



**Ministry of National Development Planning/
National Development Planning Agency
(P173446)**

**ENVIRONMENTAL and SOCIAL
COMMITMENT PLAN (ESCP)
Global Environment Facilities (GEF)
Indonesia Sustainable Cities Impact Project
(SCIP)**

December 2021

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of Indonesia (Recipient) through the Ministry of National Development Planning/National Development Planning Agency (BAPPENAS) will implement the Indonesia Sustainable Cities Impact Project (SCIP). The Global Environment Facility through the International Bank for Reconstruction and Development (hereinafter the Bank) has agreed to provide financing for the Project.
2. The Recipient will implement material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards (**ESSs**). This Environmental and Social Commitment Plan (**ESCP**) sets out material measures and actions, any specific documents or plans, as well as the timing for each of these.
3. The Recipient will also comply with the provisions of any other E&S documents required under the ESF and referred to in this ESCP, such as the Stakeholder Engagement Plan (SEP), and the timelines specified in that.
4. The Recipient is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministry, agency or unit referenced in point 1 above.
5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Bank by the Recipient as required by the ESCP and the conditions of the legal agreement, and the Bank will monitor and assess progress and completion of the material measures and actions throughout implementation of the SCIP activities.
6. As agreed by the Bank and the Recipient this ESCP may be revised from time to time during SCIP activities implementation, to reflect adaptive management of changes to the SCIP activities and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the Recipient will agree to the changes with the Bank and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Bank and the Recipient. The Recipient will promptly disclose the updated ESCP.
7. Where changes to SCIP activities, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Recipient shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts, which may include environmental, health, and safety impacts, gender-based violence or impacts on indigenous peoples.

| MATERIAL MEASURES AND ACTIONS | | TIMEFRAME | RESPONSIBLE ENTITY/AUTHORITY |
|---|---|--|------------------------------|
| MONITORING AND REPORTING | | | |
| A | REGULAR REPORTING: Prepare and submit regular monitoring reports on the implementation of the ESCP. | Six-monthly throughout project implementation as part of the project progress reports | BAPPENAS |
| B | <p>INCIDENTS AND ACCIDENTS: Promptly notify the Bank of any incident or accident related to implementation of the SCIP which has, or is likely to have, a significant adverse effect on Project personnel, communities, the public, or indirect workers such as project personnel contracting the COVID-19 and/or project traffic and field survey related accidents.</p> <p>Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by other parties, as appropriate. Subsequently, as per the Bank's request, prepare a report on the incident or accident and propose any measures to prevent its recurrence.</p> | Notify the Bank within 48 hours after learning of the incident or accident and submit an incident report within 2 weeks upon occurrence of the incident. | BAPPENAS |
| ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS | | | |

| MATERIAL MEASURES AND ACTIONS | | TIMEFRAME | RESPONSIBLE ENTITY/AUTHORITY |
|-------------------------------|---|--|------------------------------|
| 1.1 | <p>ORGANIZATIONAL STRUCTURE: Establish an organizational structure with qualified staff to support management of E&S risks including dedicated E&S, stakeholder engagement and GRM Specialists to support the CPMU and assigning E&S focal points within in BAPPENAS, at CPMU as well as PIUs. PIUs will be established under BAPPENAS to coordinate the implementation of the SCIP activities.</p> | <p>One (1) Environmental specialist, one (1) Social Specialist, and one (1) stakeholder engagement and GRM specialist need to be appointed as part of the PMC and in place upon approval of the SCIP or no later than 30 days after SCIP effectiveness and maintained throughout project implementation.</p> <p>At least total of four (4) E&S Focal Points at central level (CPMU and PIUs) need to be appointed upon approval of the SCIP or no later than 30 days after SCIP effectiveness and maintained throughout project implementation.</p> <p>BAPPENAS to ensure assignment letter to explain the roles and responsibilities of the assigned personnel.</p> <p>A city coordination team including management of E&S issues will be established in each city through a Mayoral Decision Letter. The letter will clearly state commitment to allocate sufficient staffing and city budget, if necessary, for the coordination team.</p> | BAPPENAS |
| 1.2 | <p>ENVIRONMENTAL AND SOCIAL ASSESSMENT: Prepare TORs and undertake studies for environmental and social assessment for relevant project activities include the following:</p> <ol style="list-style-type: none"> 1. Strategic Environmental and Social Assessment (SESA/KLHS) for Component 1 2. Environmental and Social Assessment (ESA) and/or relevant studies for Component 2. <p>All TORs and results of the assessments shall be prepared in accordance with Bank's ESF and are subject to WB's review and clearance.</p> | Final TOR to be cleared by the Bank prior to commissioning relevant studies. | BAPPENAS |

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| 1.3 | MANAGEMENT TOOLS AND INSTRUMENTS: Implement the SCIP's Environmental and Social Management Framework (ESMF) and the Stakeholder Engagement Plan (SEP). The project will not support activities identified as negative list stated in the ESMF. | Throughout project implementation | BAPPENAS |
| ESS 2: LABOR AND WORKING CONDITIONS | | | |
| 2.1 | LABOR MANAGEMENT PROCEDURES: Implement the Labor Management Procedures (LMP) for staff and the consultants. | Prior to assignment of staff and/or recruitment of consultants for the CPMU or no later than 30 days after SCIP effectiveness and maintained throughout project implementation. | BAPPENAS |
| 2.2 | GRIEVANCE MECHANISM FOR PROJECT WORKERS: BAPPENAS shall ensure the availability, accessibility and functioning of grievance/feedback arrangements for Project workers. | Prior to the project grant effectiveness. LMP to be adopted and implemented throughout project implementation. | BAPPENAS |
| 2.3 | OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES: Implement the OHS measures for SCIP's personnel as stated in the LMP. Assessment of potential risks and impacts related to occupational health and safety will be incorporated in the TOR of the Environmental and Social Assessment (ESA) for relevant project activities under Component 2. The TOR and results of the assessments shall be prepared in accordance with Bank's ESF and are subject to WB's review and clearance. | LMP to be adopted and implemented during project implementation. Throughout project implementation | BAPPENAS |

| MATERIAL MEASURES AND ACTIONS | TIMEFRAME | RESPONSIBLE ENTITY/AUTHORITY |
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| ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT | | |
| <p>3.1 RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT: The project activities are not expected to have material risks and impacts on resources nor create pollution. Assessment of potential risks and impacts related to resource efficiency and pollution prevention and management will be incorporated in the TORs of the Strategic Environmental and Social Assessment (SESA/KLHS) and Environmental and Social Assessment (ESA) for relevant project activities under Component 2 and reflected in the planning documents developed under Component 1.</p> <p>The TOR and results of the assessments shall be prepared in accordance with Bank's ESF and are subject to WB's review and clearance.</p> | Throughout project implementation | BAPPENAS |
| ESS 4: COMMUNITY HEALTH AND SAFETY. | | |
| <p>4.1 COMMUNITY HEALTH AND SAFETY: The SCIP activities are not expected to result in direct risks and impacts on community health and safety. Assessment of potential risks and impacts related to community health and safety will be incorporated in the TORs of the Strategic Environmental and Social Assessment (SESA/KLHS) and Environmental and Social Assessment (ESA) for relevant project activities under Component 2 and reflected in the planning documents developed under Component 1.</p> <p>The TOR and results of the assessments shall be prepared in accordance with Bank's ESF and are subject to WB's review and clearance.</p> | Throughout project implementation | BAPPENAS |

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| 4.2 | <p>GBV AND SEA RISKS: All project workers sign the Code of Conduct as stated in the LMP</p> <p>Assessment of potential risks and impacts related to GBV and SEA will be incorporated in the TORs of the Strategic Environmental and Social Assessment (SESA/KLHS) and Environmental and Social Assessment (ESA) for relevant project activities under Component 2 and reflected in the planning documents developed under Component 1.</p> <p>The TOR and results of the assessments shall be prepared in accordance with Bank's ESF and are subject to WB's review and clearance.</p> | <p>Prior to project effectiveness</p> <p>Throughout project implementation.</p> | BAPPENAS |
| ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT. | | | |
| 5.1 | <p>RESETTLEMENT PLANS: The SCIP activities will not involve land acquisition activities nor restriction of land use. Assessment of potential risks and impacts related to land acquisition, restrictions on land use and involuntary resettlement will be incorporated in the TORs of the Strategic Environmental and Social Assessment (SESA/KLHS) and Environmental and Social Assessment (ESA) for relevant project activities under Component 2 and reflected in the planning documents developed under Component 1.</p> <p>The TOR and results of the assessments shall be prepared in accordance with Bank's ESF and are subject to WB's review and clearance.</p> | Throughout project implementation. | BAPPENAS |

| MATERIAL MEASURES AND ACTIONS | TIMEFRAME | RESPONSIBLE ENTITY/AUTHORITY |
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| ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES. | | |
| <p>6.1 BIODIVERSITY RISKS AND IMPACTS: The project will not support preparation of investment that will cause significant conversion and degradation to modified, natural and critical habitats. Assessment of potential risks and impacts related to biodiversity will be incorporated in the TORs of the Strategic Environmental and Social Assessment (SESA/KLHS) and Environmental and Social Assessment for relevant project activities under Component 2 and reflected in the planning documents developed under Component 1. These documents will also include measures for biodiversity conservation and sustainable management measures. Biodiversity reports will be prepared as applicable.</p> <p>The TOR and results of the assessments shall be prepared in accordance with Bank's ESF and are subject to WB's review and clearance.</p> | Throughout project implementation. | BAPPENAS |
| ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES. | | |
| <p>7.1 INDIGENOUS PEOPLES PLAN: The SCIP activities are not expected to have material risks and impacts on indigenous peoples and IPP hence IPP is not required. Assessment of potential risks and impacts to Indigenous peoples will be incorporated in the TORs of relevant studies such as SESA and ESIA and reflected in the planning documents developed under the Project.</p> | Prior to commissioning relevant studies. | BAPPENAS |
| ESS 8: CULTURAL HERITAGE. | | |
| <p>8.1 CHANCE FINDS: The SCIP activities are not expected to have material risks and impacts on cultural heritage. Assessment of potential risks and impacts on cultural heritage will be incorporated in the ToRs of relevant studies such as SESA and ESIA and reflected in the planning documents developed under the Project.</p> | Prior to commissioning relevant studies. | BAPPENAS |

| MATERIAL MEASURES AND ACTIONS | | TIMEFRAME | RESPONSIBLE ENTITY/AUTHORITY |
|--|--|------------------------------------|------------------------------|
| ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE | | | |
| 10.1 | STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION: Update, Adopt, and Implement the Stakeholder Engagement Plan (SEP). Provide guidance/ prepare TORs for City level Stakeholder Engagement Plans . | Throughout project implementation. | BAPPENAS |
| 10.2 | PROJECT GRIEVANCE MECHANISM: Ensuring that project grievance mechanism as described in the SEP is fully operational | Throughout project implementation. | BAPPENAS |
| CAPACITY SUPPORT (TRAINING) | | | |
| CS.1 | Identify ES capacity building requirements and training needs of participating agencies Develop and implement institutional capacity building program on World Bank ESSs and the ESMF. | Throughout project implementation. | BAPPENAS |