

Food and Agricultural Organization

SAR CAPACITY BUILDING FOR PANDEMIC PREVENTION, PREPAREDNESS, AND RESPONSE (PPR) WITH ONE HEALTH APPROACH (P181351)

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

November 28, 2024

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Food and Agricultural Organization (the Recipient) will implement the SAR Capacity Building for Pandemic Prevention, Preparedness, and Response (PPR) with One Health approach (the Project), with the involvement of BIMSTEC Secretariat, as set out in the Grant Agreement. The International Bank for Reconstruction and Development/International Development Association, as administrator of the Health Emergency Preparedness and Response Multi-Donor Trust Fund (the Bank) has agreed to provide financing for the Project, as set out in the referred agreement.
2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Bank. The extent and mode of Bank's monitoring with respect to environmental and social performance will be proportionate to the potential environmental and social risks and impacts of the Project. The ESCP is a part of the Grant Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Bank. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Bank.
4. As agreed by the Bank and the Recipient, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipient through the Ministry of Health, and the Bank agree to update the ESCP to reflect these changes through an exchange of letters signed between the Bank and the Recipient. The Recipient shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
MONITORING AND REPORTING ¹			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Bank regular monitoring reports on the environmental & social (E&S) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S instruments required under the ESCP, stakeholder engagement activities, labor management procedures, and functioning of the grievance mechanism(s).</p>	<p>Bi-annual reports to the Bank throughout Project implementation, commencing after the Effective Date. Submit each report to the Bank no later than 45 days after the end of each reporting period.</p>	<p>Project Management unit within the FAO & BIMSTEC Secretariat (PMU-FAO & PMU-BIMSTEC).</p>
B	<p>INCIDENTS AND ACCIDENTS</p> <p>(a) Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and incidents and accidents that result in death, serious or multiple injury including from exposure to toxic chemicals, health care wastes, fire, natural disasters.</p> <p>For SEA/SH incidents, the notification and any follow up reporting shall be shared with the Bank’s corporate Grievance Redress Service (GRS).</p>	<p>(a) Notify the Bank no later than 48 hours after learning of the incident or accident.</p> <p>(b) Provide the report on SEA/SH incidents to the Bank within 10 days of notification, and the report on any other incident or accident to the Bank within 30 days of the notification. Depending on the circumstances, this timeframe may be extended with the written agreement of the Bank.</p>	<p>PMU-FAO/PMU-BIMSTEC/member countries</p>

¹ Information and documents provided to the Bank under part B (“Incidents and Accidents”), C (“Contractors’ and Implementing Partners’ Reports”) and 1.3(b) (“Management of Contractors and Implementing Partners”) of this ESCP will be (a) marked as “Information Provided by Member Countries or Third Parties in Confidence” pursuant to the Bank’s Access to Information Policy, and (b) assigned the security classification of “confidential” pursuant to the Bank Directive on Information Classification and Control, i.e., the information will only be: (i) disseminated within the Bank on a “need to know” basis; and (ii) shared with third parties on agreement with the [Borrower/Recipient].

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
<p>Notwithstanding the above and associated timeframe, FAO will report alleged incidents of SH involving FAO Staff and Non-Staff Personnel² through its Office of the Inspector General's annual reports to the FAO Finance Committee, which are made publicly available.</p> <p>For any other incidents or accidents, the notification and any follow up reporting shall be shared with the Bank's task team.</p> <p>(b) Provide a report to the Bank with sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any Contractor³, Implementing Partner⁴ and/or supervising firm, as appropriate.</p> <p>(c) At the Bank's request, share the Contractor, Implementing Partner] and/or supervising firm incident or accident notification and report, redacted to remove Personal Data⁵.</p>	<p>(c) Upon request, share Contractor's and Implementing Partners' notifications and reports to the Bank within 7 days following the Bank's request, unless otherwise agreed to with the Bank</p>	

² "Staff" means an individual who holds a letter of appointment with the UN Partner or is on loan to the UN Partner by another UN organization or specialized agency under the terms of the Inter-organization Agreement Concerning Transfer, Secondment or Loan of Staff among the Organizations Applying the United Nations Common System of Salaries and Allowances, it being understood that Staff have the status of "officials" under the General Convention.

"Non-Staff Personnel" means an individual, other than Staff, in a contractual relationship with, and under the direct administrative authority of, the Recipient, other than through a letter of appointment under the Staff Rules and Regulations, including Consultants (who have the status of "experts on mission" under the General Convention), interns, UNVs, and stand-by arrangements.

³ "Contractor" means a legal entity, or an individual other than Staff/ Non-Staff Personnel, with which the Recipient has concluded a Project-related institutional contract.

⁴ "Implementing Partner" means a legal entity, other than a government entity, with which the Recipient has concluded a partnership agreement related to Project implementation.

⁵ "Personal Data" means any information relating to a Data Subject, meaning a natural person who can be identified, directly or indirectly, in particular by reference to an identifier such as a name, an identification number, location data, an online identifier or to one or more factors specific to the physical, physiological, genetic, mental, economic, cultural, or social identity of that natural person.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
C	<p>CONTRACTORS’ AND IMPLEMENTING PARTNERS’ REPORTS</p> <p>Require Contractors and Implementing Partners to provide regular monitoring reports, at a minimum on a bi-annual basis, on ESHS performance in accordance with the metrics specified in the respective Terms of Reference and contracts, and at the Bank’s request, submit such reports to the Bank, redacted to remove Personal Data.</p>	Upon request, submit Contractors’ and Implementing Partners’ reports to the Bank within 14 days following the Bank’s request.	
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE</p> <p>Establish and maintain a Project Management Unit (PMU) with qualified staff and resources to support management of E&S risks and impacts of the Project including implementation of the stakeholder engagement activities.</p>	ES focal of FAO will administer and coordinate all ES issues throughout Project implementation.	FAO
1.2	<p>ENVIRONMENTAL AND SOCIAL INSTRUMENTS</p> <p>Adopt and implement an Environmental and Social Code of Practice (ESCoP) including Health Care Waste Management Practices (HCWMP) in accordance with E&S Standards (ESSs) and other relevant Good International Industry Practice (GIIP) during operation of Regional Centers of Excellence (RCoEs) and laboratory activities & trainings . Any activities with substantial and high ES risk and impact shall be ineligible to receive financing under the Project.</p>	Develop and adopt the ESCoP (including the HCWMP) and the exclusion list within three months of the Effective Date and thereafter implement it throughout the Project implementation.	FAO-PMU
1.3	<p>MANAGEMENT OF CONTRACTORS AND IMPLEMENTING PARTNERS</p> <p>a) Incorporate the relevant aspects of the ESCP, including, inter alia, the relevant E&S instruments, the Labor Management Procedures, and code of conduct, into the ESHS specifications of the procurement documents and contractual arrangements with Contractors and Implementing Partners. Thereafter ensure that the Contractors and Implementing Partners comply and cause subcontractors to comply with the ESHS specifications of their respective contracts.</p>	<p>a) As part of the preparation of procurement documents and respective contracts. Supervise Contractors and Implementing Partners throughout Project implementation.</p>	

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<p>b) At the Bank’s request, share Project contracts/agreements with Contractors and Implementing Partners with the Bank, redacted to remove Personal Data and confidential business information</p> <p>c) At the Bank’s request, share summaries of the Recipient’s assessment of Implementing Partner capacity to implement the ESS for the Project and any capacity enhancement measures.</p> <p>d) At the Bank’s request, in relation to an incident or accident reported under Action B, share the Recipient’s full assessment⁶ of Implementing Partner capacity to implement the ESS for the Project and any capacity enhancement measures, provided that in the event the Recipient determines that the Implementing Partner(s) reasonably objects to such sharing, a meeting between the Bank, the Recipient and the Implementing Partner shall be organized.</p>	<p>b) Share with the Bank within 14 days of the Bank’s request, unless otherwise agreed to with the Bank.</p> <p>c) Share with the Bank within 14 days of the Bank’s request, unless otherwise agreed to with the Bank.</p> <p>d) Share with the Bank within 14 days of the Bank’s request, unless otherwise agreed to with the Bank. With the understanding that any meeting to take place within these 14 days, unless agreed otherwise.</p>	
1.4	<p>TECHNICAL ASSISTANCE</p> <p>Ensure that the consultancies, studies (including feasibility studies, if applicable), capacity building, training, and any other technical assistance activities under the Project are carried out in accordance with terms of reference acceptable to the Bank, that are consistent with the ESSs. Thereafter ensure that the outputs of such activities comply with the terms of reference.</p>	Throughout Project implementation.	PMU-FAO/BIMSTEC/ member countries
ESS 2: LABOR AND WORKING CONDITIONS			

⁶ A full assessment would typically be the micro assessment, PSEA assessment and other relevant technical assessments/observations that are generated from time-to-time during the lifecycle of the Project.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
2.1	<p>LABOR MANAGEMENT: Follow labor regulations of the respective member countries that are consistent with ESS2.</p> <p>To this end, ensure that the following measures are carried out:</p> <ul style="list-style-type: none"> a) Provide Project workers with information and documentation that is clear and understandable regarding their terms and conditions of employment through written contracts setting out their rights, including, inter alia, rights related to hours of work, wages, overtime, compensation and benefits, as well as written notice of termination of employment, and details of severance payments, as applicable; b) Implement occupational health and safety (including personal protective equipment, procedures to follow when handling biohazardous materials, and medical waste, and emergency preparedness and response for emergencies such as fire and natural disasters) measures, taking into account the General Environmental, Health and Safety Guidelines (EHSGs), and other relevant Good International Industry Practice (GIIP) and, as appropriate, the industry-specific EHSGs and other Good International Industry Practice (GIIP); c) Implement measures, as applicable, to, inter alia: (i) prevent the use of all forms of forced labor and child labor; (ii) enable Project workers to benefit from, inter alia, access to grievance and redress mechanisms without fear of retaliation; and effective freedom to form and join workers organizations or alternative mechanisms for expressing their concerns and protect their rights related to labor and working conditions; d) Ensure availability of proper working conditions, resting & dining places, and sanitation facilities for project workers. e) Implement SEA/SH prevention Code of Conduct (CoC), which shall include measures to prevent and respond to SEA and SH incidents. f) Incorporate the relevant requirements above in the ESHS specifications of the procurement documents and contracts with third parties that engage workers in the implementation of the Project activities. 	<p>Adopt labor management measures before starting of any Project activities and thereafter throughout Project implementation.</p>	<p>PMU- FAO/BIMSTEC/member countries</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<p>g) Ensure bidding documents include relevant clauses requiring suppliers of solar panels including their sub-contractors to submit required declarations and include enhanced language on forced labor in the procurement contracts in accordance with WB requirements addressing forced labor related risks.</p> <p>h) For community workers, measures should be in place to ensure their health and safety, and rules to prohibit child labor and forced labor.</p>		
2.2	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS</p> <p>Establish and operate a grievance mechanism (including handling of SEA/SH related complaints) for Project workers, consistent with ESS2.</p>	Establish grievance mechanism prior to hiring Project workers, and thereafter maintain and operate it throughout Project implementation.	PMU-FAO/ BIMSTEC/ member countries
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	<p>WASTE MANAGEMENT PLAN</p> <p>Adopt and implement a Health Care Waste Management Practices (HCWMP) to manage health care waste consistent with ESS3 and other relevant Good International Industry Practice (GIIP).</p>	Establish a HCWMP before operation of RCoEs and implement throughout the Project implementation	PMU-FAO/BIMSTEC/ member countries
3.2	<p>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</p> <p>Incorporate resource efficiency and pollution prevention and management measures to be prepared under action 1.2 above.</p>	Adopt the measures during the operation of RCoEs and implement throughout the Project implementation	PMU-BIMSTEC
ESS 4: COMMUNITY HEALTH AND SAFETY			
	This standard is not relevant for the Project.		
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
	This standard is not relevant for the Project.		
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
	This standard is not relevant for the Project.		
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	This standard is not relevant for the Project.		
ESS 8: CULTURAL HERITAGE			
	This standard is not relevant for the Project.		
ESS 9: FINANCIAL INTERMEDIARIES			
	This standard is not relevant for the Project.		
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	<p>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</p> <p>Adopt and implement stakeholder Engagement activities, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable, and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.</p> <p>To this end, ensure that the following measures are carried out:</p> <ul style="list-style-type: none"> a) Identify project stakeholders & their needs and interests, and build, and maintain constructive relationship with them in a systematic manner. Engage with a) project affected parties, who are the beneficiaries of the project from project member countries including health professionals working for the Ministry of Health, Ministry of Agriculture, Ministry of Livestock, Ministry of Environment, Ministry of Forestry among member states of BIMSTEC (SAR and part of the Southeast Asia and b) other interest groups such as Civil Society Organizations including other government departments/agencies. a) Ensure project information including project objectives, participant selection criteria and guidelines for all training programs, project activities & benefits, environmental and social risks and impact mitigation measures, guidelines & instruments are disclosed to stakeholders in a timely manner and in a language understandable to the members countries to ensure transparency and access to project information. 	Implement stakeholder engagement activities throughout Project implementation.	PMU-FAO/BIMSTEC/ member countries

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<p>b) Conduct regular consultations with stakeholders to gather community views and feedback about the project design, implementation, and environmental and social performance. Provide response to project stakeholders and take necessary actions in response to the feedback received. Use focus groups discussions, workshops, surveys, and studies as required to engaged stakeholders and obtain regular feedback and monitor outcomes of stakeholder engagement activities.</p> <p>c) Conduct monitoring of stakeholder engagement activities, maintain records, minutes and participant lists of consultations conducted, and ensure reporting to stakeholders and disclosure of consultation findings including project responses.</p>		
10.2	<p>PROJECT GRIEVANCE MECHANISM Establish, publicize, maintain, operate and monitor an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p> <p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p>	<p>Establish the grievance mechanism before the start of any Project activities, and thereafter maintain and operate the mechanism throughout Project implementation.</p>	<p>PMU-FAO/BIMSTEC/ member countries</p>
CAPACITY SUPPORT			
CS1	<p>Trainings will be organized in the following areas for Project workers:</p> <ol style="list-style-type: none"> 1. Introduction to ESF and its requirements 2. Stakeholder Engagement 3. Citizen Engagement and GRM implementation 4. Implementation of HCWMPs 5. Occupational and community health & safety 6. Labor management procedures 7. SEA/SH prevention measures 8. Emergency preparedness and response 	<p>Trainings from no. 1 to 3 within one month of establishing of PMU, and trainings from no. 4 to 7 within three months of establishing of PMU.</p>	<p>PMU-FAO/BIMSTEC/ member countries</p>

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY

