



ET Productive Safety Nets Project 4 (PSNP 4) (P146883)

EASTERN AND SOUTHERN AFRICA | Ethiopia | Social Protection & Jobs Global Practice |
IBRD/IDA | Investment Project Financing | FY 2015 | Seq No: 15 | ARCHIVED on 21-Jun-2022 | ISR51570 |

Implementing Agencies: Federal Democratic Republic of Ethiopia, Ministry of Agriculture-ET

Key Dates**Key Project Dates**

Bank Approval Date: 30-Sep-2014

Effectiveness Date: 03-Feb-2015

Planned Mid Term Review Date: 20-Nov-2017

Actual Mid-Term Review Date: 11-Dec-2017

Original Closing Date: 31-Dec-2020

Revised Closing Date: 30-Jun-2022

Project Development Objectives

Project Development Objective (from Project Appraisal Document)

The Program Development Objective is: Increased access to safety net and disaster risk management systems, complementary livelihoods services and nutrition support for food insecure households in rural Ethiopia. This will be achieved through 1) support for building core instruments and tools of social protection and DRM systems, 2) delivery of safety net and enhanced access to livelihoods services for vulnerable rural households, and 3) improved program management and institutional coordination. The project will also contribute to the higher level objectives of (i) improved household food security, livelihoods and nutrition, and (ii) enhanced household and community resilience to shocks. This is consistent with the higher level objectives of the ongoing APL series supporting the PSNP.

Has the Project Development Objective been changed since Board Approval of the Project Objective?

Yes

Board Approved Revised Project Development Objective (If project is formally restructured)

The Project Development Objective is: increase access to effective safety net and disaster risk management systems, and complementary livelihood and nutrition services for food-insecure households in the Recipient's rural areas.

Components Table

Name
Social Protection and Disaster Risk Management Systems:(Cost \$40.00 M)
Productive safety nets and links to livelihoods services:(Cost \$530.00 M)
Institutional Capacity Building and Project Management Support:(Cost \$30.00 M)

Overall Ratings

Name	Previous Rating	Current Rating
Progress towards achievement of PDO	<input type="checkbox"/> Moderately Satisfactory	<input type="checkbox"/> Moderately Satisfactory
Overall Implementation Progress (IP)	<input type="checkbox"/> Satisfactory	<input type="checkbox"/> Satisfactory
Overall Risk Rating	<input type="checkbox"/> Substantial	<input type="checkbox"/> Substantial

Implementation Status and Key Decisions**Implementation Status and Key Decisions (Public Disclosure)**



Overall, project implementation was good given the contexts in which it was implemented including recurring drought, conflicts as well as Covid-19 which some activities towards the closing period. The Team in collaboration with Government and development partners made some adjustment to activity implementation to address challenges by Covid-19. These include allowing beneficiaries to receive transfers without public works requirements (waiver) and using online in lieu of field visits.

Summary of implementation progress by components

Component 1: This component envisioned supporting the transition from independent programs to integrated social protection and Disaster Risk Management (DRM) systems. It planned to finance the building blocks, tools and instruments of the SP system, including for targeting, single registry and information management. Establishment of the Management Information System has entered its final phase and this support future safety net operations in generating and reliable data. A comprehensive review of the existing fragmented early warning system of the country has been conducted and recommendation presented to the government with the objective of establishing a single modernized early warning system. Data collection in some project implementing woredas has been completed but due to contractual problems created by Covid-19, data collection in the remaining woredas will be transitioned to the successor project, Strengthening Ethiopia's Adaptive Safety Net (SEASN).

Component 2: This component foresaw financing a range of support to program clients, including safety nets transfers, sustainable community assets and access to human capital services, and support for enhancing access to livelihoods services. Over the life of the project, 7.9 million beneficiaries received transfers of cash/and or food annually that smoothed their consumption and protected the depletion of their household assets. One major achievement under this component is the expansion of electronic payment modality which has covered more than one third of Productive Safety Net Program woredas as well as 1.3 million households.

Under the livelihoods sub-component, over the last years the project was able to reach 133k beneficiary households in 272 woredas through the provision of a cash grant of US\$ 200/per household for income diversification and eventually build resilience at household level.

The livelihoods end-line impact evaluation findings indicate that the interventions have achieved one of their key intermediate objectives – encouraging and supporting accumulation of assets in the livelihood pathway selected by beneficiaries. The size of livestock holdings, both in Tropical Livestock Unit (TLU) and market value terms, grew due to the program.

The public works (PW) sub-projects implemented under this component has significantly contributed to restoration of the natural resource base. Over the life of the PW program also contributed hugely to Climate Change mitigation. All five Public Works Impact Assessments (PWIAs) conducted to date estimate that combined with natural resource management under the PSNP has introduced significant carbon sequestration in treated areas, which typically amount to 400,000 Hectares/annum. Analyses of soil samples from these

PSNP PW sites have not only confirmed this, but also have identified up to 300% increase in sequestration rates, together with markedly improved soil fertility. In addition, the 2019 PWIA found that the ten watersheds/rangeland areas sampled were sequestering 183,000 tons CO₂/Anum as a result of the PSNP, in a program covering a total of around 12,000 watersheds.

Component 3: This component provided institutional support to Government of Ethiopia to improve overall program management. Through this support the monitoring and supervision capacity of implementers at different levels has improved.



Risks

Systematic Operations Risk-rating Tool

Risk Category	Rating at Approval	Previous Rating	Current Rating
Political and Governance	--	High	High
Macroeconomic	--	Moderate	Moderate
Sector Strategies and Policies	--	Moderate	Moderate
Technical Design of Project or Program	--	Moderate	Moderate
Institutional Capacity for Implementation and Sustainability	--	Moderate	Moderate
Fiduciary	--	Substantial	Substantial
Environment and Social	--	Substantial	Substantial
Stakeholders	--	Substantial	Substantial
Other	--	--	--
Overall	--	Substantial	Substantial

Results

PDO Indicators by Objectives / Outcomes

Increased access to SN & DRM systems, complimentary LH services & nutrition for f/insecure HH				
▶ Direct project beneficiaries (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	7,200,000.00	7,997,218.00	7,997,218.00	8,300,000.00
Date	01-Jul-2015	20-Dec-2021	31-May-2022	30-Jun-2022
□ Female beneficiaries (Percentage, Custom Supplement)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	50.00	52.00	52.00	50.00
▶ Beneficiaries of Safety Nets programs (number) (Number, Corporate)				
	Baseline	Actual (Previous)	Actual (Current)	End Target



Value	7,200,000.00	7,997,218.00	7,997,218.00	8,300,000.00
Date	01-Jul-2015	20-Dec-2021	31-May-2022	30-Jun-2022
<input type="checkbox"/> Beneficiaries of Safety Nets programs - Other cash transfers programs (number) (Number, Custom Breakdown)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	7,200,000.00	7,997,218.00	7,997,218.00	8,300,000.00
Date	01-Jul-2015	20-Dec-2021	31-May-2022	30-Jun-2022
<input type="checkbox"/> Beneficiaries of Safety Nets programs - Female (number) (Number, Custom Breakdown)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	3,600,000.00	4,131,994.00	4,131,994.00	4,150,000.00
Date	01-Jul-2015	20-Dec-2021	31-May-2022	30-Jun-2022
<input checked="" type="checkbox"/> Average number of months of household food insecurity (Months, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	3.20	2.45	2.45	2.00
Date	01-Jul-2015	20-Dec-2021	31-May-2022	30-Jun-2022
Comments:	<p>The indicator value is calculated based on a weighted average (75% Highlands/25% Lowlands).</p> <p>The Highlands food gap for PSNP households was 2.6 months and 2 months for the lowlands.</p>			
<input checked="" type="checkbox"/> % increase in average value of household assets (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	13.38	6.50	30.00
Date	01-Jul-2015	31-Dec-2019	31-May-2022	30-Jun-2022
Comments:	<p>Lowlands: Afar 5% and Somali 8%, about half of the sample is in each region. Therefore the average increase is calculated at 6.5%.</p> <p>Highlands: 0.5% for livestock assets. Other assets not reported.</p> <p>In previous reports we calculated the increase as a weighted average (75% Highlands/ 25% Lowlands). However, since data has not been reported for highlands, we are only reporting lowlands in here.</p>			
<input checked="" type="checkbox"/> % of clients who receive community-based nutrition counseling services (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target



Value	40.00	84.00	84.00	80.00
Date	01-Jul-2015	20-Dec-2021	31-May-2022	30-Jun-2022
Comments:	<p>Source: 2018 Joint PWLH Review. The value of this indicator is based on a sample of 28 woredas representing 8 percent of the total PSNP woredas. The indicator measures access of client households to community-based nutrition (CBN) services and behavioral change communication (BCC). The BCC implementation is progressing slow: currently it is implemented in 80 woredas of the 349 woredas, due to financing constraints. The updated indicator in the 2019 Joint PWLH Review is currently in the process of being finalized and validated by the federal government. No change since the previous report</p>			
► Improved early warning triggers and response mechanisms agreed and functional (Yes/No, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	No	No	No	Yes
Date	01-Jul-2015	20-Dec-2021	31-May-2022	30-Jun-2022
Comments:	<p>An assessment on early warning triggers has been finalized which will help to identify improved early warning triggers. But it will take time until the client operationalizes it</p>			
► % of PSNP woredas where MIS and single registry is operational (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	75.00
Date	01-Jul-2015	20-Dec-2021	31-May-2022	30-Jun-2022
Comments:	<p>MIS development is progressing well and as of January 2020, the MIS phase 1 was planned to be finalized in June 2020 rolled-out in a phased approach, based on the completion of the PSNP BHH Registry. However due to the recent COVID Crisis, plans to roll out the MIS within the planned timeframe is being reassessed. The PSNP BHH Registry- 7 firms were contracted in January 2020 to carry out the primary data collection activity for 12 of the 14 clusters. Communication on the registry data collection started cascading from regions to woredas in January 2020 and trainings on the survey software and survey instruments tookplace in February 2020. Unfortunately due to the COVID-19 crisis, data collection activities have been put on hold until further notice.</p>			

Intermediate Results Indicators by Components

Social Protection and Disaster Risk Management Systems				
► Time taken from issuing a drought warning to identifying and agreeing number of people in need (Days, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	180.00	100.00	100.00	60.00



Date	01-Jul-2015	20-Dec-2021	31-May-2022	30-Jun-2022
Comments:	This is estimated to be approximately 110 days for food transfers, and 90 days for cash. Currently there is no formal data available for this indicator. As of December 2019, there was no updated data available by the counterpart for this indicator.			
► % of kebeles where poverty index is used to complement community targeting (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	75.00
Date	01-Jul-2015	20-Dec-2021	31-May-2022	30-Jun-2022
Comments:	Currently poverty indexes are not being used to complement community targeting. A proxy means test is currently being used for the entire program, and will be integrated as part of the MIS once it is rolled out, to verify the percentage of beneficiaries.			
► % of clients receiving regular payments within the agreed time frame (20 days for cash and 30 days for food) (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	60.00	59.50	59.50	90.00
Date	01-Jul-2015	20-Dec-2021	31-May-2022	30-Jun-2022
Comments:	<p>January-February 2020. Cash: 71% Food: 81% For the Q1 of EFY 2012: Cash: 35% Food: No food transfer</p> <p>Key challenges for delays in cash payments in the first quarter were due to:</p> <ol style="list-style-type: none"> 1. Reshuffling of staff specially Afar and Somali 2. Instability problem in certain parts of the country 3. Limited bank liquidity and cash holding capacity in lowlands 4. Low level of commitment between regions and weredas to implement the agreed actions developed by the FSCD 5. Poor coordination between BoLSA and regional Food security in Afar resulted in a delay of cash transfer to weredas. 			
► % of clients reporting that they can provide adequate meals for their family for 12 months a year (male/female) (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	44.41	38.80	50.00
Date	01-Jul-2015	20-Dec-2021	31-May-2022	30-Jun-2022



Comments:	<p>Lowlands: 38.8%</p> <p>Highlands: not reported</p> <p>In previous reports we calculated the increase as a weighted average (75% Highlands/ 25% Lowlands). However, since we are only reporting lowlands, we are only reporting lowlands in here.</p>
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► % of transfers received that has a value of at least 15 kgs of cereals and 4 kgs of pulses or its cash equivalent (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	70.00
Date	01-Jul-2015	20-Dec-2021	31-May-2022	30-Jun-2022
Comments:	<p>Data source: Dec. 2019 Consumer Price Index data from CSA In EFY 2012 the wage rate was increased by 16% on average Data source: June 2020 Consumer Price Index data from CSA In EFY 2012 the wage rate was increased by 16% on average Based on analysis of CPI data from CSA, despite the wage rate adjustments, none of the transfers can purchase a full 15kg of cereals with the existing wage rate. The existing wage can purchase in average about 9.4 kg of cereals.</p>			
► % of clients receiving contingency resources within 60 days of identification of need (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	85.00	85.00	75.00
Date	01-Jul-2015	20-Dec-2021	31-May-2022	30-Jun-2022
Comments:	There is no update after December 2019			

Productive safety nets and links to livelihoods services				
► % of clients that feel PW sub-projects reflect their needs (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	75.00	54.00	53.00	90.00
Date	01-Jul-2015	20-Dec-2021	31-May-2022	30-Jun-2022
Comments:	Source: IFPRI Endline Performance Evaluation			



Proxy Indicator Used: % clients reporting the building of the PW infrastructure benefited them				
► % of PW sub-projects selected and implemented following GOE's Community-based Participatory Watershed Management Guidelines or Rangeland Management Guidelines (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	75.00	84.50	84.50	90.00
Date	01-Jul-2015	20-Dec-2021	31-May-2022	30-Jun-2022
Comments:	Source: FSCD Report			
► % of client households reporting that their livelihoods have benefitted from public work created assets (male/female) (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	20.00	61.65	38.00	50.00
Date	01-Jul-2015	20-Dec-2021	31-May-2022	30-Jun-2022
Comments:	Source: IFPRI PSNP 4 Midline Survey 2018 Source: IFPRI Endline Performance evaluation Proxy Indicator used: % clients reporting the PW project was productive for the community			
► % of PSNP HHs reporting new income source as a result of program support (male/female) (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	1.40	1.40	30.00
Date	31-May-2016	20-Dec-2021	31-May-2022	30-Jun-2022
Comments:	There is currently no information on this indicator.			
► % of HHs that save regularly (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	67.50	67.50	50.00
Date	01-Jul-2015	20-Dec-2021	31-May-2022	30-Jun-2022
Comments:	Source: EFY 2012 Q2 Progress Report. Savings declined from EFY 2011 Q2 due to the following: (i) Income irregularity between July-September which resulted in clients dropping out of the savings schemes; (ii) Limited loan disbursement from MFI as a result of the low level of compulsory savings mobilization, which implied that a smaller number of livelihood clients saved in the first quarter compared to the end of the previous fiscal year (June EFY 2011).			



▶ % of clients with business plans developed (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	72.66	72.66	90.00
Date	01-Jul-2015	20-Dec-2021	31-May-2022	30-Jun-2022
Comments:	Source: EFY 2012 FSCD 2nd Quarter Report			
▶ % of client HHs receiving livelihoods transfers (cumulative) (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	11.61	11.61	27.00
Date	30-Jun-2015	20-Dec-2021	31-May-2022	30-Jun-2022
Comments:	55,692 client households of an estimated 1,135,065 households. Budget for livelihoods transfer has yet to be disbursed to clients for EFY 2012..			
▶ % of clients receiving wage employment related skills training (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	16.41	16.41	30.00
Date	01-Jul-2015	20-Dec-2021	31-May-2022	30-Jun-2022
Comments:	Source: EFY 2012 Q2 Report Note that between July 2018-June 2019 (EFY 2011), cumulative employment skills training was 165,984; Between July-Dec 2019 (EFY 2012) = this increased by 10, 719 clients to a cumulative total of 176,703 clients.			

Institutional Capacity Building and Project Management Support				
▶ % of PSNP woredas meeting minimum PSNP staffing standards (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	80.00	14.29	14.29	90.00
Date	01-Jul-2015	20-Dec-2021	31-May-2022	30-Jun-2022
Comments:	Source: National Spot Checks The decline in this indicator is due to a change in the data source used. Previously this indicator was being tracked to different sources that could not be updated regularly. As of December 2019, National Spot Checks have been introduced which aims to measure this indicator based on a sample of woredas. This indicator is based on a sample of 26 woredas across all 8 regions. It was assessed based on the PIM			



	requirements of 1 cashier & 1 accountant per 25,000 clients + 1 capacity development officer at Woreda level;			
	► % of clients that report they are aware of program objectives and entitlements (Percentage, Custom)			
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	98.94	30.00	70.00
Date	01-Jul-2015	20-Dec-2021	31-May-2022	30-Jun-2022
Comments:	<p>Source: IFPRI Endline Performance Evaluation</p> <p>Note that this indicator was calculated based on the following questions, and therefore does not reflect client knowledge of program objectives and entitlements.</p> <p>Q1. Have you heard about the Productive Safety Net Programme 4 (PSNP)?</p> <p>Q2. We would like to be sure of your answer. The PSNP is the Government of Ethiopia's rural safety net for food insecure households. It provides cash and/or food transfers to these households. Even if you do not know it by name, are you aware of such a programme?</p> <p>For the endline, IFPRI asked respondents their knowledge of the maximum days a person can work per month, the maximum wait for cash and food payments and the daily wage if paid in food. Households that reported knowing this information represented: 30%, 4%, 10% and 12%, respectively. In here we are reporting knowledge of the number of days a person can work.</p>			

Performance-Based Conditions

Data on Financial Performance

Disbursements (by loan)

Project	Loan/Credit/TF	Status	Currency	Original	Revised	Cancelled	Disbursed	Undisbursed	% Disbursed
P146883	IDA-55400	Effective	USD	600.00	600.00	0.00	546.01	0.00	100%
P146883	IDA-58770	Effective	USD	100.00	100.00	0.00	96.27	0.00	100%
P146883	IDA-60410	Effective	USD	108.10	108.10	0.00	110.90	0.00	100%
P146883	TF-A1426	Closed	USD	94.86	94.86	0.00	94.86	0.00	100%
P146883	TF-A3267	Closed	USD	182.39	182.39	0.00	182.39	0.00	100%

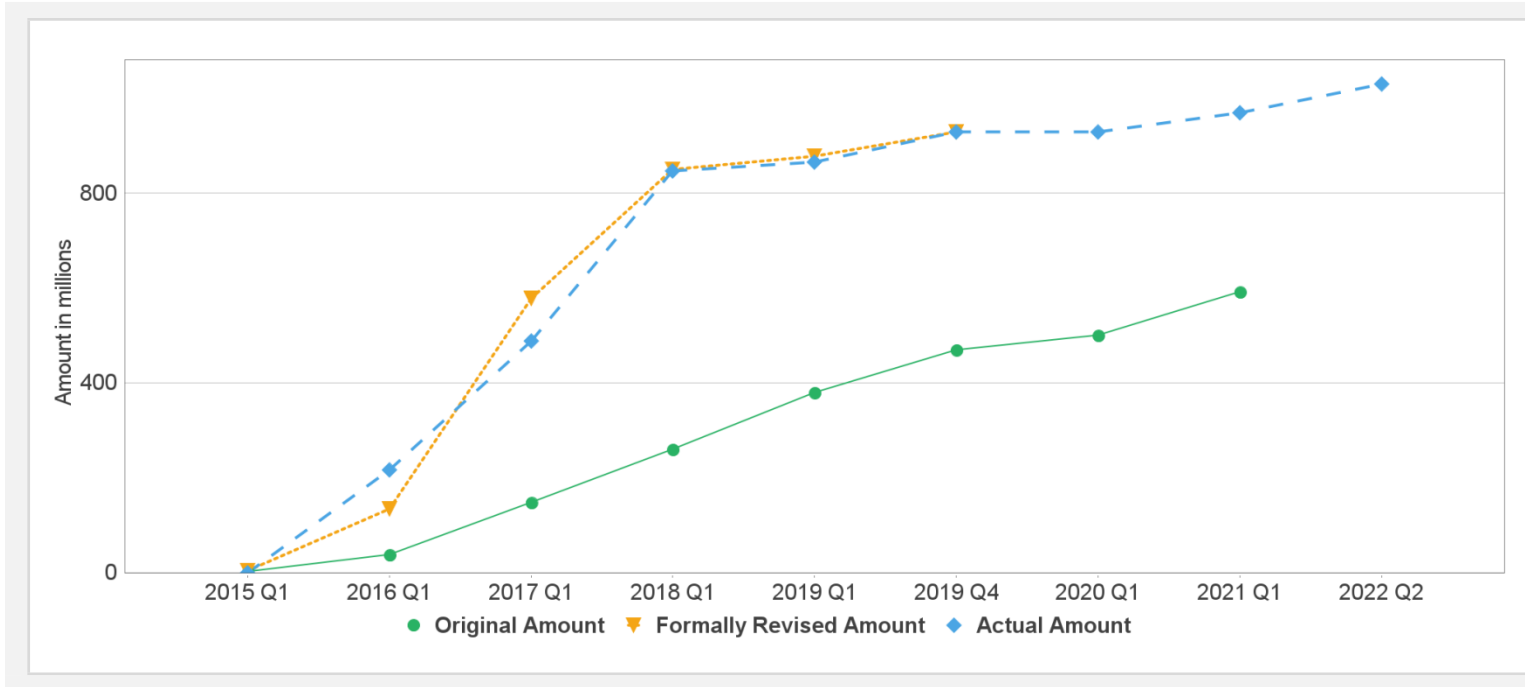
Key Dates (by loan)

Project	Loan/Credit/TF	Status	Approval Date	Signing Date	Effectiveness Date	Orig. Closing Date	Rev. Closing Date
P146883	IDA-55400	Effective	30-Sep-2014	31-Oct-2014	03-Feb-2015	31-Dec-2020	30-Jun-2022
P146883	IDA-58770	Effective	30-Jun-2016	01-Jul-2016	26-Jul-2016	31-Dec-2020	30-Jun-2022
P146883	IDA-60410	Effective	02-May-2017	09-May-2017	06-Jun-2017	31-Dec-2020	30-Jun-2022



P146883	TF-A1426	Closed	30-Sep-2014	04-Dec-2015	04-Dec-2015	31-Dec-2020	31-Dec-2020
P146883	TF-A3267	Closed	30-Sep-2014	09-Dec-2016	09-Dec-2016	31-Dec-2020	31-Mar-2022

Cumulative Disbursements



Restructuring History

Level 2 Approved on 11-Dec-2020 ,Level 2 Approved on 25-Jun-2021 ,Level 2 Approved on 23-Dec-2021

Related Project(s)

P158770-PRODUCTIVE SAFETY NET PROJECT (PSNP 4) ADDITIONAL FINANCING ,P163350-Productive Safety Net 4 Project Additional Financing