

FEDERAL DEMOCRATIC REPUBLIC OF ETHIOPIA
MINISTRY OF AGRICULTURE

Second Additional Financing for Strengthen Ethiopia's
Adaptive Safety
Net (SEASN AF₂)
(P179092)

Draft

**ENVIRONMENTAL and SOCIAL
COMMITMENT PLAN (ESCP)**

23 May 2022

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Federal Democratic Republic of Ethiopia (the **Recipient**) is implementing the second Additional Financing (P179092) for Strengthen Ethiopia's Adaptive Safety Net (SEASN) Project (the Project), with the involvement¹ of the Ministry of Agriculture., as set out in the Financing Agreement. The International Development Association (the **Association**) has agreed to provide additional financing for the Project, as set out in the referred agreement(s). This ESCP supersedes previous versions of the ESCP for the Project and shall apply both to the original and the additional financing for Project referred to above.
2. The Recipient] shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Association. The ESCP is a part of the Financing Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement(s).
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring, and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Association. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Association.
4. As agreed by the Association and the Recipient, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipient through the Ministry of Agriculture and the Association agree to update the ESCP to reflect these changes through an exchange of letters signed between the Association and the Recipient, through the Ministry of Agriculture. The Recipient shall promptly disclose the updated ESCP.

¹ Involvement of third-party implementing partners may be assigned to carry out activities in conflict affected (high risk emergency locations) areas targeted by the AF2 through the Ministry of Agriculture.

Second Additional Financing for Strengthen Ethiopia's Adaptive Safety Net (SEASN): World Bank Support to PSNP5 (P179092)

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, the implementation of E&S documents required under the ESCP, Stakeholder Engagement activities, and functioning of the Grievance Redress Mechanisms.</p>	<p><i>Quarterly and Annual</i> Project Reports including, <i>6-monthly</i> Environmental & Social Progress reports</p>	<p>The Food Security Coordination Directorate (FSCD) of the Ministry of Agriculture (MoA).</p>

<p>B</p>	<p>INCIDENTS AND ACCIDENTS (P179092)</p> <p>Promptly notify the Association of any Significant Event. A 'Significant Event' means:</p> <p>(a) any significant social, labour, health and safety, security or environmental incident, accident, or circumstance involving the Project, including, without limitation:</p> <ul style="list-style-type: none"> - explosions, spills, and any workplace accidents that result in death, serious or multiple injury, or material pollution, or - any violent labor unrest or dispute between the Recipient or security forces assigned to protect the Project and local communities or - any Project-related gender-based violence, sexual exploitation or abuse suffered by beneficiaries, Project workers, or local communities. <p>(b) any other event or circumstance having, or which could reasonably be expected to have, a material adverse effect on the implementation or operation of the Project in accordance with the ESF.</p> <p>Provide sufficient detail regarding the Significant Event, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any supervising entity, as appropriate.</p> <p>Subsequently, prepare a Summary Report on the incident or accident and propose any measures to prevent its recurrence.</p>	<p>Notification: Notification to the Association shall be made by electronic means within seventy-two (72) hours of the federal office of the MoA learning of the Significant Event. Notification shall include</p> <p>:(a) information on any impacts resulting, or likely to result, from the Significant Event, and</p> <p>(b) identification of any part of the information for which confidentiality is required.</p> <p>Summary Report: As soon as reasonably practicable, but no later than thirty (30) days after such Significant Event, provide the Association with a Summary Report that includes (i) a description of such Significant Event, (ii) the measures, if any, that the Recipient is taking or plans to take to address such Significant Event and to prevent any future similar event, and (iii) identification of any part of the information for which confidentiality is required.</p> <p>Follow-up Reporting: Throughout Project implementation, keep the Association informed of the status of implementation of the measures and plans laid out in each Summary Report.</p>	<p>MoA (FSCD, NRM, WCYAD).</p>
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MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS		
<p>1.1 ORGANIZATIONAL STRUCTURE</p> <ul style="list-style-type: none"> Establish and maintain an organizational structure with qualified staff and resources to support the management of the Project's E&S risks. MoA has formed and shall maintain a Social Development unit in FSCD, which consists of one (1) Senior Gender and Social Development specialist as coordinator, one (1) GSD expert at NRMD, one (1), GBV focal person at FSCD, one (1) social risk specialist/focal person at NRMD and one (1) GRM specialist and SEP focal person at FSCD NRMD shall maintain three Environmental specialists at the PWCU. No additional staff for the AF MoWSA shall maintain two social development specialists. Ministry of Agriculture (MoA) will contract out the service delivery in the conflict areas which are not accessible to international humanitarian agencies/implementing partners. The borrower shall evaluate the E&S management capacity of any potential implementing partner (according to the ESMF) and require and ensure that implementing partners(1) similarly assign three E & S specialists(namely one environment, one social and one gender specialists) to support E & S implementation of the Project and undergo training as needed; and (2) commit to implement their activities in accordance with this ESCP and all E&S instruments and be accountable to MOA in this respect. 	<p>Throughout Project implementation</p>	<p>MoA- FSCD, NRM and MoWSA, with their regional and Woreda structure</p> <p>Third party Implementing Partners</p>

<p>1.2</p>	<p>ENVIRONMENTAL AND SOCIAL ASSESSMENT (P179092)</p> <p>Sustain implementation of the ESF instruments currently being implemented under the parent Project, in the form of an Environmental & Social Management Framework (ESMF), an Enhanced Social Assessment and Consultation (ESAC), and ancillary instruments covering risk management in specialized fields (see below) in a manner acceptable to the Association.</p> <p>The ESMF shall continue to include Occupational Health and Safety (OHS) procedures and protocols to be followed in all Project activities for all types of Project workers. These OHS procedures shall conform to ESS2, the relevant World Bank Group ESHGs (waste management and traffic safety) and include safety precautions related to COVID-19. These procedures shall also include protocols to ensure prompt and detailed investigation of OHS incidents, follow up, and remedy by the Recipient as well as reporting to the Association in accordance with Monitoring and Reporting Section B of this ESCP.</p>	<p>ESMF including Enhanced Social Assessment and Consultation conducted for the parent project shall be applied to Project activities</p> <p>These E&S instruments shall be maintained, and implementation continued throughout Project implementation.</p>	<p>MoA- FSCD, NRM and MoWSA, with their regional and Woreda structure, Third party Implementing Partners</p>
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MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT PROCEDURES</p> <p>Implement the Labor Management Plan (LMP) that has been developed for the parent project in accordance with ESS2 and in a manner acceptable to the Association.</p> <p>The LMP applies to the Third party Implementing Partners</p>	<p>The Labour Management Plan (LMP) adopted for the parent project shall continue to apply to the Project.</p> <p>Implementation of the LMP shall be reported <i>in the Annual Reports</i>.</p>	<p>Implementation of the LMP is the responsibility of the DA, with Woreda-level PWF, WoLSA/Women & Social Affairs, and Gender and Social Development (GSD) experts at BoA oversight.</p> <p>Third party Implementing Partners</p> <p>Reporting to the Association is the responsibility of the FSCD in the MoA.</p>
2.2	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS</p> <p>Maintain, and operate a functional grievance mechanism for Project beneficiaries consistent with ESS2 as described in the LMP.</p> <p>Implement and document, workers' GRM throughout project implementation and regularly report on its results.</p> <p>As part of the PIM familiarization, training is now being provided on GRM procedures for regional stakeholders.</p>	<p>The grievance mechanism established for the parent project shall be maintained <i>for all sub-project implementation in the concerned kebele throughout the Project</i>.</p> <p>Implementation of the GRM including grievances received, status of grievances, and resolutions will be reported <i>in the Annual Reports</i>.</p>	<p>Implementation of the Grievance Mechanism for sub-project workers is the responsibility of the DA, with Woreda-level Gender and Social Development (GSD) experts at BoA and PWF oversight.</p> <p>Third party Implementing Partners</p> <p>Reporting to the Association is the responsibility of the FSCD in the MoA.</p>

2.3	<p>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES Apply to the Project the Occupational Health and Safety (OHS) measures (including emergency preparedness and response, COVID-19 safety precautions, and procedures for the handling of pesticides) adopted in the project design document, PIM and ESMF (Appendix 11) for the parent project</p> <p>Update the OHS related to traffic safety if required</p>	<p>The OHS measures shall be operational <i>prior to engaging the sub-project workers</i> and will be maintained <i>for all sub-project implementation in the concerned kebele throughout the Project.</i></p> <p>Continued and strengthened implementation of the OHS measures including but not limited to measures adopted and incidents observed, shall be reported <i>in the Annual Reports.</i></p>	<p>Implementation of the OHS measures for Project workers is the responsibility of the DA, with #Woreda-level Gender and Social Development (GSD) experts at BoA Reporting to the Association is the responsibility of the regional and federal FSCD in the MoA.</p>
<p>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</p>			
3.1	<p>WASTE MANAGEMENT PLAN</p> <p>Adopt and implement a Waste Management Plan (WMP), to manage hazardous and non-hazardous wastes, consistent with ESS3. Ensure that this WMP includes waste from transport-related activities.</p>	<p>Adopt the WMP prior to commencing any activity potentially involving wastes, and thereafter implement the WMP throughout Project implementation].</p>	<p>MoA-FSCD, NRM and MoWSA, with their regional and Woreda structure, Third party Implementing Partners</p>
<p>ESS 4: COMMUNITY HEALTH AND SAFETY</p>			
4.1	<p>TRAFFIC AND ROAD SAFETY: Adopt and Implement measures and actions to assess and manage traffic and road safety risks as required in: the movement of food delivery vehicles. Update the OHS/CHS Plan if necessary to ensure that these risks are covered.</p>	<p>Traffic management measures for food delivery vehicles shall be adopted and implemented before and during vehicle movements.</p>	<p>Traffic management of food delivery vehicles is the responsibility of the local leaders or Kebele administration.</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
		Reporting shall be included <i>in the Annual Reports</i>	Reporting to the Association is the responsibility of the FSCD in the MoA.
4.2	<p>COMMUNITY HEALTH AND SAFETY: Prepare, Adopt, and implement measures and action to assess and manage specific risks and impacts to the community arising from Project activities.</p> <p>The Community H&S Procedure which is adopted as part of the ESMF for the parent project shall be maintained and applied to the Project.</p>	<p>The Community H&S Procedure adopted by the parent project on the ESMF shall continue to apply to those project activities that are covered by this AF.</p> <p>Implementation of the Community H&S measures shall be reported <i>in the Annual Reports</i>.</p>	<p>Implementation of the Community H&S Procedure is the responsibility of the DA, with Woreda-level WoLSA, PWFP and Gender and Social Development (GSD) specialist at BoA</p> <p>Reporting to the Association is the responsibility of the FSCD in the MoA.</p>
4.3	<p>SEA AND SH RISKS: Prepare, adopt, and implement a Gender-Based Violence Action Plan (GBV Action Plan), to assess and manage the risks of gender-based violence (GBV) and sexual exploitation and abuse (SEA) and/or sexual harassment (SH).</p> <p>The GBV Action Plan developed as part of the parent project and included in the ESMF shall apply to the Project with specific updates on the action plan in line with conflict affected areas. The updated GBV action plan will be annexed in the ESMF and cleared as part of the ESMF.</p>	<p>The GBV Action plan for the parent project shall continue to apply to the Project. Specific to conflict affected areas, the GBV action plan will be updated and cleared prior to disbursement for Component 2, implementation in conflict affected areas.</p> <p>Implementation of the GBV Action Plan shall be reported <i>in the Annual and biannual Reports</i>.</p>	<p>Overseeing Implementation of the GBV Action Plan at Woreda level is the responsibility of BoA by the Gender and Social Development (GSD) expert at BoA and WCYA desk, with regional-level FSCD through the Gender and Social Development (GSD) expert & BoA WCYA MoWSA office oversight.</p> <p>Third party Implementing Partners</p>

<p>4.4</p>	<p>SECURITY MANAGEMENT: Assess and implement (P179092) measures to manage the security risks of the Project, including the risks of engaging security personnel to safeguard project workers, sites, assets, and activities. A Security Risk Assessment and Management Plan (SMP) will to be carried out to minimize the risk of conflict and insecurity situation consistent with the requirements of ESS4, in a manner acceptable to the Association.</p> <p>As part of the Security Management Plan and related implementation, adopt and enforce standards, protocols and codes of conduct for the selection and use of security personnel, and screen such personnel to verify that they have not engaged in past unlawful or abusive behaviour, including sexual exploitation and abuse (SEA), sexual harassment (SH) or excessive use of force.</p> <p>The security risk assessment and management plan will be annexed to the ESMF and cleared as part of the ESMF.</p>	<p>Assess risks, prepare and adopt Security Management Plan prior to disbursement for the project activities in the conflict affected areas</p> <p>Implementation will be reported <i>in the biannual and Annual E & S Reports including</i> status of security arrangements and incidents reported.</p>	<p>MOA, with MoF and NDRMC as well as regional-level FSCD oversight.</p> <p>Reporting to the Association is the responsibility of the FSCD in the MoA.</p> <p>Third-party agencies through the MoA</p>
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Second Additional Financing for Strengthen Ethiopia's Adaptive Safety Net (SEASN): World Bank Support to PSNP5 (P179092)

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
	This standard is not currently relevant, as this AF does not include activities that could involve such issues.	Not relevant	Not relevant
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
	This standard is not currently relevant, as this AF does not include activities that could involve such issues.	Not relevant	Not relevant
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES.			
7.1	<p>Enhanced Social Assessment & Consultation: The Project shall be implemented in emerging regions including pastoralist and agro pastoralist areas residents that meet the elements of ESS7. Social Assessment and consultation has been conducted for the parent project to assess specific needs and social risks and develop a social development plan with appropriate actions.</p> <p>The recommendations of the Enhanced Social Assessment and Consultation (ESAC) has been adopted into the design document and Social Development Plan is being implemented under the Project. This should be sustained.</p>	<p>The implementation of social development plan shall be continued and monitored as part of the Project.</p> <p>The progress of the implementation of the ESAC shall be reported <i>in the Environmental & Social Management progress reports.</i></p>	<p>FSCD in close collaboration with MoWSA</p> <p>Third-party agencies</p> <p>Reporting to the Association is the responsibility of the FSCD in the MoA.</p>
ESS 8: CULTURAL HERITAGE.			
8.1	Not currently relevant	Not relevant	Not relevant
ESS 9: FINANCIAL INTERMEDIARIES			
9.1	Not currently relevant	Not relevant	Not relevant
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			

Second Additional Financing for Strengthen Ethiopia's Adaptive Safety Net (SEASN): World Bank Support to PSNP5

10.1	<p>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION Implement Stakeholder Engagement Plan (SEP) throughout the duration of the Project. SEP has been prepared and updated including appropriate community consultation mechanisms.</p>	<p>SEP prepared and updated for the project. The SEP implementation shall be reported in <i>the Quarterly and Annual Reports</i>.</p>	<p>FSCD. FSCD Third Part Implementing Partners</p>
10.2	<p>PROJECT GRIEVANCE MECHANISM: All grievances shall be managed by the Grievance Mechanism for the Project as described in the SEP in manner acceptable to the Association.</p>	<p>Grievance Mechanism for the parent project shall also apply to the Project.</p>	<p>MoA, FSCD and MoWSA.</p>
<p>CAPACITY SUPPORT (TRAINING)</p>			

Second Additional Financing for Strengthen Ethiopia's Adaptive Safety Net (SEASN): World Bank Support to PSNP5 (P179092)

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
CS1	<p>Training shall be provided for NRMD, FSCD and MoWSA staff at all levels, on specific aspects of environmental & social risk management, stakeholder engagement, GRM, Gender & GBV/SEAH, emergency preparedness and response, community health & safety, occupational health & safety and all other instruments necessary for compliance with environmental and social standards.</p> <p>The training material on ESS and Environment and social safeguard has been prepared which will be updated taking into account any AF2 changes.</p> <p>ESMF Training of Trainers (ToT) was provided to regional and federal level experts. While some regions have cascaded the training, the remaining ones are now preparing to cascade the training by using the CD budget disbursed recently, and will carry out the necessary training to compensate for the training delays caused by COVID and the conflict, including ensuring adequate capacity for the TPIA(s)</p>	<p>ESS-related training will be delivered at the beginning of the first year of effectiveness and during the first quarter of each year thereafter at all levels as required, including front-line implementers.</p> <p>Reporting on training will be <i>in the quarterly and Annual Reports</i>.</p>	<p>Responsibility for ensuring that the necessary training is provided at all levels lies with the federal office concerned, i.e., FSCD, NRMD and MoWSA.</p> <p>Reporting will be the responsibility of the FSCD.</p>
CS2	<p>Project and subproject workers, including community workers, to be provided a refresher training on all matters relevant to them, including LMP, OHS procedures, GBV, and emergency preparedness.</p>	<p>As CS1 above.</p>	<p>As CS1 above.</p>

