



**FEDERAL REPUBLIC OF SOMALIA**

**MINISTRY OF ENERGY AND WATER RESOURCES (MoEWR)**

**ACCELERATING SUSTAINABLE AND CLEAN ENERGY ACCESS  
TRANSFORMATION (ASCENT) IN SOMALIA  
(P181341)**

**Negotiated Version  
ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)**

**OCTOBER 17, 2023**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Federal Republic of Somalia (the Recipient) will implement the Accelerating Sustainable and Clean Energy Access Transformation (ASCENT) Project (the Project), with the involvement of the Ministry of Energy and Water Resources (MOEWR), as set out in the Financing Agreement. The International Development Association (the Association) has agreed to provide the financing (P181341) for the Project, as set out in the referred agreement.
2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Association. The ESCP is a part of the Financing Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring, and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Association. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Association.
4. As agreed by the Association and the Recipient, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipient through MOEWR and the Association agree to update the ESCP to reflect these changes through an exchange of letters signed between the Association and the Recipient's designated official who is the director general, of the Minister for Energy and Water Resources. The Recipient shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
<b>MONITORING AND REPORTING</b>			
<b>A</b>	<p><b>REGULAR REPORTING</b></p> <p>Prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&amp;S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism.</p>	<p>Submit quarterly ESHS monitoring Reports to the Association throughout Project implementation commencing after the Effective Date.</p> <p>Submit each report to the Association no later than 30 days after the end of each reporting period.</p>	<p>MOEWR</p> <p>Project Implementing Unit (PIU)</p>
<b>B</b>	<p><b>INCIDENTS AND ACCIDENTS</b></p> <p>Promptly notify the Association of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury, forced and child labor.</p> <p>Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the Association’s request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Notify the Association no later than 48 hours after learning of the incident or accident.</p> <p>Provide subsequent report to the Association within a timeframe acceptable to the Association.</p>	<p>PIU</p>
<b>C</b>	<p><b>CONTRACTORS’ AND PRIVATE SECTOR ESPs REPORTS</b></p> <p>Require private sector ESPs, contractors and sub-contractors to prepare quarterly progress and monitoring reports to the PIU regarding ESHS performance of the contracted work in line with provisions in the contracts. Such reports shall be submitted to the Association by the PIU and further actions shall be agreed. Reports shall include specific contractor/ subcontractor activities with the respective environmental, OHS, labor GRM, summary of incidents such as security, fatalities and GBV and social</p>	<p>PIU to review contractor and private sector entities reports from the signing of the contracts and escalate to the Association any key issues throughout Project implementation.</p>	<p>PIU</p> <p>Contractors/Subcontractors</p> <p>Private Sector ESPs Entities</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	performance requirements and status of implementation of the environmental and social mitigation measures within the reporting period.	These reports shall form part of annexes to the reports to be submitted under action A above.	
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			
<b>1.1</b>	<b>ORGANIZATIONAL STRUCTURE</b>		
	<ol style="list-style-type: none"> <li>Maintain the Somalia Electricity Sector Recovery Project (SESRP) (P173088) Project implementation unit (PIU) with qualified staff and resources to support management of ESHS risks and impacts of the Project.</li> <li>Mobilize additional staff as needed on short-term or long-term assignment in accordance with ASCENT’s capacity building and ESMF institutional assessments/needs, including, without limitation, subject matter specialists on OHS GBV/SEA, labor conditions (including child labor, forced labor, health &amp; safety), land tenure, as part of engineer’s team or as individual specialists to support the PIU in preparation and implementation of E&amp;S instruments at Project and subproject level.</li> <li>The project will ensure as part of TA for component 3 ensure the energy sector have E&amp;S capacity in staffing that include (Environmental specialist with OHS expertise and social specialist) in accordance SESRP sector wide SESIA.</li> </ol>	<ol style="list-style-type: none"> <li>Maintain SESRP’s PIU and its social, gender/GBV, communication, and security specialist positions throughout Project implementation.</li> <li>Throughout the Project implementation phase through engineer team or through individual PIU specialists.</li> <li>Throughout the Project implementation phase</li> </ol>	MOEWR PIU Private Sector ESPs Entities
<b>1.2</b>	<b>ENVIRONMENTAL AND SOCIAL INSTRUMENTS</b>		
	<ol style="list-style-type: none"> <li>Adopt and implement the Environmental and Social Management Framework (ESMF) for the Project, consistent with the relevant ESSs and includes the relevant EHS measures associated with private sector entities. Other instruments such as the Project Labor Management Procedures (LMP), Stakeholder Engagement Plan (SEP), Resettlement Policy Framework (RPF) and Gender-Based Violence Action Plan (GBVAP) shall also be implemented.</li> </ol>	<ol style="list-style-type: none"> <li>Adopt the ESMF, SEP, LMP, RPF, and GBVAP prior to Project Effective Date and thereafter implement throughout Project implementation.</li> </ol>	PIU Contractors and subcontractors

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
<ol style="list-style-type: none"> <li>2. Adopt and implement site-specific environmental and social instruments for specific Project areas, activities and/or sites, in accordance with relevant ESSs and the framework instruments described in actions 1.2 (1) above, such as ESIA/ESMP, Occupational Health and Safety Plans (OHSP), GBVAPs, SEP and/or Resettlement Action Plan (RAP) for subprojects in a manner acceptable to the Association.</li> <li>3. Carry out screening to determine the presence of indigenous peoples/sub-Saharan African historically underserved traditional local communities in the project areas and where relevant, prepare and implement Indigenous people’s plans based on ESS7, and in a manner acceptable to the Association.</li> </ol>	<ol style="list-style-type: none"> <li>2. Adopt site-specific Plans before issuing Request for Proposals for the Contractors/executing agencies and implement before commencement of the subproject(s) in accordance with the respective E&amp;S instruments, and throughout the Project implementation.</li> <li>3. In project areas prior to commencement of works.</li> </ol>	
<p><b>1.3</b>    <b>MANAGEMENT OF CONTRACTORS</b></p> <ol style="list-style-type: none"> <li>1. Incorporate the relevant aspects of the ESCP, including, inter alia, the ESMF, subproject E&amp;S instruments, other relevant E&amp;S instruments, the LMP, and code of conduct, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply and cause subcontractors to comply with the ESHS specifications of their respective contracts. ESSs sections to be included in the TORs are, tender documents for suppliers and construction works contracts, such as the environmental and social clauses including Project E&amp;S standards including labor, SEA/SH and security requirements, codes of conduct, coordination, reporting, monitoring, and GRM. All E&amp;S instrument(s) as applicable shall be translated to Somali for the contractors and disclosed.</li> </ol> <p>Other provisions</p> <ol style="list-style-type: none"> <li>2. Require Contractors to prepare and get approval from PIU on their ESMP, Labor Management Plan and SMP before commencement of site-specific activities and implement them thereafter.</li> </ol>	<ol style="list-style-type: none"> <li>1. As part of the preparation of procurement documents and respective contracts.</li> <li>2. Review and approve all site-specific ESHS instruments prepared by contractor(s) and subcontractors before the commencement of works.</li> <li>3. Supervise contractors throughout Project implementation.</li> </ol>	<p>PIU</p>

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
<ol style="list-style-type: none"> <li>3. PIU to supervise contractors throughout Project implementation to ensure compliance with their respective ESHS commitments and reporting in accordance with the ESCP.</li> </ol>		
<p><b>1.4</b>    <b>MANAGEMENT OF PRIVATE SECTOR ENTITIES</b></p> <ol style="list-style-type: none"> <li>1. Obligate Private sector ESPS involved in the Project for purposes of Project operation and maintenance phase shall be required to adopt and implement EHS measures defined in the ESMF as well as in the subproject E&amp;S instruments.</li> <li>2. Obligate Private sector ESP to enhance their capacity in accordance with the requirements set out in the EHS measures defined in the ESMF as well as in the subproject E&amp;S instruments as well as MOU which shall be prepared by PIU, according to the requirements of applicable ESSs.</li> <li>3. During implementation of the subproject, cause the ESP to undergo a comprehensive assessment of E&amp;S capacity before any transfer of responsibility can take place.</li> <li>4. Ensure ESPs sign a Memorandum of Understanding with MOEWR in form and substance acceptable to the Association. The MoU shall include specific EHS requirements including ESP staffing, EHS management, training, reporting.</li> </ol>	<ol style="list-style-type: none"> <li>1. Review and approve ESIA/ESMP for respective Private Sector ESP activities prior to finalization and signing of Service Level agreement(s), and, after signing, implement as set out in the Agreement and in a manner acceptable to the Association, throughout Project implementation.</li> <li>2. Throughout Project implementation.</li> <li>3. Prior Assess ESPs capacity prior to signing of MOU(s) and ensure they have adequate capacity prior to construction and/or O&amp;M and monitor throughout Project implementation.</li> <li>4. Memorandum of understanding (MoUs) between ESPs and MOEWR shall be prepared and signed prior to ESP joining the program.</li> </ol>	<p>PIU Private Sector ESPs Entities</p>
<p><b>1.5</b>    <b>PERMITS, CONSENTS AND AUTHORIZATION</b></p>		

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<p>Obtain or assist in obtaining, as appropriate, the permits, consents and authorizations that are applicable to each subproject from relevant national authorities as per the detailed procedures defined in the ESMF, and other ESHS instruments.</p> <p>Obtain access/permission to enter the subproject site(s) occupied by ESPs/private entities for studies, surveys, and construction, from National electricity authority (NEA) in accordance with the provisions of the MoU(s) and the Service Level Agreement(s).</p>	Obtain all site-specific permits, consents and authorizations before commencement of the respective Subproject activity. Thereafter, comply with terms of permits, consents, and authorizations throughout Project implementation.	<p>PIU</p> <p>Contractors and subcontractors</p>
<b>1.6</b>	<p><b>MONITORING AND INSPECTION</b></p> <p>Continuously monitor environmental and social risks and their impacts on the environment, Project-affected communities, and workers to ensure effective compliance in accordance with ESHS instruments, conditions of approval, capacity and reporting requirements as set out in this ESCP and take necessary remedial actions acceptable to the Association.</p>	Throughout the Project Implementation	<p>PIU</p> <p>Contractors / sub-contractors Third-Party Monitoring Agency (TPMA)</p> <p>Private sector ESPs</p>
<b>1.7</b>	<p><b>TECHNICAL ASSISTANCE</b></p> <p>Ensure that the consultancies, studies (including feasibility studies, if applicable), capacity building, training, and any other technical assistance activities under the Project's component 3 are carried out in accordance with terms of reference acceptable to the Association, that are consistent with the ESSs. Thereafter ensure that the outputs of such activities comply with the terms of reference.</p>	Throughout Project implementation	PIU
<b>1.8</b>	<p><b>EXCLUSIONS</b></p> <p>The following types of activities are ineligible for financing under the Project:</p> <ul style="list-style-type: none"> <li>• High voltage lines</li> <li>• Activities that may cause long term, permanent and/or irreversible impact on major natural habitat and are classified high risk according to WBG ESF.</li> <li>• High risk environmental and social subprojects (per definition in the Bank Directive Environmental and Social Directive for Investment Project Financing);</li> </ul>	Throughout Project implementation	<p>PIU</p> <p>Contractors and subcontractors</p> <p>Private Sector ESPs</p>

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
<ul style="list-style-type: none"> <li>• Any technical assistance (TA) activities that will support preparation for the future construction of physical infrastructure or for the implementation of other activities with potentially significant physical impacts.</li> <li>• Activities that may have significant adverse social impacts and/ or may give rise to significant social conflict.</li> <li>• Activities that may involve forced displacement or large-scale land acquisition.</li> <li>• Activities that may involve adverse impacts on cultural heritage.</li> <li>• Activities involving land acquisition and involuntary resettlement that don't follow the procedures set in ESS5, the RPF or RAP.</li> <li>• Activities in high insecurity area/inaccessible area due to conflict and security risks as per project Security Management Plan.</li> <li>• Activities that contravene Somalia's obligations under its international agreements.</li> <li>• Activities that have a high probability of causing serious adverse effects to human health and/or the environment e.g., construction of major civil structure covering ecologically sensitive area.</li> <li>• Activities that use scarce resources that would deplete the existing ecosystem in the Project areas.</li> <li>• Activities that may involve generating large volume of e-waste causing significant irreversible adverse impacts to human health and natural resources.</li> <li>• Activities that may affect lands or rights of indigenous people or other vulnerable minorities.</li> <li>• Activities that involve domestic waste and sludge power generation.</li> <li>• Activities by debarred firms or ESPs or individuals.</li> <li>• Production or trade in weapons and munitions.</li> </ul>		



MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<ul style="list-style-type: none"> <li>• Activities in “disputed areas”.</li> <li>• Production or activities involving harmful or exploitative forms of forced labor / harmful child labor; and</li> <li>• Activities that limit access for women and PWDs to project benefits (e.g., public offices with no ramps to, inaccessible websites, etc.).</li> </ul>		
1.7	<p><b>ASSOCIATED FACILITIES</b></p> <p>Cause owner/operator of the associated facility, to ensure that the activities in the identified associated facility are carried out in accordance with the applicable requirements of this ESCP and the ESSs including key instruments, e.g., the ESIA, ESMP, LMP, management of contractors, SEP, etc.</p>	Throughout Project implementation	PIU  Contractors and subcontractors  Private Sector ESPs
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			
2.1	<p><b>LABOR MANAGEMENT PROCEDURES</b></p> <ol style="list-style-type: none"> <li>1. Adopt and implement the Labor Management Procedures (LMP) for the Project. The LMP identifies the main labor requirements and labor risks associated with the Project and shall be prepared based on the requirements of ESS2 and national labor laws. The LMP provides procedures to address labor issues including, but not limited to: (i) child and forced labor; (ii) Contracts of employment and terms and conditions of employment, (iii) protection of wages including fair treatment, non-discrimination and equal opportunity of project workers, (iv) occupation, health and safety issues, (v) labor influx and associated risks (vi) SEA/SH risks and provisions on codes of conduct ; and (vii) grievance mechanism for workers with accessible means to raise workplace concerns including SEA/SH grievances in a confidential manner.</li> <li>2. Enhance Project’s due diligence for evaluation of forced labor risks in the solar supply chain in line with Operations Policy and Country Services (OPCS) guidance.</li> <li>3. Bidding documents shall require ESPs to present budgets for all OHS provisions as well as other costs associated with labor management e.g., the operation of a GRM, security of project personnel and SEA/SH prevention measures.</li> </ol>	<ol style="list-style-type: none"> <li>1. Adopt the LMP prior to Project Effective Date, and thereafter implement the LMP throughout Project implementation.</li> <li>2. Throughout Project implementation.</li> <li>3. Subproject specific requirements to be defined and included in bid and contract documents for corresponding Subproject, implemented and monitored throughout Project implementation and operation, in accordance with the ESMF and LMP.</li> </ol>	MOEWR  PIU  Contractors / sub-contractors  Private Sector ESPs

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<ol style="list-style-type: none"> <li>The security risk assessment and security management plans shall consider risks to project workers based on the contextual situation and develop appropriate mitigation to address the risks to the extent possible.</li> <li>Cause all eligible ESPs to prepare and implement Labor Management Plans (LMPs) appropriate to the scale and nature of their business, for their own staff in accordance with ESS2, including occupational health and safety (OHS) measures (and measures on emergency preparedness and response) and complaint resolution mechanisms for their own staff.</li> </ol>	<ol style="list-style-type: none"> <li>Prior to implementation of Subprojects.</li> <li>Review and approve each ESP’s LMP prior to the start of project activities within ESP sites and monitor implementation throughout Project implementation.</li> </ol>	
<b>2.2</b>	<p><b>GRIEVANCE MECHANISM FOR PROJECT WORKERS</b></p> <ol style="list-style-type: none"> <li>Establish, publicize, and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.</li> <li>Each Contractor shall also establish a grievance mechanism during the execution of the works under its contract, which shall also provide access to the Project workers’ GRM.  Ensure that the ESPs that benefit from the project have a GRM systems in place for workers.</li> </ol>	<ol style="list-style-type: none"> <li>Establish, publicize, and operate the grievance mechanism prior to engaging Project workers and thereafter maintain and operate it throughout Project implementation.</li> <li>Ensure that ESPs have functional GRMs prior to signing of MOU(s) and commencement of relevant activities, and throughout Project implementation.</li> </ol>	<p>PIU Contractors / sub-contractors Private Sector ESPs Entities s</p>
<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>			
<b>3.1</b>	<p><b>MANAGEMENT OF WASTE AND HAZARDOUS MATERIALS:</b></p> <ol style="list-style-type: none"> <li>The Project ESMF and the subproject ESMPs shall include measures to address impacts due to the project and management options in relation to waste collection, handling, storage, and disposal, including solar panel, dielectric fluids, and battery disposal mechanisms. As part of the ESIA/ESMP, develop and implement measures and procedures for managing waste and hazardous waste and materials during demolition, construction, rehabilitation, and operation including the e-waste generated by the Project, which shall be segregated from other forms of wastes.</li> </ol>	<ol style="list-style-type: none"> <li>General requirements and guidelines included in the ESMF as set out in section 1.2 above.</li> <li>Approve and operationalize subproject(s) site-specific level WMP as part of the C-ESMP before start of any civil works and implement and maintain</li> </ol>	<p>PIU Contractors / sub-contractors Private Sector ESPs Entities</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<ol style="list-style-type: none"> <li>2. The ESMF and the subproject ESIAs/ESMPs shall require Contractors ESMP (C-ESMP) to include Waste Management Plans (WMPs) specific to the subproject activities that address impacts and provides management requirements in relation to waste collection, handling, storage, and disposal, including solar panel, dielectric fluids, and battery disposal mechanisms.</li> <li>3. Cause ESPs to develop Waste and Hazardous Materials Management Plans acceptable to the Association as part of ESMP for the operation phase. This shall include Disposal/recycling plan for PV panels and batteries plan has been prepared, disclosed, consulted, approved, and adopted in form and substance acceptable to the Association</li> </ol>	<ol style="list-style-type: none"> <li>throughout Project implementation in accordance with Somalia legislation, and ESMF/ESMP/ESIA.</li> <li>3. Prior to the start of Project activities within ESP sites and implement throughout Project implementation</li> </ol>	
<b>3.2</b>	<p><b>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b></p> <ol style="list-style-type: none"> <li>1. Consistent with ESS3, measures (financial and technical) for improving efficiency in consumption of energy and water, as well as other resources and material inputs shall be put in place.</li> <li>2. The ESMP prepared for each subproject shall include an annex for the construction guidelines, comprising management of toxic materials, dust, wastes (solid waste and wastewater), water resources, etc.</li> <li>3. The Project shall not involve the use scarce resources i.e., scarce ground water and surface water, products from forest resources.</li> <li>4. The Project shall not invest in the use of scarce resources that would deplete the existing ecosystem in the Project areas.</li> </ol>	As part of the ESMF in Section 1.2 above. Implement and monitor applicable through subproject specific ESHS instruments set out in section 1.3 above and throughout Project and Subproject(s) implementation.	PIU Contractors / sub-contractors ESPs
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>			
<b>4.1</b>	<p><b>TRAFFIC AND ROAD SAFETY</b></p> <p>Incorporate measures to manage traffic and road safety risks as required in the ESMF and subproject ESMPs to be prepared under action 1.2 above.</p>	Same timeframe as for the adoption and implementation of the ESMF/ESMP(s)	PIU Contractors / sub-contractors

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
		Private Sector ESPs Entities
<p><b>4.2 COMMUNITY HEALTH AND SAFETY</b></p> <p>Assess and manage specific risks and impacts to the community arising from Project activities, including, inter alia, behavior of Project workers, risks of labor influx, response to emergency situations, SEA/SH, etc. and include mitigation measures in the ESMPs to be prepared in accordance with the ESMF.</p>	Same timeframe as for the adoption and implementation of the ESMP(s).	PIU Contractors / sub-contractors Private Sector ESPs Entities
<p><b>4.3 SEA AND SH RISKS</b></p> <p>Adopt and implement a GBV-SEA/SH Action Plan to assess and manage the risks of SEA and SH.. Include SEA/SH prevention and mitigation measures in the Standard Bidding Documents (SBDs) to be extended to ESP’s contractors and sub-contractors during implementation.</p>	Adopt and disclose GBV-SEA/SH Action Plan prior to Project Effective Date, and thereafter implement throughout Project implementation.	PIU Contractors / sub-contractors Private Sector ESPs Entities
<p><b>4.4 SECURITY MANAGEMENT</b></p> <ol style="list-style-type: none"> <li>1. Update SESRP’s security management framework (SecMF) to include new ASCENT activities. As required, guide ESPs and Contractors in preparation of SMPs for the subprojects.</li> <li>2. Assess and implement measures to manage the security risks of the Project, including the risks of engaging security personnel to safeguard project workers, sites, assets, and activities, as set out in the updated SESRP’s SecMF, guided by the principles of proportionality and GIIP, and by applicable law, in relation to hiring, rules of conduct, training, equipping, and monitoring of such personnel.</li> </ol>	<ol style="list-style-type: none"> <li>1. Update SecMF prior to Project Effective Date, and thereafter implement throughout Project implementation. Develop subproject SMPs prior to commencement of activities for site-specific sub-projects. .</li> <li>2. Prior to engaging security personnel and thereafter implemented throughout Project implementation.</li> </ol>	PIU

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
<p><b>4.5 INVOLVEMENT OF SECURITY PERSONNEL</b></p> <p>Ensure the following measures are carried out before deploying the security personnel for the provision of security to Project workers, sites and/or assets, consistent with the ESSs:</p> <ul style="list-style-type: none"> <li>a) Assess and implement measures to manage the security risks of engaging the Security personnel as set out in the SecMF and SMP, guided by the principles of proportionality and GIIP, and by applicable law, in relation to screening, hiring, rules of conduct, training, equipping, and monitoring of such security personnel.</li> <li>b) Adopt and implement standards, protocols, and codes of conduct for the selection and assignment of security personnel to the Project and screen such Security personnel to verify that they have not engaged in past unlawful or abusive behavior, including sexual exploitation and abuse (SEA), sexual harassment (SH) or excessive use of force.</li> <li>c) Enter a memorandum of understanding (MoU), with the security service provider setting out the arrangements for the engagement of the Security personnel in the Project, including the relevant actions and measures set out in this ESCP.</li> <li>d) Provide adequate instruction and training to the security personnel prior to deployment and on a regular basis, on the use of force and appropriate conduct (including in relation to civilian-security personnel engagement, SEA and SH, and other relevant areas), as set out in the MoU.</li> <li>e) Ensure that the stakeholder engagement activities under the Stakeholder Engagement Plan (SEP) include communication on the involvement of security personnel in the Project.</li> <li>f) Ensure that any concerns or grievances regarding the conduct of Security personnel are received, monitored, and documented (considering the need to protect confidentiality) by the Project’s grievance mechanism (see action 10.2 below), which shall facilitate its resolution, in accordance with ESS4 and ESS10. Notify the Association after receiving the concern or grievance, as set out under action B above; and</li> </ul>	<p>Carry out a, b), c), and d) before deploying security personnel under the Project and implement throughout Project implementation.</p> <p>e) and f) as set out under actions 10.1 and 10.2 respectively. Notify the Association after receiving the concern or grievance in the timeframe specified in action B above.</p> <p>g) within the timeframes requested by the Association.</p>	<p>MOEWR PIU</p>

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
<p>g) Where the Association so request in writing, after consultation with the Federal Republic of Somalia (i) promptly appoint a third-party monitor consultant, with terms of reference, qualifications and experience acceptable to the Association, to visit and monitor the Project area where security personnel are deployed, collect relevant data and communicate with Project stakeholders and beneficiaries; (ii) require the third-party monitor consultant to prepare and submit monitoring reports, which shall be promptly made available to and discussed with the Association; and (iii) promptly take any actions, as may be requested by the Association upon its review of the third-party monitor consultant reports.</p>		
<p><b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b></p>		
<p><b>5.1 RESETTLEMENT POLICY FRAMEWORK</b> Adopt and implement the Resettlement Policy Framework (RPF) for the Project, consistent with ESS5.</p>	<p>Adopt the RPF prior to Project Effective Date, and thereafter implement the RPF throughout Project implementation.</p>	<p>PIU</p>
<p><b>5.2 RESETTLEMENT PLANS</b></p> <ol style="list-style-type: none"> <li>1. Adopt and implement a resettlement action plan (RAP) for each subproject under the Project for which the RPF requires such RAP, and consistent with ESS5.</li> <li>2. Allocate funds for land acquisition and RAP implementation in a timely manner and take the responsibility to resolve any issue or disputes related to land.</li> </ol>	<ol style="list-style-type: none"> <li>1. Adopt and implement the respective RAP, including ensuring that before taking possession of the land and related assets, full compensation has been provided and, as applicable, displaced people have been resettled and moving allowances have been provided.</li> <li>2. Allocate funds periodically in accordance with a process and schedule agreed with the Association as part of the RAP.</li> </ol>	<p>PIU</p>
<p><b>5.3 INVOLUNTARY RESETTLEMENT PRIOR TO RAP PREPARATION</b></p>	<p>Identification of such prior forced displacement when conducting screening and census survey during the</p>	<p>PIU</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	In accordance with ESS5, involuntary resettlement /displacement may not occur in anticipation of construction or in any other project-financed activity before RAP preparation. If, however, such an event occurs, the relevant ESS 5 requirements shall be applied retroactively to the extent feasible. A resettlement audit would need to be carried out by an independent party to assess alignment with ESS5. If such retroactive application of these requirements cannot be satisfied, the Bank shall not support this infrastructure, or any other infrastructure development carried out.	RAP preparation process of subprojects in accordance with the RPF and ESS5. Resettlement audits following immediately afterwards.	
<b>5.3</b>	<b>GRIEVANCE MECHANISM</b>  The grievance mechanism (GM) to address land acquisition and involuntary resettlement-related complaints, in line with the requirements of ESS5, shall be described in the RPF, RAPs and SEP, with specific channels accessible to all Project Affected Persons (PAP).	Same timeframe as for the actions described in 5.1, 5.2, 5.3, and 10.2	PIU
<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			
<b>6.1</b>	<b>BIODIVERSITY RISKS AND IMPACTS</b>  . Projects with potential impacts on critical habitats as defined in ESS6 shall not be eligible for financing.  Consistent with ESS6, the Project shall develop and implement and cause to be developed and implemented by contractors and sub-contractors, measures, and actions to assess and manage risks and impacts on biodiversity, including identification of different types of habitats and circumstances. These measures shall be spelled out in the site-specific ESIA/ESMPs in accordance with the ESSs and the ESMF: Sensitive ecosystems such as legally designated protected areas, forests, biodiversity areas or buffer zones shall not be encroached upon; Subprojects which may potentially generate sensitive impact on sensitive habitats, such as protected areas, wetlands, forests, shall not be eligible and shall be identified and screened out according to criteria and mechanisms set out in the ESMF; and The ESMF shall set out the types of impacts on natural habitats that are not excluded.	Adopt the ESMF prior effectiveness date to include respective screening provisions and exclusions and guidance for ESMPs.  Site-specific ESMP to be prepared and adopted prior to the call for bids of subproject site activities, and thereafter implemented throughout the Project.	PIU  Contractors / sub-contractors  Private Sector ESPs Entities
<b>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b>			
	<b>Not relevant</b>		

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
<b>ESS 8: CULTURAL HERITAGE</b>		
<p><b>8.1 CHANCE FINDS</b></p> <p>Ensure that requirements of ESS8 including chance find procedures are integrated in ESMF, ESIA's and site-specific ESMPs. This procedure shall be followed if cultural heritage is encountered during Project activities. The chance find procedure shall be included in the ESMF, ESIA's and site specific ESIA/ESMP and included in all contracts relating to the implementation of the Project.</p> <p>Ensure relevant workers are trained in the requirements of the procedure prior to ground disturbance.</p>	<p>Same timeframe as for the adoption and implementation of the ESMF and ESMPs.</p>	<p>PIU Contractors / sub-contractors</p>
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>		
<p><b>10.1 STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</b></p> <p>Update, consult on and disclose the SESRP's Stakeholder Engagement Plan (SEP) to include new ASCENT Project activities and facilitate engagement at both the national and subproject level. The SEP shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable, and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.</p> <ul style="list-style-type: none"> <li>•</li> </ul>	<p>Disclose the developed SEP prior to Project appraisal, and thereafter implement the SEP throughout Project implementation.</p>	<p>PIU</p>
<p><b>10.2 PROJECT GRIEVANCE MECHANISM</b></p> <p>Update, consult on, disclose, publicize, maintain, and operate the SESRP's grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p>	<p>Adopt the updated grievance mechanism prior to Project Effective Date and thereafter maintain and operate the mechanism throughout Project implementation.</p>	<p>PIU</p>



MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	Again, the grievance mechanism shall be reviewed and equipped to receive, register, and facilitate the resolution of SEA/SH complaints coming from both project-beneficiaries and other interested parties, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.		
<b>CAPACITY SUPPORT</b>			
<b>CS1</b>	<p>Training may be required for PIU staff on:</p> <ul style="list-style-type: none"> <li>• ESMF and its implementation</li> <li>• Stakeholder mapping and engagement</li> <li>• World Bank ESF ESSs, EHSGs, etc.</li> <li>• Occupational health and safety</li> <li>• Supervision/monitoring EHS performance in subprojects</li> <li>• Community health and safety</li> <li>• Emergency preparedness and response</li> <li>• Gender-based violence/SEA-SH risk mitigation and response</li> <li>• Grievance management</li> <li>• Labor management procedures</li> <li>• Security management</li> <li>• Code of conduct for Project workers</li> <li>• Land and resettlement</li> </ul>	Starting after Project Effective Date....., and throughout Project implementation.	PIU
<b>CS2</b>	<p>Training for Project workers and ESPs:</p> <ul style="list-style-type: none"> <li>• Use of Personal Protective Equipment (PPE)</li> <li>• Emergency Preparedness and Response</li> <li>• Occupational health and safety including on emergency prevention and preparedness and response arrangements to emergency situations.</li> <li>• GBV-SEA/SH risks and mitigation</li> <li>• Codes of conduct and grievance mechanisms.</li> <li>• Security management</li> <li>• ESP training and assistance on ESF</li> <li>• Environmental mitigation and monitoring</li> </ul>	After the Effective Date and prior to start of Project activities, and thereafter throughout Project implementation.	PIU Contractors/ subcontractors ESPs

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
<ul style="list-style-type: none"><li>• EHS training for Owner’s Engineer and independent monitoring and evaluation firm.</li></ul>		