

**IN: NATIONLA CYCLONE RISK MITIGATION PROJECT II
(P144726)**

I. General

1. **Bank's approval Date of the procurement Plan:** Original: May 21, 2015
2. **Date of General Procurement Notice:** May 5, 2015
3. **Period covered by this procurement plan:** The procurement period of project covered from Year May 5, 2015 to October 2016

II. Goods and Works and non-consulting services.

1. **Prior Review Threshold:** Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement:

	Procurement Method	Prior Threshold US\$	Review	Comments
1.	ICB (Goods)	<i>Above US\$ 2 million</i>		<i>All</i>
2.	NCB (Goods)	<i>Above US\$ 2 million</i>		<i>All</i>
3.	ICB (Works)	<i>Above US\$ 10 million</i>		<i>All</i>
4.	NCB (Works)	<i>Above US\$ 10 million</i>		<i>All</i>
5.	Services (non-consultant)	<i>Above US\$ 2 million</i>		<i>All</i>
6.	LIB	<i>Above US\$ 30,000</i>		

Procurement Methods and Threshold

Category	Method of Procurement	Threshold (US\$ Equivalent)
Goods and Non-consultant services	ICB	>3,000,000
	LIB	Wherever agreed by the Bank
	NCB	Up to 3,000,000 (with NCB conditions)
	Shopping	Up to 100,000
	DC	As per Para 3.7 of the Bank Guidelines
	Force Account	As per Para 3.9 of Guidelines
	Framework Agreements	As per Para 3.6 of Guidelines
Works	ICB	>40,000,000

Category	Method of Procurement	Threshold (US\$ Equivalent)
	NCB	Up to 40,000,000 (with NCB conditions)
	Shopping	Up to 200,000
	Force Account	As per Para 3.9 of Guidelines
	DC	As per Para 3.7 of Guidelines
	Community Participation	As per Para 3.19 of Guidelines

Prior Review by the Bank. The Bank will prior review the following contracts:

- Works: All contracts more than US\$ 10.0 million equivalent;
- Goods: All contracts more than US\$ 2.0 million equivalent;
- Non-Consulting Services: All contracts more than US\$ 2.0 million equivalent;

The PMU shall prior review the first contract issued by each implementing agency, if the estimate of those contracts are below the prior review threshold value. The Bank will conduct prior review for only those contract whose estimate falls in prior review threshold value. In addition, the justifications for all contracts to be issued on LIB, single-source (>US\$ 30,000) or direct contracting (>US\$ 30,000) basis will be subject to Banks prior review. These thresholds are for the initial 18 months period and are based on the procurement performance of the project, these thresholds will be modified. In addition, the Bank will carry out an annual ex post procurement review of the procurement falling below the prior review threshold mentioned above.

All contracts below the specified prior review threshold value shall be subject to Post Procurement Review (PPR). For the avoidance of doubts, the Bank shall be entitled to conduct, at any time, independent procurement reviews of all the contracts financed under the Credit. The IA shall prepare a list of contract and submit it to the Bank for conducting PPR. The PPR will be conducted on annual basis. All contracts not covered under prior review will be subject to post review during supervision missions, and/or review by consultants to be appointed by the by Bank.

- 2. Pre-qualification.** Not applicable
- 3. Proposed Procedures for CDD Components (as per paragraph. 3.17 of the Guidelines):** Not applicable
- 4. Reference to Project Operational/Procurement Manual:** The Project Operations/Procurement Manual was prepared and agreed by the Bank to be used as guidance note while conducting project

procurement. All the contracts issued under the project will follow the World Bank's Guidelines: Bank's "Guidelines: Procurement under IBRD Loans and IDA Credits" dated January 2011 and revised in July 2014 and "Guidelines: Selection and Employment of Consultants by World Bank Borrowers" dated January 2011 and revised in July 2014. In case of any inconsistency between the Project Operation/Procurement Manual, the Bank Guidelines shall prevail.

- 5. Any Other Special Procurement Arrangements:** Procurement of goods, works and non-consulting services shall be conducted through e-procurement portal in all the participating states using Bank's standard bidding documents.

International Competitive Bidding (ICB) is the preferred method for procurement of goods, works and non-consulting services. However, it is unlikely that there will be any ICB contract. All National Competitive Bidding (NCB) shall be conducted in accordance with paragraph 3.3 and 3.4 of the Guidelines. In addition, following additional provisions as agreed with GOI task force (as amended from time to time) shall apply:

- Only the model bidding documents for NCB as agreed with the GOI Task Force (and as amended from time to time), shall be used for bidding;
- Invitation to bid shall be advertised in at least one widely circulated national daily newspaper (or on a widely used website or electronic portal with free national and international access along with an abridged version of the said advertisement published in a widely circulated national daily inter-alia giving the website/electronic portal details from which the details of the invitation to bid can be downloaded), at least 30 days prior to the deadline for the submission of bids;
- No special preference will be accorded to any bidder either for price or for other terms and conditions when competing with foreign bidders, state-owned enterprises, small-scale enterprises or enterprise from any given State;
- Except with the prior concurrence of the Bank, there shall be no negotiation of price with the bidders, even with the lowest evaluated bidder;
- For prior review contracts, extension of bid validity shall not be allowed with reference to Contracts subject to Bank prior review without the prior concurrence of the Bank (i) for the first request for extension if it is longer than four weeks; and (ii) for all subsequent requests for extension irrespective of the period (such concurrence will be considered by Bank only in cases of Force Majeure and circumstance beyond the control of the Purchaser/Employer);

- For prior review contracts , re-bidding shall not be carried out with reference to Contracts subject to Bank prior review without the prior concurrence of the Bank;
- The system of rejecting bids outside a pre-determined margin or “bracket” of prices shall not be used in the project;
- Rates contract entered into by Directorate General of Supplies and Disposal (DGS & D) will not be acceptable as a substitute for NCB procedures unless agreed with the Bank on case to case basis. Such contracts will be acceptable however, for any procurement under the Shopping procedure;
- Two or three envelop system will not be used (except when using e-procurement system assessed and agreed by the Bank.

To meet the Bank's requirements for right to audit and F&C, these clauses may be included in the Purchase Orders, in case the purchasers are directly placing the purchase orders to DGS&D rate contract holders. On the other hand, if indent is placed through DGS&D, the Purchaser has the option to sign a separate undertaking with DGS&D rate contract holder, where Bank’s right to audit and F&C clauses could be mentioned;

6. Summary of the Procurement Packages planned during the first 18 months after project effectiveness: Available in STEP.

III. Selection of Consultants

1. Prior Review Threshold: Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

	Selection Method	Prior Review Threshold	Comment
1.	Competitive Methods (Firms)	Above US\$ 1 million	All contract
2.	Single Source (Firms)	Above US\$ 1 million	All contract
3.	Individual	Above US\$ 300,000	All contracts
4.	CQS/LCS	Up to US\$ 300,000	All contracts

2. Short list comprising entirely of national consultants: Short list of consultants for services, estimated to cost less than \$ 800,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.

3. Any Other Special Selection Arrangements: The implementation of component 1 (designating of Early Warning Development System) is foreseen as challenge as there are limited consulting firms in the market. M/s Telecommunications Consultant India Ltd. (TCIL) a government owned public sector has been designing EWDS system for

Andhra Pradesh and Odisha under NCRMP I project. Many participating states have requested to single source designing of EWDS to TCIL as they do not have capacity to conduct selection process for such firm. NDMA suggested that while it will be an advantage to use TCIL in all the states, an option will be given to the respective states to either single source TCIL or choose competitive selection procedure to select such firms as reflected in the procurement plan in STEP.

4. Consultancy Assignments with Selection Methods and Time Schedule Available on STEP.

PROCUREMENT PLAN India : National Cyclone Risk Mitigation Project-II

General Information

Country: India **Bank's Approval Date of the Original Procurement Plan:** 2016-08-08
Revised Plan Date(s): (comma delineated, leave blank 2022-05-17)
Project ID: P144726 **GNP Date:**
Project Name: National Cyclone Risk Mitigation Project-II
Loan / Credit No: IDA / 56930
Executing Agency: Water Resources Department (Goa)

Active Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Closure	
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		
IN-WRD-GOA-900-CW-RFB / Construction of Multi Purpose Cyclone Shelter at GPS Juras wada Mandrem Goa	IDA / 56930	Cyclone Risk Mitigation Infra structure	Post	Request for Bids	Open - National	Single Stage - Two E nvelope				310,000.00	560,100.06	Terminated					2016-10-17	2018-02-22	2016-10-22					2016-12-03	2018-04-03	2017-02-15	2018-04-24	2017-03-22	2018-07-20	2017-09-18
IN-WRD-GOA-953-CW-RFB / Construction of Multi Purpose Cyclone Shelter at Dabolim	IDA / 56930	Cyclone Risk Mitigation Infra structure	Post	Request for Bids	Open - National	Single Stage - Two E nvelope				300,000.00	0.00	Canceled					2016-12-26		2016-12-31					2017-02-11		2017-04-26		2017-05-31		2017-11-27
IN-WRD-GOA-4493-CW-RFB / Construction of MPCs at Dabolim Mormugao taluka South Goa	IDA / 56930	Cyclone Risk Mitigation Infra structure	Prior	Request for Bids	Open - National	Single Stage - Two E nvelope				625,000.00	422,702.91	Signed					2016-12-21	2017-04-07	2016-12-26				2017-02-06	2017-08-04	2017-04-21	2017-10-09	2017-05-26	2018-01-05	2017-11-22	
IN-WRD-GOA-15969-CW-RFB / Construction of Multi Purpose Cyclone Shelter (G+2) at Survey No. 122/1 of Zuarinaga r in V.P. Sarcaole of Mormugao Taluka, South Goa	IDA / 56930	Cyclone Risk Mitigation Infra structure	Post	Request for Bids	Open - National	Single Stage - Two E nvelope				399,141.00	411,981.25	Signed					2017-06-19	2017-06-19	2017-06-23				2017-07-28	2017-08-04	2017-09-22	2018-01-04	2017-10-27	2018-03-29	2019-04-20	
IN-WRD-GOA-947-CW-RFB / Construction of Multi Purpose Cyclone Shelter (G+2) at Survey No. 76/1 of Chapoli in V.P - Shirata of Canacona Taluka , South Goa	IDA / 56930	Cyclone Risk Mitigation Infra structure	Post	Request for Bids	Open - National	Single Stage - Two E nvelope				402,365.00	442,486.97	Terminated					2017-06-19		2017-06-23				2017-07-28	2017-08-04	2017-09-22		2017-10-27	2018-03-29	2019-04-20	
IN-WRD-GOA-18063-CW-RFB / Construction of Multi Purpose Cyclone Shelter (G+2) at Survey No. 117/1 of Cuelim in V.P. Cuelim of Mormugao Taluka, South Goa	IDA / 56930	Cyclone Risk Mitigation Infra structure	Post	Request for Bids	Open - National	Single Stage - Two E nvelope				513,822.00	523,041.69	Terminated					2017-06-19		2017-06-23				2017-07-28	2017-08-04	2017-09-22		2017-10-27	2018-03-29	2019-04-20	
IN-WRD-GOA-18065-CW-RFB / Construction of Multipurpose Cyclone Shelter (MPCS) Pile rme under Survey No. 115/1 n Saligao village, Bardez-Goa	IDA / 56930	Cyclone Risk Mitigation Infra structure	Post	Request for Bids	Open - National	Single Stage - Two E nvelope				388,550.00	432,770.53	Signed					2017-06-19	2017-06-19	2017-06-23				2017-07-28	2017-08-04	2017-09-22		2017-10-27	2018-03-29	2019-04-20	
IN-WRD-GOA-955-CW-RFB / Construction of Multi Purpose Cyclone shelter (G+2) at Survey No. 128/2 of Nagarcem n the jurisdiction of Canacona a Municipal Council, Canacona Taluka, South Goa	IDA / 56930	Cyclone Risk Mitigation Infra structure	Post	Request for Bids	Open - National	Single Stage - Two E nvelope				382,112.00	0.00	Canceled					2017-06-19		2017-06-23				2017-07-28		2017-09-22		2017-10-27		2019-04-20	
IN-WRD-GOA-951-CW-RFB / Construction of Multi Purpose Cyclone shelter (G+2) at Survey No. 137/17 of Mahalwad a V.P. Ponguinim of Canacona Taluka, South Goa	IDA / 56930	Cyclone Risk Mitigation Infra structure	Post	Request for Bids	Open - National	Single Stage - Two E nvelope				351,901.00	0.00	Canceled					2017-06-19		2017-06-23				2017-07-28		2017-09-22		2017-10-27		2019-04-20	
IN-WRD-GOA-31367-CW-RFB /NCRMP - II Construction of Multi Purpose Cyclone shelter (G+2) at Survey No. 137/17 of Mahalwada V.P. Ponguinim of Canacona Taluka, South Goa-Retender	IDA / 56930	Cyclone Risk Mitigation Infra structure	Post	Request for Bids	Open - National	Single Stage - Two E nvelope				351,901.00	415,485.41	Signed					2017-10-09	2017-10-25	2017-10-12	2017-10-30			2017-11-16	2017-12-14	2018-01-10		2018-02-14	2018-03-29	2019-08-08	
IN-WRD-GOA-31368-CW-RFB /NCRMP - II Construction of Multi Purpose Cyclone shelter (G+2) at Survey No. 128/2 of Nagarcem in the jurisdiction of Canacona Municipal Council Canacona Taluka, South Goa-Retender	IDA / 56930	Cyclone Risk Mitigation Infra structure	Post	Request for Bids	Open - National	Single Stage - Two E nvelope				382,112.00	435,804.64	Signed					2017-10-09	2017-10-25	2017-10-12	2017-10-30			2017-11-16	2017-12-14	2018-01-10		2018-02-14	2018-03-29	2019-08-08	
IN-WRD-GOA-31369-CW-RFB /NCRMP - II Construction of Multipurpose Cyclone Shelter (MPCS) at Dando Solim under Survey No. 104/1, of Solim Village, in V.P. Solim -Marn a Bardez Taluka-Goa	IDA / 56930	Cyclone Risk Mitigation Infra structure	Post	Request for Bids	Open - National	Single Stage - Two E nvelope				415,833.00	421,293.85	Signed					2017-10-09	2017-10-25	2017-10-12	2017-10-30			2017-11-16	2017-12-14	2018-01-10		2018-02-14	2018-04-06	2019-08-08	
IN-WRD-GOA-952-CW-RFB / Construction of Multi Purpose Cyclone Construction Shelter at Shelil Lolem	IDA / 56930	Cyclone Risk Mitigation Infra structure	Post	Request for Bids	Open - National	Single Stage - Two E nvelope				300,000.00	0.00	Canceled					2016-12-26		2016-12-31				2017-02-11		2017-04-26		2017-05-31		2017-11-27	
IN-WRD-GOA-36389-CW-RFO /Renovation & repairs of State Project Management Unit Office for NCRMP-II	IDA / 56930	Project Management and Implementation Support	Post	Request for Quotations	Open - National	Single Stage - One E nvelope				18,000.00	12,477.84	Signed							2017-12-05							2018-01-30	2018-06-29		2018-04-30	
IN-WRD-GOA-42846-CW-RFB / Construction of Multi Purpose Cyclone Shelter (G+2) at Survey No. 53/1 of Dabolim in V.P. Chicalim of Mormugao Taluka, South Goa	IDA / 56930	Cyclone Risk Mitigation Infra structure	Prior	Request for Bids	Open - National	Single Stage - Two E nvelope				625,000.00	0.00	Canceled					2018-01-25	2018-01-09	2018-01-30				2018-03-13	2018-01-09	2018-05-26		2018-06-30		2018-12-27	
IN-WRD-GOA-49173-CW-RFB / Construction of Multipurpose Cyclone Shelter(MPCS) & Fire Station at Porvorim in Block F under Survey No. 129/1-A, in V.P Penha De Frans of Bardez Taluka, North Goa	IDA / 56930	Cyclone Risk Mitigation Infra structure	Post	Request for Bids	Open - National	Single Stage - Two E nvelope				924,000.00	707,535.95	Signed					2018-02-19	2018-02-22	2018-02-23				2018-04-06	2018-04-03	2018-05-21	2018-04-24	2018-06-25	2018-07-30	2018-12-22	

IN-WRD-GOA-86991-CS-IND V / Procurement of Sector Expert- IT Specialist (Individual Consultant) for consultancy in State Project Implementation Unit (SPUI) for National Cyclone Risk Mitigation Project (NCRMP)-II, Goa - 1 No.	IDA / 56930	Project Management and Implementation Support	Post	Individual Consultant Selection	Open	19,000.00	15,680.69	Terminated	2018-11-13	2018-11-29	2018-11-30	2018-11-30	2019-01-08	2021-03-15				
IN-WRD-GOA-91678-CS-IND V / Procurement of Sector Expert- FINANCIAL MANAGER-NT SPECIALIST for consultancy in State Project Implementation Unit (SPUI) for National Cyclone Risk Mitigation Project (NCRMP)-II, Goa	IDA / 56930	Project Management and Implementation Support	Post	Individual Consultant Selection	Open - National	19,000.00	6,300.11	Signed	2019-02-12	2019-02-24	2019-04-22	2019-02-27	2019-03-05	2019-04-24	2021-03-15			
IN-WRD-GOA-91679-CS-IND V / Procurement of Sector Expert- MIS Specialist for consultancy in State Project Implementation Unit (SPUI) for National Cyclone Risk Mitigation Project (NCRMP)-II, Goa	IDA / 56930	Project Management and Implementation Support	Post	Individual Consultant Selection	Open - National	19,000.00	6,300.11	Terminated	2019-02-12	2019-02-24	2019-04-22	2019-02-27	2019-03-05	2019-04-24	2021-03-15			
IN-WRD-GOA-944-CS-INDV / Selection of Structural consultant for Multi Purpose Construction Shelter	IDA / 56930	Project Management and Implementation Support	Post	Individual Consultant Selection	Open - National	40,000.00	0.00	Canceled	2019-09-30	2019-11-18		2019-12-09	2020-01-13	2020-07-11				
IN-WRD-GOA-5241-CS-INDV / Procurement of Sector Expert - Electrical Engineer for consultancy in State Project Implementation Unit (SPUI) for National Cyclone Risk Mitigation Project (NCRMP)-II, Goa - 2 N	IDA / 56930	Project Management and Implementation Support	Post	Individual Consultant Selection	Open	24,000.00	0.00	Canceled	2019-06-28	2020-02-24		2020-03-16	2020-04-20	2021-03-16				
IN-WRD-GOA-5242-CS-INDV / Procurement of Sector Expert- Civil Engineer for consultancy in State Project Implementation Unit (SPUI) for National Cyclone Risk Mitigation Project (NCRMP)-II, Goa - 5 Nos.	IDA / 56930	Project Management and Implementation Support	Post	Individual Consultant Selection	Open	56,000.00	0.00	Canceled	2019-09-30	2020-05-28		2020-06-18	2020-07-23	2021-06-18				
IN-WRD-GOA-18073-CS-COS / Procurement of Sector Expert- Social Expert for consultancy in State Project Implementation Unit (SPUI) for National Cyclone Risk Mitigation Project (NCRMP)-II, Goa	IDA / 56930	Project Management and Implementation Support	Post	Individual Consultant Selection	Open	12,000.00	4,916.30	Terminated	2019-06-03	2017-08-29		2019-08-23	2019-09-27	2020-03-11	2020-08-22			
IN-WRD (GOA)-159642-CS-INDV / Procurement of Sector Expert- IT Specialist (Individual Consultant) for consultancy in State Project Implementation Unit (SPUI) for National Cyclone Risk Mitigation Project (NCRMP)-II, Goa - 1 No	IDA / 56930	Project Management and Implementation Support	Post	Individual Consultant Selection	Open	9,230.00	3,206.97	Completed	2020-02-17	2017-08-29	2020-04-06	2020-09-09	2020-04-27	2020-09-14	2020-06-01	2020-09-14	2021-03-15	2021-03-15
IN-WRD (GOA)-198948-CS-INDV / Hiring of Sector Expert - Social/DRM in State Project Implementation Unit (SPUI) for National Cyclone Risk Mitigation Project (NCRMP)-II, Goa - 2 numbers	IDA / 56930	Technical Assistance for Multi-Hazard Risk Management	Post	Individual Consultant Selection	Open	7,500.00	4,543.21	Signed	2020-10-14	2017-08-29	2020-10-24	2020-10-22	2020-11-14	2020-11-02	2020-12-19	2020-11-12	2021-06-17	2021-03-15
IN-WRD (GOA)-199018-CS-INDV / Procurement of Sector Expert- MIS Specialist for consultancy in State Project Implementation Unit (SPUI) for National Cyclone Risk Mitigation Project (NCRMP)-II, Goa	IDA / 56930	Project Management and Implementation Support	Post	Individual Consultant Selection	Open	4,000.00	0.00	Pending Implementation	2020-10-14		2020-11-06		2020-11-11		2020-11-21		2021-03-15	
IN-WRD (GOA)-259118-CS-INDV / Procurement of Sector Expert- Civil Engineer for consultancy in State Project Implementation Unit (SPUI) for National Cyclone Risk Mitigation Project (NCRMP)-II, Goa - 2 N	IDA / 56930	Project Management and Implementation Support	Post	Individual Consultant Selection	Open	16,200.00	0.00	Pending Implementation	2021-11-05		2021-11-12		2021-11-14		2021-11-18		2022-09-15	