The Procurement Plan shall set forth those contracts, which shall be subject to the World Bank's Prior Review for high-risk environment. All other contracts shall be subject to Post Review by the World Bank.

| Type of procurement | Prior review High risk in US\$ million |
|--|--|
| Works (including turnkey, supply & installation of plant and equipment, and PPP) | 5 |
| Goods, information technology and non-consulting services | 1.5 |
| Consultants: firms | 0.5 |
| Consultants: individuals | 0.2 |

Short list comprising entirely of national consultants: Short list of consultants for services, estimated to cost less than \$_300,000_ equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.

Prequalification. *Not applicable*

Proposed Procedures for CDD Components (as per paragraph. 3.17 of the Guidelines: *Not applicable*

Reference to (if any) Project Operational/Procurement Manual: *Project Implementation Manual for World Bank Loan Project issued by MOF*

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Any Other Special Procurement Arrangements: *consultancy services for design and preparation of project can use advance procurement and retroactive financing*

Procurement plan

| Ref. No. | Description of Assignment | E. Cost US\$M | Selecti on Method | Revie w by Bank | Issue RFP date |
|----------------------|---|---------------|-------------------|-----------------|----------------|
| Federal MOF | | | | | |
| MOF.Ind. 01 | IFMIS Officer | 0.2 | 3 CVs | Prior | Sep 2016 |
| MOF.QCBS.01 | IFMIS supervision | 1.8 | QCBS | Prior | Oct 2016 |
| MOF.QCBS.02 | PFM capacity development | 3.7 | QCBS | Prior | June 2017 |
| MOF.QCBS.01 | Modernization of internal audit | 0.7 | QCBS | Prior | Dec 2016 |
| MOF.QCBS.04 | Strengthening Governorates Financial Management system and capacity | 1.0 | QCBS | Prior | Jan 2017 |
| MOF.LCS Ext. Auditor | External auditor | 0.2 | LCS | Prior | June 2017 |
| MOF.IC. Procurement | Procurement officer (support) | 0.1 | IC | Post | January 2017 |
| Federal MOP | | | | | |
| MOP.PIM.QCBS.01 | Development of guidelines and methodologies | 0.5 | QCBS | Prior | June 2017 |
| MOP.PIM.IC.01 | Interface between IDMS & IFMIS | 0.09 | Individual | Post | April 2018 |
| MOP.PIM.CQS.01 | Capacity Needs Assessment | 0.3 | CQS | Post | December 2017 |
| MOP.PIM.QCBS.02 | Capacity building for PIM in design, selection and evaluation of projects | 0.5 | QCBS | Post | Sep 2017 |
| MOP.PIM.IC.02 | Development of legal framework | 0.09 | Individual | Post | April 2017 |
| MOP.PP.CQS.01 | Development of e-portal requirements for Federal MoP | 0.80 | QCBS | Prior | December 2017 |
| MOP.PP. QCBS.01 | Development of e-portal for Federal MoP | 0.80 | QCBS | Prior | December 2017 |
| MOP.PP.CQS.01 | Development of SBDs and conditions of contracts | 0.3 | CQS | Prior | April 2017 |
| MOP.PP.CQS.02 | Development of e-learning courses for procurement workforce | 0.25 | CQS | Prior | November 2017 |
| KRG MOP | | | | | |
| KRG.MOP.PIM.IND.01 | Preparation of TORs and supervision | 0.05 | 3CVs | Post | Nov 2016 |
| KRG.MOP.PIM.QCBS.01 | Organization, Structuring and Capacity Building | 0.9 | QCBS | Prior | Jan 2017 |
| KRG.MOP.PIM.QCBS.02 | Updating KDMIS | 0.3 | QCBS | Prior | Jan 2017 |
| KRG.MOP.PIM.QCBS.03 | Methodologies and Tools | 0.4 | QCBS | Prior | June 2017 |

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|----------------------------|---|------|-----------------|-------|--------------|
| KRG.MOP.PP.IC.01 | Support to help enforce Implementation of KRG Public Procurement Regulation No. 2 of 2016 | 0.3 | 3CVs Several | Post | Dec 2016 |
| KRG.MOP.PP.IC.02 | Development of SBDs and Standard Framework Agreement | 0.25 | CQS | Prior | Jan 2017 |
| KRG.MOP.EP.QCBS.01 | Establishment of E-portal System for KRG MOP | 0.7 | QCBS | Prior | June 2017 |
| KRG.MOP.CB.CQS.01 | Development of e-learning courses | 0.25 | CQS | Prior | Sep 2017 |
| KRG.MOP. External audit | External audit | 0.1 | LCS | Prior | June 2017 |

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| MOF-1/5-CS-EA-P3 / External Auditor Consultancy Services for Year Ending 2020 | IBRD / 86830 | Public Financial Management | Post | Least Cost Selection | Open – International | | 50,000.00 | 0.00 | Canceled | 2019-12-31 | 2020-01-21 | 2020-03-05 | | 2020-04-02 | 2020-05-02 | 2020-06-06 | 2020-07-11 | 2021-07-11 | | | | | | | | |
| MOF-1/5-CS-EA-P1 / External Auditor Consultancy Services for Years Ending 2017 & 2018 | IBRD / 86830 | Public Financial Management | Prior | Least Cost Selection | Open – International | | 50,000.00 | 0.00 | Canceled | 2017-11-30 | 2017-11-17 | 2017-12-21 | 2018-02-12 | 2018-02-03 | 2018-03-03 | 2018-04-02 | 2018-05-07 | 2018-06-11 | 2019-06-11 | | | | | | | |
| MOF-1/5-CS-EA-P1-A / External Auditor Consultancy Services for Years Ending 2017 & 2018 | IBRD / 86830 | Public Financial Management | Post | Least Cost Selection | Open – International | | 50,000.00 | 38,000.00 | Completed | 2017-11-17 | 2017-11-13 | 2017-12-08 | | 2018-01-21 | 2018-07-08 | | 2018-02-18 | 2018-08-19 | 2018-03-20 | 2018-10-01 | 2018-04-24 | 2018-11-12 | 2018-05-29 | 2018-11-27 | 2019-05-29 | 2019-08-25 |
| MOF-1/4-CS-DECENT / Subcomponent 1.A. PFM Systems at the Subnational Level (Baghdad and Babil Governorates) | IBRD / 86830 | Public Financial Management | Prior | Quality And Cost-Based Selection | Open – International | | 1,250,000.00 | 0.00 | Canceled | 2018-02-28 | | 2018-03-21 | 2018-05-04 | | | 2018-06-01 | 2018-07-01 | 2018-08-05 | | 2018-09-09 | | | | 2020-09-08 | | |
| MOF-1/1-CS-ICC-P2 / Implementation Capacity Consultant Assignment for IFMS Implementation Phase and Quality Assurance | IBRD / 86830 | Public Financial Management | Prior | Quality And Cost-Based Selection | Open – International | | 1,400,000.00 | 0.00 | Canceled | 2017-11-21 | | 2017-12-12 | | 2018-01-27 | | 2018-02-26 | 2018-04-05 | 2018-05-20 | | 2018-06-24 | | | | 2021-11-25 | | |
| MOF-1/2-CS-PMCD / Subcomponent 1.2. PFM Capacity Development Consultancy Assignment | IBRD / 86830 | Public Financial Management | Prior | Quality And Cost-Based Selection | Open – International | | 3,750,000.00 | 0.00 | Canceled | 2018-01-28 | | 2018-02-18 | 2018-04-03 | | | 2018-05-01 | 2018-05-31 | 2018-07-05 | | 2018-08-09 | | | | 2020-08-08 | | |
| MOF-1/5-CS-EA-P4 / External Audit Consultancy Services extendible | IBRD / 86830 | Public Financial Management | Post | Least Cost Selection | Open – National | | 150,000.00 | 0.00 | Pending Implementation | 2022-09-30 | 2022-10-21 | 2022-12-04 | | | | 2023-01-01 | 2023-01-31 | 2023-03-07 | | 2023-04-11 | | | | 2023-10-08 | | |

| INDIVIDUAL CONSULTANTS | | | | | | | | | | | | | | | | | | | |
|--|-------------------|-----------------------------|-------------|---------------------------------|-----------------|---------------|-------------------------|----------------------|----------------------|--------------------|------------|--|------------|---------------------------|------------|-----------------|------------|---------------------|------------|
| Activity Reference No. / Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Contract Type | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Terms of Reference | | Invitation to Identified/Selected Consultant | | Draft Negotiated Contract | | Signed Contract | | Contract Completion | |
| | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual |
| MOF-1/1-CS-ICC-P1 / Implementation Capacity Consultant Assignment for IFMS Preparatory Phase and Related Capacity Development: The ICC firm shall deliver the services required for the preliminary preparation of the IFMS pre-implementation arrangements. | IBRD / 86830 | Public Financial Management | Prior | Direct Selection | Direct | | 600,000.00 | 1,250,000.00 | Completed | 2017-11-14 | 2017-11-16 | 2017-11-20 | 2018-01-04 | | | 2017-12-27 | 2018-03-04 | 2019-01-10 | 2019-12-31 |
| MOF-IC-PROC / MoF PMT Procurement Officer (Starting October 01, 2017 Ending September 30, 2018) | IBRD / 86830 | Public Financial Management | Prior | Individual Consultant Selection | Direct | | 76,200.00 | 76,200.00 | Completed | 2017-09-06 | 2018-02-12 | 2017-09-11 | 2017-08-29 | 2017-09-20 | 2018-02-13 | 2017-09-25 | 2017-10-01 | 2018-09-30 | 2018-09-30 |
| MOF-IC-PROC-AMEND / MoF PMT Procurement Officer Contract Extension (October 01, 2018 until September 30, 2021) | IBRD / 86830 | Public Financial Management | Prior | Individual Consultant Selection | Direct | | 240,000.00 | 0.00 | Canceled | 2018-07-15 | | 2018-07-25 | | 2018-08-15 | | 2018-09-19 | | 2021-09-30 | |
| MOF-IC-PROC-02 / Hiring an Individual Consultant for the position of MoF PMT Procurement Officer after the end of Contract of the first MoF PMT Procurement Officer | IBRD / 86830 | Public Financial Management | Post | Individual Consultant Selection | Open | | 60,000.00 | 0.00 | Under Implementation | 2018-09-12 | 2018-09-14 | 2018-10-31 | | 2018-11-21 | | 2018-12-26 | | 2019-12-26 | |
| MOF-1/1-CS-ICC-P3 / IFMS Implementation capacity Consultant | IBRD / 86830 | Public Financial Management | Prior | Direct Selection | Direct | | 4,000,000.00 | 1,727,703.88 | Signed | 2021-01-14 | 2021-01-28 | 2021-01-24 | 2021-02-11 | | | 2021-03-30 | 2021-12-27 | 2021-09-26 | |